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## Critical Approaches to Literature

*Literary criticism should arise out of a debt of love.*

—GEORGE STEINER

Literary criticism is not an abstract, intellectual exercise; it is a natural human response to literature. If a friend informs you she is reading a book you have just finished, it would be odd indeed if you did not begin swapping opinions. Literary criticism is nothing more than discourse—spoken or written—about literature. A student who sits quietly in a morning English class, intimidated by the notion of literary criticism, will spend an hour that evening talking animatedly about the meaning of rock lyrics or comparing the relative merits of the *Star Wars* trilogies. It is inevitable that people will ponder, discuss, and analyze the works of art that interest them.

The informal criticism of friends talking about literature tends to be casual, unorganized, and subjective. Since Aristotle, however, philosophers, scholars, and writers have tried to create more precise and disciplined ways of discussing literature. Literary critics have borrowed concepts from other disciplines, such as philosophy, history, linguistics, psychology, and anthropology, to analyze imaginative literature more perceptively. Some critics have found it useful to work in the abstract area of **literary theory**, criticism that tries to formulate general principles rather than discuss specific texts. Mass media critics, such as newspaper reviewers, usually spend their time evaluating works—telling us which books are worth reading, which plays not to bother seeing. But most serious literary criticism is not primarily evaluative; it assumes we know that *Othello* or *The Metamorphosis* is worth reading. Instead, such criticism is analytic; it tries to help us better understand a literary work.

In the following pages you will find overviews of ten critical approaches to literature. While these ten methods do not exhaust the total possibilities of literary criticism, they represent the most widely used contemporary approaches. Although presented separately, the approaches are not necessarily mutually exclusive; many critics mix methods to suit their needs and interests. For example, a historical critic may use formalist techniques to analyze a poem; a biographical critic will frequently use psychological theories to analyze an author. The summaries neither try to provide a history of each approach, nor do they try to present the latest trends in each school. Their purpose is to give you a practical introduction to each critical method and then

provide representative examples of it. If one of these critical methods interests you, why not try to write a class paper using the approach?

## Formalist Criticism

**Formalist criticism** regards literature as a unique form of human knowledge that needs to be examined on its own terms. “The natural and sensible starting point for work in literary scholarship,” René Wellek and Austin Warren wrote in their influential *Theory of Literature*, “is the interpretation and analysis of the works of literature themselves.” To a formalist, a poem or story is not primarily a social, historical, or biographical document; it is a literary work that can be understood only by reference to its intrinsic literary features—that is, those elements found in the text itself. To analyze a poem or story, therefore, the formalist critic focuses on the words of the text rather than facts about the author’s life or the historical milieu in which it was written. The critic would pay special attention to the formal features of the text—the style, structure, imagery, tone, and genre. These features, however, are usually not examined in isolation, because formalist critics believe that what gives a literary text its special status as art is how all its elements work together to create the reader’s total experience. As Robert Penn Warren commented, “Poetry does not inhere in any particular element but depends upon the set of relationships, the structure, which we call the poem.”

A key method that formalists use to explore the intense relationships within a poem is **close reading**, a careful step-by-step analysis and explication of a text. The purpose of close reading is to understand how various elements in a literary text work together to shape its effects on the reader. Since formalists believe that the various stylistic and thematic elements of literary work influence each other, these critics insist that form and content cannot be meaningfully separated. The complete interdependence of form and content is what makes a text literary. When we extract a work’s theme or paraphrase its meaning, we destroy the aesthetic experience of the work.

When Robert Langbaum examines Robert Browning’s “My Last Duchess,” he uses several techniques of formalist criticism. First, he places the poem in relation to its literary form, the dramatic monologue. Second, he discusses the dramatic structure of the poem—why the duke tells his story, whom he addresses, and the physical circumstances in which he speaks. Third, Langbaum analyzes how the duke tells his story—his tone, manner, even the order in which he makes his disclosures. Langbaum neither introduces facts about Browning’s life into his analysis, nor relates the poem to the historical period or social conditions that produced it. He focuses on the text itself to explain how it produces a complex effect on the reader.

## Cleanth Brooks (1906–1994)

### The Formalist Critic

1951

Here are some articles of faith I could subscribe to:

*That literary criticism is a description and an evaluation of its object.*

*That the primary concern of criticism is with the problem of unity—the kind of whole which the literary work forms or fails to form, and the relation of the various parts to each other in building up this whole.*

*That the formal relations in a work of literature may include, but certainly exceed, those of logic.*

*That in a successful work, form and content cannot be separated.*

*That form is meaning.*

*That literature is ultimately metaphorical and symbolic.*

*That the general and the universal are not seized upon by abstraction, but got at through the concrete and the particular.*

*That literature is not a surrogate for religion.*

*That, as Allen Tate says, "specific moral problems" are the subject matter of literature, but that the purpose of literature is not to point a moral.*

*That the principles of criticism define the area relevant to literary criticism; they do not constitute a method for carrying out the criticism.*

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The formalist critic knows as well as anyone that poems and plays and novels are written by men—that they do not somehow happen—and that they are written as expressions of particular personalities and are written from all sorts of motives—for money, from a desire to express oneself, for the sake of a cause, etc. Moreover, the formalist critic knows as well as anyone that literary works are merely potential until they are read—that is, that they are recreated in the minds of actual readers, who vary enormously in their capabilities, their interests, their prejudices, their ideas. But the formalist critic is concerned primarily with the work itself. Speculation on the mental processes of the author takes the critic away from the work into biography and psychology. There is no reason, of course, why he should not turn away into biography and psychology. Such explorations are very much worth making. But they should not be confused with an account of the work. Such studies describe the process of composition, not the structure of the thing composed, and they may be performed quite as validly for the poor work as for the good one. They may be validly performed for any kind of expression—non-literary as well as literary.

From "The Formalist Critic"

## **Robert Langbaum** (b. 1924)

### **On Robert Browning's "My Last Duchess"**

1957

When we have said all the objective things about Browning's "My Last Duchess," we will not have arrived at the meaning until we point out what can only be substantiated by an appeal to effect—that moral judgment does not figure importantly in our response to the duke, that we even identify ourselves with him. But how is such an effect produced in a poem about a cruel Italian duke of the Renaissance who out of unreasonable jealousy has had his last duchess put to death, and is now about to contract a second marriage for the sake of dowry? Certainly, no summary or paraphrase would indicate that condemnation is not our principal response. The difference must be laid to form, to that extra quantity which makes the difference in artistic discourse between content and meaning.

The objective fact that the poem is made up entirely of the duke's utterance has of course much to do with the final meaning, and it is important to say that the poem is in form a monologue. But much more remains to be said about the way in which the content is laid out, before we can come near accounting for the whole meaning. It is important that the duke tells the story of his kind and generous last duchess to,

of all people, the envoy from his prospective duchess. It is important that he tells his story while showing off to the envoy the artistic merits of a portrait of the last duchess. It is above all important that the duke carries off his outrageous indiscretion, proceeding triumphantly in the end downstairs to conclude arrangements for the dowry. All this is important not only as content but also as form, because it establishes a relation between the duke on the one hand, and the portrait and the envoy on the other, which determines the reader's relation to the duke and therefore to the poem—which determines, in other words, the poem's meaning.

The utter outrageousness of the duke's behavior makes condemnation the least interesting response, certainly not the response that can account for the poem's success. What interests us more than the duke's wickedness is his immense attractiveness. His conviction of matchless superiority, his intelligence and bland amorality, his poise, his taste for art, his manners—high-handed aristocratic manners that break the ordinary rules and assert the duke's superiority when he is being most solicitous of the envoy, waiving their difference of rank (“Nay, we'll go / Together down, sir”); these qualities overwhelm the envoy, causing him apparently to suspend judgment of the duke, for he raises no demur. The reader is no less overwhelmed. We suspend moral judgment because we prefer to participate in the duke's power and freedom, in his hard core of character fiercely loyal to itself. Moral judgment is in fact important as the thing to be suspended, as a measure of the price we pay for the privilege of appreciating to the full this extraordinary man.

It is because the duke determines the arrangement and relative subordination of the parts that the poem means what it does. The duchess's goodness shines through the duke's utterance; he makes no attempt to conceal it, so preoccupied is he with his own standard of judgment and so oblivious of the world's. Thus the duchess's case is subordinated to the duke's, the novelty and complexity of which engages our attention. We are busy trying to understand the man who can combine the connoisseur's pride in the lady's beauty with a pride that caused him to murder the lady rather than tell her in what way she displeased him, for in that

would be some stooping; and I choose  
Never to stoop.

(lines 42–43)

The duke's paradoxical nature is fully revealed when, having boasted how at his command the duchess's life was extinguished, he turns back to the portrait to admire of all things its life-likeness:

There she stands  
As if alive.

(lines 46–47)

This occurs ten lines from the end, and we might suppose we have by now taken the duke's measure. But the next ten lines produce a series of shocks that outstrip each time our understanding of the duke, and keep us panting after revelation with no opportunity to consolidate our impression of him for moral judgment. For it is at this point that we learn to whom he has been talking; and he goes on to talk about dowry, even allowing himself to murmur the hypocritical assurance that the new bride's self and not the dowry is of course his object. It seems to me that one side of the duke's nature is here stretched as far as it will go; the dazzling figure threatens to decline into paltriness admitting moral judgment, when Browning retrieves it with

two brilliant strokes. First, there is the lordly waiving of rank's privilege as the duke and the envoy are about to proceed downstairs, and then there is the perfect all-revealing gesture of the last two and a half lines when the duke stops to show off yet another object in his collection:

Notice Neptune, though,  
Taming a sea-horse, thought a rarity,  
Which Claus of Innsbruck cast in bronze for me!

(lines 54–56)

The lines bring all the parts of the poem into final combination, with just the relative values that constitute the poem's meaning. The nobleman does not hurry on his way to business, the connoisseur cannot resist showing off yet another precious object, the possessive egotist counts up his possessions even as he moves toward the acquirement of a new possession, a well-dowered bride; and most important, the last duchess is seen in final perspective. She takes her place as one of a line of objects in an art collection; her sad story becomes the *cicerone's* anecdote<sup>o</sup> lending piquancy to the portrait. The duke has taken from her what he wants, her beauty, and thrown the life away; and we watch with awe as he proceeds to take what he wants from the envoy and by implication from the new duchess. He carries all before him by sheer force of will so undeflected by ordinary compunctions as even, I think, to call into question—the question rushes into place behind the startling illumination of the last lines, and lingers as the poem's haunting afternote—the duke's sanity.

