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# ALGEBRA

# **Arithmetic Operations**

a(b+c) = ab + ac	$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$
$\frac{a+c}{b} = \frac{a}{b} + \frac{c}{b}$	$\frac{\frac{a}{b}}{\frac{c}{d}} = \frac{a}{b} \times \frac{d}{c} = \frac{ad}{bc}$

# **Exponents and Radicals**

$x^m x^n = x^{m+n}$	$\frac{x^m}{x^n} = x^{m-n}$
$(x^m)^n = x^{mn}$	$x^{-n} = \frac{1}{x^n}$
$(xy)^n = x^n y^n$	$\left(\frac{x}{y}\right)^n = \frac{x^n}{y^n}$
$x^{1/n} = \sqrt[n]{x}$	$x^{m/n} = \sqrt[n]{x^m} = \left(\sqrt[n]{x}\right)^m$
$\sqrt[n]{xy} = \sqrt[n]{x}\sqrt[n]{y}$	$\sqrt[n]{\frac{x}{y}} = \frac{\sqrt[n]{x}}{\sqrt[n]{y}}$

# **Factoring Special Polynomials**

 $x^{2} - y^{2} = (x + y)(x - y)$  $x^{3} + y^{3} = (x + y)(x^{2} - xy + y^{2})$  $x^{3} - y^{3} = (x - y)(x^{2} + xy + y^{2})$ 

# **Binomial Theorem**

$$(x + y)^{2} = x^{2} + 2xy + y^{2} \qquad (x - y)^{2} = x^{2} - 2xy + y^{2}$$
$$(x + y)^{3} = x^{3} + 3x^{2}y + 3xy^{2} + y^{3}$$
$$(x - y)^{3} = x^{3} - 3x^{2}y + 3xy^{2} - y^{3}$$
$$(x + y)^{n} = x^{n} + nx^{n-1}y + \frac{n(n-1)}{2}x^{n-2}y^{2}$$
$$+ \dots + \binom{n}{k}x^{n-k}y^{k} + \dots + nxy^{n-1} + y^{n}$$
where  $\binom{n}{k} = \frac{n(n-1)\dots(n-k+1)}{1\cdot 2\cdot 3\cdot \dots \cdot k}$ 

# **Quadratic Formula**

If 
$$ax^2 + bx + c = 0$$
, then  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$ .

# **Inequalities and Absolute Value**

If a < b and b < c, then a < c. If a < b, then a + c < b + c. If a < b and c > 0, then ca < cb. If a < b and c < 0, then ca > cb. If a > 0, then |x| = a means x = a or x = -a|x| < a means -a < x < a|x| > a means x > a or x < -a

# GEOMETRY

# **Geometric Formulas**

Formulas for area A, circumference C, and volume V:

Triangle  $A = \frac{1}{2}bh$  $=\frac{1}{2}ab\sin\theta$ 

 $A = \pi r^2$ 

 $C = 2\pi r$ 

Circle

h

 $s = r\theta$  ( $\theta$  in radians)

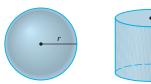
Sector of Circle  $A = \frac{1}{2}r^2\theta$ 

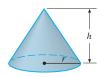
Sphere  $V = \frac{4}{3}\pi r^{3}$ 

 $A = 4\pi r^2$ 









# **Distance and Midpoint Formulas**

Distance between  $P_1(x_1, y_1)$  and  $P_2(x_2, y_2)$ :

$$d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}$$
  
Midpoint of  $\overline{P_1P_2}$ :  $\left(\frac{x_1 + x_2}{2}, \frac{y_1 + y_2}{2}\right)$ 

#### Lines

Slope of line through  $P_1(x_1, y_1)$  and  $P_2(x_2, y_2)$ :

$$m = \frac{y_2 - y_1}{x_2 - x_1}$$

Point-slope equation of line through  $P_1(x_1, y_1)$  with slope *m*:

$$y - y_1 = m(x - x_1)$$

Slope-intercept equation of line with slope *m* and *y*-intercept *b*:

$$y = mx + b$$

# **Circles**

Equation of the circle with center (h, k) and radius r:

$$(x - h)^2 + (y - k)^2 = r^2$$

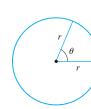
### TRIGONOMETRY

#### **Angle Measurement**

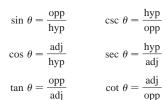
 $\pi$  radians = 180°

 $s = r\theta$  $(\theta \text{ in radians})$ 

$$1^\circ = \frac{\pi}{180} \operatorname{rad} \qquad 1 \operatorname{rad} = \frac{180^\circ}{\pi}$$







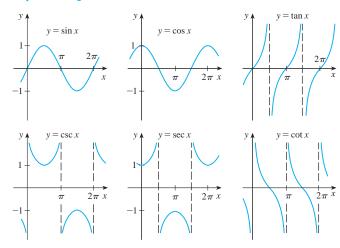






$\sin \theta = \frac{y}{r}$	$\csc \ \theta = \frac{r}{y}$	у 🖌
$\cos \theta = \frac{x}{r}$	$\sec \theta = \frac{r}{x}$	r (x, y)
$\tan \theta = \frac{y}{x}$	$\cot \theta = \frac{x}{y}$	θ

### **Graphs of Trigonometric Functions**

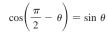


#### **Trigonometric Functions of Important Angles**

$\theta$	radians	$\sin \theta$	$\cos \theta$	$\tan \theta$
$0^{\circ}$	0	0	1	0
30°	$\pi/6$	1/2	$\sqrt{3}/2$	$\sqrt{3}/3$
$45^{\circ}$	$\pi/4$	$\sqrt{2}/2$	$\sqrt{2}/2$	1
$60^{\circ}$	$\pi/3$	$\sqrt{3}/2$	1/2	$\sqrt{3}$
90°	$\pi/2$	1	0	—

#### **Fundamental Identities**

i unuumontui iuontitto
$\csc \theta = \frac{1}{\sin \theta}$
$\tan \theta = \frac{\sin \theta}{\cos \theta}$
$\cot \theta = \frac{1}{\tan \theta}$
$1 + \tan^2\theta = \sec^2\theta$
$\sin(-\theta) = -\sin\theta$
$\tan(-\theta) = -\tan\theta$



#### **The Law of Sines**

 $\frac{\sin A}{a} = \frac{\sin B}{b} = \frac{\sin C}{c}$ 

#### **The Law of Cosines**

 $a^2 = b^2 + c^2 - 2bc \cos A$  $b^2 = a^2 + c^2 - 2ac\cos B$  $c^2 = a^2 + b^2 - 2ab\cos C$ 

# **Addition and Subtraction Formulas**

 $\sin(x + y) = \sin x \cos y + \cos x \sin y$  $\sin(x - y) = \sin x \cos y - \cos x \sin y$  $\cos(x + y) = \cos x \, \cos y - \sin x \, \sin y$  $\cos(x - y) = \cos x \, \cos y + \sin x \, \sin y$  $\tan(x+y) = \frac{\tan x + \tan y}{1 - \tan x \tan y}$  $\tan(x - y) = \frac{\tan x - \tan y}{1 + \tan x \tan y}$ 

#### **Double-Angle Formulas**

 $\sin 2x = 2 \sin x \cos x$  $\cos 2x = \cos^2 x - \sin^2 x = 2\cos^2 x - 1 = 1 - 2\sin^2 x$  $\tan 2x = \frac{2\tan x}{1 - \tan^2 x}$ 

#### **Half-Angle Formulas**

$$\sin^2 x = \frac{1 - \cos 2x}{2} \qquad \cos^2 x = \frac{1 + \cos 2x}{2}$$

$$\sin\left(\frac{\pi}{2} - \theta\right) = \cos \theta$$
$$\tan\left(\frac{\pi}{2} - \theta\right) = \cot \theta$$

Α

 $\sec \theta = \frac{1}{\cos \theta}$ 

 $\cot \theta = \frac{\cos \theta}{\sin \theta}$ 

 $\sin^2\theta + \cos^2\theta = 1$ 

 $1 + \cot^2 \theta = \csc^2 \theta$ 

 $\cos(-\theta) = \cos\,\theta$ 

# CALCULUS

# SEVENTH EDITION

# JAMES STEWART

McMASTER UNIVERSITY AND UNIVERSITY OF TORONTO



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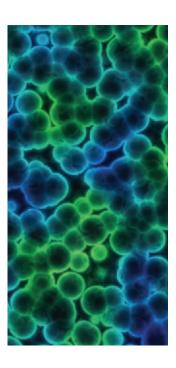
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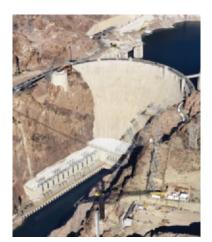
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# **Preface**

A great discovery solves a great problem but there is a grain of discovery in the solution of any problem. Your problem may be modest; but if it challenges your curiosity and brings into play your inventive faculties, and if you solve it by your own means, you may experience the tension and enjoy the triumph of discovery.

The art of teaching, Mark Van Doren said, is the art of assisting discovery. I have tried to write a book that assists students in discovering calculus—both for its practical power and its surprising beauty. In this edition, as in the first six editions, I aim to convey to the student a sense of the utility of calculus and develop technical competence, but I also strive to give some appreciation for the intrinsic beauty of the subject. Newton undoubtedly experienced a sense of triumph when he made his great discoveries. I want students to share some of that excitement.

The emphasis is on understanding concepts. I think that nearly everybody agrees that this should be the primary goal of calculus instruction. In fact, the impetus for the current calculus reform movement came from the Tulane Conference in 1986, which formulated as their first recommendation:

#### Focus on conceptual understanding.

I have tried to implement this goal through the *Rule of Three*: "Topics should be presented geometrically, numerically, and algebraically." Visualization, numerical and graphical experimentation, and other approaches have changed how we teach conceptual reasoning in fundamental ways. The Rule of Three has been expanded to become the *Rule of Four* by emphasizing the verbal, or descriptive, point of view as well.

In writing the seventh edition my premise has been that it is possible to achieve conceptual understanding and still retain the best traditions of traditional calculus. The book contains elements of reform, but within the context of a traditional curriculum.

# **Alternative Versions**

I have written several other calculus textbooks that might be preferable for some instructors. Most of them also come in single variable and multivariable versions.

- Calculus, Seventh Edition, Hybrid Version, is similar to the present textbook in content and coverage except that all end-of-section exercises are available only in Enhanced WebAssign. The printed text includes all end-of-chapter review material.
- Calculus: Early Transcendentals, Seventh Edition, is similar to the present textbook except that the exponential, logarithmic, and inverse trigonometric functions are covered in the first semester.

- Calculus: Early Transcendentals, Seventh Edition, Hybrid Version, is similar to Calculus: Early Transcendentals, Seventh Edition, in content and coverage except that all end-of-section exercises are available only in Enhanced WebAssign. The printed text includes all end-of-chapter review material.
- *Essential Calculus* is a much briefer book (800 pages), though it contains almost all of the topics in *Calculus*, Seventh Edition. The relative brevity is achieved through briefer exposition of some topics and putting some features on the website.
- *Essential Calculus: Early Transcendentals* resembles *Essential Calculus*, but the exponential, logarithmic, and inverse trigonometric functions are covered in Chapter 3.
- Calculus: Concepts and Contexts, Fourth Edition, emphasizes conceptual understanding even more strongly than this book. The coverage of topics is not encyclopedic and the material on transcendental functions and on parametric equations is woven throughout the book instead of being treated in separate chapters.
- *Calculus: Early Vectors* introduces vectors and vector functions in the first semester and integrates them throughout the book. It is suitable for students taking Engineering and Physics courses concurrently with calculus.
- *Brief Applied Calculus* is intended for students in business, the social sciences, and the life sciences.

# What's New in the Seventh Edition?

The changes have resulted from talking with my colleagues and students at the University of Toronto and from reading journals, as well as suggestions from users and reviewers. Here are some of the many improvements that I've incorporated into this edition:

- Some material has been rewritten for greater clarity or for better motivation. See, for instance, the introduction to maximum and minimum values on page 198, the introduction to series on page 727, and the motivation for the cross product on page 832.
- New examples have been added (see Example 4 on page 1045 for instance). And the solutions to some of the existing examples have been amplified. A case in point: I added details to the solution of Example 1.6.11 because when I taught Section 1.6 from the sixth edition I realized that students need more guidance when setting up inequalities for the Squeeze Theorem.
- Chapter 1, *Functions and Limits*, consists of most of the material from Chapters 1 and 2 of the sixth edition. The section on Graphing Calculators and Computers is now Appendix G.
- The art program has been revamped: New figures have been incorporated and a substantial percentage of the existing figures have been redrawn.
- The data in examples and exercises have been updated to be more timely.
- Three new projects have been added: *The Gini Index* (page 351) explores how to measure income distribution among inhabitants of a given country and is a nice application of areas between curves. (I thank Klaus Volpert for suggesting this project.) *Families of Implicit Curves* (page 163) investigates the changing shapes of implicitly defined curves as parameters in a family are varied. *Families of Polar Curves* (page 688) exhibits the fascinating shapes of polar curves and how they evolve within a family.

- The section on the surface area of the graph of a function of two variables has been restored as Section 15.6 for the convenience of instructors who like to teach it after double integrals, though the full treatment of surface area remains in Chapter 16.
- I continue to seek out examples of how calculus applies to so many aspects of the real world. On page 933 you will see beautiful images of the earth's magnetic field strength and its second vertical derivative as calculated from Laplace's equation. I thank Roger Watson for bringing to my attention how this is used in geophysics and mineral exploration.
- More than 25% of the exercises are new. Here are some of my favorites: 2.2.13–14, 2.4.56, 2.5.67, 2.6.53–56, 2.7.22, 3.3.70, 3.4.43, 4.2.51–53, 5.4.30, 6.3.58, 11.2.49–50, 11.10.71–72, 12.1.44, 12.4.43–44, and Problems 4, 5, and 8 on pages 861–62.

# **Technology Enhancements**

- The media and technology to support the text have been enhanced to give professors greater control over their course, to provide extra help to deal with the varying levels of student preparedness for the calculus course, and to improve support for conceptual understanding. New Enhanced WebAssign features including a customizable Cengage YouBook, *Just in Time* review, *Show Your Work*, Answer Evaluator, Personalized Study Plan, Master Its, solution videos, lecture video clips (with associated questions), and *Visualizing Calculus* (TEC animations with associated questions) have been developed to facilitate improved student learning and flexible classroom teaching.
- Tools for Enriching Calculus (TEC) has been completely redesigned and is accessible in Enhanced WebAssign, CourseMate, and PowerLecture. Selected Visuals and Modules are available at www.stewartcalculus.com.

instance, Figure 1 in Section 1.1 (seismograms from the Northridge earthquake), Exercise

# Features

**CONCEPTUAL EXERCISES** The most important way to foster conceptual understanding is through the problems that we assign. To that end I have devised various types of problems. Some exercise sets begin with requests to explain the meanings of the basic concepts of the section. (See, for instance, the first few exercises in Sections 1.5, 1.8, 11.2, 14.2, and 14.3.) Similarly, all the review sections begin with a Concept Check and a True-False Quiz. Other exercises test conceptual understanding through graphs or tables (see Exercises 2.1.17, 2.2.33-38, 2.2.41-44, 9.1.11-13, 10.1.24-27, 11.10.2, 13.2.1-2, 13.3.33-39, 14.1.1-2, 14.1.32-42, 14.3.3–10, 14.6.1–2, 14.7.3–4, 15.1.5–10, 16.1.11–18, 16.2.17–18, and 16.3.1–2). Another type of exercise uses verbal description to test conceptual understanding (see Exercises 1.8.10, 2.2.56, 3.3.51–52, and 7.8.67). I particularly value problems that combine and compare graphical, numerical, and algebraic approaches (see Exercises 3.4.31– 32, 2.7.25, and 9.4.2). **GRADED EXERCISE SETS** Each exercise set is carefully graded, progressing from basic conceptual exercises and skilldevelopment problems to more challenging problems involving applications and proofs. My assistants and I spent a great deal of time looking in libraries, contacting companies and **REAL-WORLD DATA** government agencies, and searching the Internet for interesting real-world data to introduce, motivate, and illustrate the concepts of calculus. As a result, many of the examples and exercises deal with functions defined by such numerical data or graphs. See, for 2.2.34 (percentage of the population under age 18), Exercise 4.1.16 (velocity of the space shuttle *Endeavour*), and Figure 4 in Section 4.4 (San Francisco power consumption). Functions of two variables are illustrated by a table of values of the wind-chill index as a function of air temperature and wind speed (Example 2 in Section 14.1). Partial derivatives are introduced in Section 14.3 by examining a column in a table of values of the heat index (perceived air temperature) as a function of the actual temperature and the relative humidity. This example is pursued further in connection with linear approximations (Example 3 in Section 14.4). Directional derivatives are introduced in Section 14.6 by using a temperature contour map to estimate the rate of change of temperature at Reno in the direction of Las Vegas. Double integrals are used to estimate the average snowfall in Colorado on December 20–21, 2006 (Example 4 in Section 15.1). Vector fields are introduced in Section 16.1 by depictions of actual velocity vector fields showing San Francisco Bay wind patterns.

- One way of involving students and making them active learners is to have them work (per-PROJECTS haps in groups) on extended projects that give a feeling of substantial accomplishment when completed. I have included four kinds of projects: Applied Projects involve applications that are designed to appeal to the imagination of students. The project after Section 9.3 asks whether a ball thrown upward takes longer to reach its maximum height or to fall back to its original height. (The answer might surprise you.) The project after Section 14.8 uses Lagrange multipliers to determine the masses of the three stages of a rocket so as to minimize the total mass while enabling the rocket to reach a desired velocity. Laboratory *Projects* involve technology; the one following Section 10.2 shows how to use Bézier curves to design shapes that represent letters for a laser printer. Writing Projects ask students to compare present-day methods with those of the founders of calculus-Fermat's method for finding tangents, for instance. Suggested references are supplied. Discovery *Projects* anticipate results to be discussed later or encourage discovery through pattern recognition (see the one following Section 7.6). Others explore aspects of geometry: tetrahedra (after Section 12.4), hyperspheres (after Section 15.7), and intersections of three cylinders (after Section 15.8). Additional projects can be found in the Instructor's Guide (see, for instance, Group Exercise 4.1: Position from Samples).
- **PROBLEM SOLVING** Students usually have difficulties with problems for which there is no single well-defined procedure for obtaining the answer. I think nobody has improved very much on George Polya's four-stage problem-solving strategy and, accordingly, I have included a version of his problem-solving principles following Chapter 1. They are applied, both explicitly and implicitly, throughout the book. After the other chapters I have placed sections called *Problems Plus*, which feature examples of how to tackle challenging calculus problems. In selecting the varied problems for these sections I kept in mind the following advice from David Hilbert: "A mathematical problem should be difficult in order to entice us, yet not inaccessible lest it mock our efforts." When I put these challenging problems on assignments and tests I grade them in a different way. Here I reward a student significantly for ideas toward a solution and for recognizing which problem-solving principles are relevant.

#### DUAL TREATMENT OF EXPONENTIAL AND LOGARITHMIC FUNCTIONS

There are two possible ways of treating the exponential and logarithmic functions and each method has its passionate advocates. Because one often finds advocates of both approaches teaching the same course, I include full treatments of both methods. In Sections 6.2, 6.3, and 6.4 the exponential function is defined first, followed by the logarithmic function as its inverse. (Students have seen these functions introduced this way since high school.) In the alternative approach, presented in Sections 6.2\*, 6.3\*, and 6.4\*, the logarithm is defined as an integral and the exponential function is its inverse. This latter method is, of course, less intuitive but more elegant. You can use whichever treatment you prefer.

If the first approach is taken, then much of Chapter 6 can be covered before Chapters 4 and 5, if desired. To accommodate this choice of presentation there are specially identified

problems involving integrals of exponential and logarithmic functions at the end of the appropriate sections of Chapters 4 and 5. This order of presentation allows a faster-paced course to teach the transcendental functions and the definite integral in the first semester of the course.

For instructors who would like to go even further in this direction I have prepared an alternate edition of this book, called *Calculus, Early Transcendentals,* Seventh Edition, in which the exponential and logarithmic functions are introduced in the first chapter. Their limits and derivatives are found in the second and third chapters at the same time as polynomials and the other elementary functions.

TOOLS FOR ENRICHING<sup>™</sup> CALCULUS TEC is a companion to the text and is intended to enrich and complement its contents. (It is now accessible in Enhanced WebAssign, CourseMate, and PowerLecture. Selected Visuals and Modules are available at www.stewartcalculus.com.) Developed by Harvey Keynes, Dan Clegg, Hubert Hohn, and myself, TEC uses a discovery and exploratory approach. In sections of the book where technology is particularly appropriate, marginal icons direct students to TEC modules that provide a laboratory environment in which they can explore the topic in different ways and at different levels. Visuals are animations of figures in text; Modules are more elaborate activities and include exercises. Instructors can choose to become involved at several different levels, ranging from simply encouraging students to use the Visuals and Modules for independent exploration, to assigning specific exercises from those included with each Module, or to creating additional exercises, labs, and projects that make use of the Visuals and Modules.

**HOMEWORK HINTS** Homework Hints presented in the form of questions try to imitate an effective teaching assistant by functioning as a silent tutor. Hints for representative exercises (usually odd-numbered) are included in every section of the text, indicated by printing the exercise number in red. They are constructed so as not to reveal any more of the actual solution than is minimally necessary to make further progress, and are available to students at stewartcalculus.com and in CourseMate and Enhanced WebAssign.

**ENHANCED WEBASSIGN** Technology is having an impact on the way homework is assigned to students, particularly in large classes. The use of online homework is growing and its appeal depends on ease of use, grading precision, and reliability. With the seventh edition we have been working with the calculus community and WebAssign to develop a more robust online homework system. Up to 70% of the exercises in each section are assignable as online homework, including free response, multiple choice, and multi-part formats.

The system also includes Active Examples, in which students are guided in step-by-step tutorials through text examples, with links to the textbook and to video solutions. New enhancements to the system include a customizable eBook, a *Show Your Work* feature, *Just in Time* review of precalculus prerequisites, an improved Assignment Editor, and an Answer Evaluator that accepts more mathematically equivalent answers and allows for homework grading in much the same way that an instructor grades.

www.stewartcalculus.com This site includes the following.

- Homework Hints
- Algebra Review
- Lies My Calculator and Computer Told Me
- History of Mathematics, with links to the better historical websites
- Additional Topics (complete with exercise sets): Fourier Series, Formulas for the Remainder Term in Taylor Series, Rotation of Axes
- Archived Problems (Drill exercises that appeared in previous editions, together with their solutions)
- Challenge Problems (some from the Problems Plus sections from prior editions)

- Links, for particular topics, to outside web resources
- Selected Tools for Enriching Calculus (TEC) Modules and Visuals



- **Diagnostic Tests** The book begins with four diagnostic tests, in Basic Algebra, Analytic Geometry, Functions, and Trigonometry.
- A Preview of Calculus This is an overview of the subject and includes a list of questions to motivate the study of calculus.
- **1** Functions and Limits From the beginning, multiple representations of functions are stressed: verbal, numerical, visual, and algebraic. A discussion of mathematical models leads to a review of the standard functions from these four points of view. The material on limits is motivated by a prior discussion of the tangent and velocity problems. Limits are treated from descriptive, graphical, numerical, and algebraic points of view. Section 1.7, on the precise epsilon-delta definition of a limit, is an optional section.
  - 2 Derivatives The material on derivatives is covered in two sections in order to give students more time to get used to the idea of a derivative as a function. The examples and exercises explore the meanings of derivatives in various contexts. Higher derivatives are introduced in Section 2.2.
- 3 Applications of Differentiation The basic facts concerning extreme values and shapes of curves are deduced from the Mean Value Theorem. Graphing with technology emphasizes the interaction between calculus and calculators and the analysis of families of curves. Some substantial optimization problems are provided, including an explanation of why you need to raise your head 42° to see the top of a rainbow.
  - 4 Integrals The area problem and the distance problem serve to motivate the definite integral, with sigma notation introduced as needed. (Full coverage of sigma notation is provided in Appendix E.) Emphasis is placed on explaining the meanings of integrals in various contexts and on estimating their values from graphs and tables.
  - 5 Applications of Integration Here I present the applications of integration—area, volume, work, average value—that can reasonably be done without specialized techniques of integration. General methods are emphasized. The goal is for students to be able to divide a quantity into small pieces, estimate with Riemann sums, and recognize the limit as an integral.

**6** Inverse Functions: As discussed more fully on page xiv, only one of the two treatments of these functions need be covered. Exponential growth and decay are covered in this chapter.

- 7 Techniques of Integration All the standard methods are covered but, of course, the real challenge is to be able to recognize which technique is best used in a given situation. Accordingly, in Section 7.5, I present a strategy for integration. The use of computer algebra systems is discussed in Section 7.6.
  - 8 Further Applications of Integration Here are the applications of integration—arc length and surface area—for which it is useful to have available all the techniques of integration, as well as applications to biology, economics, and physics (hydrostatic force and centers of mass). I have also included a section on probability. There are more applications here than can realistically be covered in a given course. Instructors should select applications suitable for their students and for which they themselves have enthusiasm.

0 Differential Exceptions	Modeling is the theme that unified this introductory treatment of differential equations
9 Differential Equations	Modeling is the theme that unifies this introductory treatment of differential equations.
	Direction fields and Euler's method are studied before separable and linear equations are
	solved explicitly, so that qualitative, numerical, and analytic approaches are given equal
	consideration. These methods are applied to the exponential, logistic, and other models for
	population growth. The first four or five sections of this chapter serve as a good introduc-
	tion to first-order differential equations. An optional final section uses predator-prey mod-
	els to illustrate systems of differential equations.

- 10 Parametric Equations and Polar Coordinates This chapter introduces parametric and polar curves and applies the methods of calculus to them. Parametric curves are well suited to laboratory projects; the three presented here involve families of curves and Bézier curves. A brief treatment of conic sections in polar coordinates prepares the way for Kepler's Laws in Chapter 13.
- 11 Infinite Sequences and Series The convergence tests have intuitive justifications (see page 738) as well as formal proofs. Numerical estimates of sums of series are based on which test was used to prove convergence. The emphasis is on Taylor series and polynomials and their applications to physics. Error estimates include those from graphing devices.

12 Vectors and The material on three-dimensional analytic geometry and vectors is divided into two chapters. Chapter 12 deals with vectors, the dot and cross products, lines, planes, and surfaces.

- **13 Vector Functions** This chapter covers vector-valued functions, their derivatives and integrals, the length and curvature of space curves, and velocity and acceleration along space curves, culminating in Kepler's laws.
- 14 Partial Derivatives Functions of two or more variables are studied from verbal, numerical, visual, and algebraic points of view. In particular, I introduce partial derivatives by looking at a specific column in a table of values of the heat index (perceived air temperature) as a function of the actual temperature and the relative humidity.
- **15 Multiple Integrals** Contour maps and the Midpoint Rule are used to estimate the average snowfall and average temperature in given regions. Double and triple integrals are used to compute probabilities, surface areas, and (in projects) volumes of hyperspheres and volumes of intersections of three cylinders. Cylindrical and spherical coordinates are introduced in the context of evaluating triple integrals.
  - **16 Vector Calculus** Vector fields are introduced through pictures of velocity fields showing San Francisco Bay wind patterns. The similarities among the Fundamental Theorem for line integrals, Green's Theorem, Stokes' Theorem, and the Divergence Theorem are emphasized.

17 Second-Order Since first-order differential equations are covered in Chapter 9, this final chapter deals with second-order linear differential equations, their application to vibrating springs and electric circuits, and series solutions. $\pi$ 

# Ancillaries

*Calculus*, Seventh Edition, is supported by a complete set of ancillaries developed under my direction. Each piece has been designed to enhance student understanding and to facilitate creative instruction. With this edition, new media and technologies have been developed that help students to visualize calculus and instructors to customize content to better align with the way they teach their course. The tables on pages xxi–xxii describe each of these ancillaries.

### Acknowledgments

The preparation of this and previous editions has involved much time spent reading the reasoned (but sometimes contradictory) advice from a large number of astute reviewers. I greatly appreciate the time they spent to understand my motivation for the approach taken. I have learned something from each of them.

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### WebAssign Enhanced WebAssign

www.webassign.net

WebAssign's homework delivery system lets instructors deliver, collect, grade, and record assignments via the web. Enhanced WebAssign for Stewart's Calculus now includes opportunities for students to review prerequisite skills and content both at the start of the course and at the beginning of each section. In addition, for selected problems, students can get extra help in the form of "enhanced feedback" (rejoinders) and video solutions. **Other key features include:** thousands of problems from Stewart's Calculus, a customizable Cengage YouBook, Personal Study Plans, Show Your Work, Just in Time Review, Answer Evaluator, Visualizing Calculus animations and modules, quizzes, lecture videos (with associated questions), and more!

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Each of these comprehensive lab manuals will help students learn to use the technology tools available to them. CalcLabs contain clearly explained exercises and a variety of labs and projects to accompany the text.

#### **A Companion to Calculus**

By Dennis Ebersole, Doris Schattschneider, Alicia Sevilla, and Kay Somers ISBN 0-495-01124-X

Written to improve algebra and problem-solving skills of students taking a Calculus course, every chapter in this companion is keyed to a calculus topic, providing conceptual background and specific algebra techniques needed to understand and solve calculus problems related to that topic. It is designed for calculus courses that integrate the review of precalculus concepts or for individual use.

#### **Linear Algebra for Calculus**

by Konrad J. Heuvers, William P. Francis, John H. Kuisti, Deborah F. Lockhart, Daniel S. Moak, and Gene M. Ortner ISBN 0-534-25248-6

This comprehensive book, designed to supplement the calculus course, provides an introduction to and review of the basic ideas of linear algebra.

# To the Student

Reading a calculus textbook is different from reading a newspaper or a novel, or even a physics book. Don't be discouraged if you have to read a passage more than once in order to understand it. You should have pencil and paper and calculator at hand to sketch a diagram or make a calculation.

Some students start by trying their homework problems and read the text only if they get stuck on an exercise. I suggest that a far better plan is to read and understand a section of the text before attempting the exercises. In particular, you should look at the definitions to see the exact meanings of the terms. And before you read each example, I suggest that you cover up the solution and try solving the problem yourself. You'll get a lot more from looking at the solution if you do so.

Part of the aim of this course is to train you to think logically. Learn to write the solutions of the exercises in a connected, step-by-step fashion with explanatory sentences—not just a string of disconnected equations or formulas.

The answers to the odd-numbered exercises appear at the back of the book, in Appendix I. Some exercises ask for a verbal explanation or interpretation or description. In such cases there is no single correct way of expressing the answer, so don't worry that you haven't found the definitive answer. In addition, there are often several different forms in which to express a numerical or algebraic answer, so if your answer differs from mine, don't immediately assume you're wrong. For example, if the answer given in the back of the book is  $\sqrt{2} - 1$  and you obtain  $1/(1 + \sqrt{2})$ , then you're right and rationalizing the denominator will show that the answers are equivalent.

The icon  $\bigwedge$  indicates an exercise that definitely requires the use of either a graphing calculator or a computer with graphing software. (Appendix G discusses the use of these graphing devices and some of the pitfalls that you may encounter.) But that doesn't mean that graphing devices can't be used to check your work on the other exercises as well. The symbol [CAS] is reserved for problems in which the full resources of a computer algebra system (like Derive, Maple, Mathematica, or the TI-89/92) are required.

You will also encounter the symbol O, which warns you against committing an error. I have placed this symbol in the margin in situations where I have observed that a large proportion of my students tend to make the same mistake.

Tools for Enriching Calculus, which is a companion to this text, is referred to by means of the symbol **TEC** and can be accessed in Enhanced WebAssign and CourseMate (selected Visuals and Modules are available at www.stewartcalculus.com). It directs you to modules in which you can explore aspects of calculus for which the computer is particularly useful.

*Homework Hints* for representative exercises are indicated by printing the exercise number in red: **5**. These hints can be found on stewartcalculus.com as well as Enhanced WebAssign and CourseMate. The homework hints ask you questions that allow you to make progress toward a solution without actually giving you the answer. You need to pursue each hint in an active manner with pencil and paper to work out the details. If a particular hint doesn't enable you to solve the problem, you can click to reveal the next hint.

I recommend that you keep this book for reference purposes after you finish the course. Because you will likely forget some of the specific details of calculus, the book will serve as a useful reminder when you need to use calculus in subsequent courses. And, because this book contains more material than can be covered in any one course, it can also serve as a valuable resource for a working scientist or engineer.

Calculus is an exciting subject, justly considered to be one of the greatest achievements of the human intellect. I hope you will discover that it is not only useful but also intrinsically beautiful.

JAMES STEWART

# **Diagnostic Tests**

Success in calculus depends to a large extent on knowledge of the mathematics that precedes calculus: algebra, analytic geometry, functions, and trigonometry. The following tests are intended to diagnose weaknesses that you might have in these areas. After taking each test you can check your answers against the given answers and, if necessary, refresh your skills by referring to the review materials that are provided.

# **Diagnostic Test: Algebra**

1. Evaluate each expression without using a calculator. **a** > **a**4 ()  $2^{-4}$ 

(a) $(-3)^4$	(b) $-3^4$	(c) $3^{-4}$
(d) $\frac{5^{23}}{5^{21}}$	(e) $\left(\frac{2}{3}\right)^{-2}$	(f) $16^{-3/4}$

2. Simplify each expression. Write your answer without negative exponents.

(a) 
$$\sqrt{200} - \sqrt{32}$$
  
(b)  $(3a^3b^3)(4ab^2)^2$   
(c)  $\left(\frac{3x^{3/2}y^3}{x^2y^{-1/2}}\right)^{-2}$ 

- 3. Expand and simplify.
  - (a) 3(x+6) + 4(2x-5) (b) (x+3)(4x-5)(c)  $(\sqrt{a} + \sqrt{b})(\sqrt{a} - \sqrt{b})$  (d)  $(2x + 3)^2$ (e)  $(x + 2)^3$
- **4.** Factor each expression.

(a) 
$$4x^2 - 25$$
 (b)  $2x^2 + 5x - 12$ 

- (c)  $x^3 3x^2 4x + 12$  (d)  $x^4 + 27x$ (e)  $3x^{3/2} 9x^{1/2} + 6x^{-1/2}$  (f)  $x^3y 4xy$
- (f)  $x^3y 4xy$
- **5.** Simplify the rational expression.

(a) 
$$\frac{x^2 + 3x + 2}{x^2 - x - 2}$$
  
(b)  $\frac{2x^2 - x - 1}{x^2 - 9} \cdot \frac{x + 3}{2x + 1}$   
(c)  $\frac{x^2}{x^2 - 4} - \frac{x + 1}{x + 2}$   
(d)  $\frac{\frac{y}{x} - \frac{x}{y}}{\frac{1}{y} - \frac{1}{x}}$ 

6. Rationalize the expression and simplify.

(a) 
$$\frac{\sqrt{10}}{\sqrt{5}-2}$$
 (b)  $\frac{\sqrt{4+h}-2}{h}$ 

7. Rewrite by completing the square.

(a) 
$$x^2 + x + 1$$
 (b)  $2x^2 - 12x + 11$ 

- 8. Solve the equation. (Find only the real solutions.)
  - (a)  $x + 5 = 14 \frac{1}{2}x$ (b)  $\frac{2x}{x+1} = \frac{2x-1}{x}$ (c)  $x^2 - x - 12 = 0$ (d)  $2x^2 + 4x + 1 = 0$ (e)  $x^4 - 3x^2 + 2 = 0$ (f) 3|x-4| = 10(g)  $2x(4-x)^{-1/2} - 3\sqrt{4-x} = 0$
- 9. Solve each inequality. Write your answer using interval notation.
  - (a)  $-4 < 5 3x \le 17$ (b)  $x^2 < 2x + 8$ (c) x(x-1)(x+2) > 0(d) |x-4| < 3(e)  $\frac{2x-3}{x+1} \le 1$
- **10.** State whether each equation is true or false.

(a) 
$$(p + q)^2 = p^2 + q^2$$
  
(b)  $\sqrt{ab} = \sqrt{a}\sqrt{b}$   
(c)  $\sqrt{a^2 + b^2} = a + b$   
(d)  $\frac{1 + TC}{C} = 1 + T$   
(e)  $\frac{1}{x - y} = \frac{1}{x} - \frac{1}{y}$   
(f)  $\frac{1/x}{a/x - b/x} = \frac{1}{a - b}$ 

# **Answers to Diagnostic Test A: Algebra**

(b)  $\frac{1}{\sqrt{4+h}+2}$ (b) -81 (c)  $\frac{1}{81}$ **1**. (a) 81 **6.** (a)  $5\sqrt{2} + 2\sqrt{10}$ (e)  $\frac{9}{4}$ (f)  $\frac{1}{8}$ (d) 25 (b)  $48a^5b^7$  (c)  $\frac{x}{9v^7}$ 7. (a)  $\left(x+\frac{1}{2}\right)^2+\frac{3}{4}$  (b)  $2(x-3)^2-7$ **2.** (a)  $6\sqrt{2}$ **3.** (a) 11x - 2 (b)  $4x^2 + 7x - 15$ (c) a - b (d)  $4x^2 + 12x + 9$ **8.** (a) 6 (b) 1 (c) -3, 4(d)  $-1 \pm \frac{1}{2}\sqrt{2}$  (e)  $\pm 1, \pm \sqrt{2}$  (f)  $\frac{2}{3}, \frac{22}{3}$ (e)  $x^3 + 6x^2 + 12x + 8$  $(g) \frac{12}{5}$ **4.** (a) (2x - 5)(2x + 5) (b) (2x - 3)(x + 4)(c) (x-3)(x-2)(x+2)(c) (x-3)(x-2)(x+2)(c)  $(x^2-3x+9)$ (c)  $(x^2-3x+9)$ (c)  $(x^2-3x+9)$ (c)  $(x^2-3x+9)$ (c)  $(x^2-3x+9)$ (c)  $(x^2-3)(x-2)(x+2)$ **9.** (a) [−4, 3) (b) (-2, 4)(c)  $(-2, 0) \cup (1, \infty)$ (d) (1,7) (e) (-1, 4]5. (a)  $\frac{x+2}{x-2}$ (b)  $\frac{x-1}{x-3}$ **10.** (a) False (b) True (c) False (c)  $\frac{1}{x-2}$ (d) -(x + y)(d) False (e) False (f) True

> If you have had difficulty with these problems, you may wish to consult the Review of Algebra on the website www.stewartcalculus.com

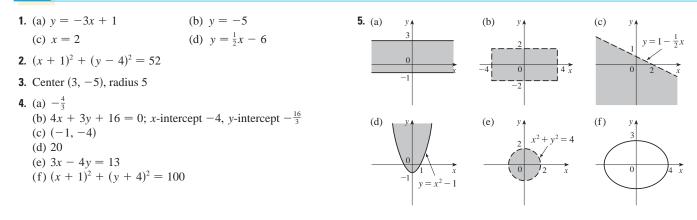
#### xxvi DIAGNOSTIC TESTS

# **B** Diagnostic Test: Analytic Geometry

- **1.** Find an equation for the line that passes through the point (2, -5) and
  - (a) has slope -3
  - (b) is parallel to the *x*-axis
  - (c) is parallel to the *y*-axis
  - (d) is parallel to the line 2x 4y = 3
- **2.** Find an equation for the circle that has center (-1, 4) and passes through the point (3, -2).
- **3.** Find the center and radius of the circle with equation  $x^2 + y^2 6x + 10y + 9 = 0$ .
- 4. Let A(-7, 4) and B(5, -12) be points in the plane.
  - (a) Find the slope of the line that contains A and B.
  - (b) Find an equation of the line that passes through A and B. What are the intercepts?
  - (c) Find the midpoint of the segment *AB*.
  - (d) Find the length of the segment AB.
  - (e) Find an equation of the perpendicular bisector of *AB*.
  - (f) Find an equation of the circle for which AB is a diameter.
- 5. Sketch the region in the *xy*-plane defined by the equation or inequalities.

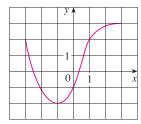
(a) $-1 \le y \le 3$	(b) $ x  < 4$ and $ y  < 2$
(c) $y < 1 - \frac{1}{2}x$	(d) $y \ge x^2 - 1$
(e) $x^2 + y^2 < 4$	(f) $9x^2 + 16y^2 = 144$

# Answers to Diagnostic Test B: Analytic Geometry



If you have had difficulty with these problems, you may wish to consult the review of analytic geometry in Appendixes B and C.

# C Diagnostic Test: Functions



**FIGURE FOR PROBLEM 1** 

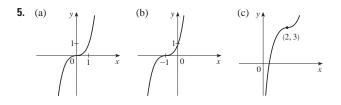
- **1.** The graph of a function f is given at the left.
  - (a) State the value of f(-1).
  - (b) Estimate the value of f(2).
  - (c) For what values of x is f(x) = 2?
  - (d) Estimate the values of x such that f(x) = 0.
  - (e) State the domain and range of f.
- **2.** If  $f(x) = x^3$ , evaluate the difference quotient  $\frac{f(2+h) f(2)}{h}$  and simplify your answer.
- **3.** Find the domain of the function.

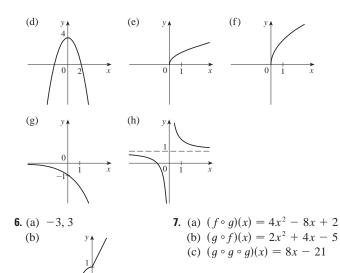
(a) 
$$f(x) = \frac{2x+1}{x^2+x-2}$$
 (b)  $g(x) = \frac{\sqrt[3]{x}}{x^2+1}$  (c)  $h(x) = \sqrt{4-x} + \sqrt{x^2-1}$ 

- **4.** How are graphs of the functions obtained from the graph of f?
  - (a) y = -f(x) (b) y = 2f(x) 1 (c) y = f(x 3) + 2
- 5. Without using a calculator, make a rough sketch of the graph.
- (a)  $y = x^3$ (b)  $y = (x + 1)^3$ (c)  $y = (x - 2)^3 + 3$ (d)  $y = 4 - x^2$ (e)  $y = \sqrt{x}$ (f)  $y = 2\sqrt{x}$ (g)  $y = -2^x$ (h)  $y = 1 + x^{-1}$ 6. Let  $f(x) = \begin{cases} 1 - x^2 & \text{if } x \le 0\\ 2x + 1 & \text{if } x > 0\\ 2x + 1 & \text{if } x > 0 \end{cases}$ (a) Evaluate f(-2) and f(1). (b) Sketch the graph of f.
- 7. If  $f(x) = x^2 + 2x 1$  and g(x) = 2x 3, find each of the following functions. (a)  $f \circ g$  (b)  $g \circ f$  (c)  $g \circ g \circ g$

# Answers to Diagnostic Test C: Functions

- **1.** (a) -2 (b) 2.8 (c) -3, 1 (d) -2.5, 0.3(e) [-3, 3], [-2, 3]
- **2.**  $12 + 6h + h^2$
- **3.** (a)  $(-\infty, -2) \cup (-2, 1) \cup (1, \infty)$ (b)  $(-\infty, \infty)$ (c)  $(-\infty, -1] \cup [1, 4]$
- 4. (a) Reflect about the *x*-axis
  - (b) Stretch vertically by a factor of 2, then shift 1 unit downward (c) Shift 3 units to the right and 2 units upward





If you have had difficulty with these problems, you should look at Sections 1.1–1.3 of this book.

D

# Diagnostic Test: Trigonometry

- **1.** Convert from degrees to radians. (a)  $300^{\circ}$  (b)  $-18^{\circ}$
- **2.** Convert from radians to degrees.
  - (a)  $5\pi/6$  (b) 2
- **3.** Find the length of an arc of a circle with radius 12 cm if the arc subtends a central angle of 30°.
- 4. Find the exact values. (a)  $\tan(\pi/3)$  (b)  $\sin(7\pi/6)$  (c)  $\sec(5\pi/3)$
- **5.** Express the lengths *a* and *b* in the figure in terms of  $\theta$ .
- 6. If sin  $x = \frac{1}{3}$  and sec  $y = \frac{5}{4}$ , where x and y lie between 0 and  $\pi/2$ , evaluate sin(x + y).
- **7.** Prove the identities.

(a)  $\tan \theta \sin \theta + \cos \theta = \sec \theta$ 

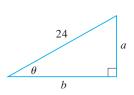
(b) 
$$\frac{2 \tan x}{1 + \tan^2 x} = \sin 2x$$

- **8.** Find all values of x such that  $\sin 2x = \sin x$  and  $0 \le x \le 2\pi$ .
- **9.** Sketch the graph of the function  $y = 1 + \sin 2x$  without using a calculator.

# Answers to Diagnostic Test D: Trigonometry

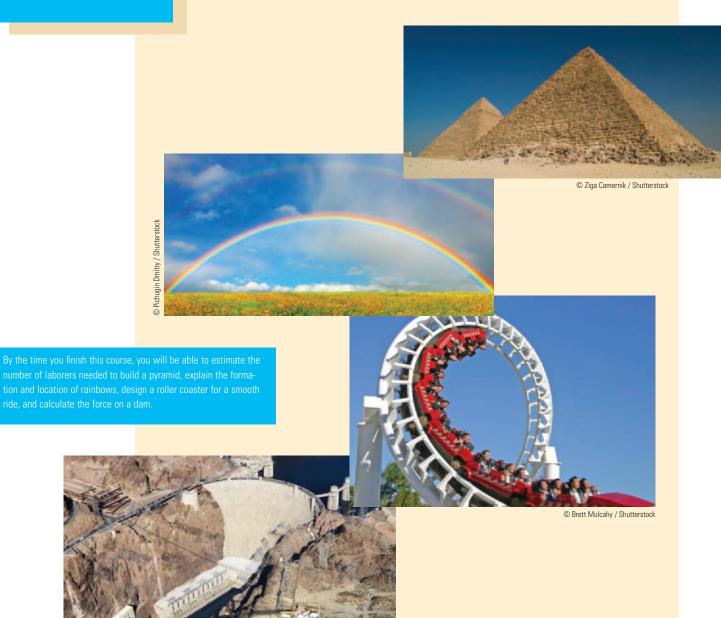
<b>1.</b> (a) $5\pi/3$	(b) $-\pi/10$	<b>6.</b> $\frac{1}{15}(4 + 6\sqrt{2})$
<b>2.</b> (a) 150°	(b) $360^{\circ}/\pi \approx 114.6^{\circ}$	<b>8.</b> 0, $\pi/3$ , $\pi$ , $5\pi/3$ , $2\pi$
<b>3.</b> 2π cm		9. $y \uparrow z \downarrow z$
<b>4.</b> (a) $\sqrt{3}$	(b) $-\frac{1}{2}$ (c) 2	
<b>5.</b> (a) 24 sin $\theta$	(b) $24\cos\theta$	$-\pi$ 0 $\pi$ x

If you have had difficulty with these problems, you should look at Appendix D of this book.