From *The Poetry of Experience*

## Biographical Criticism

**Biographical criticism** begins with the simple but central insight that literature is written by actual people and that understanding an author's life can help readers more thoroughly comprehend the work. Anyone who reads the biography of a writer quickly sees how much an author's experience shapes—both directly and indirectly—what he or she creates. Reading that biography will also change (and usually deepen) our response to the work. Sometimes even knowing a single important fact illuminates our reading of a poem or story. Learning, for example, that poet Josephine Miles was confined to a wheelchair or that Weldon Kees committed suicide at forty-one will certainly make us pay attention to certain aspects of their poems we might otherwise have missed or considered unimportant. A formalist critic might complain that we would also have noticed those things through careful textual analysis, but biographical information provides the practical assistance of underscoring subtle but important meanings in the poems. Though many literary theorists have assailed biographical criticism on philosophical grounds, the biographical approach to literature has never disappeared because of its obvious practical advantage in illuminating literary texts.

It may be helpful here to make a distinction between biography and biographical criticism. **Biography** is, strictly speaking, a branch of history; it provides a written

*cicerone's anecdote*: The Duke's tale. (In Italian, a *cicerone* is one who conducts guided tours for sight-seers.)

account of a person's life. To establish and interpret the facts of a poet's life, for instance, a biographer would use all the available information—not just personal documents such as letters and diaries but also the poems for the possible light they might shed on the subject's life. A biographical *critic*, however, is not concerned with re-creating the record of an author's life. Biographical criticism focuses on explicating the literary work by using the insight provided by knowledge of the author's life. Quite often, biographical critics, such as Brett C. Millier in her discussion of Elizabeth Bishop's "One Art," will examine the drafts of a poem or story to see both how the work came into being and how it might have been changed from its autobiographical origins.

A reader, however, must use biographical interpretations cautiously. Writers are notorious for revising the facts of their own lives; they often delete embarrassments and invent accomplishments while changing the details of real episodes to improve their literary impact. John Cheever, for example, frequently told reporters about his sunny, privileged youth; after the author's death, his biographer Scott Donaldson discovered a childhood scarred by a distant mother; a failed, alcoholic father; and nagging economic uncertainty. Likewise, Cheever's outwardly successful adulthood was plagued by alcoholism, sexual promiscuity, and family tension. The chilling facts of Cheever's life significantly changed the way critics read his stories. The danger in the case of a famous writer (Sylvia Plath and F. Scott Fitzgerald are two modern examples) is that the life story can overwhelm and eventually distort the work. A savvy biographical critic always remembers to base an interpretation on what is in the text itself; biographical data should amplify the meaning of the text, not drown it out with irrelevant material.

### **Leslie Fiedler** (1917–2003)

#### **The Relationship of Poet and Poem**

1960

A central dogma of much recent criticism asserts that biographical information is irrelevant to the understanding and evaluation of poems, and that conversely, poems cannot legitimately be used as material for biography. This double contention is part of a larger position which holds that history is history and art is art, and that to talk about one in terms of the other is to court disaster. Insofar as this position rests upon the immortal platitude that it is good to know what one is talking about, it is unexceptionable; insofar as it is a reaction based upon the procedures of pre-Freudian critics, it is hopelessly outdated; and insofar as it depends upon the extreme nominalist definition of a work of art, held by many "formalists" quite unawares, it is metaphysically reprehensible. It has the further inconvenience of being quite unusable in the practical sphere (all of its proponents, in proportion as they are sensitive critics, immediately betray it when speaking of specific works, and particularly of large bodies of work); and, as if that were not enough, it is in blatant contradiction with the assumptions of most serious practicing writers.

That the anti-biographical position was once "useful," whatever its truth, cannot be denied; it was even once, what is considerably rarer in the field of criticism, amusing; but for a long time now it has been threatening to turn into one of those annoying clichés of the intellectually middle-aged, proffered with all the air of a stimulating heresy. The position was born in dual protest against an excess of

Romantic criticism and one of “scientific scholarship.” Romantic aesthetics appeared bent on dissolving the formally realized “objective” elements in works of art into “expression of personality”; while the “scholars,” in revolt against Romantic subjectivity, seemed set on casting out all the more shifty questions of value and *gestalt* as “subjective,” and concentrating on the kind of “facts” amenable to scientific verification. Needless to say, it was not the newer psychological sciences that the “scholars” had in mind, but such purer disciplines as physics and biology. It was at this point that it became fashionable to talk about literary study as “research,” and graphs and tables began to appear in analyses of works of art.

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The poet’s life is the focusing glass through which pass the determinants of the shape of his work: the tradition available to him, his understanding of “kinds,” the impact of special experiences (travel, love, etc.). But the poet’s life is more than a burning glass; with his work, it makes up his total meaning. I do not intend to say, of course, that some meanings of works of art, satisfactory and as far as they go sufficient, are not available in the single work itself (only a really *bad* work depends for all substantial meaning on a knowledge of the life-style of its author); but a whole body of work will contain larger meanings, and, where it is available, a sense of the life of the writer will raise that meaning to a still higher power. The latter two kinds of meaning fade into each other; for as soon as two works by a single author are considered side by side, one has begun to deal with biography—that is, with an interconnectedness fully explicable only in terms of a personality, inferred or discovered.

One of the essential functions of the poet is the assertion and creation of a personality, in a profounder sense than any nonartist can attain. We ask of the poet a definition of man, at once particular and abstract, stated and acted out. It is impossible to draw a line between the work the poet writes and the work he lives, between the life he lives and the life he writes. And the agile critic, therefore, must be prepared to move constantly back and forth between life and poem, not in a pointless circle, but in a meaningful spiraling toward the absolute point.

*No! in Thunder*

**Brett C. Millier** (b. 1958)

### On Elizabeth Bishop’s “One Art”

1993

Elizabeth Bishop left seventeen drafts of the poem “One Art” among her papers. In the first draft, she lists all the things she’s lost in her life—keys, pens, glasses, cities—and then she writes “One might think this would have prepared me / for losing one average-sized not exceptionally / beautiful or dazzlingly intelligent person . . . / But it doesn’t seem to have at all. . . .” By the seventeenth draft, nearly every word has been transformed, but most importantly, Bishop discovered along the way that there might be a way to master this loss.

One way to read Bishop’s modulation between the first and last drafts from “the loss of you is impossible to master” to something like “I am still the master of losing

even though losing you looks like a disaster” is that in the writing of such a disciplined, demanding poem as this villanelle (“*Write it!*”) lies the potential mastery of the loss. Working through each of her losses—from the bold, painful catalog of the first draft to the finely-honed and privately meaningful final version—is the way to overcome them or, if not to overcome them, then to see the way in which she might possibly master herself in the face of loss. It is all, perhaps “one art”—writing elegy, mastering loss, mastering grief, self-mastery. Bishop had a precocious familiarity with loss. Her father died before her first birthday, and four years later her mother disappeared into a sanitarium, never to be seen by her daughter again. The losses in the poem are real: time in the form of the “hour badly spent” and, more tellingly for the orphaned Bishop “my mother’s watch”: the lost houses, in Key West, Petrópolis, and Ouro Preto, Brazil. The city of Rio de Janeiro and the whole South American continent (where she had lived for nearly two decades) were lost to her with the suicide of her Brazilian companion. And currently, in the fall of 1975, she seemed to have lost her dearest friend and lover, who was trying to end their relationship. But each version of the poem distanced the pain a little more, depersonalized it, moved it away from the tawdry self-pity and “confession” that Bishop disliked in so many of her contemporaries.

Bishop’s friends remained for a long time protective of her personal reputation, and unwilling to have her grouped among lesbian poets or even among the other great poets of her generation—Robert Lowell, John Berryman, Theodore Roethke—as they seemed to self-destruct before their readers’ eyes. Bishop herself taught them this reticence by keeping her private life to herself, and by investing what “confession” there was in her poems deeply in objects and places, thus deflecting biographical inquiry. In the development of this poem, discretion is both a poetic method, and a part of a process of self-understanding, the seeing of a pattern in her own life.

Adapted by the author from *Elizabeth Bishop: Life and the Memory of It*

## Historical Criticism

**Historical criticism** seeks to understand a literary work by investigating the social, cultural, and intellectual context that produced it—a context that necessarily includes the artist’s biography and milieu. Historical critics are less concerned with explaining a work’s literary significance for today’s readers than with helping us understand the work by recreating, as nearly as possible, the exact meaning and impact it had on its original audience. A historical reading of a literary work begins by exploring the possible ways in which the meaning of the text has changed over time. An analysis of William Blake’s poem “London,” for instance, carefully examines how certain words had different connotations for the poem’s original readers than they do today. It also explores the probable associations an eighteenth-century English reader would have made with certain images and characters, like the poem’s persona, the chimney sweep—a type of exploited child laborer who, fortunately, no longer exists in our society.

Reading ancient literature, no one doubts the value of historical criticism. There have been so many social, cultural, and linguistic changes that some older texts are incomprehensible without scholarly assistance. But historical criticism can even help one better understand modern texts. To return to Weldon Kees’s “For My Daughter” for example, one learns a great deal by considering two rudimentary historical facts—



the year in which the poem was first published (1940) and the nationality of its author (American)—and then asking how this information has shaped the meaning of the poem. In 1940 war had already broken out in Europe, and most Americans realized that their country, still recovering from the Depression, would soon be drawn into it. For a young man like Kees, the future seemed bleak, uncertain, and personally dangerous. Even this simple historical analysis helps explain at least part of the bitter pessimism of Kees's poem, though a psychological critic would rightly insist that Kees's dark personality also played a crucial role. In writing a paper on a poem, you might explore how the time and place of its creation affect its meaning. For a splendid example of how to recreate the historical context of a poem's genesis, read the following account by Hugh Kenner of Ezra Pound's imagistic "In a Station of the Metro."

## Hugh Kenner (1923–2003)

### Imagism

1971

For it was English post-Symbolist verse that Pound's Imagism set out to reform, by deleting its self-indulgences, intensifying its virtues, and elevating the glimpse into the vision. The most famous of all Imagist poems commenced, like any poem by Arthur Symons,<sup>9</sup> with an accidental glimpse. Ezra Pound, on a visit to Paris in 1911, got out of the Metro at La Concorde, and "saw suddenly a beautiful face, and then another and another, and then a beautiful child's face, and then another beautiful woman, and I tried all that day to find words for what they had meant to me, and I could not find any words that seemed to me worthy, or as lovely as that sudden emotion."