**FIGURE FOR PROBLEM 5** 

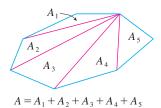
# **A Preview of Calculus**





Calculus is fundamentally different from the mathematics that you have studied previously: calculus is less static and more dynamic. It is concerned with change and motion; it deals with quantities that approach other quantities. For that reason it may be useful to have an overview of the subject before beginning its intensive study. Here we give a glimpse of some of the main ideas of calculus by showing how the concept of a limit arises when we attempt to solve a variety of problems.

#### 2 A PREVIEW OF CALCULUS

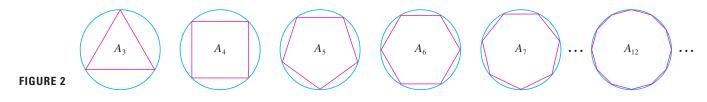


**FIGURE 1** 

#### The Area Problem

The origins of calculus go back at least 2500 years to the ancient Greeks, who found areas using the "method of exhaustion." They knew how to find the area *A* of any polygon by dividing it into triangles as in Figure 1 and adding the areas of these triangles.

It is a much more difficult problem to find the area of a curved figure. The Greek method of exhaustion was to inscribe polygons in the figure and circumscribe polygons about the figure and then let the number of sides of the polygons increase. Figure 2 illustrates this process for the special case of a circle with inscribed regular polygons.



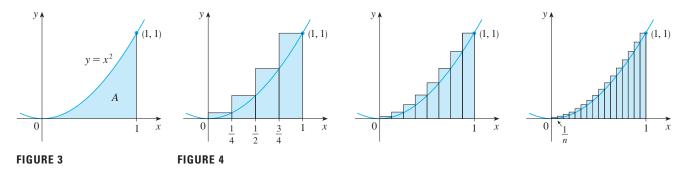
Let  $A_n$  be the area of the inscribed polygon with *n* sides. As *n* increases, it appears that  $A_n$  becomes closer and closer to the area of the circle. We say that the area of the circle is the *limit* of the areas of the inscribed polygons, and we write

**TEC** In the Preview Visual, you can see how areas of inscribed and circumscribed polygons approximate the area of a circle.

$$A = \lim_{n \to \infty} A_n$$

The Greeks themselves did not use limits explicitly. However, by indirect reasoning, Eudoxus (fifth century BC) used exhaustion to prove the familiar formula for the area of a circle:  $A = \pi r^2$ .

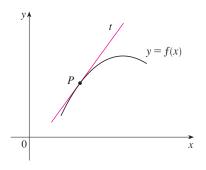
We will use a similar idea in Chapter 4 to find areas of regions of the type shown in Figure 3. We will approximate the desired area A by areas of rectangles (as in Figure 4), let the width of the rectangles decrease, and then calculate A as the limit of these sums of areas of rectangles.



The area problem is the central problem in the branch of calculus called *integral calculus*. The techniques that we will develop in Chapter 4 for finding areas will also enable us to compute the volume of a solid, the length of a curve, the force of water against a dam, the mass and center of gravity of a rod, and the work done in pumping water out of a tank.

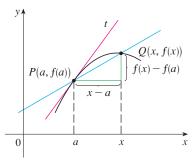
### The Tangent Problem

Consider the problem of trying to find an equation of the tangent line t to a curve with equation y = f(x) at a given point P. (We will give a precise definition of a tangent line in

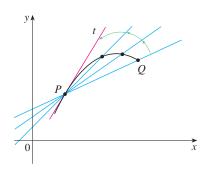


**FIGURE 5** The tangent line at *P* 





**FIGURE 6** The secant line *PQ* 



**FIGURE 7** Secant lines approaching the tangent line

Chapter 1. For now you can think of it as a line that touches the curve at *P* as in Figure 5.) Since we know that the point *P* lies on the tangent line, we can find the equation of *t* if we know its slope *m*. The problem is that we need two points to compute the slope and we know only one point, *P*, on *t*. To get around the problem we first find an approximation to *m* by taking a nearby point *Q* on the curve and computing the slope  $m_{PQ}$  of the secant line *PQ*. From Figure 6 we see that

$$m_{PQ} = \frac{f(x) - f(a)}{x - a}$$

Now imagine that Q moves along the curve toward P as in Figure 7. You can see that the secant line rotates and approaches the tangent line as its limiting position. This means that the slope  $m_{PQ}$  of the secant line becomes closer and closer to the slope m of the tangent line. We write

$$m = \lim_{Q \to P} m_{PQ}$$

and we say that *m* is the limit of  $m_{PQ}$  as *Q* approaches *P* along the curve. Since *x* approaches *a* as *Q* approaches *P*, we could also use Equation 1 to write

$$m = \lim_{x \to a} \frac{f(x) - f(a)}{x - a}$$

Specific examples of this procedure will be given in Chapter 1.

The tangent problem has given rise to the branch of calculus called *differential calculus*, which was not invented until more than 2000 years after integral calculus. The main ideas behind differential calculus are due to the French mathematician Pierre Fermat (1601–1665) and were developed by the English mathematicians John Wallis (1616–1703), Isaac Barrow (1630–1677), and Isaac Newton (1642–1727) and the German mathematician Gottfried Leibniz (1646–1716).

The two branches of calculus and their chief problems, the area problem and the tangent problem, appear to be very different, but it turns out that there is a very close connection between them. The tangent problem and the area problem are inverse problems in a sense that will be described in Chapter 4.

# Velocity

1

2

When we look at the speedometer of a car and read that the car is traveling at 48 mi/h, what does that information indicate to us? We know that if the velocity remains constant, then after an hour we will have traveled 48 mi. But if the velocity of the car varies, what does it mean to say that the velocity at a given instant is 48 mi/h?

In order to analyze this question, let's examine the motion of a car that travels along a straight road and assume that we can measure the distance traveled by the car (in feet) at l-second intervals as in the following chart:

t = Time elapsed (s)	0	1	2	3	4	5
d = Distance (ft)	0	2	9	24	42	71

As a first step toward finding the velocity after 2 seconds have elapsed, we find the average velocity during the time interval  $2 \le t \le 4$ :

average velocity = 
$$\frac{\text{change in position}}{\text{time elapsed}}$$
  
=  $\frac{42 - 9}{4 - 2}$   
= 16.5 ft/s

Similarly, the average velocity in the time interval  $2 \le t \le 3$  is

average velocity = 
$$\frac{24 - 9}{3 - 2} = 15$$
 ft/s

We have the feeling that the velocity at the instant t = 2 can't be much different from the average velocity during a short time interval starting at t = 2. So let's imagine that the distance traveled has been measured at 0.1-second time intervals as in the following chart:

t	2.0	2.1	2.2	2.3	2.4	2.5
d	9.00	10.02	11.16	12.45	13.96	15.80

Then we can compute, for instance, the average velocity over the time interval [2, 2.5]:

average velocity 
$$=\frac{15.80 - 9.00}{2.5 - 2} = 13.6 \text{ ft/s}$$

The results of such calculations are shown in the following chart:

Time interval	[2, 3]	[2, 2.5]	[2, 2.4]	[2, 2.3]	[2, 2.2]	[2, 2.1]
Average velocity (ft/s)	15.0	13.6	12.4	11.5	10.8	10.2

The average velocities over successively smaller intervals appear to be getting closer to a number near 10, and so we expect that the velocity at exactly t = 2 is about 10 ft/s. In Chapter 1 we will define the instantaneous velocity of a moving object as the limiting value of the average velocities over smaller and smaller time intervals.

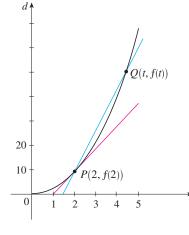
In Figure 8 we show a graphical representation of the motion of the car by plotting the distance traveled as a function of time. If we write d = f(t), then f(t) is the number of feet traveled after *t* seconds. The average velocity in the time interval [2, *t*] is

average velocity = 
$$\frac{\text{change in position}}{\text{time elapsed}} = \frac{f(t) - f(2)}{t - 2}$$

which is the same as the slope of the secant line PQ in Figure 8. The velocity v when t = 2 is the limiting value of this average velocity as t approaches 2; that is,

$$v = \lim_{t \to 2} \frac{f(t) - f(2)}{t - 2}$$

and we recognize from Equation 2 that this is the same as the slope of the tangent line to the curve at *P*.



**FIGURE 8** 

Thus, when we solve the tangent problem in differential calculus, we are also solving problems concerning velocities. The same techniques also enable us to solve problems involving rates of change in all of the natural and social sciences.

#### The Limit of a Sequence

In the fifth century BC the Greek philosopher Zeno of Elea posed four problems, now known as Zeno's paradoxes, that were intended to challenge some of the ideas concerning space and time that were held in his day. Zeno's second paradox concerns a race between the Greek hero Achilles and a tortoise that has been given a head start. Zeno argued, as follows, that Achilles could never pass the tortoise: Suppose that Achilles starts at position  $a_1$  and the tortoise starts at position  $t_1$ . (See Figure 9.) When Achilles reaches the point  $a_2 = t_1$ , the tortoise is farther ahead at position  $t_2$ . When Achilles reaches  $a_3 = t_2$ , the torto is at  $t_3$ . This process continues indefinitely and so it appears that the torto is will always be ahead! But this defies common sense.

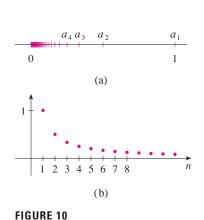


One way of explaining this paradox is with the idea of a *sequence*. The successive positions of Achilles  $(a_1, a_2, a_3, \ldots)$  or the successive positions of the tortoise  $(t_1, t_2, t_3, \ldots)$ form what is known as a sequence.

In general, a sequence  $\{a_n\}$  is a set of numbers written in a definite order. For instance, the sequence

$$\{1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \ldots\}$$

can be described by giving the following formula for the *n*th term:



We can visualize this sequence by plotting its terms on a number line as in Figure 10(a) or by drawing its graph as in Figure 10(b). Observe from either picture that the terms of the sequence  $a_n = 1/n$  are becoming closer and closer to 0 as n increases. In fact, we can find terms as small as we please by making n large enough. We say that the limit of the sequence is 0, and we indicate this by writing

 $a_n = \frac{1}{n}$ 

$$\lim_{n\to\infty}\frac{1}{n}=0$$

In general, the notation

$$\lim_{n\to\infty} a_n = L$$

n

is used if the terms  $a_n$  approach the number L as n becomes large. This means that the numbers  $a_n$  can be made as close as we like to the number L by taking n sufficiently large.

The concept of the limit of a sequence occurs whenever we use the decimal representation of a real number. For instance, if

 $a_{1} = 3.1$   $a_{2} = 3.14$   $a_{3} = 3.141$   $a_{4} = 3.1415$   $a_{5} = 3.14159$   $a_{6} = 3.141592$   $a_{7} = 3.1415926$   $\vdots$   $\lim_{n \to \infty} a_{n} = \pi$ 

The terms in this sequence are rational approximations to  $\pi$ .

Let's return to Zeno's paradox. The successive positions of Achilles and the tortoise form sequences  $\{a_n\}$  and  $\{t_n\}$ , where  $a_n < t_n$  for all n. It can be shown that both sequences have the same limit:

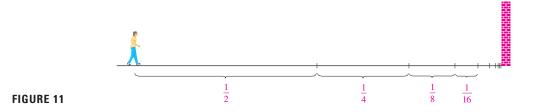
 $\lim_{n\to\infty} a_n = p = \lim_{n\to\infty} t_n$ 

It is precisely at this point *p* that Achilles overtakes the tortoise.

#### The Sum of a Series

then

Another of Zeno's paradoxes, as passed on to us by Aristotle, is the following: "A man standing in a room cannot walk to the wall. In order to do so, he would first have to go half the distance, then half the remaining distance, and then again half of what still remains. This process can always be continued and can never be ended." (See Figure 11.)



Of course, we know that the man can actually reach the wall, so this suggests that perhaps the total distance can be expressed as the sum of infinitely many smaller distances as follows:

**3** 
$$1 = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots + \frac{1}{2^n} + \dots$$

Zeno was arguing that it doesn't make sense to add infinitely many numbers together. But there are other situations in which we implicitly use infinite sums. For instance, in decimal notation, the symbol  $0.\overline{3} = 0.3333...$  means

$$\frac{3}{10} + \frac{3}{100} + \frac{3}{1000} + \frac{3}{10,000} + \cdots$$

and so, in some sense, it must be true that

$$\frac{3}{10} + \frac{3}{100} + \frac{3}{1000} + \frac{3}{10,000} + \dots = \frac{1}{3}$$

More generally, if  $d_n$  denotes the *n*th digit in the decimal representation of a number, then

$$0.d_1d_2d_3d_4\ldots = \frac{d_1}{10} + \frac{d_2}{10^2} + \frac{d_3}{10^3} + \cdots + \frac{d_n}{10^n} + \cdots$$

Therefore some infinite sums, or infinite series as they are called, have a meaning. But we must define carefully what the sum of an infinite series is.

Returning to the series in Equation 3, we denote by  $s_n$  the sum of the first *n* terms of the series. Thus

$$s_{1} = \frac{1}{2} = 0.5$$

$$s_{2} = \frac{1}{2} + \frac{1}{4} = 0.75$$

$$s_{3} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} = 0.875$$

$$s_{4} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} = 0.9375$$

$$s_{5} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \frac{1}{32} = 0.96875$$

$$s_{6} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \frac{1}{32} + \frac{1}{64} = 0.984375$$

$$s_{7} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \frac{1}{32} + \frac{1}{64} + \frac{1}{128} = 0.9921875$$

$$\vdots$$

$$s_{10} = \frac{1}{2} + \frac{1}{4} + \cdots + \frac{1}{1024} \approx 0.99902344$$

$$\vdots$$

$$s_{16} = \frac{1}{2} + \frac{1}{4} + \cdots + \frac{1}{2^{16}} \approx 0.99998474$$

Observe that as we add more and more terms, the partial sums become closer and closer to 1. In fact, it can be shown that by taking *n* large enough (that is, by adding sufficiently many terms of the series), we can make the partial sum  $s_n$  as close as we please to the number 1. It therefore seems reasonable to say that the sum of the infinite series is 1 and to write

$$\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots + \frac{1}{2^n} + \dots = 1$$

In other words, the reason the sum of the series is 1 is that

 $\lim_{n\to\infty} s_n = 1$ 

In Chapter 11 we will discuss these ideas further. We will then use Newton's idea of combining infinite series with differential and integral calculus.

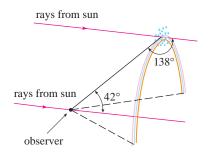
#### Summary

We have seen that the concept of a limit arises in trying to find the area of a region, the slope of a tangent to a curve, the velocity of a car, or the sum of an infinite series. In each case the common theme is the calculation of a quantity as the limit of other, easily calculated quantities. It is this basic idea of a limit that sets calculus apart from other areas of mathematics. In fact, we could define calculus as the part of mathematics that deals with limits.

After Sir Isaac Newton invented his version of calculus, he used it to explain the motion of the planets around the sun. Today calculus is used in calculating the orbits of satellites and spacecraft, in predicting population sizes, in estimating how fast oil prices rise or fall, in forecasting weather, in measuring the cardiac output of the heart, in calculating life insurance premiums, and in a great variety of other areas. We will explore some of these uses of calculus in this book.

In order to convey a sense of the power of the subject, we end this preview with a list of some of the questions that you will be able to answer using calculus:

- 1. How can we explain the fact, illustrated in Figure 12, that the angle of elevation from an observer up to the highest point in a rainbow is 42°? (See page 206.)
- 2. How can we explain the shapes of cans on supermarket shelves? (See page 262.)
- **3.** Where is the best place to sit in a movie theater? (See page 461.)
- 4. How can we design a roller coaster for a smooth ride? (See page 140.)
- 5. How far away from an airport should a pilot start descent? (See page 156.)
- **6.** How can we fit curves together to design shapes to represent letters on a laser printer? (See page 677.)
- **7.** How can we estimate the number of workers that were needed to build the Great Pyramid of Khufu in ancient Egypt? (See page 373.)
- **8.** Where should an infielder position himself to catch a baseball thrown by an outfielder and relay it to home plate? (See page 658.)
- **9.** Does a ball thrown upward take longer to reach its maximum height or to fall back to its original height? (See page 628.)
- **10.** How can we explain the fact that planets and satellites move in elliptical orbits? (See page 892.)
- **11.** How can we distribute water flow among turbines at a hydroelectric station so as to maximize the total energy production? (See page 990.)
- **12.** If a marble, a squash ball, a steel bar, and a lead pipe roll down a slope, which of them reaches the bottom first? (See page 1063.)



**FIGURE 12** 

# 1

## **Functions and Limits**



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The fundamental objects that we deal with in calculus are functions. We stress that a function can be represented in different ways: by an equation, in a table, by a graph, or in words. We look at the main types of functions that occur in calculus and describe the process of using these functions as mathematical models of real-world phenomena.

In *A Preview of Calculus* (page 1) we saw how the idea of a limit underlies the various branches of calculus. It is therefore appropriate to begin our study of calculus by investigating limits of functions and their properties.

#### **1.1** Four Ways to Represent a Function

Functions arise whenever one quantity depends on another. Consider the following four situations.

- **A.** The area A of a circle depends on the radius r of the circle. The rule that connects r and A is given by the equation  $A = \pi r^2$ . With each positive number r there is associated one value of A, and we say that A is a *function* of r.
- **B.** The human population of the world P depends on the time t. The table gives estimates of the world population P(t) at time t, for certain years. For instance,

$$P(1950) \approx 2,560,000,000$$

But for each value of the time *t* there is a corresponding value of *P*, and we say that *P* is a function of *t*.

- **c.** The cost *C* of mailing an envelope depends on its weight *w*. Although there is no simple formula that connects *w* and *C*, the post office has a rule for determining *C* when *w* is known.
- **D.** The vertical acceleration *a* of the ground as measured by a seismograph during an earthquake is a function of the elapsed time *t*. Figure 1 shows a graph generated by seismic activity during the Northridge earthquake that shook Los Angeles in 1994. For a given value of *t*, the graph provides a corresponding value of *a*.

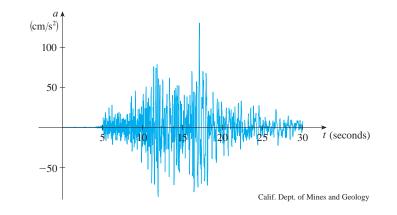


FIGURE 1 Vertical ground acceleration during the Northridge earthquake

Each of these examples describes a rule whereby, given a number (r, t, w, or t), another number (A, P, C, or a) is assigned. In each case we say that the second number is a function of the first number.

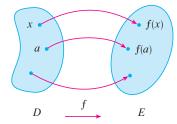
A **function** f is a rule that assigns to each element x in a set D exactly one element, called f(x), in a set E.

We usually consider functions for which the sets D and E are sets of real numbers. The set D is called the **domain** of the function. The number f(x) is the **value of** f at x and is read "f of x." The **range** of f is the set of all possible values of f(x) as x varies throughout the domain. A symbol that represents an arbitrary number in the *domain* of a function f is called an **independent variable**. A symbol that represents a number in the *range* of f is called a **dependent variable**. In Example A, for instance, r is the independent variable and A is the dependent variable.

Year	Population (millions)
1900	1650
1910	1750
1920	1860
1930	2070
1940	2300
1950	2560
1960	3040
1970	3710
1980	4450
1990	5280
2000	6080
2010	6870



**FIGURE 2** Machine diagram for a function f



**FIGURE 3** Arrow diagram for f

It's helpful to think of a function as a **machine** (see Figure 2). If x is in the domain of the function f, then when x enters the machine, it's accepted as an input and the machine produces an output f(x) according to the rule of the function. Thus we can think of the domain as the set of all possible inputs and the range as the set of all possible outputs.

The preprogrammed functions in a calculator are good examples of a function as a machine. For example, the square root key on your calculator computes such a function. You press the key labeled  $\sqrt{(or \sqrt{x})}$  and enter the input x. If x < 0, then x is not in the domain of this function; that is, x is not an acceptable input, and the calculator will indicate an error. If  $x \ge 0$ , then an *approximation* to  $\sqrt{x}$  will appear in the display. Thus the  $\sqrt{x}$  key on your calculator is not quite the same as the exact mathematical function f defined by  $f(x) = \sqrt{x}$ .

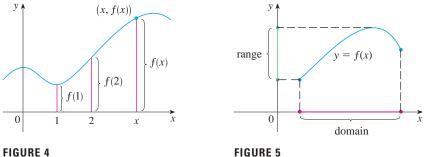
Another way to picture a function is by an arrow diagram as in Figure 3. Each arrow connects an element of D to an element of E. The arrow indicates that f(x) is associated with x, f(a) is associated with a, and so on.

The most common method for visualizing a function is its graph. If f is a function with domain D, then its graph is the set of ordered pairs

$$\{(x, f(x)) \mid x \in D\}$$

(Notice that these are input-output pairs.) In other words, the graph of f consists of all points (x, y) in the coordinate plane such that y = f(x) and x is in the domain of f.

The graph of a function f gives us a useful picture of the behavior or "life history" of a function. Since the y-coordinate of any point (x, y) on the graph is y = f(x), we can read the value of f(x) from the graph as being the height of the graph above the point x (see Figure 4). The graph of f also allows us to picture the domain of f on the x-axis and its range on the y-axis as in Figure 5.



**EXAMPLE 1** The graph of a function f is shown in Figure 6.

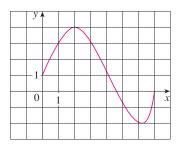
- (a) Find the values of f(1) and f(5).
- (b) What are the domain and range of f?

#### SOLUTION

(a) We see from Figure 6 that the point (1, 3) lies on the graph of f, so the value of f at 1 is f(1) = 3. (In other words, the point on the graph that lies above x = 1 is 3 units above the *x*-axis.)

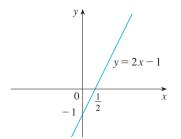
When x = 5, the graph lies about 0.7 unit below the x-axis, so we estimate that  $f(5) \approx -0.7.$ 

(b) We see that f(x) is defined when  $0 \le x \le 7$ , so the domain of f is the closed interval [0, 7]. Notice that f takes on all values from -2 to 4, so the range of f is

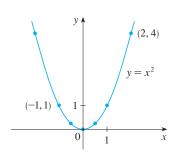




$$\{y \mid -2 \le y \le 4\} = [-2, 4]$$











$$\frac{f(a+h) - f(a)}{h}$$

in Example 3 is called a **difference quotient** and occurs frequently in calculus. As we will see in Chapter 2, it represents the average rate of change of f(x) between x = a and x = a + h.

**EXAMPLE 2** Sketch the graph and find the domain and range of each function.  
(a) 
$$f(x) = 2x - 1$$
 (b)  $g(x) = x^2$ 

#### SOLUTION

(a) The equation of the graph is y = 2x - 1, and we recognize this as being the equation of a line with slope 2 and y-intercept -1. (Recall the slope-intercept form of the equation of a line: y = mx + b. See Appendix B.) This enables us to sketch a portion of the graph of f in Figure 7. The expression 2x - 1 is defined for all real numbers, so the domain of f is the set of all real numbers, which we denote by  $\mathbb{R}$ . The graph shows that the range is also  $\mathbb{R}$ .

(b) Since  $g(2) = 2^2 = 4$  and  $g(-1) = (-1)^2 = 1$ , we could plot the points (2, 4) and (-1, 1), together with a few other points on the graph, and join them to produce the graph (Figure 8). The equation of the graph is  $y = x^2$ , which represents a parabola (see Appendix C). The domain of *g* is  $\mathbb{R}$ . The range of *g* consists of all values of g(x), that is, all numbers of the form  $x^2$ . But  $x^2 \ge 0$  for all numbers *x* and any positive number *y* is a square. So the range of *g* is  $\{y \mid y \ge 0\} = [0, \infty)$ . This can also be seen from Figure 8.

**EXAMPLE 3** If 
$$f(x) = 2x^2 - 5x + 1$$
 and  $h \neq 0$ , evaluate  $\frac{f(a+h) - f(a)}{h}$ .

SOLUTION We first evaluate f(a + h) by replacing x by a + h in the expression for f(x):

$$f(a + h) = 2(a + h)^{2} - 5(a + h) + 1$$
$$= 2(a^{2} + 2ah + h^{2}) - 5(a + h) + 1$$
$$= 2a^{2} + 4ah + 2h^{2} - 5a - 5h + 1$$

Then we substitute into the given expression and simplify:

$$\frac{f(a+h) - f(a)}{h} = \frac{(2a^2 + 4ah + 2h^2 - 5a - 5h + 1) - (2a^2 - 5a + 1)}{h}$$
$$= \frac{2a^2 + 4ah + 2h^2 - 5a - 5h + 1 - 2a^2 + 5a - 1}{h}$$
$$= \frac{4ah + 2h^2 - 5h}{h} = 4a + 2h - 5$$

#### Representations of Functions

There are four possible ways to represent a function:

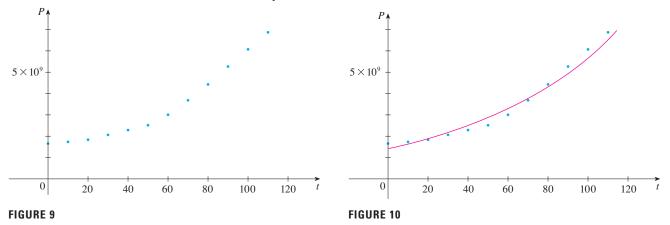
- verbally (by a description in words)
- numerically (by a table of values)
- visually (by a graph)
- algebraically (by an explicit formula)

If a single function can be represented in all four ways, it's often useful to go from one representation to another to gain additional insight into the function. (In Example 2, for instance, we started with algebraic formulas and then obtained the graphs.) But certain functions are described more naturally by one method than by another. With this in mind, let's reexamine the four situations that we considered at the beginning of this section.

- **A**. The most useful representation of the area of a circle as a function of its radius is probably the algebraic formula  $A(r) = \pi r^2$ , though it is possible to compile a table of values or to sketch a graph (half a parabola). Because a circle has to have a positive radius, the domain is  $\{r \mid r > 0\} = (0, \infty)$ , and the range is also  $(0, \infty)$ .
- **B.** We are given a description of the function in words: P(t) is the human population of the world at time *t*. Let's measure *t* so that t = 0 corresponds to the year 1900. The table of values of world population provides a convenient representation of this function. If we plot these values, we get the graph (called a *scatter plot*) in Figure 9. It too is a useful representation; the graph allows us to absorb all the data at once. What about a formula? Of course, it's impossible to devise an explicit formula that gives the exact human population P(t) at any time *t*. But it is possible to find an expression for a function that *approximates* P(t). In fact, using methods explained in Section 1.2, we obtain the approximation

$$P(t) \approx f(t) = (1.43653 \times 10^9) \cdot (1.01395)$$

Figure 10 shows that it is a reasonably good "fit." The function f is called a *mathematical model* for population growth. In other words, it is a function with an explicit formula that approximates the behavior of our given function. We will see, however, that the ideas of calculus can be applied to a table of values; an explicit formula is not necessary.



A function defined by a table of values is called a *tabular* function.

Population

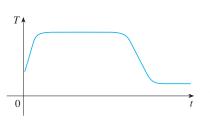
(millions)

t

w (ounces)	C(w) (dollars)
$0 < w \leq 1$	0.88
$1 < w \leq 2$	1.05
$2 < w \leq 3$	1.22
$3 < w \leq 4$	1.39
$4 < w \leq 5$	1.56
•	•
•	•

The function P is typical of the functions that arise whenever we attempt to apply calculus to the real world. We start with a verbal description of a function. Then we may be able to construct a table of values of the function, perhaps from instrument readings in a scientific experiment. Even though we don't have complete knowledge of the values of the function, we will see throughout the book that it is still possible to perform the operations of calculus on such a function.

- **C.** Again the function is described in words: Let C(w) be the cost of mailing a large envelope with weight w. The rule that the US Postal Service used as of 2010 is as follows: The cost is 88 cents for up to 1 oz, plus 17 cents for each additional ounce (or less) up to 13 oz. The table of values shown in the margin is the most convenient representation for this function, though it is possible to sketch a graph (see Example 10).
- **D.** The graph shown in Figure 1 is the most natural representation of the vertical acceleration function a(t). It's true that a table of values could be compiled, and it is even possible to devise an approximate formula. But everything a geologist needs to know—amplitudes and patterns—can be seen easily from the graph. (The same is true for the patterns seen in electrocardiograms of heart patients and polygraphs for lie-detection.)



**FIGURE 11** 

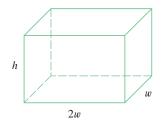


FIGURE 12

**PS** In setting up applied functions as in Example 5, it may be useful to review the principles of problem solving as discussed on page 97, particularly *Step 1: Understand the Problem*.

**Domain Convention** 

If a function is given by a formula and the domain is not stated explicitly, the convention is that the domain is the set of all numbers for which the formula makes sense and defines a real number. In the next example we sketch the graph of a function that is defined verbally.

**EXAMPLE 4** When you turn on a hot-water faucet, the temperature T of the water depends on how long the water has been running. Draw a rough graph of T as a function of the time t that has elapsed since the faucet was turned on.

**SOLUTION** The initial temperature of the running water is close to room temperature because the water has been sitting in the pipes. When the water from the hot-water tank starts flowing from the faucet, T increases quickly. In the next phase, T is constant at the temperature of the heated water in the tank. When the tank is drained, T decreases to the temperature of the water supply. This enables us to make the rough sketch of T as a function of t in Figure 11.

In the following example we start with a verbal description of a function in a physical situation and obtain an explicit algebraic formula. The ability to do this is a useful skill in solving calculus problems that ask for the maximum or minimum values of quantities.

**V EXAMPLE 5** A rectangular storage container with an open top has a volume of 10 m<sup>3</sup>. The length of its base is twice its width. Material for the base costs \$10 per square meter; material for the sides costs \$6 per square meter. Express the cost of materials as a function of the width of the base.

SOLUTION We draw a diagram as in Figure 12 and introduce notation by letting w and 2w be the width and length of the base, respectively, and h be the height.

The area of the base is  $(2w)w = 2w^2$ , so the cost, in dollars, of the material for the base is  $10(2w^2)$ . Two of the sides have area *wh* and the other two have area 2wh, so the cost of the material for the sides is 6[2(wh) + 2(2wh)]. The total cost is therefore

$$C = 10(2w^2) + 6[2(wh) + 2(2wh)] = 20w^2 + 36wh$$

To express *C* as a function of *w* alone, we need to eliminate *h* and we do so by using the fact that the volume is  $10 \text{ m}^3$ . Thus

$$w(2w)h = 10$$

 $h = \frac{10}{2w^2} = \frac{5}{w^2}$ 

which gives

Substituting this into the expression for C, we have

$$C = 20w^2 + 36w\left(\frac{5}{w^2}\right) = 20w^2 + \frac{180}{w}$$

Therefore the equation

$$C(w) = 20w^2 + \frac{180}{w} \qquad w > 0$$

expresses C as a function of w.

**EXAMPLE 6** Find the domain of each function.

(a) 
$$f(x) = \sqrt{x+2}$$
 (b)  $g(x)$ 

### (b) $g(x) = \frac{1}{x^2 - x}$

#### SOLUTION

(a) Because the square root of a negative number is not defined (as a real number), the domain of *f* consists of all values of *x* such that  $x + 2 \ge 0$ . This is equivalent to  $x \ge -2$ , so the domain is the interval  $[-2, \infty)$ .

(b) Since

$$g(x) = \frac{1}{x^2 - x} = \frac{1}{x(x - 1)}$$

and division by 0 is not allowed, we see that g(x) is not defined when x = 0 or x = 1. Thus the domain of g is

$$\{x \mid x \neq 0, x \neq 1\}$$

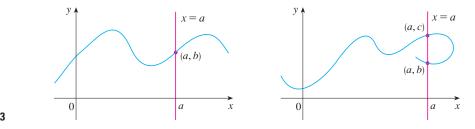
which could also be written in interval notation as

$$(-\infty, 0) \cup (0, 1) \cup (1, \infty)$$

The graph of a function is a curve in the *xy*-plane. But the question arises: Which curves in the *xy*-plane are graphs of functions? This is answered by the following test.

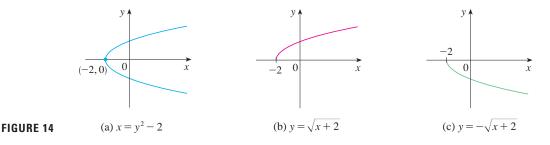
**The Vertical Line Test** A curve in the *xy*-plane is the graph of a function of *x* if and only if no vertical line intersects the curve more than once.

The reason for the truth of the Vertical Line Test can be seen in Figure 13. If each vertical line x = a intersects a curve only once, at (a, b), then exactly one functional value is defined by f(a) = b. But if a line x = a intersects the curve twice, at (a, b) and (a, c), then the curve can't represent a function because a function can't assign two different values to a.



#### **FIGURE 13**

For example, the parabola  $x = y^2 - 2$  shown in Figure 14(a) is not the graph of a function of x because, as you can see, there are vertical lines that intersect the parabola twice. The parabola, however, does contain the graphs of *two* functions of x. Notice that the equation  $x = y^2 - 2$  implies  $y^2 = x + 2$ , so  $y = \pm \sqrt{x + 2}$ . Thus the upper and lower halves of the parabola are the graphs of the functions  $f(x) = \sqrt{x + 2}$  [from Example 6(a)] and  $g(x) = -\sqrt{x + 2}$ . [See Figures 14(b) and (c).] We observe that if we reverse the roles of x and y, then the equation  $x = h(y) = y^2 - 2$  does define x as a function of y (with y as the independent variable and x as the dependent variable) and the parabola now appears as the graph of the function h.



#### Piecewise Defined Functions

The functions in the following four examples are defined by different formulas in different parts of their domains. Such functions are called **piecewise defined functions**.

$$f(x) = \begin{cases} 1 - x & \text{if } x \le -1 \\ x^2 & \text{if } x > -1 \end{cases}$$

Evaluate f(-2), f(-1), and f(0) and sketch the graph.

**SOLUTION** Remember that a function is a rule. For this particular function the rule is the following: First look at the value of the input *x*. If it happens that  $x \le -1$ , then the value of f(x) is 1 - x. On the other hand, if x > -1, then the value of f(x) is  $x^2$ .

Since 
$$-2 \le -1$$
, we have  $f(-2) = 1 - (-2) = 3$ .  
Since  $-1 \le -1$ , we have  $f(-1) = 1 - (-1) = 2$ .  
Since  $0 > -1$ , we have  $f(0) = 0^2 = 0$ .

How do we draw the graph of f? We observe that if  $x \le -1$ , then f(x) = 1 - x, so the part of the graph of f that lies to the left of the vertical line x = -1 must coincide with the line y = 1 - x, which has slope -1 and y-intercept 1. If x > -1, then  $f(x) = x^2$ , so the part of the graph of f that lies to the right of the line x = -1 must coincide with the graph of  $y = x^2$ , which is a parabola. This enables us to sketch the graph in Figure 15. The solid dot indicates that the point (-1, 2) is included on the graph; the open dot indicates that the point (-1, 1) is excluded from the graph.

The next example of a piecewise defined function is the absolute value function. Recall that the **absolute value** of a number a, denoted by |a|, is the distance from a to 0 on the real number line. Distances are always positive or 0, so we have

for every number a

For example,

$$3|=3$$
  $|-3|=3$   $|0|=0$   $|\sqrt{2}-1|=\sqrt{2}-1$   $|3-\pi|=\pi-3$ 

In general, we have

$$|a| = a$$
 if  $a \ge 0$   
 $|a| = -a$  if  $a < 0$ 

(Remember that if *a* is negative, then -a is positive.)

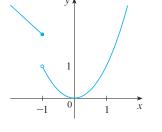
**EXAMPLE 8** Sketch the graph of the absolute value function f(x) = |x|.

 $|a| \ge 0$ 

SOLUTION From the preceding discussion we know that

$$|x| = \begin{cases} x & \text{if } x \ge 0\\ -x & \text{if } x < 0 \end{cases}$$

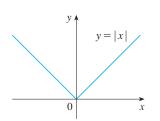
Using the same method as in Example 7, we see that the graph of f coincides with the line y = x to the right of the y-axis and coincides with the line y = -x to the left of the y-axis (see Figure 16).



For a more extensive review of absolute values,



see Appendix A.





**EXAMPLE 9** Find a formula for the function *f* graphed in Figure 17.

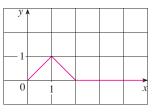


FIGURE 17

SOLUTION The line through (0, 0) and (1, 1) has slope m = 1 and y-intercept b = 0, so its equation is y = x. Thus, for the part of the graph of f that joins (0, 0) to (1, 1), we have

$$f(x) = x$$
 if  $0 \le x \le 1$ 

The line through (1, 1) and (2, 0) has slope m = -1, so its point-slope form is

Point-slope form of the equation of a line:

 $y - y_1 = m(x - x_1)$ See Appendix B.

y - 0 = (-1)(x - 2) or y = 2 - x

So we have

$$f(\mathbf{r}) = 2 - \mathbf{r}$$
 if  $1 < \mathbf{r} \le 2$ 

We also see that the graph of f coincides with the *x*-axis for x > 2. Putting this information together, we have the following three-piece formula for f:

$$f(x) = \begin{cases} x & \text{if } 0 \le x \le 1\\ 2 - x & \text{if } 1 < x \le 2\\ 0 & \text{if } x > 2 \end{cases}$$

**EXAMPLE 10** In Example C at the beginning of this section we considered the cost C(w) of mailing a large envelope with weight w. In effect, this is a piecewise defined function because, from the table of values on page 13, we have

0.00 110 (10 )	
$C(w) = \begin{cases} 0.88 & \text{if } 0 < w \leq \\ 1.05 & \text{if } 1 < w \leq \\ 1.22 & \text{if } 2 < w \leq \\ 1.39 & \text{if } 3 < w \leq \end{cases}$	2
$C(w) = \begin{cases} 1.22 & \text{if } 2 < w \le 1 \end{cases}$	3
1.39 if $3 < w \le$	4

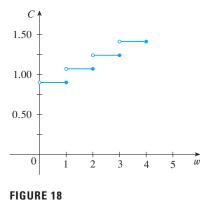
The graph is shown in Figure 18. You can see why functions similar to this one are called **step functions**—they jump from one value to the next. Such functions will be studied in Chapter 2.

#### Symmetry

If a function f satisfies f(-x) = f(x) for every number x in its domain, then f is called an **even function**. For instance, the function  $f(x) = x^2$  is even because

$$f(-x) = (-x)^2 = x^2 = f(x)$$

The geometric significance of an even function is that its graph is symmetric with respect



to the *y*-axis (see Figure 19). This means that if we have plotted the graph of f for  $x \ge 0$ , we obtain the entire graph simply by reflecting this portion about the *y*-axis.

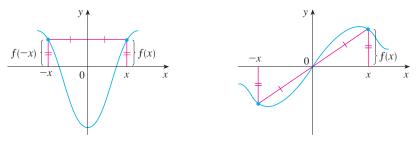


FIGURE 19 An even function

FIGURE 20 An odd function

If f satisfies f(-x) = -f(x) for every number x in its domain, then f is called an **odd** function. For example, the function  $f(x) = x^3$  is odd because

$$f(-x) = (-x)^3 = -x^3 = -f(x)$$

The graph of an odd function is symmetric about the origin (see Figure 20). If we already have the graph of f for  $x \ge 0$ , we can obtain the entire graph by rotating this portion through 180° about the origin.

**V EXAMPLE 11** Determine whether each of the following functions is even, odd, or neither even nor odd.

(a) 
$$f(x) = x^5 + x$$
 (b)  $g(x) = 1 - x^4$  (c)  $h(x) = 2x - x^2$   
SOLUTION  
(a)  $f(-x) = (-x)^5 + (-x) = (-1)^5 x^5 + (-x)$   
 $= -x^5 - x = -(x^5 + x)$ 

= -f(x)

Therefore f is an odd function.

(b) 
$$g(-x) = 1 - (-x)^4 = 1 - x^4 = g(x)$$

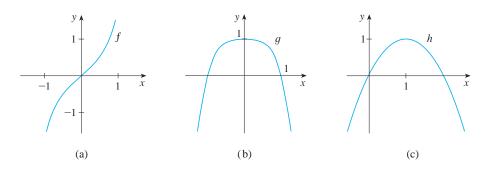
So g is even.

FIGURE 21

(c) 
$$h(-x) = 2(-x) - (-x)^2 = -2x - x^2$$

Since  $h(-x) \neq h(x)$  and  $h(-x) \neq -h(x)$ , we conclude that *h* is neither even nor odd.

The graphs of the functions in Example 11 are shown in Figure 21. Notice that the graph of h is symmetric neither about the *y*-axis nor about the origin.



Increasing and Decreasing Functions

A function f is called **increasing** on an interval I if

It is called **decreasing** on *I* if

 $(-\infty, 0]$  and increasing on the interval  $[0, \infty)$ .

The graph shown in Figure 22 rises from A to B, falls from B to C, and rises again from C

to *D*. The function *f* is said to be increasing on the interval [*a*, *b*], decreasing on [*b*, *c*], and increasing again on [*c*, *d*]. Notice that if  $x_1$  and  $x_2$  are any two numbers between *a* and *b* 

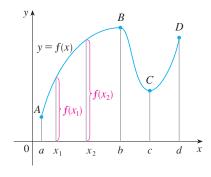
with  $x_1 < x_2$ , then  $f(x_1) < f(x_2)$ . We use this as the defining property of an increasing

 $f(x_1) < f(x_2)$  whenever  $x_1 < x_2$  in I

 $f(x_1) > f(x_2)$  whenever  $x_1 < x_2$  in I

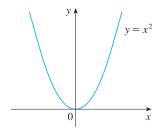
In the definition of an increasing function it is important to realize that the inequality  $f(x_1) < f(x_2)$  must be satisfied for *every* pair of numbers  $x_1$  and  $x_2$  in I with  $x_1 < x_2$ .

You can see from Figure 23 that the function  $f(x) = x^2$  is decreasing on the interval



function.

FIGURE 22





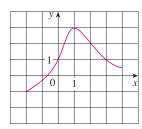
#### 1.1 Exercises

- 1. If  $f(x) = x + \sqrt{2 x}$  and  $g(u) = u + \sqrt{2 u}$ , is it true that f = g?
- **2**. If

$$f(x) = \frac{x^2 - x}{x - 1}$$
 and  $g(x) = x$ 

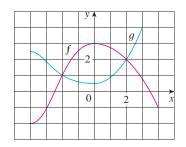
is it true that f = g?

- **3.** The graph of a function *f* is given.
  - (a) State the value of f(1).
  - (b) Estimate the value of f(-1).
  - (c) For what values of x is f(x) = 1?
  - (d) Estimate the value of x such that f(x) = 0.
  - (e) State the domain and range of *f*.
  - (f) On what interval is *f* increasing?



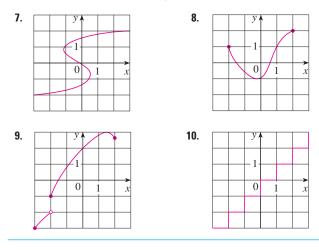
- 4. The graphs of f and g are given.
  - (a) State the values of f(-4) and g(3).
  - (b) For what values of x is f(x) = g(x)?

- (c) Estimate the solution of the equation f(x) = -1.
- (d) On what interval is *f* decreasing?
- (e) State the domain and range of f.
- (f) State the domain and range of g.

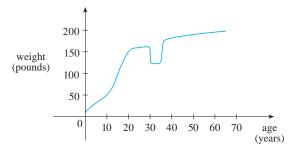


- 5. Figure 1 was recorded by an instrument operated by the California Department of Mines and Geology at the University Hospital of the University of Southern California in Los Angeles. Use it to estimate the range of the vertical ground acceleration function at USC during the Northridge earthquake.
- **6.** In this section we discussed examples of ordinary, everyday functions: Population is a function of time, postage cost is a function of weight, water temperature is a function of time. Give three other examples of functions from everyday life that are described verbally. What can you say about the domain and range of each of your functions? If possible, sketch a rough graph of each function.

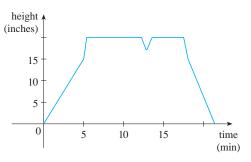
**7–10** Determine whether the curve is the graph of a function of x. If it is, state the domain and range of the function.



**11.** The graph shown gives the weight of a certain person as a function of age. Describe in words how this person's weight varies over time. What do you think happened when this person was 30 years old?

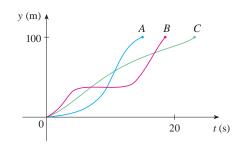


**12.** The graph shows the height of the water in a bathtub as a function of time. Give a verbal description of what you think happened.

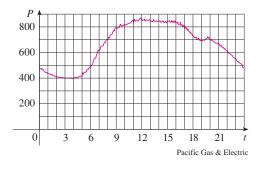


- **13.** You put some ice cubes in a glass, fill the glass with cold water, and then let the glass sit on a table. Describe how the temperature of the water changes as time passes. Then sketch a rough graph of the temperature of the water as a function of the elapsed time.
- **14.** Three runners compete in a 100-meter race. The graph depicts the distance run as a function of time for each runner. Describe

in words what the graph tells you about this race. Who won the race? Did each runner finish the race?



- **15.** The graph shows the power consumption for a day in September in San Francisco. (*P* is measured in megawatts; *t* is measured in hours starting at midnight.)
  - (a) What was the power consumption at 6 AM? At 6 PM?
  - (b) When was the power consumption the lowest? When was it the highest? Do these times seem reasonable?



- **16.** Sketch a rough graph of the number of hours of daylight as a function of the time of year.
- **17.** Sketch a rough graph of the outdoor temperature as a function of time during a typical spring day.
- **18.** Sketch a rough graph of the market value of a new car as a function of time for a period of 20 years. Assume the car is well maintained.
- **19.** Sketch the graph of the amount of a particular brand of coffee sold by a store as a function of the price of the coffee.
- **20.** You place a frozen pie in an oven and bake it for an hour. Then you take it out and let it cool before eating it. Describe how the temperature of the pie changes as time passes. Then sketch a rough graph of the temperature of the pie as a function of time.
- **21.** A homeowner mows the lawn every Wednesday afternoon. Sketch a rough graph of the height of the grass as a function of time over the course of a four-week period.
- **22.** An airplane takes off from an airport and lands an hour later at another airport, 400 miles away. If *t* represents the time in minutes since the plane has left the terminal building, let x(t) be

the horizontal distance traveled and y(t) be the altitude of the plane.