The oft-told story is worth one more retelling. This was just such an experience as Arthur Symons cultivated, bright unexpected glimpses in a dark setting, instantly to melt into the crowd's kaleidoscope. And a poem would not have given Symons any trouble. But Pound by 1911 was already unwilling to write a Symons poem.

He tells us that he first satisfied his mind when he hit on a wholly abstract vision of colors, splotches on darkness like some canvas of Kandinsky's (whose work he had not then seen). This is a most important fact. Satisfaction lay not in preserving the vision, but in devising with mental effort an abstract equivalent for it, reduced, intensified. He next wrote a 30-line poem and destroyed it; after six months he wrote a shorter poem, also destroyed; and after another year, with, as he tells us, the Japanese *hokku* in mind, he arrived at a poem which needs every one of its 20 words, including the six words of its title:

### In a Station of the Metro

The apparition of these faces in the crowd;  
Petals on a wet, black bough.

We need the title so that we can savor that vegetal contrast with the world of machines: this is not any crowd, moreover, but a crowd seen underground, as

*Arthur Symons*: Symons (1865–1945) was a British poet who helped introduce French symbolist verse into English. His own verse was often florid and impressionistic.

Odysseus and Orpheus and Koré saw crowds in Hades. And carrying forward the suggestion of wraiths, the word “apparition” detaches these faces from all the crowded faces, and presides over the image that conveys the quality of their separation:

Petals on a wet, black bough.

Flowers, underground; flowers, out of the sun; flowers seen as if against a natural gleam, the bough’s wetness gleaming on its darkness, in this place where wheels turn and nothing grows. The mind is touched, it may be, with a memory of Persephone, as we read of her in the 106th Canto,

Dis’ bride, Queen over Phlegethon,  
girls faint as mist about her.

—the faces of those girls likewise “apparitions.”

What is achieved, though it works by way of the visible, is no picture of the thing glimpsed, in the manner of

The light of our cigarettes  
Went and came in the gloom.

It is a simile with “like” suppressed: Pound called it an equation, meaning not a redundancy,  $a$  equals  $a$ , but a generalization of unexpected exactness. The statements of analytic geometry, he said, “are ‘lords’ over fact. They are the thrones and dominations that rule over form and recurrence. And in like manner are great works of art lords over fact, over race-long recurrent moods, and over tomorrow.” So this tiny poem, drawing on Gauguin and on Japan, on ghosts and on Persephone, on the Underworld and on the Underground, the Metro of Mallarmé’s capital and a phrase that names a station of the Metro as it might a station of the Cross, concentrates far more than it need ever specify, and indicates the means of delivering post-Symbolist poetry from its pictorialist impasse. “An ‘Image’ is that which presents an intellectual and emotional complex in an instant of time”: that is the elusive Doctrine of the Image. And, just 20 months later, “The image . . . is a radiant node or cluster; it is what I can, and must perforce, call a VORTEX, from which, and through which, and into which, ideas are constantly rushing.” And: “An *image* . . . is real because we know it directly.”

From *The Pound Era*

## Joseph Moldenhauer (b. 1934)

### “To His Coy Mistress” and the Renaissance Tradition 1968

Obedient to the neoclassical aesthetic which ruled his age, Andrew Marvell strove for excellence within established forms rather than trying to devise unique forms of his own. Like Herrick, Ben Jonson, and Campion, like Milton and the Shakespeare of the sonnets, Marvell was derivative. He held imitation to be no vice; he chose a proven type and exploited it with a professionalism rarely surpassed even in a century and a land as amply provided with verse craftsmen as his. Under a discipline so willingly assumed, Marvell’s imagination flourished, producing superb and enduring examples of the verse types he attempted.

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When he undertook to write a *carpe diem* lyric in “To His Coy Mistress,” Marvell was working once more within a stylized form, one of the favorite types in the Renaissance lyric catalogue. Again he endowed the familiar model with his own special sensibility, composing what for many readers is the most vital English instance of the *carpe diem* poem. We can return to it often, with undiminished enthusiasm—drawn not by symbolic intricacy, though it contains two or three extraordinary conceits, nor by philosophical depth, though it lends an unusual seriousness to its theme—but drawn rather by its immediacy and concreteness, its sheer dynamism of statement within a controlled structure.

The *carpe diem* poem, whose label comes from a line of Horace and whose archetype for Renaissance poets was a lyric by Catullus, addresses the conflict of beauty and sensual desire on the one hand and the destructive force of time on the other. Its theme is the fleeting nature of life’s joys; its counsel, overt or implied, is Horace’s “seize the present,” or, in the language of Herrick’s “To the Virgins,”

Gather ye Rose-buds while ye may,  
Old Time is still a flying.

It takes rise from that most pervasive and aesthetically viable of all Renaissance preoccupations, man’s thralldom to time, the limitations of mortality upon his senses, his pleasures, his aspirations, his intellectual and creative capacities. Over the exuberance of Elizabethan and seventeenth-century poetry the pall of death continually hovers, and the lyrics of the age would supply a handbook of strategies for the circumvention of decay. The birth of an heir, the preservative balm of memory, the refuge of Christian resignation or Platonic ecstasy—these are some solutions which the poets offer. Another is the artist’s ability to immortalize this world’s values by means of his verse. Shakespeare’s nineteenth and fifty-fifth sonnets, for example, employ this stratagem for the frustration of “Devouring Time,” as does Michael Drayton’s “How Many Paltry, Foolish, Painted Things.” In such poems the speaker’s praise of the merits of the beloved is coupled with a celebration of his own poetic gift, through which he can eternize those merits as a “pattern” for future men and women.

The *carpe diem* lyric proposes a more direct and immediate, if also more temporary, solution to the overwhelming problem. Whether subdued or gamesome in tone, it appeals to the young and beautiful to make time their own for a while, to indulge in the “harmless folly” of sensual enjoyment. Ordinarily, as in “To His Coy Mistress” and Herrick’s “Corrina’s Going A-Maying,” the poem imitates an express invitation to love, a suitor’s immodest proposal to his lady. Such works are both sharply dramatic and vitally rhetorical; to analyze their style and structure is, in effect, to analyze a persuasive appeal.

From “The Voices of Seduction in ‘To His Coy Mistress’”

## Psychological Criticism

Modern psychology has had an immense effect on both literature and literary criticism. The psychoanalytic theories of the Austrian neurologist Sigmund Freud changed our notions of human behavior by exploring new or controversial areas such as wish fulfillment, sexuality, the unconscious, and repression. Perhaps Freud’s greatest contribution to literary study was his elaborate demonstration of how much human men-

tal process was unconscious. He analyzed language, often in the form of jokes and conversational slips of the tongue (now often called “Freudian slips”), to show how it reflected the speaker’s unconscious fears and desires. He also examined symbols not only in art and literature but also in dreams to study how the unconscious mind expressed itself in coded form to avoid the censorship of the conscious mind. His theory of human cognition asserted that much of what we apparently forget is actually stored deep in the subconscious mind, including painful traumatic memories from childhood that have been repressed.

Freud admitted that he himself had learned a great deal about psychology from studying literature. Sophocles, Shakespeare, Goethe, and Dostoyevsky were as important to the development of his ideas as were his clinical studies. Some of Freud’s most influential writing was, in a broad sense, literary criticism, such as his psychoanalytic examination of Sophocles’ *Oedipus in The Interpretation of Dreams* (1900). In analyzing Sophocles’ tragedy, *Oedipus the King*, Freud paid the classical Greek dramatist the considerable compliment that the playwright had such profound insight into human nature that his characters display the depth and complexity of real people. In focusing on literature, Freud and his disciples like Carl Jung, Ernest Jones, Marie Bonaparte, and Bruno Bettelheim endorsed the belief that great literature truthfully reflects life.

**Psychological criticism** is a diverse category, but it often employs three approaches. First, it investigates the creative process of the arts: what is the nature of literary genius, and how does it relate to normal mental functions? Such analysis may also focus on literature’s effects on the reader. How does a particular work register its impact on the reader’s mental and sensory faculties? The second approach involves the psychological study of a particular artist. Most modern literary biographers employ psychology to understand their subject’s motivations and behavior. One book, Diane Middlebrook’s controversial *Anne Sexton: A Biography* (1991), actually used tapes of the poet’s sessions with her psychiatrist as material for the study. The third common approach is the analysis of fictional characters. Freud’s study of *Oedipus* is the prototype for this approach, which tries to bring modern insights about human behavior into the study of how fictional people act. While psychological criticism carefully examines the surface of the literary work, it customarily speculates on what lies underneath the text—the unspoken or perhaps even unspeakable memories, motives, and fears that covertly shape the work, especially in fictional characterizations.

## Sigmund Freud (1856–1939)

### The Destiny of Oedipus

1900

TRANSLATED BY JAMES STRACHEY

If *Oedipus the King* moves a modern audience no less than it did the contemporary Greek one, the explanation can only be that its effect does not lie in the contrast between destiny and human will, but is to be looked for in the particular nature of the material on which that contrast is exemplified. There must be something which makes a voice within us ready to recognize the compelling force of destiny in the *Oedipus*, while we can dismiss as merely arbitrary such dispositions as are laid down

in *Die Ahnfrau*<sup>9</sup> or other modern tragedies of destiny. And a factor of this kind is in fact involved in the story of King Oedipus. His destiny moves us only because it might have been ours—because the oracle laid the same curse upon us before our birth as upon him. It is the fate of all of us, perhaps, to direct our first sexual impulse towards our mother and our first hatred and our first murderous wish against our father. Our dreams convince us that that is so. King Oedipus, who slew his father Laius and married his mother Jocasta, merely shows us the fulfillment of our own childhood wishes. But, more fortunate than he, we have meanwhile succeeded, insofar as we have not become psychoneurotics, in detaching our sexual impulses from our mothers and in forgetting our jealousy of our fathers. Here is one in whom these primeval wishes of our childhood have been fulfilled, and we shrink back from him with the whole force of the repression by which those wishes have since that time been held down within us. While the poet, as he unravels the past, brings to light the guilt of Oedipus, he is at the same time compelling us to recognize our own inner minds, in which those same impulses, though suppressed, are still to be found. The contrast with which the closing Chorus leaves us confronted—

look upon Oedipus.  
This is the king who solved the famous riddle  
And towered up, most powerful of men.  
No mortal eyes but looked on him with envy,  
Yet in the end ruin swept over him.

—strikes as a warning at ourselves and our pride, at us who since our childhood have grown so wise and so mighty in our own eyes. Like Oedipus, we live in ignorance of these wishes, repugnant to morality, which have been forced upon us by Nature, and after their revelation we may all of us well seek to close our eyes to the scenes of our childhood.

From *The Interpretation of Dreams*

## Harold Bloom (b. 1930)

### Poetic Influence

1975

Let me reduce my argument to the hopelessly simplistic; poems, I am saying, are neither about “subjects” nor about “themselves.” They are necessarily about *other poems*; a poem is a response to a poem, as a poet is a response to a poet, or a person to his parent. Trying to write a poem takes the poet back to the origins of what a poem *first was* for him, and so takes the poet back beyond the pleasure principle to the decisive initial encounter and response that began him. We do not think of W. C. Williams as a Keatsian poet, yet he *began and ended as one*, and his late celebration of his Greeny Flower is another response to Keats’s odes. *Only a poet challenges a poet as poet*, and so only a poet makes a poet. To the poet-in-a-poet, a poem is always *the other man*, the precursor, and so a poem is always a person, always the father of one’s

*Die Ahnfrau: The Foremother*, a verse play by Franz Grillparzer (1791–1872), Austrian dramatist and poet.

Second Birth. To live, the poet must *misinterpret* the father, by the crucial act of misprision, which is the rewriting of the father.

But who, what is the poetic father? The voice of the other, of the *daimon*, is always speaking in one; the voice that cannot die because already it has survived death—the *dead poet lives in one*. In the last phase of strong poets, they attempt to join the undying *by living in the dead poets* who are already alive in them. This late Return of the Dead recalls us, as readers, to a recognition of the original motive for the catastrophe of poetic incarnation. Vico, who identified the origins of poetry with the impulse towards divination (to foretell, but also to become a god by foretelling), implicitly understood (as did Emerson, and Wordsworth) that a poem is written to escape dying. Literally, poems are refusals of mortality. Every poem therefore has two makers: the precursor, and the ephebe's rejected mortality.

A poet, I argue in consequence, is not so much a man speaking to men as a man rebelling against being spoken to by a dead man (the precursor) outrageously more alive than himself.

From *A Map of Misreading*

## Mythological Criticism

Mythological critics look for the recurrent universal patterns underlying most literary works. **Mythological criticism** is an interdisciplinary approach that combines the insights of anthropology, psychology, history, and comparative religion. If psychological criticism examines the artist as an individual, mythological criticism explores the artist's common humanity by tracing how the individual imagination uses symbols and situations—consciously or unconsciously—in ways that transcend its own historical milieu and resemble the mythology of other cultures or epochs.

A central concept in mythological criticism is the **archetype**, a symbol, character, situation, or image that evokes a deep universal response. The idea of the archetype came into literary criticism from the Swiss psychologist Carl Jung, a lifetime student of myth and religion. Jung believed that all individuals share a “collective unconscious,” a set of primal memories common to the human race, existing below each person's conscious mind. Archetypal images (which often relate to experiencing primordial phenomena like the sun, moon, fire, night, and blood), Jung believed, trigger the collective unconscious. We do not need to accept the literal truth of the collective unconscious, however, to endorse the archetype as a helpful critical concept. Northrop Frye defined the archetype in considerably less occult terms as “a symbol, usually an image, which recurs often enough in literature to be recognizable as an element of one's literary experience as a whole.”

Identifying archetypal symbols and situations in literary works, mythological critics almost inevitably link the individual text under discussion to a broader context of works that share an underlying pattern. In discussing Shakespeare's *Hamlet*, for instance, a mythological critic might relate Shakespeare's Danish prince to other mythic sons avenging the deaths of their fathers, like Orestes from Greek myth or Sig-mund of Norse legend; or, in discussing *Othello*, relate the sinister figure of Iago to the devil in traditional Christian belief. Critic Joseph Campbell took such comparisons even further; his compendious study *The Hero with a Thousand Faces* demonstrates how similar mythic characters appear in virtually every culture on every continent.

## Carl Jung (1875–1961)

### The Collective Unconscious and Archetypes

1931

TRANSLATED BY R. F. C. HULL

A more or less superficial layer of the unconscious is undoubtedly personal. I call it the *personal unconscious*. But this personal unconscious rests upon a deeper layer, which does not derive from personal experience and is not a personal acquisition but is inborn. This deeper layer I call the *collective unconscious*. I have chosen the term “collective” because this part of the unconscious is not individual but universal; in contrast to the personal psyche, it has contents and modes of behavior that are more or less the same everywhere and in all individuals. It is, in other words, identical in all men and thus constitutes a common psyche substrate of a suprapersonal nature which is present in every one of us.

Psychic existence can be recognized only by the presence of contents that are *capable of consciousness*. We can therefore speak of an unconscious only in so far as we are able to demonstrate its contents. The contents of the personal unconscious are chiefly the *feeling-toned complexes*, as they are called; they constitute the personal and private side of psychic life. The contents of the collective unconscious, on the other hand, are known as *archetypes*. . . .

For our purposes this term is apposite and helpful, because it tells us that so far as the collective unconscious contents are concerned we are dealing with archaic or—I would say—primordial types, that is, with universal images that have existed since the remotest times. The term “representations collectives,” used by Lévy-Bruhl to denote the symbolic figures in the primitive view of the world, could easily be applied to unconscious contents as well, since it means practically the same thing. Primitive tribal lore is concerned with archetypes that have been modified in a special way. They are no longer contents of the unconscious, but have already been changed into conscious formulae taught according to tradition, generally in the form of esoteric teaching. This last is a typical means of expression for the transmission of collective contents originally derived from the unconscious.

Another well-known expression of the archetypes is myth and fairy tale. But here too we are dealing with forms that have received a specific stamp and have been handed down through long periods of time. The term “archetype” thus applies only indirectly to the “representations collectives,” since it designates only those psychic contents which have not yet been submitted to conscious elaboration and are therefore an immediate datum of psychic experience. In this sense there is a considerable difference between the archetype and the historical formula that has evolved. Especially on the higher levels of esoteric teaching the archetypes appear in a form that reveals quite unmistakably the critical and evaluating influence of conscious elaboration. Their immediate manifestation, as we encounter it in dreams and visions, is much more individual, less understandable, and more naïve than in myths, for example. The archetype is essentially an unconscious content that is altered by becoming conscious and by being perceived, and it takes its color from the individual consciousness in which it happens to appear.

From *The Collected Works of C. G. Jung*

## Northrop Frye (1912–1991)

### Mythic Archetypes

1957

We begin our study of archetypes, then, with a world of myth, an abstract or purely literary world of fictional and thematic design, unaffected by canons of plausible adaptation to familiar experience. In terms of narrative, myth is the imitation of actions near or at the conceivable limits of desire. The gods enjoy beautiful women, fight one another with prodigious strength, comfort and assist man, or else watch his miseries from the height of their immortal freedom. The fact that myth operates at the top level of human desire does not mean that it necessarily presents its world as attained or attainable by human beings. . . .

Realism, or the art of verisimilitude, evokes the response “How like that is to what we know!” When what is written is *like* what is known, we have an art of extended or implied simile. And as realism is an art of implicit simile, myth is an art of implicit metaphorical identity. The word “sun-god,” with a hyphen used instead of a predicate, is a pure ideogram, in Pound’s terminology, or literal metaphor, in ours. In myth we see the structural principles of literature isolated; in realism we see the *same* structural principles (not similar ones) fitting into a context of plausibility. (Similarly in music, a piece by Purcell and a piece by Benjamin Britten may not be in the least *like* each other, but if they are both in D major their tonality will be the same.) The presence of a mythical structure in realistic fiction, however, poses certain technical problems for making it plausible, and the devices used in solving these problems may be given the general name of *displacement*.

Myth, then, is one extreme of literary design; naturalism is the other, and in between lies the whole area of romance, using that term to mean, not the historical mode of the first essay, but the tendency, noted later in the same essay, to displace myth in a human direction and yet, in contrast to “realism,” to conventionalize content in an idealized direction. The central principle of displacement is that what can be metaphorically identified in a myth can only be linked in romance by some form of simile: analogy, significant association, incidental accompanying imagery, and the like. In a myth we can have a sun-god or a tree-god; in a romance we may have a person who is significantly associated with the sun or trees.

From *Anatomy of Criticism*

## Sociological Criticism

**Sociological criticism** examines literature in the cultural, economic, and political context in which it is written or received. “Art is not created in a vacuum,” critic Wilbur Scott observed, “it is the work not simply of a person, but of an author fixed in time and space, answering a community of which he is an important, because articulate part.” Sociological criticism explores the relationships between the artist and society. Sometimes it looks at the sociological status of the author to evaluate how the profession of the writer in a particular milieu affected what was written. Sociological criticism also analyzes the social content of literary works—what cultural, economic, or political values a



particular text implicitly or explicitly promotes. Finally, sociological criticism examines the role the audience has in shaping literature. A sociological view of Shakespeare, for example, might look at the economic position of Elizabethan playwrights and actors; it might also study the political ideas expressed in the plays or discuss how the nature of an Elizabethan theatrical audience (which was usually all male unless the play was produced at court) helped determine the subject, tone, and language of the plays.

An influential type of sociological criticism has been Marxist criticism, which focuses on the economic and political elements of art. Marxist criticism, like the work of the Hungarian philosopher Georg Lukacs, often explores the ideological content of literature. Whereas a formalist critic would maintain that form and content are inextricably blended, Lukacs believed that content determines form and that, therefore, all art is political. Even if a work of art ignores political issues, it makes a political statement, Marxist critics believe, because it endorses the economic and political status quo. Consequently, Marxist criticism is frequently evaluative and judges some literary work better than others on an ideological basis; this tendency can lead to reductive judgment, as when Soviet critics rated Jack London a novelist superior to William Faulkner, Ernest Hemingway, Edith Wharton, and Henry James, because he illustrated the principles of class struggle more clearly. London was America's first major working-class writer. To examine the political ideas and observations found in his fiction can be illuminating, but to fault other authors for lacking his instincts and ideas is not necessarily helpful in understanding their particular qualities. There is always a danger in sociological criticism—Marxist or otherwise—of imposing the critic's personal politics on the work in question and then evaluating it according to how closely it endorses that ideology. As an analytical tool, however, Marxist criticism and sociological methods can illuminate political and economic dimensions of literature that other approaches overlook.

## Georg Lukacs (1885–1971)

### Content Determines Form

1962

What determines the style of a given work of art? How does the intention determine the form? (We are concerned here, of course, with the intention realized in the work; it need not coincide with the writer's conscious intention.) The distinctions that concern us are not those between stylistic "techniques" in the formalistic sense. It is the view of the world, the ideology or *Weltanschauung*<sup>o</sup> underlying a writer's work, that counts. And it is the writer's attempt to reproduce this view of the world which constitutes his "intention" and is the formative principle underlying the style of a given piece of writing. Looked at in this way, style ceases to be a formalistic category. Rather, it is rooted in content; it is the specific form of a specific content.