- (a) Sketch a possible graph of x(t).
- (b) Sketch a possible graph of y(t).
- (c) Sketch a possible graph of the ground speed.
- (d) Sketch a possible graph of the vertical velocity.
- **23.** The number *N* (in millions) of US cellular phone subscribers is shown in the table. (Midyear estimates are given.)

t	1996	1998	2000	2002	2004	2006
N	44	69	109	141	182	233

- (a) Use the data to sketch a rough graph of N as a function of t.
- (b) Use your graph to estimate the number of cell-phone subscribers at midyear in 2001 and 2005.
- **24.** Temperature readings *T* (in °F) were recorded every two hours from midnight to 2:00 PM in Phoenix on September 10, 2008. The time *t* was measured in hours from midnight.

t	0	2	4	6	8	10	12	14
Т	82	75	74	75	84	90	93	94

- (a) Use the readings to sketch a rough graph of *T* as a function of *t*.
- (b) Use your graph to estimate the temperature at 9:00 AM.
- **25.** If  $f(x) = 3x^2 x + 2$ , find f(2), f(-2), f(a), f(-a), f(a + 1), 2f(a), f(2a),  $f(a^2)$ ,  $[f(a)]^2$ , and f(a + h).
- **26.** A spherical balloon with radius *r* inches has volume  $V(r) = \frac{4}{3}\pi r^3$ . Find a function that represents the amount of air required to inflate the balloon from a radius of *r* inches to a radius of r + 1 inches.

**27–30** Evaluate the difference quotient for the given function. Simplify your answer.

27. 
$$f(x) = 4 + 3x - x^2$$
,  $\frac{f(3+h) - f(3)}{h}$   
28.  $f(x) = x^3$ ,  $\frac{f(a+h) - f(a)}{h}$   
29.  $f(x) = \frac{1}{x}$ ,  $\frac{f(x) - f(a)}{x - a}$   
30.  $f(x) = \frac{x+3}{x+1}$ ,  $\frac{f(x) - f(1)}{x - 1}$ 

**31–37** Find the domain of the function.

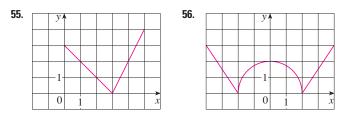
**31.** 
$$f(x) = \frac{x+4}{x^2-9}$$
  
**32.**  $f(x) = \frac{2x^3-5}{x^2+x-6}$   
**33.**  $f(t) = \sqrt[3]{2t-1}$   
**34.**  $g(t) = \sqrt{3-t} - \sqrt{2+t}$ 

**35.** 
$$h(x) = \frac{1}{\sqrt[4]{x^2 - 5x}}$$
  
**36.**  $f(u) = \frac{u+1}{1+\frac{1}{u+1}}$   
**37.**  $F(p) = \sqrt{2 - \sqrt{p}}$ 

- **38.** Find the domain and range and sketch the graph of the function  $h(x) = \sqrt{4 x^2}$ .
- **39–50** Find the domain and sketch the graph of the function.
- **39.** f(x) = 2 0.4x **40.**  $F(x) = x^2 - 2x + 1$  **41.**  $f(t) = 2t + t^2$  **42.**  $H(t) = \frac{4 - t^2}{2 - t}$  **43.**  $g(x) = \sqrt{x - 5}$  **44.** F(x) = |2x + 1| **45.**  $G(x) = \frac{3x + |x|}{x}$  **46.** g(x) = |x| - x **47.**  $f(x) = \begin{cases} x + 2 & \text{if } x < 0 \\ 1 - x & \text{if } x \ge 0 \end{cases}$  **48.**  $f(x) = \begin{cases} 3 - \frac{1}{2}x & \text{if } x \le 2 \\ 2x - 5 & \text{if } x > 2 \end{cases}$  **49.**  $f(x) = \begin{cases} x + 2 & \text{if } x < -1 \\ x^2 & \text{if } x > -1 \end{cases}$ **50.**  $f(x) = \begin{cases} x + 9 & \text{if } x < -3 \\ -2x & \text{if } |x| \le 3 \\ -6 & \text{if } x > 3 \end{cases}$

**51–56** Find an expression for the function whose graph is the given curve.

- **51.** The line segment joining the points (1, -3) and (5, 7)
- **52.** The line segment joining the points (-5, 10) and (7, -10)
- 53. The bottom half of the parabola  $x + (y 1)^2 = 0$
- **54.** The top half of the circle  $x^2 + (y 2)^2 = 4$



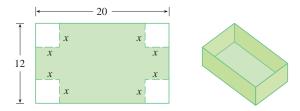
**57–61** Find a formula for the described function and state its domain.

**57.** A rectangle has perimeter 20 m. Express the area of the rectangle as a function of the length of one of its sides.

- **58.** A rectangle has area 16 m<sup>2</sup>. Express the perimeter of the rectangle as a function of the length of one of its sides.
- **59.** Express the area of an equilateral triangle as a function of the length of a side.
- **60.** Express the surface area of a cube as a function of its volume.
- **61.** An open rectangular box with volume 2 m<sup>3</sup> has a square base. Express the surface area of the box as a function of the length of a side of the base.
- **62.** A Norman window has the shape of a rectangle surmounted by a semicircle. If the perimeter of the window is 30 ft, express the area *A* of the window as a function of the width *x* of the window.



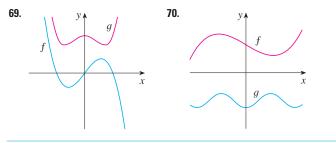
**63.** A box with an open top is to be constructed from a rectangular piece of cardboard with dimensions 12 in. by 20 in. by cutting out equal squares of side x at each corner and then folding up the sides as in the figure. Express the volume V of the box as a function of x.



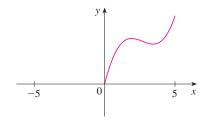
- 64. A cell phone plan has a basic charge of \$35 a month. The plan includes 400 free minutes and charges 10 cents for each additional minute of usage. Write the monthly cost *C* as a function of the number *x* of minutes used and graph *C* as a function of *x* for 0 ≤ x ≤ 600.
- **65.** In a certain state the maximum speed permitted on freeways is 65 mi/h and the minimum speed is 40 mi/h. The fine for violating these limits is \$15 for every mile per hour above the maximum speed or below the minimum speed. Express the amount of the fine *F* as a function of the driving speed *x* and graph F(x) for  $0 \le x \le 100$ .
- **66.** An electricity company charges its customers a base rate of \$10 a month, plus 6 cents per kilowatt-hour (kWh) for the first 1200 kWh and 7 cents per kWh for all usage over 1200 kWh. Express the monthly cost *E* as a function of the amount *x* of electricity used. Then graph the function *E* for  $0 \le x \le 2000$ .

- **67.** In a certain country, income tax is assessed as follows. There is no tax on income up to \$10,000. Any income over \$10,000 is taxed at a rate of 10%, up to an income of \$20,000. Any income over \$20,000 is taxed at 15%.
  - (a) Sketch the graph of the tax rate *R* as a function of the income *I*.
  - (b) How much tax is assessed on an income of \$14,000? On \$26,000?
  - (c) Sketch the graph of the total assessed tax *T* as a function of the income *I*.
- **68.** The functions in Example 10 and Exercise 67 are called *step functions* because their graphs look like stairs. Give two other examples of step functions that arise in everyday life.

**69–70** Graphs of f and g are shown. Decide whether each function is even, odd, or neither. Explain your reasoning.



- **71.** (a) If the point (5, 3) is on the graph of an even function, what other point must also be on the graph?
  - (b) If the point (5, 3) is on the graph of an odd function, what other point must also be on the graph?
- **72.** A function *f* has domain [-5, 5] and a portion of its graph is shown.
  - (a) Complete the graph of f if it is known that f is even.
  - (b) Complete the graph of f if it is known that f is odd.



**73–78** Determine whether f is even, odd, or neither. If you have a graphing calculator, use it to check your answer visually.

**73.** 
$$f(x) = \frac{x}{x^2 + 1}$$
  
**74.**  $f(x) = \frac{x^2}{x^4 + 1}$   
**75.**  $f(x) = \frac{x}{x^2 + 1}$   
**76.**  $f(x) = x |x|$ 

$$x + 1$$

**77.** 
$$f(x) = 1 + 3x^2 - x^4$$
 **78.**  $f(x) = 1 + 3x^3 - x^5$ 

- **79.** If f and g are both even functions, is f + g even? If f and g are both odd functions, is f + g odd? What if f is even and g is odd? Justify your answers.
- **80.** If *f* and *g* are both even functions, is the product *fg* even? If *f* and *g* are both odd functions, is *fg* odd? What if *f* is even and *g* is odd? Justify your answers.

#### **1.2** Mathematical Models: A Catalog of Essential Functions

A **mathematical model** is a mathematical description (often by means of a function or an equation) of a real-world phenomenon such as the size of a population, the demand for a product, the speed of a falling object, the concentration of a product in a chemical reaction, the life expectancy of a person at birth, or the cost of emission reductions. The purpose of the model is to understand the phenomenon and perhaps to make predictions about future behavior.

Figure 1 illustrates the process of mathematical modeling. Given a real-world problem, our first task is to formulate a mathematical model by identifying and naming the independent and dependent variables and making assumptions that simplify the phenomenon enough to make it mathematically tractable. We use our knowledge of the physical situation and our mathematical skills to obtain equations that relate the variables. In situations where there is no physical law to guide us, we may need to collect data (either from a library or the Internet or by conducting our own experiments) and examine the data in the form of a table in order to discern patterns. From this numerical representation of a function we may wish to obtain a graphical representation by plotting the data. The graph might even suggest a suitable algebraic formula in some cases.

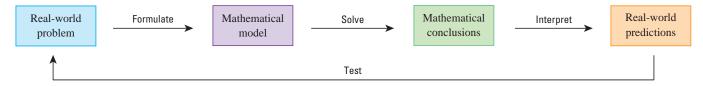


FIGURE 1 The modeling process

The second stage is to apply the mathematics that we know (such as the calculus that will be developed throughout this book) to the mathematical model that we have formulated in order to derive mathematical conclusions. Then, in the third stage, we take those mathematical conclusions and interpret them as information about the original real-world phenomenon by way of offering explanations or making predictions. The final step is to test our predictions by checking against new real data. If the predictions don't compare well with reality, we need to refine our model or to formulate a new model and start the cycle again.

A mathematical model is never a completely accurate representation of a physical situation—it is an *idealization*. A good model simplifies reality enough to permit mathematical calculations but is accurate enough to provide valuable conclusions. It is important to realize the limitations of the model. In the end, Mother Nature has the final say.

There are many different types of functions that can be used to model relationships observed in the real world. In what follows, we discuss the behavior and graphs of these functions and give examples of situations appropriately modeled by such functions.

#### Linear Models

The coordinate geometry of lines is reviewed in Appendix B.

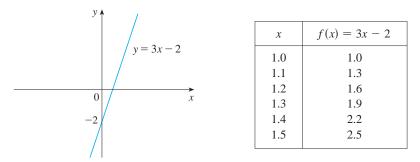
When we say that *y* is a **linear function** of *x*, we mean that the graph of the function is a line, so we can use the slope-intercept form of the equation of a line to write a formula for

the function as

$$y = f(x) = mx + b$$

where *m* is the slope of the line and *b* is the *y*-intercept.

A characteristic feature of linear functions is that they grow at a constant rate. For instance, Figure 2 shows a graph of the linear function f(x) = 3x - 2 and a table of sample values. Notice that whenever x increases by 0.1, the value of f(x) increases by 0.3. So f(x)increases three times as fast as x. Thus the slope of the graph y = 3x - 2, namely 3, can be interpreted as the rate of change of y with respect to x.



**FIGURE 2** 

#### V EXAMPLE 1

(a) As dry air moves upward, it expands and cools. If the ground temperature is 20°C and the temperature at a height of 1 km is 10°C, express the temperature *T* (in °C) as a function of the height *h* (in kilometers), assuming that a linear model is appropriate.
(b) Draw the graph of the function in part (a). What does the slope represent?
(c) What is the temperature at a height of 2.5 km?

#### SOLUTION

(a) Because we are assuming that T is a linear function of h, we can write

$$T = mh + b$$

We are given that T = 20 when h = 0, so

 $20 = m \cdot 0 + b = b$ 

In other words, the *y*-intercept is b = 20. We are also given that T = 10 when h = 1, so

$$10 = m \cdot 1 + 20$$

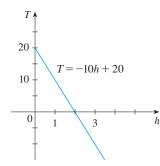
The slope of the line is therefore m = 10 - 20 = -10 and the required linear function is

$$T = -10h + 20$$

(b) The graph is sketched in Figure 3. The slope is  $m = -10^{\circ}$ C/km, and this represents the rate of change of temperature with respect to height.

(c) At a height of h = 2.5 km, the temperature is

$$T = -10(2.5) + 20 = -5^{\circ}C$$



If there is no physical law or principle to help us formulate a model, we construct an **empirical model**, which is based entirely on collected data. We seek a curve that "fits" the data in the sense that it captures the basic trend of the data points.

**EXAMPLE 2** Table 1 lists the average carbon dioxide level in the atmosphere, measured in parts per million at Mauna Loa Observatory from 1980 to 2008. Use the data in Table 1 to find a model for the carbon dioxide level.

**SOLUTION** We use the data in Table 1 to make the scatter plot in Figure 4, where *t* represents time (in years) and *C* represents the  $CO_2$  level (in parts per million, ppm).

	ТАР	BLE 1								•
				380 +					•	
Year	CO <sub>2</sub> level (in ppm)	Year	CO <sub>2</sub> level (in ppm)	370 -						
1980 1982	338.7 341.2	1996 1998	362.4 366.5	360 -			. •			
1984 1986	344.4 347.2	2000 2002	369.4 373.2	350 -		• • •				
1988 1990	351.5 354.2	2004 2006	377.5 381.9	340 -	•					
1992 1994	356.3 358.6	2008	385.6	1980	1985	1990	1995	2000	2005	2010 t
1994	358.6			1980	1985	1990	1995	2000	2005	2010 <sup>t</sup>

**FIGURE 4** Scatter plot for the average CO<sub>2</sub> level

Notice that the data points appear to lie close to a straight line, so it's natural to choose a linear model in this case. But there are many possible lines that approximate these data points, so which one should we use? One possibility is the line that passes through the first and last data points. The slope of this line is

$$\frac{385.6 - 338.7}{2008 - 1980} = \frac{46.9}{28} = 1.675$$

and its equation is

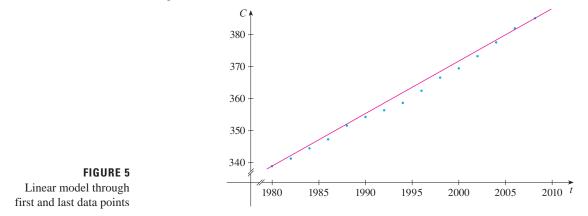
$$C - 338.7 = 1.675(t - 1980)$$

or

1

$$C = 1.675t - 2977.8$$

Equation 1 gives one possible linear model for the carbon dioxide level; it is graphed in Figure 5.



A computer or graphing calculator finds the regression line by the method of **least squares**, which is to minimize the sum of the squares of the vertical distances between the data points and the line. The details are explained in Section 14.7.

Notice that our model gives values higher than most of the actual  $CO_2$  levels. A better linear model is obtained by a procedure from statistics called *linear regression*. If we use a graphing calculator, we enter the data from Table 1 into the data editor and choose the linear regression command. (With Maple we use the fit[leastsquare] command in the stats package; with Mathematica we use the Fit command.) The machine gives the slope and *y*-intercept of the regression line as

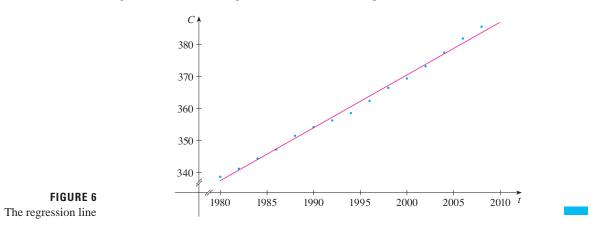
$$m = 1.65429$$
  $b = -2938.07$ 

So our least squares model for the CO<sub>2</sub> level is

1

**2** 
$$C = 1.65429t - 2938.07$$

In Figure 6 we graph the regression line as well as the data points. Comparing with Figure 5, we see that it gives a better fit than our previous linear model.



**EXAMPLE 3** Use the linear model given by Equation 2 to estimate the average  $CO_2$  level for 1987 and to predict the level for the year 2015. According to this model, when will the  $CO_2$  level exceed 420 parts per million?

SOLUTION Using Equation 2 with t = 1987, we estimate that the average CO<sub>2</sub> level in 1987 was

$$C(1987) = (1.65429)(1987) - 2938.07 \approx 349.00$$

This is an example of *interpolation* because we have estimated a value *between* observed values. (In fact, the Mauna Loa Observatory reported that the average  $CO_2$  level in 1987 was 348.93 ppm, so our estimate is quite accurate.)

With t = 2015, we get

$$C(2015) = (1.65429)(2015) - 2938.07 \approx 395.32$$

So we predict that the average  $CO_2$  level in the year 2015 will be 395.3 ppm. This is an example of *extrapolation* because we have predicted a value *outside* the region of observations. Consequently, we are far less certain about the accuracy of our prediction.

Using Equation 2, we see that the  $CO_2$  level exceeds 420 ppm when

$$1.65429t - 2938.07 > 420$$

Solving this inequality, we get

$$t > \frac{3358.07}{1.65429} \approx 2029.92$$

We therefore predict that the  $CO_2$  level will exceed 420 ppm by the year 2030. This prediction is risky because it involves a time quite remote from our observations. In fact, we see from Figure 6 that the trend has been for  $CO_2$  levels to increase rather more rapidly in recent years, so the level might exceed 420 ppm well before 2030.

#### Polynomials

A function *P* is called a **polynomial** if

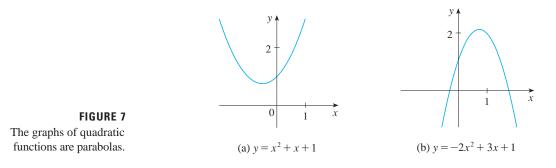
$$P(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_2 x^2 + a_1 x + a_0$$

where *n* is a nonnegative integer and the numbers  $a_0, a_1, a_2, \ldots, a_n$  are constants called the **coefficients** of the polynomial. The domain of any polynomial is  $\mathbb{R} = (-\infty, \infty)$ . If the leading coefficient  $a_n \neq 0$ , then the **degree** of the polynomial is *n*. For example, the function

$$P(x) = 2x^6 - x^4 + \frac{2}{5}x^3 + \sqrt{2}$$

is a polynomial of degree 6.

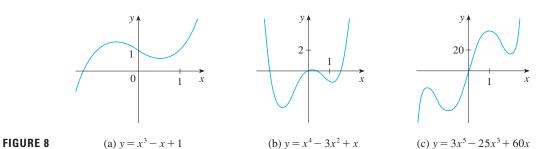
A polynomial of degree 1 is of the form P(x) = mx + b and so it is a linear function. A polynomial of degree 2 is of the form  $P(x) = ax^2 + bx + c$  and is called a **quadratic function**. Its graph is always a parabola obtained by shifting the parabola  $y = ax^2$ , as we will see in the next section. The parabola opens upward if a > 0 and downward if a < 0. (See Figure 7.)



A polynomial of degree 3 is of the form

$$P(x) = ax^3 + bx^2 + cx + d \qquad a \neq 0$$

and is called a **cubic function**. Figure 8 shows the graph of a cubic function in part (a) and graphs of polynomials of degrees 4 and 5 in parts (b) and (c). We will see later why the graphs have these shapes.

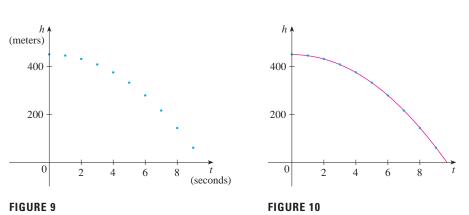


Polynomials are commonly used to model various quantities that occur in the natural and social sciences. For instance, in Section 2.7 we will explain why economists often use a polynomial P(x) to represent the cost of producing x units of a commodity. In the following example we use a quadratic function to model the fall of a ball.

**EXAMPLE 4** A ball is dropped from the upper observation deck of the CN Tower, 450 m above the ground, and its height *h* above the ground is recorded at 1-second intervals in Table 2. Find a model to fit the data and use the model to predict the time at which the ball hits the ground.

**SOLUTION** We draw a scatter plot of the data in Figure 9 and observe that a linear model is inappropriate. But it looks as if the data points might lie on a parabola, so we try a quadratic model instead. Using a graphing calculator or computer algebra system (which uses the least squares method), we obtain the following quadratic model:

 $h = 449.36 + 0.96t - 4.90t^2$ 



Scatter plot for a falling ball

3

Quadratic model for a falling ball

In Figure 10 we plot the graph of Equation 3 together with the data points and see that the quadratic model gives a very good fit.

The ball hits the ground when h = 0, so we solve the quadratic equation

$$-4.90t^2 + 0.96t + 449.36 = 0$$

The quadratic formula gives

$$t = \frac{-0.96 \pm \sqrt{(0.96)^2 - 4(-4.90)(449.36)}}{2(-4.90)}$$

The positive root is  $t \approx 9.67$ , so we predict that the ball will hit the ground after about 9.7 seconds.

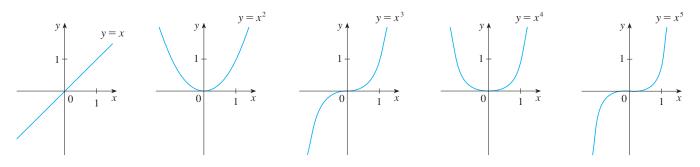
#### Power Functions

A function of the form  $f(x) = x^a$ , where *a* is a constant, is called a **power function**. We consider several cases.

TABLE 2				
Time (seconds)	Height (meters)			
0	450			
1	445			
2	431			
3	408			
4	375			
5	332			
6	279			
7	216			
8	143			
9	61			

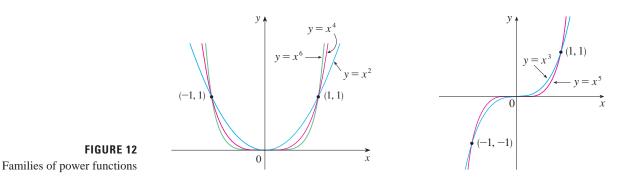
#### (i) a = n, where *n* is a positive integer

The graphs of  $f(x) = x^n$  for n = 1, 2, 3, 4, and 5 are shown in Figure 11. (These are polynomials with only one term.) We already know the shape of the graphs of y = x (a line through the origin with slope 1) and  $y = x^2$  [a parabola, see Example 2(b) in Section 1.1].



**FIGURE 11** Graphs of  $f(x) = x^n$  for n = 1, 2, 3, 4, 5

The general shape of the graph of  $f(x) = x^n$  depends on whether *n* is even or odd. If *n* is even, then  $f(x) = x^n$  is an even function and its graph is similar to the parabola  $y = x^2$ . If *n* is odd, then  $f(x) = x^n$  is an odd function and its graph is similar to that of  $y = x^3$ . Notice from Figure 12, however, that as *n* increases, the graph of  $y = x^n$  becomes flatter near 0 and steeper when  $|x| \ge 1$ . (If *x* is small, then  $x^2$  is smaller,  $x^3$  is even smaller,  $x^4$  is smaller still, and so on.)