Content determines form. But there is no content of which Man himself is not the focal point. However various the *données*<sup>o</sup> of literature (a particular experience, a didactic purpose), the basic question is, and will remain: what is Man?

*Weltanschauung*: German for "world view," an outlook on life. *données* French for "given"; it means the materials a writer uses to create his or her work or the subject or purpose of a literary work.

Here is a point of division: if we put the question in abstract, philosophical terms, leaving aside all formal considerations, we arrive—for the realist school—at the traditional Aristotelian dictum (which was also reached by other than purely aesthetic considerations): Man is *zoon politikon*,<sup>o</sup> a social animal. The Aristotelian dictum is applicable to all great realistic literature. Achilles and Werther, Oedipus and Tom Jones, Antigone and Anna Karenina: their individual existence—their *Sein an sich*,<sup>o</sup> in the Hegelian terminology; their “ontological being,” as a more fashionable terminology has it—cannot be distinguished from their social and historical environment. Their human significance, their specific individuality cannot be separated from the context in which they were created.

From *Realism in Our Time*

## Alfred Kazin (1915–1998)

### Walt Whitman and Abraham Lincoln

1984

In Lincoln's lifetime Whitman was the only major writer to describe him with love. Whitman identified Lincoln with himself in the worshipful fashion that became standard after Lincoln's death. That Lincoln was a class issue says a good deal about the prejudices of American society in the East. A leading New Yorker, George Templeton Strong, noted in his diary that while he never disavowed the “lank and hard featured man,” Lincoln was “despised and rejected by a third of the community, and only tolerated by the other two-thirds.” Whitman the professional man of the people had complicated reasons for loving Lincoln. The uneasiness about him among America's elite was based on the fear that this unknown, untried man, elected without administrative experience (and without a majority) might not be up to his “fearful task.”

• • •

Whitman related himself to the popular passion released by war and gave himself to this passion as a political cause. He understood popular opinion in a way that Emerson, Thoreau, and Hawthorne did not attempt to understand it. Emerson said, like any conventional New England clergyman, that the war was holy. He could not speak for the masses who bore the brunt of the war. Whitman was able to get so much out of the war, to create a lasting image of it, because he knew what people were feeling. He was not above the battle like Thoreau and Hawthorne, not suspicious of the majority like his fellow New Yorker Herman Melville, who in “The House-top,” the most personal poem in *Battle-Pieces*, denounced the “ship-rats” who had taken over the city in the anti-draft riots of 1863.

Despite Whitman's elusiveness—he made a career out of longings it would have ended that career to fulfill—he genuinely felt at home with soldiers and other “ordinary” people who were inarticulate by the standards of men “from the schools.” He was always present, if far from available, presenting the picture of a nobly accessible and social creature. He certainly got on better with omnibus drivers, workingmen, and now “simple” soldiers (especially when they were wounded and open to his

*zoon politikon*: Greek for “political animal.” *Sein an sich*: the German philosopher G. W. F. Hegel's term for “pure existence.”

ministrations) than he did with “scribblers.” By the time Whitman went down after Fredericksburg to look for brother George, the war was becoming a revolution of sorts and Whitman’s old radical politics were becoming “the nation.” This made him adore Lincoln as the symbol of the nation’s unity. An essential quality of Whitman’s Civil War “memoranda” is Whitman’s libidinous urge to associate himself with the great, growing, ever more powerful federal cause. Whitman’s characteristic lifelong urge to join, to combine, to see life as movement, unity, totality, became during the Civil War an actively loving association with the broad masses of the people and *their* war. In his cult of the Civil War, Whitman allies himself with a heroic and creative energy which sees itself spreading out from the people and their representative men, Lincoln and Whitman.

Hawthorne’s and Thoreau’s horror of America as the Big State did not reflect Whitman’s image of the Union. His passion for the “cause” reflected his intense faith in democracy at a juncture when the United States at war represented the revolutionary principle to Marx, the young Ibsen, Mill, Browning, Tolstoy. Whitman’s deepest feeling was that his own rise from the city streets, his future as a poet of democracy, was tied up with the Northern armies.

From *An American Procession*

## Gender Criticism

**Gender criticism** examines how sexual identity influences the creation and reception of literary works. Gender studies began with the feminist movement and were influenced by such works as Simone de Beauvoir’s *The Second Sex* (1949) and Kate Millet’s *Sexual Politics* (1970) as well as sociology, psychology, and anthropology. Feminist critics believe that culture has been so completely dominated by men that literature is full of unexamined “male-produced” assumptions. They see their criticism correcting this imbalance by analyzing and combatting patriarchal attitudes. Feminist criticism has explored how an author’s gender influences—consciously or unconsciously—his or her writing. While a formalist critic like Allen Tate emphasized the universality of Emily Dickinson’s poetry by demonstrating how powerfully the language, imagery, and mythmaking of her poems combine to affect a generalized reader, Sandra M. Gilbert, a leading feminist critic, has identified attitudes and assumptions in Dickinson’s poetry that she believes are essentially female. Another important theme in feminist criticism is analyzing how sexual identity influences the reader of a text. If Tate’s hypothetical reader was deliberately sexless, Gilbert’s reader sees a text through the eyes of his or her sex. Finally, feminist critics carefully examine how the images of men and women in imaginative literature reflect or reject the social forces that have historically kept the sexes from achieving total equality.

Recently, gender criticism has expanded beyond its original feminist perspective. Critics have explored the impact of different sexual orientations on literary creation and reception. A men’s movement has also emerged in response to feminism, seeking not to reject feminism but to rediscover masculine identity in an authentic, contemporary way. Led by poet Robert Bly, the men’s movement has paid special attention to interpreting poetry and fables as myths of psychic growth and sexual identity.

**Elaine Showalter** (b. 1941)

Toward a Feminist Poetics

1979

Feminist criticism can be divided into two distinct varieties. The first type is concerned with *woman as reader*—with woman as the consumer of male-produced literature, and with the way in which the hypothesis of a female reader changes our apprehension of a given text, awakening us to the significance of its sexual codes. I shall call this kind of analysis the *feminist critique*, and like other kinds of critique it is a historically grounded inquiry which probes the ideological assumptions of literary phenomena. Its subjects include the images and stereotypes of women in literature, the omissions of and misconceptions about women in criticism, and the fissures in male-constructed literary history. It is also concerned with the exploitation and manipulation of the female audience, especially in popular culture and film; and with the analysis of woman-as-sign in semiotic systems. The second type of feminist criticism is concerned with *woman as writer*—with woman as the producer of textual meaning, with the history, themes, genres, and structures of literature by women. Its subjects include the psychodynamics of female creativity; linguistics and the problem of a female language; the trajectory of the individual or collective female literary career; literary history; and, of course, studies of particular writers and works. No term exists in English for such a specialized discourse, and so I have adapted the French term *la gynocritique*: “gynocritics” (although the significance of the male pseudonym in the history of women’s writing also suggested the term “georgics”).

The feminist critique is essentially political and polemical, with theoretical affiliations to Marxist sociology and aesthetics; gynocritics is more self-contained and experimental, with connections to other modes of new feminist research. In a dialogue between these two positions, Carolyn Heilbrun, the writer, and Catharine Stimpson, editor of the journal *Signs: Women in Culture and Society*, compare the feminist critique to the Old Testament, “looking for the sins and errors of the past,” and gynocritics to the New Testament, seeking “the grace of imagination.” Both kinds are necessary, they explain, for only the Jeremiahs of the feminist critique can lead us out of the “Egypt of female servitude” to the promised land of the feminist vision. That the discussion makes use of these Biblical metaphors points to the connections between feminist consciousness and conversion narratives which often appear in women’s literature; Carolyn Heilbrun comments on her own text, “When I talk about feminist criticism, I am amazed at how high a moral tone I take.”

From “Toward a Feminist Poetics”

**Sandra M. Gilbert** (b. 1936)  
**and Susan Gubar** (b. 1944)

The Freedom of Emily Dickinson

1985

[Emily Dickinson] defined herself as a *woman* writer, reading the works of female precursors with special care, attending to the implications of novels like Charlotte Brontë’s *Jane Eyre*, Emily Brontë’s *Wuthering Heights*, and George Eliot’s *Middlemarch* with the same absorbed delight that characterized her devotion to Elizabeth Barrett

Browning's *Aurora Leigh*. Finally, then, the key to her enigmatic identity as a "supposed person" who was called the "Myth of Amherst" may rest, not in investigations of her questionable romance, but in studies of her unquestionably serious reading as well as in analyses of her disquietingly powerful writing. Elliptically phrased, intensely compressed, her poems are more linguistically innovative than any other nineteenth-century verses, with the possible exception of some works by Walt Whitman and Gerard Manley Hopkins, her two most radical male contemporaries. Throughout her largely secret but always brilliant career, moreover, she confronted precisely the questions about the individual and society, time and death, flesh and spirit, that major precursors from Milton to Keats had faced. Dreaming of "Amplitude and Awe," she recorded sometimes vengeful, sometimes mystical visions of social and personal transformation in poems as inventively phrased and imaginatively constructed as any in the English language.

Clearly such accomplishments required not only extraordinary talent but also some measure of freedom. Yet because she was the unmarried daughter of conservative New Englanders, Dickinson was obliged to take on many household tasks; as a nineteenth-century New England wife, she would have had the same number of obligations, if not more. Some of these she performed with pleasure; in 1856, for instance, she was judge of a bread-baking contest, and in 1857 she won a prize in that contest. But as Higginson's "scholar," as a voracious reader and an ambitious writer, Dickinson had to win herself time for "Amplitude and Awe," and it is increasingly clear that she did so through a strategic withdrawal from her ordinary world. A story related by her niece Martha Dickinson Bianchi reveals that the poet herself knew from the first what both the price and the prize might be: on one occasion, said Mrs. Bianchi, Dickinson took her up to the room in which she regularly sequestered herself, and, mimicking locking herself in, "thumb and forefinger closed on an imaginary key," said "with a quick turn of her wrist, 'It's just a turn—and freedom, Matty!'"

In the freedom of her solitary, but not lonely, room, Dickinson may have become what her Amherst neighbors saw as a bewildering "myth." Yet there, too, she created myths of her own. Reading the Brontës and Barrett Browning, studying Transcendentalism and the Bible, she contrived a theology which is powerfully expressed in many of her poems. That it was at its most hopeful a female-centered theology is revealed in verses like those she wrote about the women artists she admired, as well as in more general works like her gravely pantheistic address to the "Sweet Mountains" who "tell me no lie," with its definition of the hills around Amherst as "strong Madonnas" and its description of the writer herself as "The Wayward Nun – beneath the Hill – / Whose service is to You – ." As Dickinson's admirer and descendant Adrienne Rich has accurately observed, this passionate poet consistently chose to confront her society—to "have it out"—"on her own premises."

From introduction to Emily Dickinson,  
*The Norton Anthology of Literature by Women*

## Reader-Response Criticism

**Reader-response criticism** attempts to describe what happens in the reader's mind while interpreting a text. If traditional criticism assumes that imaginative writing is a creative act, reader-response theory recognizes that reading is also a creative process.

Reader-response critics believe that no text provides self-contained meaning; literary texts do not exist independently of readers' interpretations. A text, according to this critical school, is not finished until it is read and interpreted. As Oscar Wilde remarked in the preface to his novel *The Picture of Dorian Gray* (1891), "It is the spectator, and not life that art really mirrors." The practical problem then arises, however, that no two individuals necessarily read a text in exactly the same way. Rather than declare one interpretation correct and the other mistaken, reader-response criticism recognizes the inevitable plurality of readings. Instead of trying to ignore or reconcile the contradictions inherent in this situation, it explores them.

The easiest way to explain reader-response criticism is to relate it to the common experience of rereading a favorite book after many years. Rereading a novel as an adult, for example, that "changed your life" as an adolescent, is often a shocking experience. The book may seem substantially different. The character you remembered liking most now seems less admirable, and another character you disliked now seems more sympathetic. Has the book changed? Very unlikely, but *you* certainly have in the intervening years. Reader-response criticism explores how the different individuals (or classes of individuals) see the same text differently. It emphasizes how religious, cultural, and social values affect readings; it also overlaps with gender criticism in exploring how men and women read the same text with different assumptions.

While reader-response criticism rejects the notion that there can be a single correct reading for a literary text, it doesn't consider all readings permissible. Each text creates limits to its possible interpretations. As Stanley Fish admits in the following critical selection, we cannot arbitrarily place an Eskimo in William Faulkner's story "A Rose for Emily" (though Professor Fish does ingeniously imagine a hypothetical situation where this bizarre interpretation might actually be possible).

### Stanley Fish (b. 1938)

#### An Eskimo "A Rose for Emily"

1980

The fact that it remains easy to think of a reading that most of us would dismiss out of hand does not mean that the text excludes it but that there is as yet no elaborated interpretive procedure for producing that text. . . . Norman Holland's analysis of Faulkner's "A Rose for Emily" is a case in point. Holland is arguing for a kind of psychoanalytic pluralism. The text, he declares, is "at most a matrix of psychological possibilities for its readers," but, he insists, "only some possibilities . . . truly fit the matrix": "One would not say, for example, that a reader of . . . 'A Rose for Emily' who thought the 'tableau' [of Emily and her father in the doorway] described an Eskimo was really responding to the story at all—only pursuing some mysterious inner exploration."

Holland is making two arguments: first, that anyone who proposes an Eskimo reading of "A Rose for Emily" will not find a hearing in the literary community. And that, I think, is right. ("We are right to rule out at least some readings.") His second argument is that the unacceptability of the Eskimo reading is a function of the text, of what he calls its "sharable promptuary," the public "store of structured language" that sets limits to the interpretations the words can accommodate. And that, I think, is wrong. The Eskimo reading is unacceptable because there is at present no interpretive

strategy for producing it, no way of “looking” or reading (and remember, all acts of looking or reading are “ways”) that would result in the emergence of obviously Eskimo meanings. This does not mean, however, that no such strategy could ever come into play, and it is not difficult to imagine the circumstances under which it would establish itself. One such circumstance would be the discovery of a letter in which Faulkner confides that he has always believed himself to be an Eskimo changeling. (The example is absurd only if one forgets Yeats’s *Vision* or Blake’s Swedenborgianism<sup>o</sup> or James Miller’s recent elaboration of a homosexual reading of *The Waste Land*.) Immediately the workers in the Faulkner industry would begin to reinterpret the canon in the light of this newly revealed “belief” and the work of reinterpretation would involve the elaboration of a symbolic or allusive system (not unlike mythological or typological criticism) whose application would immediately transform the text into one informed everywhere by Eskimo meanings. It might seem that I am admitting that there is a text to be transformed, but the object of transformation would be the text (or texts) given by whatever interpretive strategies the Eskimo strategy was in the process of dislodging or expanding. The result would be that whereas we now have a Freudian “A Rose for Emily,” a mythological “A Rose for Emily,” a Christological “A Rose for Emily,” a regional “A Rose for Emily,” a sociological “A Rose for Emily,” a linguistic “A Rose for Emily,” we would in addition have an Eskimo “A Rose for Emily,” existing in some relation of compatibility or incompatibility with the others.

Again the point is that while there are always mechanisms for ruling out readings, their source is not the text but the presently recognized interpretive strategies for producing the text. It follows, then, that no reading, however outlandish it might appear, is inherently an impossible one.

From *Is There a Text in This Class?*

## Robert Scholes (b. 1929)

### “How Do We Make a Poem?”

1982

Let us begin with one of the shortest poetic texts in the English language, “Elegy” by W. S. Merwin:

Who would I show it to

One line, one sentence, unpunctuated, but proclaimed an interrogative by its grammar and syntax—what makes it a poem? Certainly without its title it would not be a poem; but neither would the title alone constitute a poetic text. Nor do the two together simply make a poem by themselves. Given the title and the text, the *reader* is encouraged to make a poem. He is not forced to do so, but there is not much else he can do with this material, and certainly nothing else so rewarding. (I will use the masculine pronoun here to refer to the reader, not because all readers are male but because I am, and my hypothetical reader is not a pure construct but an idealized version of myself.)

How do we make a poem out of this text? There are only two things to work on, the title and the question posed by the single, colloquial line. The line is not simply colloquial, it is prosaic; with no words of more than one syllable, concluded by a

*Yeats’s Vision or Blake’s Swedenborgianism:* Irish poet William Butler Yeats and Swedish mystical writer Emanuel Swedenborg both claimed to have received revelations from the spirit world; some of Swedenborg’s ideas are embodied in the long poems of William Blake.

preposition, it is within the utterance range of every speaker of English. It is, in a sense, completely intelligible. But in another sense it is opaque, mysterious. Its three pronouns—who, I, it—pose problems of reference. Its conditional verb phrase—would . . . show to—poses a problem of situation. The context that would supply the information required to make that simple sentence meaningful as well as intelligible is not there. It must be supplied by the reader.

To make a poem of this text the reader must not only know English, he must know a poetic code as well: the code of the funeral elegy, as practiced in English from the Renaissance to the present time. The “words on the page” do not constitute a poetic “work,” complete and self-sufficient, but a “text,” a sketch or outline that must be completed by the active participation of a reader equipped with the right sort of information. In this case part of that information consists of an acquaintance with the elegiac tradition: its procedures, assumptions, devices, and values. One needs to know works like Milton’s “Lycidas,” Shelley’s “Adonais,” Tennyson’s “In Memoriam,” Whitman’s “When Lilacs Last in the Dooryard Bloomed,” Thomas’s “Refusal to Mourn the Death by Fire of a Child in London,” and so on, in order to “read” this simple poem properly. In fact, it could be argued that the more elegies one can bring to bear on a reading of this one, the better, richer poem this one becomes. I would go even further, suggesting that a knowledge of the critical tradition—of Dr. Johnson’s objections to “Lycidas,” for instance, or Wordsworth’s critique of poetic diction—will also enhance one’s reading of this poem. For the poem is, of course, an anti-elegy, a refusal not simply to mourn, but to write a sonorous, eloquent, mournful, but finally acquiescent, accepting—in a word, “elegiac”—poem at all.

Reading the poem involves, then, a special knowledge of its tradition. It also involves a special interpretive skill. The forms of the short, written poem as they have developed in English over the past few centuries can be usefully seen as compressed, truncated, or fragmented imitations of other verbal forms, especially the play, story, public oration, and personal essay. The reasons for this are too complicated for consideration here, but the fact will be apparent to all who reflect upon the matter. Our short poems are almost always elliptical versions of what can easily be conceived of as dramatic, narrative, oratorical, or meditative texts. Often, they are combinations of these and other modes of address. To take an obvious example, the dramatic monologue in the hands of Robert Browning is like a speech from a play (though usually more elongated than most such speeches). But to “read” such a monologue we must imagine the setting, the situation, the context, and so on. The dramatic monologue is “like” a play but gives us less information of certain sorts than a play would, requiring us to provide that information by decoding the clues in the monologue itself in the light of our understanding of the generic model. Most short poems work this way. They require both special knowledge and special skills to be “read.”

To understand “Elegy” we must construct a situation out of the clues provided. The “it” in “Who would I show it to” is of course the elegy itself. The “I” is the potential writer of the elegy. The “Who” is the audience for the poem. But the verb phrase “would . . . show to” indicates a condition contrary to fact. Who would I show it to *if I were to write it*? This implies in turn that for the potential elegiac poet there is one person whose appreciation means more than that of all the rest of the potential audience for the poem he might write, and it further implies that the death of this particular person is the one imagined in the poem. If this person were dead, the poet suggests, so would his inspiration be dead. With no one to write for, no poem would be forthcoming. This poem is not only a “refusal to mourn,” like that of Dylan Thomas, it is a refusal to elegize. The whole elegiac tradition, like its cousin the funeral



oration, turns finally away from mourning toward acceptance, revival, renewal, a return to the concerns of life, symbolized by the very writing of the poem. Life goes on; there is an audience; and the mourned person will live through accomplishments, influence, descendants, and also (not least) in the elegiac poem itself. Merwin rejects all that. *If I wrote an elegy for X, the person for whom I have always written, X would not be alive to read it; therefore, there is no reason to write an elegy for the one person in my life who most deserves one; therefore, there is no reason to write any elegy, anymore, ever. Finally, and of course, this poem called "Elegy" is not an elegy.*

*From Semiotics and Interpretation*

## Deconstructionist Criticism

**Deconstructionist criticism** rejects the traditional assumption that language can accurately represent reality. Language, according to deconstructionists, is a fundamentally unstable medium; consequently, literary texts, which are made up of words, have no fixed, single meaning. Deconstructionists insist, according to critic Paul de Man, on "the impossibility of making the actual expression coincide with what has to be expressed, of making the actual signs coincide with what is signified." Since they believe that literature cannot definitively express its subject matter, deconstructionists tend to shift their attention away from *what* is being said to *how* language is being used in a text.