#### (ii) a = 1/n, where *n* is a positive integer

The function  $f(x) = x^{1/n} = \sqrt[n]{x}$  is a **root function**. For n = 2 it is the square root function  $f(x) = \sqrt{x}$ , whose domain is  $[0, \infty)$  and whose graph is the upper half of the parabola  $x = y^2$ . [See Figure 13(a).] For other even values of *n*, the graph of  $y = \sqrt[n]{x}$  is similar to that of  $y = \sqrt{x}$ . For n = 3 we have the cube root function  $f(x) = \sqrt[3]{x}$  whose domain is  $\mathbb{R}$  (recall that every real number has a cube root) and whose graph is shown in Figure 13(b). The graph of  $y = \sqrt[n]{x}$  for *n* odd (*n* > 3) is similar to that of  $y = \sqrt[3]{x}$ .

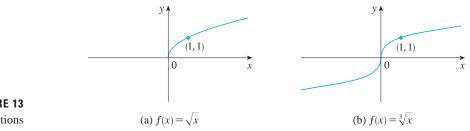
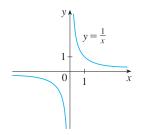


FIGURE 13 Graphs of root functions



**FIGURE 14** The reciprocal function



The graph of the **reciprocal function**  $f(x) = x^{-1} = 1/x$  is shown in Figure 14. Its graph has the equation y = 1/x, or xy = 1, and is a hyperbola with the coordinate axes as its asymptotes. This function arises in physics and chemistry in connection with Boyle's Law, which says that, when the temperature is constant, the volume *V* of a gas is inversely proportional to the pressure *P*:

 $V = \frac{C}{P}$ 

where *C* is a constant. Thus the graph of *V* as a function of *P* (see Figure 15) has the same general shape as the right half of Figure 14.

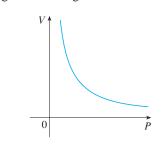


FIGURE 15 Volume as a function of pressure at constant temperature

Power functions are also used to model species-area relationships (Exercises 26-27), illumination as a function of a distance from a light source (Exercise 25), and the period of revolution of a planet as a function of its distance from the sun (Exercise 28).

#### Rational Functions

A **rational function** *f* is a ratio of two polynomials:

$$f(x) = \frac{P(x)}{Q(x)}$$

where *P* and *Q* are polynomials. The domain consists of all values of *x* such that  $Q(x) \neq 0$ . A simple example of a rational function is the function f(x) = 1/x, whose domain is  $\{x \mid x \neq 0\}$ ; this is the reciprocal function graphed in Figure 14. The function

$$f(x) = \frac{2x^4 - x^2 + 1}{x^2 - 4}$$

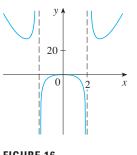
is a rational function with domain  $\{x \mid x \neq \pm 2\}$ . Its graph is shown in Figure 16.

#### Algebraic Functions

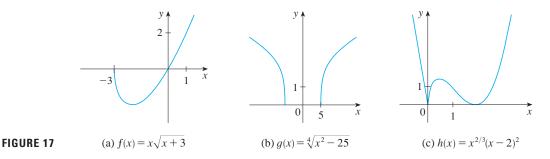
A function *f* is called an **algebraic function** if it can be constructed using algebraic operations (such as addition, subtraction, multiplication, division, and taking roots) starting with polynomials. Any rational function is automatically an algebraic function. Here are two more examples:

$$f(x) = \sqrt{x^2 + 1} \qquad g(x) = \frac{x^4 - 16x^2}{x + \sqrt{x}} + (x - 2)\sqrt[3]{x + 1}$$

When we sketch algebraic functions in Chapter 3, we will see that their graphs can assume a variety of shapes. Figure 17 illustrates some of the possibilities.







An example of an algebraic function occurs in the theory of relativity. The mass of a particle with velocity v is

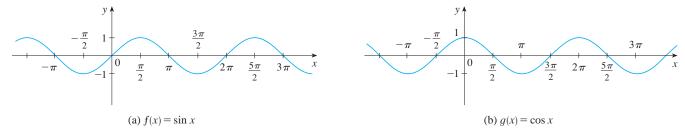
$$m = f(v) = \frac{m_0}{\sqrt{1 - v^2/c^2}}$$

where  $m_0$  is the rest mass of the particle and  $c = 3.0 \times 10^5$  km/s is the speed of light in a vacuum.

#### Trigonometric Functions

The Reference Pages are located at the front and back of the book.

Trigonometry and the trigonometric functions are reviewed on Reference Page 2 and also in Appendix D. In calculus the convention is that radian measure is always used (except when otherwise indicated). For example, when we use the function  $f(x) = \sin x$ , it is understood that sin x means the sine of the angle whose radian measure is x. Thus the graphs of the sine and cosine functions are as shown in Figure 18.



**FIGURE 18** 

Notice that for both the sine and cosine functions the domain is  $(-\infty, \infty)$  and the range is the closed interval [-1, 1]. Thus, for all values of *x*, we have

$$-1 \le \sin x \le 1$$
  $-1 \le \cos x \le 1$ 

or, in terms of absolute values,

 $|\sin x| \le 1$   $|\cos x| \le 1$ 

Also, the zeros of the sine function occur at the integer multiples of  $\pi$ ; that is,

 $\sin x = 0$  when  $x = n\pi$  *n* an integer

An important property of the sine and cosine functions is that they are periodic functions and have period  $2\pi$ . This means that, for all values of *x*,

$$\sin(x + 2\pi) = \sin x \qquad \cos(x + 2\pi) = \cos x$$

3π

2

The periodic nature of these functions makes them suitable for modeling repetitive phenomena such as tides, vibrating springs, and sound waves. For instance, in Example 4 in Section 1.3 we will see that a reasonable model for the number of hours of daylight in Philadelphia t days after January 1 is given by the function

$$L(t) = 12 + 2.8 \sin\left[\frac{2\pi}{365}(t - 80)\right]$$

The tangent function is related to the sine and cosine functions by the equation

$$\tan x = \frac{\sin x}{\cos x}$$

and its graph is shown in Figure 19. It is undefined whenever  $\cos x = 0$ , that is, when  $x = \pm \pi/2, \pm 3\pi/2, \ldots$  Its range is  $(-\infty, \infty)$ . Notice that the tangent function has period  $\pi$ :

$$\tan(x + \pi) = \tan x$$
 for all x

The remaining three trigonometric functions (cosecant, secant, and cotangent) are the reciprocals of the sine, cosine, and tangent functions. Their graphs are shown in Appendix D.

#### Exponential Functions

The **exponential functions** are the functions of the form  $f(x) = a^x$ , where the base *a* is a positive constant. The graphs of  $y = 2^x$  and  $y = (0.5)^x$  are shown in Figure 20. In both cases the domain is  $(-\infty, \infty)$  and the range is  $(0, \infty)$ .

Exponential functions will be studied in detail in Chapter 6, and we will see that they are useful for modeling many natural phenomena, such as population growth (if a > 1) and radioactive decay (if a < 1).

#### Logarithmic Functions

The **logarithmic functions**  $f(x) = \log_a x$ , where the base *a* is a positive constant, are the inverse functions of the exponential functions. They will be studied in Chapter 6. Figure 21 shows the graphs of four logarithmic functions with various bases. In each case the domain is  $(0, \infty)$ , the range is  $(-\infty, \infty)$ , and the function increases slowly when x > 1.

**EXAMPLE 5** Classify the following functions as one of the types of functions that we have discussed. (a)  $f(x) = 5^x$  (b)  $q(x) = x^5$ 

(a) 
$$f(x) = 5^x$$
  
(c)  $h(x) = \frac{1+x}{1-\sqrt{x}}$ 

#### SOLUTION

(a)  $f(x) = 5^x$  is an exponential function. (The *x* is the exponent.)

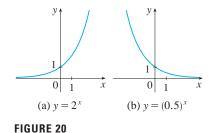
(b)  $g(x) = x^5$  is a power function. (The x is the base.) We could also consider it to be a polynomial of degree 5.

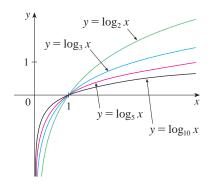
(d)  $u(t) = 1 - t + 5t^4$ 

(c) 
$$h(x) = \frac{1+x}{1-\sqrt{x}}$$
 is an algebraic function.  
(d)  $u(t) = 1 - t + 5t^4$  is a polynomial of degree 4.

 $-\frac{3\pi}{2} - \pi - \frac{\pi}{2} \qquad 0 \qquad \frac{\pi}{2}$ 







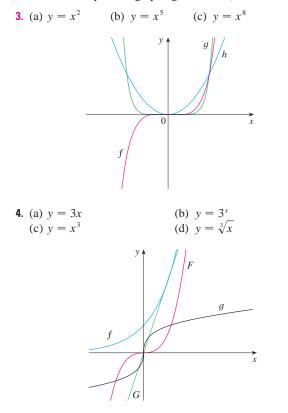


### 1.2 Exercises

**1–2** Classify each function as a power function, root function, polynomial (state its degree), rational function, algebraic function, trigonometric function, exponential function, or logarithmic function.

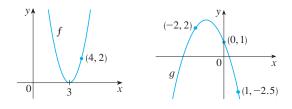
1. (a)  $f(x) = \log_2 x$ (b)  $g(x) = \sqrt[4]{x}$ (c)  $h(x) = \frac{2x^3}{1 - x^2}$ (d)  $u(t) = 1 - 1.1t + 2.54t^2$ (e)  $v(t) = 5^t$ (f)  $w(\theta) = \sin \theta \cos^2 \theta$ 2. (a)  $y = \pi^x$ (b)  $y = x^{\pi}$ (c)  $y = x^2(2 - x^3)$ (d)  $y = \tan t - \cos t$ (e)  $y = \frac{s}{1 + s}$ (f)  $y = \frac{\sqrt{x^3 - 1}}{1 + \sqrt[3]{x}}$ 

**3–4** Match each equation with its graph. Explain your choices. (Don't use a computer or graphing calculator.)



- **5.** (a) Find an equation for the family of linear functions with slope 2 and sketch several members of the family.
  - (b) Find an equation for the family of linear functions such that f(2) = 1 and sketch several members of the family.
  - f(2) = 1 and sketch several members of the family (c) Which function belongs to both families?

- 6. What do all members of the family of linear functions f(x) = 1 + m(x + 3) have in common? Sketch several members of the family.
- What do all members of the family of linear functions f(x) = c x have in common? Sketch several members of the family.
- **8.** Find expressions for the quadratic functions whose graphs are shown.



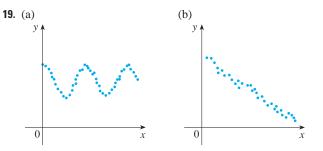
- **9.** Find an expression for a cubic function f if f(1) = 6 and f(-1) = f(0) = f(2) = 0.
- 10. Recent studies indicate that the average surface temperature of the earth has been rising steadily. Some scientists have modeled the temperature by the linear function T = 0.02t + 8.50, where T is temperature in °C and t represents years since 1900.
  - (a) What do the slope and *T*-intercept represent?
  - (b) Use the equation to predict the average global surface temperature in 2100.
- **11.** If the recommended adult dosage for a drug is D (in mg), then to determine the appropriate dosage c for a child of age a, pharmacists use the equation c = 0.0417D(a + 1). Suppose the dosage for an adult is 200 mg.

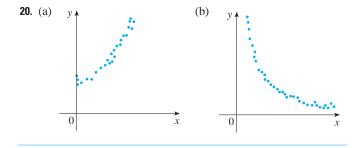
(a) Find the slope of the graph of *c*. What does it represent?(b) What is the dosage for a newborn?

- 12. The manager of a weekend flea market knows from past experience that if he charges x dollars for a rental space at the market, then the number y of spaces he can rent is given by the equation y = 200 4x.
  - (a) Sketch a graph of this linear function. (Remember that the rental charge per space and the number of spaces rented can't be negative quantities.)
  - (b) What do the slope, the *y*-intercept, and the *x*-intercept of the graph represent?
- 13. The relationship between the Fahrenheit (F) and Celsius (C) temperature scales is given by the linear function F = <sup>9</sup>/<sub>5</sub>C + 32. (a) Sketch a graph of this function.
  - (b) What is the slope of the graph and what does it represent? What is the *F*-intercept and what does it represent?
- **14.** Jason leaves Detroit at 2:00 PM and drives at a constant speed west along I-96. He passes Ann Arbor, 40 mi from Detroit, at 2:50 PM.
  - (a) Express the distance traveled in terms of the time elapsed.

- (b) Draw the graph of the equation in part (a).
- (c) What is the slope of this line? What does it represent?
- 15. Biologists have noticed that the chirping rate of crickets of a certain species is related to temperature, and the relationship appears to be very nearly linear. A cricket produces 113 chirps per minute at 70°F and 173 chirps per minute at 80°F.
  - (a) Find a linear equation that models the temperature T as a function of the number of chirps per minute N.
  - (b) What is the slope of the graph? What does it represent?
  - (c) If the crickets are chirping at 150 chirps per minute, estimate the temperature.
- **16.** The manager of a furniture factory finds that it costs \$2200 to manufacture 100 chairs in one day and \$4800 to produce 300 chairs in one day.
  - (a) Express the cost as a function of the number of chairs produced, assuming that it is linear. Then sketch the graph.
  - (b) What is the slope of the graph and what does it represent?
  - (c) What is the *y*-intercept of the graph and what does it represent?
- 17. At the surface of the ocean, the water pressure is the same as the air pressure above the water, 15 lb/in<sup>2</sup>. Below the surface, the water pressure increases by 4.34 lb/in<sup>2</sup> for every 10 ft of descent.
  - (a) Express the water pressure as a function of the depth below the ocean surface.
  - (b) At what depth is the pressure  $100 \text{ lb/in}^2$ ?
- 18. The monthly cost of driving a car depends on the number of miles driven. Lynn found that in May it cost her \$380 to drive 480 mi and in June it cost her \$460 to drive 800 mi.
  - (a) Express the monthly cost *C* as a function of the distance driven *d*, assuming that a linear relationship gives a suitable model.
  - (b) Use part (a) to predict the cost of driving 1500 miles per month.
  - (c) Draw the graph of the linear function. What does the slope represent?
  - (d) What does the C-intercept represent?
  - (e) Why does a linear function give a suitable model in this situation?

**19–20** For each scatter plot, decide what type of function you might choose as a model for the data. Explain your choices.





21. The table shows (lifetime) peptic ulcer rates (per 100 population) for various family incomes as reported by the National Health Interview Survey.

Income	Ulcer rate (per 100 population)
\$4,000	14.1
\$6,000	13.0
\$8,000	13.4
\$12,000	12.5
\$16,000	12.0
\$20,000	12.4
\$30,000	10.5
\$45,000	9.4
\$60,000	8.2

- (a) Make a scatter plot of these data and decide whether a linear model is appropriate.
- (b) Find and graph a linear model using the first and last data points.
- (c) Find and graph the least squares regression line.
- (d) Use the linear model in part (c) to estimate the ulcer rate for an income of \$25,000.
- (e) According to the model, how likely is someone with an income of \$80,000 to suffer from peptic ulcers?
- (f) Do you think it would be reasonable to apply the model to someone with an income of \$200,000?
- 22. Biologists have observed that the chirping rate of crickets of a certain species appears to be related to temperature. The table shows the chirping rates for various temperatures.

Temperature (°F)	Chirping rate (chirps/min)	Temperature (°F)	Chirping rate (chirps/min)
50	20	75	140
55	46	80	173
60	79	85	198
65	91	90	211
70	113		

- (a) Make a scatter plot of the data.
- (b) Find and graph the regression line.
- (c) Use the linear model in part (b) to estimate the chirping rate at  $100^{\circ}$ F.

Year	Height (m)	Year	Height (m)
1896	3.30	1960	4.70
1900	3.30	1964	5.10
1904	3.50	1968	5.40
1908	3.71	1972	5.64
1912	3.95	1976	5.64
1920	4.09	1980	5.78
1924	3.95	1984	5.75
1928	4.20	1988	5.90
1932	4.31	1992	5.87
1936	4.35	1996	5.92
1948	4.30	2000	5.90
1952	4.55	2004	5.95
1956	4.56		

**23.** The table gives the winning heights for the men's Olympic pole vault competitions up to the year 2004.

- (a) Make a scatter plot and decide whether a linear model is appropriate.
- (b) Find and graph the regression line.
- (c) Use the linear model to predict the height of the winning pole vault at the 2008 Olympics and compare with the actual winning height of 5.96 meters.
- (d) Is it reasonable to use the model to predict the winning height at the 2100 Olympics?
- 24. The table shows the percentage of the population of Argentina that has lived in rural areas from 1955 to 2000.
   Find a model for the data and use it to estimate the rural percentage in 1988 and 2002.

Year	Percentage rural	Year	Percentage rural
1955	30.4	1980	17.1
1960	26.4	1985	15.0
1965	23.6	1990	13.0
1970	21.1	1995	11.7
1975	19.0	2000	10.5

- **25.** Many physical quantities are connected by *inverse square laws*, that is, by power functions of the form  $f(x) = kx^{-2}$ . In particular, the illumination of an object by a light source is inversely proportional to the square of the distance from the source. Suppose that after dark you are in a room with just one lamp and you are trying to read a book. The light is too dim and so you move halfway to the lamp. How much brighter is the light?
- **26.** It makes sense that the larger the area of a region, the larger the number of species that inhabit the region. Many

ecologists have modeled the species-area relation with a power function and, in particular, the number of species *S* of bats living in caves in central Mexico has been related to the surface area *A* of the caves by the equation  $S = 0.7A^{0.3}$ .

- (a) The cave called *Misión Imposible* near Puebla, Mexico, has a surface area of  $A = 60 \text{ m}^2$ . How many species of bats would you expect to find in that cave?
- (b) If you discover that four species of bats live in a cave, estimate the area of the cave.
- 27. The table shows the number N of species of reptiles and amphibians inhabiting Caribbean islands and the area A of the island in square miles.

Island	Α	Ν
Saba	4	5
Monserrat	40	9
Puerto Rico	3,459	40
Jamaica	4,411	39
Hispaniola	29,418	84
Cuba	44,218	76

- (a) Use a power function to model N as a function of A.
- (b) The Caribbean island of Dominica has area 291 m<sup>2</sup>. How many species of reptiles and amphibians would you expect to find on Dominica?
- **28.** The table shows the mean (average) distances d of the planets from the sun (taking the unit of measurement to be the distance from the earth to the sun) and their periods T (time of revolution in years).

Planet	d	Т
Mercury	0.387	0.241
Venus	0.723	0.615
Earth	1.000	1.000
Mars	1.523	1.881
Jupiter	5.203	11.861
Saturn	9.541	29.457
Uranus	19.190	84.008
Neptune	30.086	164.784

- (a) Fit a power model to the data.
- (b) Kepler's Third Law of Planetary Motion states that

"The square of the period of revolution of a planet is proportional to the cube of its mean distance from the sun."

Does your model corroborate Kepler's Third Law?

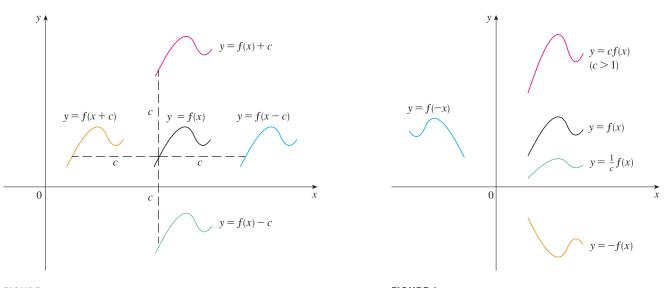
#### **1.3** New Functions from Old Functions

In this section we start with the basic functions we discussed in Section 1.2 and obtain new functions by shifting, stretching, and reflecting their graphs. We also show how to combine pairs of functions by the standard arithmetic operations and by composition.

#### Transformations of Functions

By applying certain transformations to the graph of a given function we can obtain the graphs of certain related functions. This will give us the ability to sketch the graphs of many functions quickly by hand. It will also enable us to write equations for given graphs. Let's first consider **translations**. If *c* is a positive number, then the graph of y = f(x) + c is just the graph of y = f(x) shifted upward a distance of *c* units (because each *y*-coordinate is increased by the same number *c*). Likewise, if g(x) = f(x - c), where c > 0, then the value of *g* at *x* is the same as the value of *f* at x - c (*c* units to the left of *x*). Therefore the graph of y = f(x - c) is just the graph of y = f(x) shifted *c* units to the right (see Figure 1).

Vertical and Horizontal Shifts Suppose c > 0. To obtain the graph of y = f(x) + c, shift the graph of y = f(x) a distance *c* units upward y = f(x) - c, shift the graph of y = f(x) a distance *c* units downward y = f(x - c), shift the graph of y = f(x) a distance *c* units to the right y = f(x + c), shift the graph of y = f(x) a distance *c* units to the left



**FIGURE 1** Translating the graph of *f* 

**FIGURE 2** Stretching and reflecting the graph of *f* 

Now let's consider the **stretching** and **reflecting** transformations. If c > 1, then the graph of y = cf(x) is the graph of y = f(x) stretched by a factor of c in the vertical direction (because each y-coordinate is multiplied by the same number c). The graph of y = -f(x) is the graph of y = f(x) reflected about the x-axis because the point (x, y) is

replaced by the point (x, -y). (See Figure 2 and the following chart, where the results of other stretching, shrinking, and reflecting transformations are also given.)

**Vertical and Horizontal Stretching and Reflecting** Suppose c > 1. To obtain the graph of

y = cf(x), stretch the graph of $y = f(x)$ vertically by a factor of $c$	
y = (1/c)f(x), shrink the graph of $y = f(x)$ vertically by a factor of $c$	
y = f(cx), shrink the graph of $y = f(x)$ horizontally by a factor of $c$	
y = f(x/c), stretch the graph of $y = f(x)$ horizontally by a factor of $c$	
y = -f(x), reflect the graph of $y = f(x)$ about the <i>x</i> -axis	
y = f(-x), reflect the graph of $y = f(x)$ about the y-axis	

Figure 3 illustrates these stretching transformations when applied to the cosine function with c = 2. For instance, in order to get the graph of  $y = 2 \cos x$  we multiply the *y*-coordinate of each point on the graph of  $y = \cos x$  by 2. This means that the graph of  $y = \cos x$  gets stretched vertically by a factor of 2.

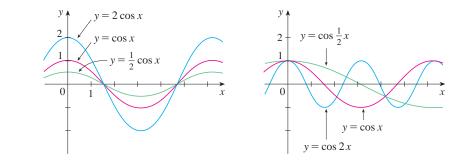
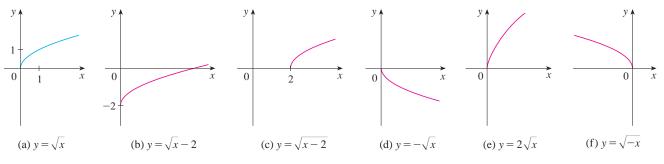


FIGURE 3

**EXAMPLE 1** Given the graph of  $y = \sqrt{x}$ , use transformations to graph  $y = \sqrt{x} - 2$ ,  $y = \sqrt{x - 2}$ ,  $y = -\sqrt{x}$ ,  $y = 2\sqrt{x}$ , and  $y = \sqrt{-x}$ .