Paradoxically, deconstructionist criticism often resembles formalist criticism; both methods usually involve close reading. But while a formalist usually tries to demonstrate how the diverse elements of a text cohere into meaning, the deconstructionist approach attempts to show how the text "deconstructs," that is, how it can be broken down—by a skeptical critic—into mutually irreconcilable positions. A biographical or historical critic might seek to establish the author's intention as a means to interpreting a literary work, but deconstructionists reject the notion that the critic should endorse the myth of authorial control over language. Deconstructionist critics like Roland Barthes and Michel Foucault have therefore called for "the death of the author," that is, the rejection of the assumption that the author, no matter how ingenious, can fully control the meaning of a text. They have also announced the death of literature as a special category of writing. In their view, poems and novels are merely words on a page that deserve no privileged status as art; all texts are created equal—equally untrustworthy, that is.

Deconstructionists focus on how language is used to achieve power. Since they believe, in the words of critic David Lehman, that "there are no truths, only rival interpretations," deconstructionists try to understand how some "interpretations" come to be regarded as truth. A major goal of deconstruction is to demonstrate how those supposed truths are at best provisional and at worst contradictory.

Deconstruction, as you may have inferred, calls for intellectual subtlety and skill. If you pursue your literary studies beyond the introductory stage, you will want to become more familiar with its assumptions. Deconstruction may strike you as a negative, even destructive, critical approach, and yet its best practitioners are adept at exposing the inadequacy of much conventional criticism. By patient analysis, they can sometimes open up the most familiar text and find unexpected significance.

**Roland Barthes** (1915–1980)**The Death of the Author**

1968

TRANSLATED BY STEPHEN HEATH

Succeeding the Author, the scriptor no longer bears within him passions, humours, feelings, impressions, but rather this immense dictionary from which he draws a writing that can know no halt: life never does more than imitate the book, and the book itself is only a tissue of signs, an imitation that is lost, infinitely deferred.

Once the Author is removed, the claim to decipher a text becomes quite futile. To give a text an Author is to impose a limit on that text, to furnish it with a final signified, to close the writing. Such a conception suits criticism very well, the latter then allotting itself the important task of discovering the Author (or its hypostases: society, history, psyché, liberty) beneath the work: when the Author has been found, the text is “explained”—victory to the critic. Hence there is no surprise in the fact that, historically, the reign of the Author has also been that of the Critic, nor again in the fact that criticism (be it new) is today undermined along with the Author. In the multiplicity of writing, everything is to be *disentangled*, nothing *deciphered*; the structure can be followed, “run” (like the thread of a stocking) at every point and at every level, but there is nothing beneath: the space of writing is to be ranged over, not pierced; writing ceaselessly posits meaning ceaselessly to evaporate it, carrying out a systematic exemption of meaning. In precisely this way literature (it would be better from now on to say *writing*), by refusing to assign a “secret,” an ultimate meaning, to the text (and to the world as text), liberates what may be called an anti-theological activity, an activity that is truly revolutionary since to refuse to fix meaning is, in the end, to refuse God and his hypostases—reason, science, law.

From “The Death of the Author”

**Geoffrey Hartman** (b. 1929)**On Wordsworth’s “A Slumber Did My Spirit Seal”**

1987

Take Wordsworth’s well-known lyric of eight lines, one of the “Lucy” poems, which has been explicated so many times without its meaning being fully determined:

A slumber did my spirit seal;  
 I had no human fears:  
 She seemed a thing that could not feel  
 The touch of earthly years.

No motion has she now, no force;  
 She neither hears nor sees;  
 Rolled round in earth’s diurnal course,  
 With rocks, and stones, and trees.

It does not matter whether you interpret the second stanza (especially its last line) as tending toward affirmation, or resignation, or a grief verging on bitterness. The tonal

assignment of one rather than another possible meaning, to repeat Susanne Langer<sup>o</sup> on musical form, is curiously open or beside the point. Yet the lyric does not quite support Langer's general position, that "Articulation is its life, but not assertion," because the poem is composed of a series of short and definitive statements, very like assertions. You could still claim that the poem's life is not in the assertions but somewhere else: but where then? What would articulation mean in that case? Articulation is not anti-assertive here; indeed the sense of closure is so strong that it thematizes itself in the very first line.

Nevertheless, is not the harmony or aesthetic effect of the poem greater than this local conciseness; is not the sense of closure broader and deeper than our admiration for a perfect technical construct? The poem is surely something else than a fine box, a well-wrought coffin.

That it is a kind of epitaph is relevant, of course. We recognize, even if genre is not insisted on, that Wordsworth's style is laconic, even lapidary. There may be a mimetic or formal motive related to the ideal of epitaphic poetry. But the motive may also be, in a precise way, meta-epitaphic. The poem, first of all, marks the closure of a life that has never opened up: Lucy is likened in other poems to a hidden flower or the evening star. Setting overshadows rising, and her mode of existence is inherently inward, westering. I will suppose then, that Wordsworth was at some level giving expression to the traditional epitaphic wish: Let the earth rest lightly on the deceased. If so, his conversion of this epitaphic formula is so complete that to trace the process of conversion might seem gratuitous. The formula, a trite if deeply grounded figure of speech, has been catalyzed out of existence. Here it is formula itself, or better, the adjusted words of the mourner that lie lightly on the girl and everyone who is a mourner.

I come back, then, to the "aesthetic" sense of a burden lifted, rather than denied. A heavy element is made lighter. One may still feel that the term "elation" is inappropriate in this context; yet elation is, as a mood, the very subject of the first stanza. For the mood described is love or desire when it *eternizes* the loved person, when it makes her a star-like being that "could not feel / The touch of earthly years." This *naïve* elation, this spontaneous movement of the spirit upward, is reversed in the downturn or catastrophe of the second stanza. Yet this stanza does not close out the illusion; it preserves it within the elegaic form. The illusion is elated, in our use of the word: *aufgehoben*<sup>o</sup> seems the proper term. For the girl is still, and all the more, what she seemed to be: beyond touch, like a star, if the earth in its daily motion is a planetary and erring rather than a fixed star, and if all on this star of earth must partake of its sublunar, mortal, temporal nature.

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To sum up: In Wordsworth's lyric the specific gravity of words is weighed in the balance of each stanza; and this balance is as much a judgment on speech in the context of our mortality as it is a meaningful response to the individual death. At the limit of the medium of words, and close to silence, what has been purged is not concreteness, or the empirical sphere of the emotions—shock, disillusion, trauma, recognition, grief, atonement—what has been purged is a series of flashy schematisms and false or partial mediations: artificial plot, inflated consolatory rhetoric, the coercive absolutes of logic or faith.

From "Elation in Hegel and Wordsworth"

*Susanne Langer*: Langer (1895–1985) was an American philosopher who discussed the relationship between aesthetics and artistic form. *aufgehoben*: German for "taken up" or "lifted up," but this term can also mean "canceled" or "nullified." Hartman uses the term for its double meaning.

## Cultural Studies

Unlike the other critical approaches discussed in this chapter, cultural criticism (or **cultural studies**) does not offer a single way of analyzing literature. No central methodology is associated with cultural studies. Nor is cultural criticism solely, or even mainly, concerned with literary texts in the conventional sense. Instead, the term *cultural studies* refers to a relatively recent interdisciplinary field of academic inquiry. This field borrows methodologies from other approaches to analyze a wide range of cultural products and practice.

To understand cultural studies, it helps to know a bit about its origins. In the English-speaking world, the field was first defined at the Centre for Contemporary Cultural Studies of Birmingham University in Britain. Founded in 1964, this graduate program tried to expand the range of literary study beyond traditional approaches to canonic literature in order to explore a broader spectrum of historical, cultural, and political issues. The most influential teacher at the Birmingham Centre was Raymond Williams (1921–1983), a Welsh socialist with wide intellectual interests. Williams argued that scholars should not study culture as a canon of great works by individual artists but rather examine it as an evolutionary process that involves the entire society. “We cannot separate literature and art,” Williams said, “from other kinds of social practice.” The cultural critic, therefore, does not study fixed aesthetic objects as much as dynamic social processes. The critic’s challenge is to identify and understand the complex forms and effects of the process of culture.

A Marxist intellectual, Williams called his approach cultural materialism (a reference to the Marxist doctrine of dialectical materialism), but later scholars soon discarded that name for two broader and more neutral terms, cultural criticism and cultural studies. From the start, this interdisciplinary field relied heavily on literary theory, especially Marxist and feminist criticism. It also employed the documentary techniques of historical criticism combined with political analysis focused on issues of social class, race, and gender. (This approach flourished in the United States, where it is called New Historicism.) Cultural studies is also deeply antiformalist, since the field concerns itself with investigating the complex relationship among history, politics, and literature. Cultural studies rejects the notion that literature exists in an aesthetic realm separate from ethical and political categories.

A chief goal of cultural studies is to understand the nature of social power as reflected in “texts.” For example, if the object of analysis were a sonnet by Shakespeare, the cultural studies adherent might investigate the moral, psychological, and political assumptions reflected in the poem and then deconstruct them to see what individuals, social classes, or gender might benefit from having those assumptions perceived as true. The relevant mission of cultural studies is to identify both the overt and covert values reflected in a cultural practice. The cultural studies critic also tries to trace out and understand the structures of meaning that hold those assumptions in place and give them the appearance of objective representation. Any analytical technique that helps illuminate these issues is employed.

In theory, a cultural studies critic might employ any methodology. In practice, however, he or she will most often borrow concepts from deconstruction, Marxist analysis, gender criticism, race theory, and psychology. Each of these earlier methodologies provides particular analytical tools that cultural critics find useful. What cultural studies borrows from deconstructionism is its emphasis on uncovering conflict, dissent, and contradiction in the works under analysis. Whereas traditional critical approaches often sought to demonstrate the unity of a literary work, cultural studies often

seeks to portray social, political, and psychological conflicts it masks. What cultural studies borrows from Marxist analysis is an attention to the ongoing struggle between social classes, each seeking economic (and therefore political) advantage. Cultural studies often asks questions about what social class created a work of art and what class (or classes) served as its audience. Among the many things that cultural studies borrowed from gender criticism and race theory is a concern with social inequality between the sexes and races. It seeks to investigate how these inequities have been reflected in the texts of a historical period or a society. Cultural studies is, above all, a political enterprise that views literary analysis as a means of furthering social justice.

Since cultural studies does not adhere to any single methodology (or even a consistent set of methodologies), it is impossible to characterize the field briefly, because there are exceptions to every generalization offered. What one sees most clearly are characteristic tendencies, especially the commitment to examining issues of class, race, and gender. There is also the insistence on expanding the focus of critical inquiry beyond traditional high literary culture. British cultural studies guru Anthony Easthope can, for example, analyze with equal aplomb Gerard Manley Hopkins's "The Windhover," Edgar Rice Burrough's *Tarzan of the Apes*, a Benson and Hedges's cigarette advertisement, and Sean Connery's eyebrows. Cultural studies is infamous—even among its practitioners—for its habitual use of literary jargon. It is also notorious for its complex intellectual analysis of mundane materials such as Easthope's analysis of a cigarette ad, which may be interesting in its own right but remote from most readers' literary experience. Some scholars, such as Camille Paglia, however, use the principles of cultural studies to provide new social, political, and historical insights on canonic texts such as William Blake's "The Chimney Sweeper." Omnivorous, iconoclastic, and relentlessly analytic, cultural criticism has become a major presence in contemporary literary studies.

## Mark Bauerlein (b. 1959)

### What Is Cultural Studies?

1997

Traditionally, disciplines naturally fell into acknowledged subdivisions, for example, as literary criticism broke up into formalist literary criticism, philological criticism, narratological analysis, and other methodologically distinguished pursuits, all of which remained comfortably within the category "literary criticism." But cultural studies eschews such institutional disjunctions and will not let any straitening adjective precede the "cultural studies" heading. There is no distinct formalist cultural studies or historicist cultural studies, but only cultural studies. (Feminist cultural studies may be one exception.) Cultural studies is a field that will not be parceled out to the available disciplines. It spans culture at large, not this or that institutionally separated element of culture. To guarantee this transcendence of disciplinary institutions, cultural studies must select a name for itself that has no specificity, that has too great an extension to mark off any expedient boundaries for itself. "Cultural studies" serves well because, apart from distinguishing between "physical science" and "cultural analysis," the term provides no indication of where any other boundaries lie.

This is exactly the point. To blur disciplinary boundaries and frustrate the intellectual investments that go along with them is a fundamental motive for cultural studies practice, one that justifies the vagueness of the titular term. This explains why

the related label “cultural criticism,” so much in vogue in 1988, has declined. The term “criticism” has a narrower extension than does “studies,” ruling out some empirical forms of inquiry (like field work) that “studies” admits. “Studies” preserves a methodological openness that “criticism” closes. Since such closures have suspect political intentions behind them, cultural studies maintains its institutional purity by disdaining disciplinary identity and methodological uniformity.

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A single approach will miss too much, will overlook important aspects of culture not perceptible to that particular angle of vision. A multitude of approaches will pick up an insight here and a piece of knowledge there and more of culture will enter into the inquiry. A diversity of methods will match the diversity of culture, thereby sheltering the true nature of culture from the reductive appropriations of formal disciplines.

But how do cultural critics bring all these methods together into a coherent inquiry? Are there any established rules of incorporating “important insights and knowledge” coming out of different methods into a coherent scholarly project of cultural studies? How might a scholar use both phonemic analysis and deconstruction in a single inquiry when deconstructionist arguments call into question the basic premises of phonetics? What scholar has the competence to handle materials from so many disciplines in a rigorous and knowing manner? Does cultural criticism as a “studies” practice offer any transdisciplinary evaluative standards to apply to individual pieces of cultural criticism? If not, if there is no clear methodological procedures or evaluative principles in cultural studies, it is hard to see how one might popularize it, teach it, make it into a recognized scholarly activity. In practical terms, one does not know how to communicate it to others or show students how to do it when it assumes so many different methodological forms. How does one create an academic department out of an outspokenly antidisciplinary practice? What criteria can faculty members jointly invoke when they are trying to make curricular and personnel decisions?

Once again, this is precisely the point. One reason for the generality of the term is to render such institutional questions unanswerable. Cultural studies practice mingles methods from a variety of fields, jumps from one cultural subject matter to another, simultaneously proclaims superiority to other institutionalized inquiries (on a correspondence to culture basis) and renounces its own institutionalization—gestures that strategically forestall disciplinary standards being applied to it. By studying culture in heterogenous ways, by clumping texts, events, persons, objects, and ideologies into a cultural whole (which, cultural critics say, is reality) and bringing a melange of logical argument, speculative propositions, empirical data, and political outlooks to bear upon it, cultural critics invent a new kind of investigation immune to methodological attack.

From *Literary Criticism: An Autopsy*

**Camille Paglia** (b. 1947)

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### A Reading of William Blake’s “The Chimney Sweeper”

2005

Romantic writers glorified childhood as a state of innocence. Blake’s “The Chimney Sweeper,” written in the same year as the French Revolution, combines the Romantic cult of the child with the new radical politics, which can both be traced to social

thinker Jean-Jacques Rousseau. It is the boy sweep, rather than Blake, who speaks: he acts as the poet's dramatic persona or mask. There is no anger in his tale. On the contrary, the sweep's gentle acceptance of his miserable life makes his exploitation seem all the more atrocious. Blake shifts responsibility for protest onto us.

The poem begins as autobiography, a favorite Romantic genre. Having lost his mother, his natural protector, the small child was "sold" into slavery by his father (1–2). That is, he was apprenticed to a chimney-sweeping firm whose young teams would probably have worked simply for food, lodging, and clothing—basics that the boy's widowed working-class father might well have been unable to provide for his family. Children, soberly garbed in practical black, were used for chimney sweeping because they could wriggle into narrow, cramped spaces. The health risks of this filthy job were many—deformation of a boy's growing skeleton as well as long-term toxic effects from coal dust, now known to be carcinogenic. Chronic throat and lung problems as well as skin irritation must have been common. (Among the specimens floating in formaldehyde at Philadelphia's Mütter Museum, a nineteenth-century medical collection, is a chimney sweep's foot deformed by a bulbous tumor on the instep.)

Blake's sweep was so young when indentured into service that, he admits, he still lisped (2–3). The hawking of products and services by itinerant street vendors was once a lively, raucous feature of urban life. "Sweep, sweep, sweep!" cried the wandering crews seeking a day's employment. But this tiny boy couldn't even form the word: "Weep weep weep weep!" is how it came out—inadvertently sending a damning message to the oblivious world. It's really the thundering indictment of Blake as poet-prophet: Weep, you callous society that enslaves and murders its young; weep for yourself and your defenseless victims.

"So your chimneys I sweep & in soot I sleep": this singsong, matter-of-fact line implicates the reader in the poem's crimes—a confrontational device ordinarily associated with ironically self-conscious writers like Baudelaire (4). The boy may be peacefully resigned to the horror of his everyday reality, but we, locked in our own routines and distanced by genteel book reading, are forced to face our collective indifference. The boy represents the invisible army of manual laborers, charwomen, and janitors who do our dirty work. Scrubbing the infernal warren of brick and stone tunnels, he absorbs soot (symbolizing social sin) into his own skin and clothes, while we stay neat and clean.

The anonymous sweep—made faceless by his role—chatters cheerfully away about his friend "little Tom Dacre," whom he has taken under his wing (5). In this moral vacuum, where parents and caretakers are absent or negligent, the children must nurture each other. When the newcomer's curly hair was shaved off (to keep it from catching fire from live coals), he cried at his disfigurement, experienced as loss of self. Head shaving is a familiar initiatory practice in military and religious settings to reduce individuality and enforce group norms. To soothe little Tom, the solicitous sweep resorts to consolation of pitiful illogic: "When your head's bare, / You know that the soot cannot spoil your white hair" (7–8). That's like saying, "Good thing you lost your leg—now you'll never stub your toe!" Tom's white (that is, blond) "lambs back" hair represents the innocence of the Christlike sacrificial lamb: children, according to Blake, have become scapegoats for society's amorality and greed (6). Their white hair seems unnatural, as if the boys have been vaulted forward to old age without enjoying the freedoms and satisfactions of virile adulthood. For modern readers,

the bald children's caged sameness is disturbingly reminiscent of that of emaciated survivors of Nazi concentration camps, where liberation was met with blank stoicism.

Amazingly, the sweep's desperate reassurance works: Tom goes "quiet," and for the next three stanzas, the whole center of the text, we enter his dreams (9–20). The poem seems to crack open in an ecstatic allegory of rebirth: the children of industrial London escape by the "thousands" from a living death, the locked "coffins of black" that are their soot-stained bodies as well as the chimneys where they spend their days (11–12). Alas, Tom's vision of paradise is nothing more than a simple, playful childhood—the birthright that was robbed from them. The poem overflows with the boys' repressed energy and vitality, as "leaping, laughing they run" across the "green plain" of nature, then plunge into the purifying "river." Bathed "white," they "shine" with their own inner light, bright as the "Sun" (15–18).

But something goes terribly wrong. The "Angel" with the "bright key" who was their liberator inexplicably turns oppressor (13, 19). As the sweeps "rise upon clouds" toward heaven and "sport in the wind" like prankish cherubs casting off their burdens (the "bags" of brushes and collected soot), an officiously moralistic voice cuts into the dream and terminates it: "And the Angel told Tom, if he'd be a good boy, / He'd have God for his father & never want joy" (17–20). That Tom wakes right up suggests that the voice actually belongs to the boss or overseer, briskly rousing his charges before dawn. The angel's homily, heavy with conventional piety, stops the children's fun and free motion dead: If you'll be good boys—that is, do what we say—you'll win God's approval and find your reward in heaven. (In British English, to "never want joy" means never to *lack* it.) But God is another false father in this poem.

The trusting, optimistic children grab their bags and brushes and get right to work in the "cold" and "dark" (21–23). They want to do right, and their spirit is unquenched. But they've been brainwashed into pliability by manipulative maxims such as the one recited by our first sweep in the ominous last line: "So if all do their duty, they need not fear harm" (24). This bromide is an outrageous lie. If the children were to rebel, to run away to the green paradise lying just outside the city, they would be safe. Their naive goodwill leads straight to their ruin—a short, limited life of sickness and toil. The final stanza's off rhymes ("dark"/"work," "warm"/"harm") subtly unbalance us and make us sense the fractures in the sweep's world. The poem shows him betrayed by an ascending row of duplicitous male authority figures—his father, the profiteering boss, the turncoat angel, and God himself, who tacitly endorses or tolerates an unjust social system. As Tom's dream suggests, the only deliverance for the sweep and his friends will be death.

From *Break, Blow, Burn*