**SOLUTION** The graph of the square root function  $y = \sqrt{x}$ , obtained from Figure 13(a) in Section 1.2, is shown in Figure 4(a). In the other parts of the figure we sketch  $y = \sqrt{x} - 2$  by shifting 2 units downward,  $y = \sqrt{x - 2}$  by shifting 2 units to the right,  $y = -\sqrt{x}$  by reflecting about the *x*-axis,  $y = 2\sqrt{x}$  by stretching vertically by a factor of 2, and  $y = \sqrt{-x}$  by reflecting about the *y*-axis.



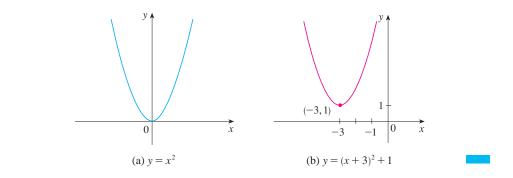
**FIGURE 4** 

**EXAMPLE 2** Sketch the graph of the function  $f(x) = x^2 + 6x + 10$ .

SOLUTION Completing the square, we write the equation of the graph as

$$y = x^{2} + 6x + 10 = (x + 3)^{2} + 1$$

This means we obtain the desired graph by starting with the parabola  $y = x^2$  and shifting 3 units to the left and then 1 unit upward (see Figure 5).

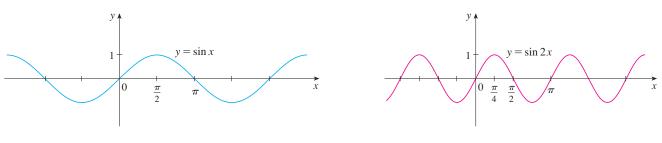


**FIGURE 5** 

**EXAMPLE 3** Sketch the graphs of the following functions. (a)  $y = \sin 2x$  (b)  $y = 1 - \sin x$ 

#### SOLUTION

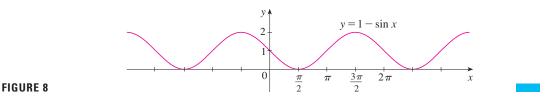
(a) We obtain the graph of  $y = \sin 2x$  from that of  $y = \sin x$  by compressing horizontally by a factor of 2. (See Figures 6 and 7.) Thus, whereas the period of  $y = \sin x$  is  $2\pi$ , the period of  $y = \sin 2x$  is  $2\pi/2 = \pi$ .



**FIGURE 6** 

#### **FIGURE 7**

(b) To obtain the graph of  $y = 1 - \sin x$ , we again start with  $y = \sin x$ . We reflect about the *x*-axis to get the graph of  $y = -\sin x$  and then we shift 1 unit upward to get  $y = 1 - \sin x$ . (See Figure 8.)



**EXAMPLE 4** Figure 9 shows graphs of the number of hours of daylight as functions of the time of the year at several latitudes. Given that Philadelphia is located at approximately 40°N latitude, find a function that models the length of daylight at Philadelphia.

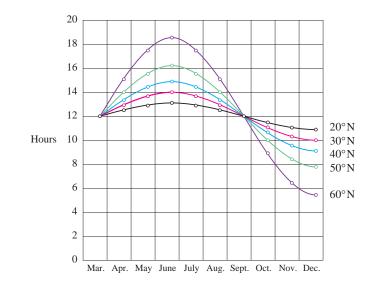


FIGURE 9 Graph of the length of daylight from March 21 through December 21 at various latitudes Lucia C. Harrison, Daylight, Twilight, Darkness and Time (New York, 1935) page 40.

**SOLUTION** Notice that each curve resembles a shifted and stretched sine function. By looking at the blue curve we see that, at the latitude of Philadelphia, daylight lasts about 14.8 hours on June 21 and 9.2 hours on December 21, so the amplitude of the curve (the factor by which we have to stretch the sine curve vertically) is  $\frac{1}{2}(14.8 - 9.2) = 2.8$ .

By what factor do we need to stretch the sine curve horizontally if we measure the time *t* in days? Because there are about 365 days in a year, the period of our model should be 365. But the period of  $y = \sin t$  is  $2\pi$ , so the horizontal stretching factor is  $c = 2\pi/365$ .

We also notice that the curve begins its cycle on March 21, the 80th day of the year, so we have to shift the curve 80 units to the right. In addition, we shift it 12 units upward. Therefore we model the length of daylight in Philadelphia on the tth day of the year by the function

$$L(t) = 12 + 2.8 \sin\left[\frac{2\pi}{365}(t - 80)\right]$$

Another transformation of some interest is taking the *absolute value* of a function. If y = |f(x)|, then according to the definition of absolute value, y = f(x) when  $f(x) \ge 0$  and y = -f(x) when f(x) < 0. This tells us how to get the graph of y = |f(x)| from the graph of y = f(x): The part of the graph that lies above the *x*-axis remains the same; the part that lies below the *x*-axis is reflected about the *x*-axis.

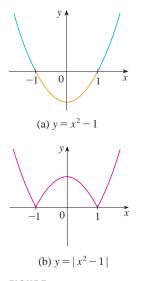
**EXAMPLE 5** Sketch the graph of the function  $y = |x^2 - 1|$ .

**SOLUTION** We first graph the parabola  $y = x^2 - 1$  in Figure 10(a) by shifting the parabola  $y = x^2$  downward 1 unit. We see that the graph lies below the *x*-axis when -1 < x < 1, so we reflect that part of the graph about the *x*-axis to obtain the graph of  $y = |x^2 - 1|$  in Figure 10(b).

#### Combinations of Functions

Two functions f and g can be combined to form new functions f + g, f - g, fg, and f/g in a manner similar to the way we add, subtract, multiply, and divide real numbers. The sum and difference functions are defined by

$$(f+g)(x) = f(x) + g(x)$$
  $(f-g)(x) = f(x) - g(x)$ 



If the domain of f is A and the domain of g is B, then the domain of f + g is the intersection  $A \cap B$  because both f(x) and g(x) have to be defined. For example, the domain of  $f(x) = \sqrt{x}$  is  $A = [0, \infty)$  and the domain of  $g(x) = \sqrt{2 - x}$  is  $B = (-\infty, 2]$ , so the domain of  $(f + g)(x) = \sqrt{x} + \sqrt{2 - x}$  is  $A \cap B = [0, 2]$ .

Similarly, the product and quotient functions are defined by

$$(fg)(x) = f(x)g(x)$$
  $\left(\frac{f}{g}\right)(x) = \frac{f(x)}{g(x)}$ 

The domain of fg is  $A \cap B$ , but we can't divide by 0 and so the domain of f/g is  $\{x \in A \cap B \mid g(x) \neq 0\}$ . For instance, if  $f(x) = x^2$  and g(x) = x - 1, then the domain of the rational function  $(f/g)(x) = x^2/(x - 1)$  is  $\{x \mid x \neq 1\}$ , or  $(-\infty, 1) \cup (1, \infty)$ .

There is another way of combining two functions to obtain a new function. For example, suppose that  $y = f(u) = \sqrt{u}$  and  $u = g(x) = x^2 + 1$ . Since y is a function of u and u is, in turn, a function of x, it follows that y is ultimately a function of x. We compute this by substitution:

$$y = f(u) = f(g(x)) = f(x^2 + 1) = \sqrt{x^2 + 1}$$

The procedure is called *composition* because the new function is *composed* of the two given functions f and g.

In general, given any two functions f and g, we start with a number x in the domain of g and find its image g(x). If this number g(x) is in the domain of f, then we can calculate the value of f(g(x)). Notice that the output of one function is used as the input to the next function. The result is a new function h(x) = f(g(x)) obtained by substituting g into f. It is called the *composition* (or *composite*) of f and g and is denoted by  $f \circ g$  ("f circle g").

**Definition** Given two functions f and g, the **composite function**  $f \circ g$  (also called the **composition** of f and g) is defined by

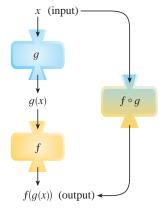
$$(f \circ g)(x) = f(g(x))$$

The domain of  $f \circ g$  is the set of all x in the domain of g such that g(x) is in the domain of f. In other words,  $(f \circ g)(x)$  is defined whenever both g(x) and f(g(x)) are defined. Figure 11 shows how to picture  $f \circ g$  in terms of machines.

**EXAMPLE 6** If 
$$f(x) = x^2$$
 and  $g(x) = x - 3$ , find the composite functions  $f \circ g$  and  $g \circ f$ .  
SOLUTION We have

$$(f \circ g)(x) = f(g(x)) = f(x - 3) = (x - 3)^2$$
$$(g \circ f)(x) = g(f(x)) = g(x^2) = x^2 - 3$$

**NOTE** You can see from Example 6 that, in general,  $f \circ g \neq g \circ f$ . Remember, the notation  $f \circ g$  means that the function g is applied first and then f is applied second. In Example 6,  $f \circ g$  is the function that *first* subtracts 3 and *then* squares;  $g \circ f$  is the function that *first* squares and *then* subtracts 3.



#### **FIGURE 11**

The  $f \circ g$  machine is composed of the *g* machine (first) and then the *f* machine.

**V EXAMPLE 7** If  $f(x) = \sqrt{x}$  and  $g(x) = \sqrt{2 - x}$ , find each function and its domain. (a)  $f \circ g$  (b)  $g \circ f$  (c)  $f \circ f$  (d)  $g \circ g$ 

SOLUTION

(a) 
$$(f \circ g)(x) = f(g(x)) = f(\sqrt{2-x}) = \sqrt{\sqrt{2-x}} = \sqrt[4]{2-x}$$

The domain of  $f \circ g$  is  $\{x \mid 2 - x \ge 0\} = \{x \mid x \le 2\} = (-\infty, 2].$ 

(b) 
$$(g \circ f)(x) = g(f(x)) = g(\sqrt{x}) = \sqrt{2 - \sqrt{x}}$$

For  $\sqrt{x}$  to be defined we must have  $x \ge 0$ . For  $\sqrt{2 - \sqrt{x}}$  to be defined we must have  $2 - \sqrt{x} \ge 0$ , that is,  $\sqrt{x} \le 2$ , or  $x \le 4$ . Thus we have  $0 \le x \le 4$ , so the domain of  $g \circ f$  is the closed interval [0, 4].

(c) 
$$(f \circ f)(x) = f(f(x)) = f(\sqrt{x}) = \sqrt{\sqrt{x}} = \sqrt[4]{x}$$

The domain of  $f \circ f$  is  $[0, \infty)$ .

(d) 
$$(g \circ g)(x) = g(g(x)) = g(\sqrt{2-x}) = \sqrt{2-\sqrt{2-x}}$$

This expression is defined when both  $2 - x \ge 0$  and  $2 - \sqrt{2 - x} \ge 0$ . The first inequality means  $x \le 2$ , and the second is equivalent to  $\sqrt{2 - x} \le 2$ , or  $2 - x \le 4$ , or  $x \ge -2$ . Thus  $-2 \le x \le 2$ , so the domain of  $g \circ g$  is the closed interval [-2, 2].

It is possible to take the composition of three or more functions. For instance, the composite function  $f \circ g \circ h$  is found by first applying *h*, then *g*, and then *f* as follows:

$$(f \circ g \circ h)(x) = f(g(h(x)))$$

**EXAMPLE 8** Find  $f \circ g \circ h$  if f(x) = x/(x + 1),  $g(x) = x^{10}$ , and h(x) = x + 3.

SOLUTION

 $(f \circ g \circ h)(x) = f(g(h(x))) = f(g(x + 3))$ 

$$=f((x+3)^{10})=\frac{(x+3)^{10}}{(x+3)^{10}+1}$$

So far we have used composition to build complicated functions from simpler ones. But in calculus it is often useful to be able to *decompose* a complicated function into simpler ones, as in the following example.

**EXAMPLE 9** Given  $F(x) = \cos^2(x + 9)$ , find functions f, g, and h such that  $F = f \circ g \circ h$ . SOLUTION Since  $F(x) = [\cos(x + 9)]^2$ , the formula for F says: First add 9, then take the cosine of the result, and finally square. So we let

$$h(x) = x + 9 \qquad g(x) = \cos x \qquad f(x) = x^2$$
  
Then 
$$(f \circ g \circ h)(x) = f(g(h(x))) = f(g(x + 9)) = f(\cos(x + 9))$$
$$= [\cos(x + 9)]^2 = F(x)$$

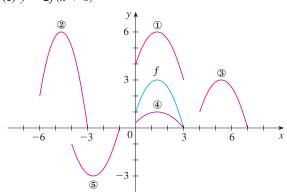
If  $0 \le a \le b$ , then  $a^2 \le b^2$ .

# **1.3** Exercises

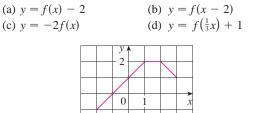
- 1. Suppose the graph of *f* is given. Write equations for the graphs that are obtained from the graph of *f* as follows.
  - (a) Shift 3 units upward. (b) Shift 3 units downward.
  - (c) Shift 3 units to the right. (d) Shift 3 units to the left.
  - (e) Reflect about the x-axis. (f) Reflect about the y-axis.
  - (g) Stretch vertically by a factor of 3.
  - (h) Shrink vertically by a factor of 3.
- **2.** Explain how each graph is obtained from the graph of y = f(x).

(a) y = f(x) + 8(b) y = f(x + 8)(c) y = 8f(x)(d) y = f(8x)(e) y = -f(x) - 1(f)  $y = 8f(\frac{1}{8}x)$ 

- **3.** The graph of y = f(x) is given. Match each equation with its graph and give reasons for your choices.
  - (a) y = f(x 4)(b) y = f(x) + 3(c)  $y = \frac{1}{3}f(x)$ (d) y = -f(x + 4)(e) y = 2f(x + 6)

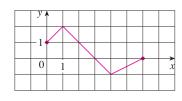


**4.** The graph of *f* is given. Draw the graphs of the following functions.

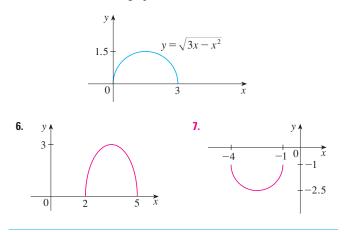


5. The graph of *f* is given. Use it to graph the following functions.





6–7 The graph of  $y = \sqrt{3x - x^2}$  is given. Use transformations to create a function whose graph is as shown.

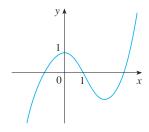


- 8. (a) How is the graph of  $y = 2 \sin x$  related to the graph of  $y = \sin x$ ? Use your answer and Figure 6 to sketch the graph of  $y = 2 \sin x$ .
  - (b) How is the graph of y = 1 + √x related to the graph of y = √x? Use your answer and Figure 4(a) to sketch the graph of y = 1 + √x.

**9–24** Graph the function by hand, not by plotting points, but by starting with the graph of one of the standard functions given in Section 1.2, and then applying the appropriate transformations.

- 9.  $y = \frac{1}{x+2}$ **10.**  $y = (x - 1)^3$ 11.  $v = -\sqrt[3]{x}$ **12.**  $y = x^2 + 6x + 4$ **13.**  $v = \sqrt{x-2} - 1$ **14.**  $v = 4 \sin 3x$ **16.**  $y = \frac{2}{x} - 2$ **15.**  $y = \sin(\frac{1}{2}x)$ **18.**  $v = 1 - 2\sqrt{x+3}$ **17.**  $v = \frac{1}{2}(1 - \cos x)$ **19.**  $v = 1 - 2x - x^2$ **20.** v = |x| - 2**22.**  $y = \frac{1}{4} \tan\left(x - \frac{\pi}{4}\right)$ **21.** y = |x - 2|**23.**  $v = \lfloor \sqrt{x} - 1 \rfloor$ **24.**  $y = |\cos \pi x|$
- **25.** The city of New Orleans is located at latitude 30°N. Use Figure 9 to find a function that models the number of hours of daylight at New Orleans as a function of the time of year. To check the accuracy of your model, use the fact that on March 31 the sun rises at 5:51 AM and sets at 6:18 PM in New Orleans.

- **26.** A variable star is one whose brightness alternately increases and decreases. For the most visible variable star, Delta Cephei, the time between periods of maximum brightness is 5.4 days, the average brightness (or magnitude) of the star is 4.0, and its brightness varies by  $\pm 0.35$  magnitude. Find a function that models the brightness of Delta Cephei as a function of time.
- **27.** (a) How is the graph of y = f(|x|) related to the graph of f?
  - (b) Sketch the graph of  $y = \sin |x|$ .
  - (c) Sketch the graph of  $y = \sqrt{|x|}$ .
- **28.** Use the given graph of f to sketch the graph of y = 1/f(x). Which features of f are the most important in sketching y = 1/f(x)? Explain how they are used.



**29–30** Find (a) f + g, (b) f - g, (c) fg, and (d) f/g and state their domains.

**29.**  $f(x) = x^3 + 2x^2$ ,  $g(x) = 3x^2 - 1$ **30.**  $f(x) = \sqrt{3 - x}$ ,  $g(x) = \sqrt{x^2 - 1}$ 

**31–36** Find the functions (a)  $f \circ g$ , (b)  $g \circ f$ , (c)  $f \circ f$ , and (d)  $g \circ g$  and their domains.

**31.** 
$$f(x) = x^2 - 1$$
,  $g(x) = 2x + 1$   
**32.**  $f(x) = x - 2$ ,  $g(x) = x^2 + 3x + 4$   
**33.**  $f(x) = 1 - 3x$ ,  $g(x) = \cos x$   
**34.**  $f(x) = \sqrt{x}$ ,  $g(x) = \sqrt[3]{1 - x}$   
**35.**  $f(x) = x + \frac{1}{x}$ ,  $g(x) = \frac{x + 1}{x + 2}$   
**36.**  $f(x) = \frac{x}{1 + x}$ ,  $g(x) = \sin 2x$ 

**37–40** Find  $f \circ g \circ h$ . **37.** f(x) = 3x - 2,  $g(x) = \sin x$ ,  $h(x) = x^2$  **38.** f(x) = |x - 4|,  $g(x) = 2^x$ ,  $h(x) = \sqrt{x}$  **39.**  $f(x) = \sqrt{x - 3}$ ,  $g(x) = x^2$ ,  $h(x) = x^3 + 2$ **40.**  $f(x) = \tan x$ ,  $g(x) = \frac{x}{x - 1}$ ,  $h(x) = \sqrt[3]{x}$  **41–46** Express the function in the form  $f \circ g$ .

**41.** 
$$F(x) = (2x + x^2)^4$$
  
**42.**  $F(x) = \cos^2 x$   
**43.**  $F(x) = \frac{\sqrt[3]{x}}{1 + \sqrt[3]{x}}$   
**44.**  $G(x) = \sqrt[3]{\frac{x}{1 + x}}$   
**45.**  $v(t) = \sec(t^2)\tan(t^2)$   
**46.**  $u(t) = \frac{\tan t}{1 + \tan t}$ 

**47–49** Express the function in the form  $f \circ g \circ h$ .

g(x)

6

**47.**  $R(x) = \sqrt{\sqrt{x} - 1}$  **48.**  $H(x) = \sqrt[8]{2 + |x|}$ **49.**  $H(x) = \sec^4(\sqrt{x})$ 

**50.** Use the table to evaluate each expression. (a) f(g(1)) (b) g(f(1)) (c) f(f(1))

(d) <i>g</i> ( <i>g</i>	$g(g(1))$ (e) $(g \circ f$			∘ <i>f</i> )(3	)	(	f) ( <i>f</i>	• g)(6)
	x	1	2	3	4	5	6	
	f(x)	3	1	4	2	2	5	

2

2

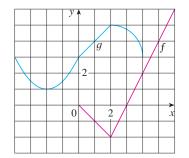
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**51.** Use the given graphs of f and g to evaluate each expression, or explain why it is undefined.

3

(a) $f(g(2))$	(b) $g(f(0))$	(c) $(f \circ g)(0)$
(d) $(q \circ f)(6)$	(e) $(q \circ q)(-2)$	(f) $(f \circ f)(4)$



**52.** Use the given graphs of f and g to estimate the value of f(g(x)) for  $x = -5, -4, -3, \ldots, 5$ . Use these estimates to sketch a rough graph of  $f \circ g$ .

				y ,	L.				
$\sum$		/			/	g			$\square$
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- **53**. A stone is dropped into a lake, creating a circular ripple that travels outward at a speed of 60 cm/s.
  - (a) Express the radius r of this circle as a function of the time t (in seconds).
  - (b) If A is the area of this circle as a function of the radius, find A o r and interpret it.
- **54.** A spherical balloon is being inflated and the radius of the balloon is increasing at a rate of 2 cm/s.
  - (a) Express the radius *r* of the balloon as a function of the time *t* (in seconds).
  - (b) If *V* is the volume of the balloon as a function of the radius, find  $V \circ r$  and interpret it.
- **55.** A ship is moving at a speed of 30 km/h parallel to a straight shoreline. The ship is 6 km from shore and it passes a lighthouse at noon.
  - (a) Express the distance s between the lighthouse and the ship as a function of d, the distance the ship has traveled since noon; that is, find f so that s = f(d).
  - (b) Express d as a function of t, the time elapsed since noon; that is, find g so that d = g(t).
  - (c) Find  $f \circ g$ . What does this function represent?
- **56.** An airplane is flying at a speed of 350 mi/h at an altitude of one mile and passes directly over a radar station at time t = 0.
  - (a) Express the horizontal distance *d* (in miles) that the plane has flown as a function of *t*.
  - (b) Express the distance *s* between the plane and the radar station as a function of *d*.
  - (c) Use composition to express s as a function of t.
- 57. The Heaviside function H is defined by

$$H(t) = \begin{cases} 0 & \text{if } t < 0\\ 1 & \text{if } t \ge 0 \end{cases}$$

It is used in the study of electric circuits to represent the sudden surge of electric current, or voltage, when a switch is instantaneously turned on.

- (a) Sketch the graph of the Heaviside function.
- (b) Sketch the graph of the voltage V(t) in a circuit if the switch is turned on at time t = 0 and 120 volts are applied instantaneously to the circuit. Write a formula for V(t) in terms of H(t).

- (c) Sketch the graph of the voltage V(t) in a circuit if the switch is turned on at time t = 5 seconds and 240 volts are applied instantaneously to the circuit. Write a formula for V(t) in terms of H(t). (Note that starting at t = 5 corresponds to a translation.)
- **58.** The Heaviside function defined in Exercise 57 can also be used to define the **ramp function** y = ctH(t), which represents a gradual increase in voltage or current in a circuit.
  - (a) Sketch the graph of the ramp function y = tH(t).
  - (b) Sketch the graph of the voltage V(t) in a circuit if the switch is turned on at time t = 0 and the voltage is gradually increased to 120 volts over a 60-second time interval. Write a formula for V(t) in terms of H(t) for t ≤ 60.
  - (c) Sketch the graph of the voltage V(t) in a circuit if the switch is turned on at time t = 7 seconds and the voltage is gradually increased to 100 volts over a period of 25 seconds. Write a formula for V(t) in terms of H(t) for t ≤ 32.
- **59.** Let f and g be linear functions with equations  $f(x) = m_1 x + b_1$ and  $g(x) = m_2 x + b_2$ . Is  $f \circ g$  also a linear function? If so, what is the slope of its graph?
- 60. If you invest *x* dollars at 4% interest compounded annually, then the amount *A*(*x*) of the investment after one year is *A*(*x*) = 1.04*x*. Find *A* ∘ *A*, *A* ∘ *A* ∘ *A*, and *A* ∘ *A* ∘ *A* ∘ *A*. What do these compositions represent? Find a formula for the composition of *n* copies of *A*.
- **61.** (a) If g(x) = 2x + 1 and  $h(x) = 4x^2 + 4x + 7$ , find a function f such that  $f \circ g = h$ . (Think about what operations you would have to perform on the formula for g to end up with the formula for h.)
  - (b) If f(x) = 3x + 5 and h(x) = 3x<sup>2</sup> + 3x + 2, find a function g such that f ∘ g = h.
- **62.** If f(x) = x + 4 and h(x) = 4x 1, find a function g such that  $g \circ f = h$ .
- **63.** Suppose *g* is an even function and let  $h = f \circ g$ . Is *h* always an even function?
- **64.** Suppose g is an odd function and let  $h = f \circ g$ . Is h always an odd function? What if f is odd? What if f is even?

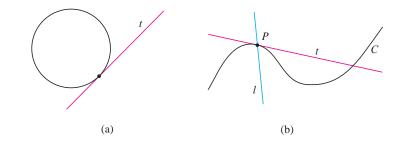
# **1.4** The Tangent and Velocity Problems

In this section we see how limits arise when we attempt to find the tangent to a curve or the velocity of an object.

# The Tangent Problem

The word *tangent* is derived from the Latin word *tangens*, which means "touching." Thus a tangent to a curve is a line that touches the curve. In other words, a tangent line should have the same direction as the curve at the point of contact. How can this idea be made precise?

For a circle we could simply follow Euclid and say that a tangent is a line that intersects the circle once and only once, as in Figure 1(a). For more complicated curves this definition is inadequate. Figure 1(b) shows two lines l and t passing through a point P on a curve C. The line l intersects C only once, but it certainly does not look like what we think of as a tangent. The line t, on the other hand, looks like a tangent but it intersects C twice.



To be specific, let's look at the problem of trying to find a tangent line *t* to the parabola  $y = x^2$  in the following example.

**V EXAMPLE 1** Find an equation of the tangent line to the parabola  $y = x^2$  at the point P(1, 1).

**SOLUTION** We will be able to find an equation of the tangent line *t* as soon as we know its slope *m*. The difficulty is that we know only one point, *P*, on *t*, whereas we need two points to compute the slope. But observe that we can compute an approximation to *m* by choosing a nearby point  $Q(x, x^2)$  on the parabola (as in Figure 2) and computing the slope  $m_{PQ}$  of the secant line *PQ*. [A **secant line**, from the Latin word *secans*, meaning cutting, is a line that cuts (intersects) a curve more than once.]

We choose  $x \neq 1$  so that  $Q \neq P$ . Then

$$m_{PQ} = \frac{x^2 - 1}{x - 1}$$

For instance, for the point Q(1.5, 2.25) we have

$$m_{PQ} = \frac{2.25 - 1}{1.5 - 1} = \frac{1.25}{0.5} = 2.5$$

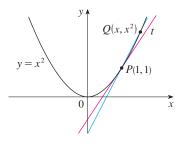
The tables in the margin show the values of  $m_{PQ}$  for several values of x close to 1. The closer Q is to P, the closer x is to 1 and, it appears from the tables, the closer  $m_{PQ}$  is to 2. This suggests that the slope of the tangent line t should be m = 2.

We say that the slope of the tangent line is the *limit* of the slopes of the secant lines, and we express this symbolically by writing

$$\lim_{Q \to P} m_{PQ} = m$$
 and  $\lim_{x \to 1} \frac{x^2 - 1}{x - 1} = 2$ 

Assuming that the slope of the tangent line is indeed 2, we use the point-slope form of the equation of a line (see Appendix B) to write the equation of the tangent line through (1, 1) as

$$y - 1 = 2(x - 1)$$
 or  $y = 2x - 1$ 



**FIGURE 1** 



x	$m_{PQ}$
2	3
1.5	2.5
1.1	2.1
1.01	2.01
1.001	2.001
x	$m_{PQ}$

x	$m_{PQ}$
0	1
0.5	1.5
0.9	1.9
0.99	1.99
0.999	1.999

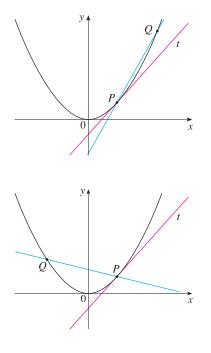
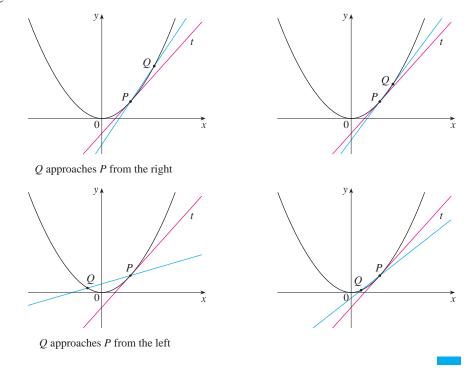


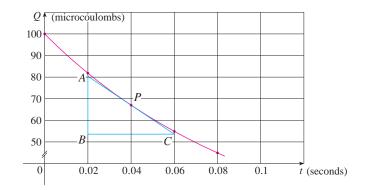
Figure 3 illustrates the limiting process that occurs in this example. As Q approaches P along the parabola, the corresponding secant lines rotate about P and approach the tangent line t.



Many functions that occur in science are not described by explicit equations; they are defined by experimental data. The next example shows how to estimate the slope of the tangent line to the graph of such a function.

**EXAMPLE 2** The flash unit on a camera operates by storing charge on a capacitor and releasing it suddenly when the flash is set off. The data in the table describe the charge Q remaining on the capacitor (measured in microcoulombs) at time t (measured in seconds after the flash goes off). Use the data to draw the graph of this function and estimate the slope of the tangent line at the point where t = 0.04. [*Note:* The slope of the tangent line represents the electric current flowing from the capacitor to the flash bulb (measured in microcoupers).]

**SOLUTION** In Figure 4 we plot the given data and use them to sketch a curve that approximates the graph of the function.



#### **FIGURE 3**

**TEC** In Visual 1.4 you can see how the process in Figure 3 works for additional functions.

t	Q
0.00	100.00
0.02	81.87
0.04	67.03
0.06	54.88
0.08	44.93
0.10	36.76

Given the points P(0.04, 67.03) and R(0.00, 100.00) on the graph, we find that the slope of the secant line *PR* is

$$m_{PR} = \frac{100.00 - 67.03}{0.00 - 0.04} = -824.25$$

The table at the left shows the results of similar calculations for the slopes of other secant lines. From this table we would expect the slope of the tangent line at t = 0.04 to lie somewhere between -742 and -607.5. In fact, the average of the slopes of the two closest secant lines is

$$\frac{1}{2}(-742 - 607.5) = -674.75$$

So, by this method, we estimate the slope of the tangent line to be -675.

Another method is to draw an approximation to the tangent line at P and measure the sides of the triangle ABC, as in Figure 4. This gives an estimate of the slope of the tangent line as

$$-\frac{|AB|}{|BC|} \approx -\frac{80.4 - 53.6}{0.06 - 0.02} = -670$$

# The Velocity Problem

If you watch the speedometer of a car as you travel in city traffic, you see that the needle doesn't stay still for very long; that is, the velocity of the car is not constant. We assume from watching the speedometer that the car has a definite velocity at each moment, but how is the "instantaneous" velocity defined? Let's investigate the example of a falling ball.

**EXAMPLE 3** Suppose that a ball is dropped from the upper observation deck of the CN Tower in Toronto, 450 m above the ground. Find the velocity of the ball after 5 seconds.

**SOLUTION** Through experiments carried out four centuries ago, Galileo discovered that the distance fallen by any freely falling body is proportional to the square of the time it has been falling. (This model for free fall neglects air resistance.) If the distance fallen after *t* seconds is denoted by s(t) and measured in meters, then Galileo's law is expressed by the equation

$$s(t) = 4.9t^2$$

The difficulty in finding the velocity after 5 s is that we are dealing with a single instant of time (t = 5), so no time interval is involved. However, we can approximate the desired quantity by computing the average velocity over the brief time interval of a tenth of a second from t = 5 to t = 5.1:

average velocity = 
$$\frac{\text{change in position}}{\text{time elapsed}}$$
  
=  $\frac{s(5.1) - s(5)}{0.1}$   
=  $\frac{4.9(5.1)^2 - 4.9(5)^2}{0.1}$  = 49.49 m/s

0.1

The CN Tower in Toronto was the tallest freestanding building in the world for 32 years.

R	m <sub>PR</sub>
(0.00, 100.00)	-824.25
(0.02, 81.87)	-742.00
(0.06, 54.88)	-607.50
(0.08, 44.93)	-552.50
(0.10, 36.76)	-504.50

The physical meaning of the answer in Example 2 is that the electric current flowing from the capacitor to the flash bulb after 0.04 second is about –670 microamperes.

Time interval	Average velocity (m/s)
$5 \le t \le 6$	53.9
$5 \le t \le 5.1$	49.49
$5 \le t \le 5.05$	49.245
$5 \le t \le 5.01$	49.049
$5 \le t \le 5.001$	49.0049

The following table shows the results of similar calculations of the average velocity over successively smaller time periods.

It appears that as we shorten the time period, the average velocity is becoming closer to 49 m/s. The **instantaneous velocity** when t = 5 is defined to be the limiting value of these average velocities over shorter and shorter time periods that start at t = 5. Thus the (instantaneous) velocity after 5 s is

$$v = 49 \text{ m/s}$$

You may have the feeling that the calculations used in solving this problem are very similar to those used earlier in this section to find tangents. In fact, there is a close connection between the tangent problem and the problem of finding velocities. If we draw the graph of the distance function of the ball (as in Figure 5) and we consider the points  $P(a, 4.9a^2)$  and  $Q(a + h, 4.9(a + h)^2)$  on the graph, then the slope of the secant line PQ is

$$m_{PQ} = \frac{4.9(a+h)^2 - 4.9a^2}{(a+h) - a}$$

which is the same as the average velocity over the time interval [a, a + h]. Therefore the velocity at time t = a (the limit of these average velocities as h approaches 0) must be equal to the slope of the tangent line at P (the limit of the slopes of the secant lines).

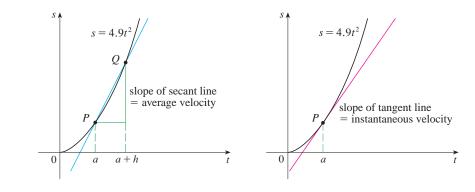


FIGURE 5

Examples 1 and 3 show that in order to solve tangent and velocity problems we must be able to find limits. After studying methods for computing limits in the next four sections, we will return to the problems of finding tangents and velocities in Chapter 2.

# 1.4 Exercises

 A tank holds 1000 gallons of water, which drains from the bottom of the tank in half an hour. The values in the table show the volume V of water remaining in the tank (in gallons) after t minutes.

t (min)	5	10	15	20	25	30
V (gal)	694	444	250	111	28	0

- (a) If *P* is the point (15, 250) on the graph of *V*, find the slopes of the secant lines *PQ* when *Q* is the point on the graph with t = 5, 10, 20, 25, and 30.
- (b) Estimate the slope of the tangent line at *P* by averaging the slopes of two secant lines.
- (c) Use a graph of the function to estimate the slope of the tangent line at *P*. (This slope represents the rate at which the water is flowing from the tank after 15 minutes.)
- **2.** A cardiac monitor is used to measure the heart rate of a patient after surgery. It compiles the number of heartbeats after *t* minutes. When the data in the table are graphed, the slope of the tangent line represents the heart rate in beats per minute.

t (min)	36	38	40	42	44
Heartbeats	2530	2661	2806	2948	3080

The monitor estimates this value by calculating the slope of a secant line. Use the data to estimate the patient's heart rate after 42 minutes using the secant line between the points with the given values of t.

(a) $t = 36$	and	t = 42	(b) $t = 38$	and	t = 42
(c) $t = 40$	and	t = 42	(d) $t = 42$	and	t = 44
What are y	our co	nclusions?			

what are your conclusions.

- 3. The point P(2, -1) lies on the curve y = 1/(1 x).
  - (a) If *Q* is the point (x, 1/(1 x)), use your calculator to find the slope of the secant line *PQ* (correct to six decimal places) for the following values of *x*:
    - (i) 1.5 (ii) 1.9 (iii) 1.99 (iv) 1.999
    - (v) 2.5 (vi) 2.1 (vii) 2.01 (viii) 2.001
  - (b) Using the results of part (a), guess the value of the slope of the tangent line to the curve at P(2, -1).
  - (c) Using the slope from part (b), find an equation of the tangent line to the curve at P(2, −1).
- **4.** The point P(0.5, 0) lies on the curve  $y = \cos \pi x$ .
  - (a) If Q is the point (x, cos πx), use your calculator to find the slope of the secant line PQ (correct to six decimal places) for the following values of x:
    - (i) 0 (ii) 0.4 (iii) 0.49 (iv) 0.499
    - (v) 1 (vi) 0.6 (vii) 0.51 (viii) 0.501
  - (b) Using the results of part (a), guess the value of the slope of the tangent line to the curve at *P*(0.5, 0).

- (c) Using the slope from part (b), find an equation of the tangent line to the curve at P(0.5, 0).
- (d) Sketch the curve, two of the secant lines, and the tangent line.
- 5. If a ball is thrown into the air with a velocity of 40 ft/s, its height in feet t seconds later is given by  $y = 40t 16t^2$ .
  - (a) Find the average velocity for the time period beginning when t = 2 and lasting
    - (i) 0.5 second (ii) 0.1 second
    - (iii) 0.05 second (iv) 0.01 second
  - (b) Estimate the instantaneous velocity when t = 2.
- 6. If a rock is thrown upward on the planet Mars with a velocity of 10 m/s, its height in meters *t* seconds later is given by  $y = 10t 1.86t^2$ .
  - (a) Find the average velocity over the given time intervals:
    - (i) [1, 2] (ii) [1, 1.5] (iii) [1, 1.1]
    - (iv) [1, 1.01] (v) [1, 1.001]
  - (b) Estimate the instantaneous velocity when t = 1.
- 7. The table shows the position of a cyclist.

t (seconds)	0	1	2	3	4	5
s (meters)	0	1.4	5.1	10.7	17.7	25.8

(a) Find the average velocity for each time period:

(i) [1,3] (ii) [2,3] (iii) [3,5] (iv) [3,4]

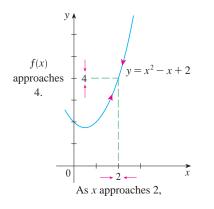
- (b) Use the graph of *s* as a function of *t* to estimate the instantaneous velocity when t = 3.
- 8. The displacement (in centimeters) of a particle moving back and forth along a straight line is given by the equation of motion  $s = 2 \sin \pi t + 3 \cos \pi t$ , where *t* is measured in seconds.
  - (a) Find the average velocity during each time period:
    - (i) [1, 2] (ii) [1, 1.1]
    - (iii) [1, 1.01] (iv) [1, 1.001]
  - (b) Estimate the instantaneous velocity of the particle when t = 1.
- 9. The point P(1, 0) lies on the curve  $y = \sin(10\pi/x)$ .
  - (a) If Q is the point (x, sin(10π/x)), find the slope of the secant line PQ (correct to four decimal places) for x = 2, 1.5, 1.4, 1.3, 1.2, 1.1, 0.5, 0.6, 0.7, 0.8, and 0.9. Do the slopes appear to be approaching a limit?
- (b) Use a graph of the curve to explain why the slopes of the secant lines in part (a) are not close to the slope of the tangent line at *P*.
  - (c) By choosing appropriate secant lines, estimate the slope of the tangent line at *P*.

Æ

## .5 The Limit of a Function

Having seen in the preceding section how limits arise when we want to find the tangent to a curve or the velocity of an object, we now turn our attention to limits in general and numerical and graphical methods for computing them.

Let's investigate the behavior of the function f defined by  $f(x) = x^2 - x + 2$  for values of x near 2. The following table gives values of f(x) for values of x close to 2 but not equal to 2.



х	f(x)	x	f(x)
1.0 1.5 1.8 1.9 1.95 1.99 1.995	2.000000 2.750000 3.440000 3.710000 3.852500 3.970100 3.985025	3.0 2.5 2.2 2.1 2.05 2.01 2.005	8.000000 5.750000 4.640000 4.310000 4.152500 4.030100 4.015025
1.999	3.997001	2.003	4.003001

From the table and the graph of f (a parabola) shown in Figure 1 we see that when x is close to 2 (on either side of 2), f(x) is close to 4. In fact, it appears that we can make the values of f(x) as close as we like to 4 by taking x sufficiently close to 2. We express this by saying "the limit of the function  $f(x) = x^2 - x + 2$  as x approaches 2 is equal to 4." The notation for this is

$$\lim_{x \to 2} \left( x^2 - x + 2 \right) = 4$$

In general, we use the following notation.

**1 Definition** Suppose f(x) is defined when x is near the number a. (This means that f is defined on some open interval that contains a, except possibly at a itself.) Then we write

$$\lim_{x \to a} f(x) = L$$

and say

"the limit of f(x), as x approaches a, equals L"

if we can make the values of f(x) arbitrarily close to L (as close to L as we like) by taking x to be sufficiently close to a (on either side of a) but not equal to a.

Roughly speaking, this says that the values of f(x) approach *L* as *x* approaches *a*. In other words, the values of f(x) tend to get closer and closer to the number *L* as *x* gets closer and closer to the number *a* (from either side of *a*) but  $x \neq a$ . (A more precise definition will be given in Section 1.7.)

An alternative notation for

$$\lim_{x \to a} f(x) = L$$
$$f(x) \to L \quad \text{as} \quad x$$

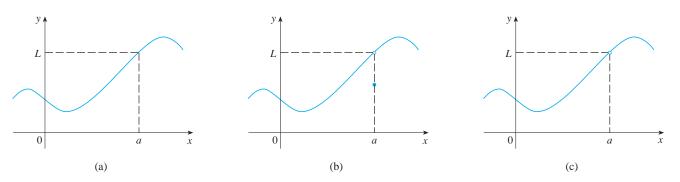
 $x \rightarrow a$ 

is

FIGURE 1

Notice the phrase "but  $x \neq a$ " in the definition of limit. This means that in finding the limit of f(x) as x approaches a, we never consider x = a. In fact, f(x) need not even be defined when x = a. The only thing that matters is how f is defined *near* a.

Figure 2 shows the graphs of three functions. Note that in part (c), f(a) is not defined and in part (b),  $f(a) \neq L$ . But in each case, regardless of what happens at a, it is true that  $\lim_{x\to a} f(x) = L$ .



**FIGURE 2**  $\lim_{x \to a} f(x) = L$  in all three cases

x < 1	f(x)
0.5	0.666667
0.9	0.526316
0.99	0.502513
0.999	0.500250
0.9999	0.500025

x > 1	f(x)
1.5	0.400000
1.1	0.476190
1.01	0.497512
1.001	0.499750
1.0001	0.499975

**EXAMPLE 1** Guess the value of  $\lim_{x \to 1} \frac{x-1}{x^2-1}$ .

**SOLUTION** Notice that the function  $f(x) = (x - 1)/(x^2 - 1)$  is not defined when x = 1, but that doesn't matter because the definition of  $\lim_{x\to a} f(x)$  says that we consider values of x that are close to a but not equal to a.

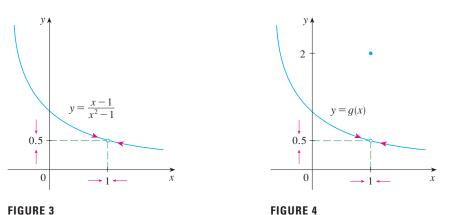
The tables at the left give values of f(x) (correct to six decimal places) for values of x that approach 1 (but are not equal to 1). On the basis of the values in the tables, we make the guess that

$$\lim_{x \to 1} \frac{x - 1}{x^2 - 1} = 0.5$$

Example 1 is illustrated by the graph of f in Figure 3. Now let's change f slightly by giving it the value 2 when x = 1 and calling the resulting function g:

$$g(x) = \begin{cases} \frac{x-1}{x^2-1} & \text{if } x \neq 1\\ 2 & \text{if } x = 1 \end{cases}$$

This new function g still has the same limit as x approaches 1. (See Figure 4.)



 $\sqrt{t^2+9}-3$ 

0.16800

0.20000

0.00000

0.00000

t

 $\pm 0.0005$ 

 $\pm 0.0001$ 

 $\pm 0.00005$ 

 $\pm 0.00001$ 

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For a further explanation of why calculators sometimes give false values, click on *Lies My Calculator and Computer Told Me*. In particular, see the section called *The Perils of Subtraction*.

**EXAMPLE 2** Estimate the value of 
$$\lim_{t \to 0} \frac{\sqrt{t^2 + 9} - 3}{t^2}$$
.

SOLUTION The table lists values of the function for several values of t near 0.

t	$\frac{\sqrt{t^2+9}-3}{t^2}$
$\pm 1.0$	0.16228
$\pm 0.5$	0.16553
$\pm 0.1$	0.16662
$\pm 0.05$	0.16666
$\pm 0.01$	0.16667

As t approaches 0, the values of the function seem to approach 0.1666666... and so we guess that

√	$t^2 + 9 - 3$	3 1	_
lim —	<b>1</b> <sup>2</sup>		
$I \rightarrow 0$	l	0	

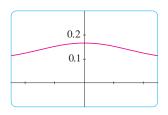
In Example 2 what would have happened if we had taken even smaller values of *t*? The table in the margin shows the results from one calculator; you can see that something strange seems to be happening.

If you try these calculations on your own calculator you might get different values, but eventually you will get the value 0 if you make t sufficiently small. Does this mean that the answer is really 0 instead of  $\frac{1}{6}$ ? No, the value of the limit is  $\frac{1}{6}$ , as we will show in the next section. The problem is that the calculator gave false values because  $\sqrt{t^2 + 9}$  is very close to 3 when t is small. (In fact, when t is sufficiently small, a calculator's value for  $\sqrt{t^2 + 9}$  is 3.000... to as many digits as the calculator is capable of carrying.)

Something similar happens when we try to graph the function

$$f(t) = \frac{\sqrt{t^2 + 9} - 3}{t^2}$$

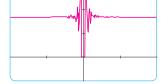
of Example 2 on a graphing calculator or computer. Parts (a) and (b) of Figure 5 show quite accurate graphs of f, and when we use the trace mode (if available) we can estimate easily that the limit is about  $\frac{1}{6}$ . But if we zoom in too much, as in parts (c) and (d), then we get inaccurate graphs, again because of problems with subtraction.



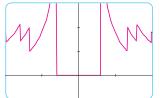
(a) [-5, 5] by [-0.1, 0.3]

0.2

(b) [-0.1, 0.1] by [-0.1, 0.3]



(c)  $[-10^{-6}, 10^{-6}]$  by [-0.1, 0.3]



(d)  $[-10^{-7}, 10^{-7}]$  by [-0.1, 0.3]

# **V EXAMPLE 3** Guess the value of $\lim_{x \to 0} \frac{\sin x}{x}$ .

**FIGURE 6** 

**SOLUTION** The function  $f(x) = (\sin x)/x$  is not defined when x = 0. Using a calculator (and remembering that, if  $x \in \mathbb{R}$ , sin *x* means the sine of the angle whose *radian* measure is *x*), we construct a table of values correct to eight decimal places. From the table at the left and the graph in Figure 6 we guess that

$$\lim_{x \to 0} \frac{\sin x}{x} = 1$$

This guess is in fact correct, as will be proved in Chapter 2 using a geometric argument.

 $y = \frac{\sin x}{x}$ 

1

**V** EXAMPLE 4 Investigate  $\lim_{x \to 0} \sin \frac{\pi}{x}$ .

0

-1

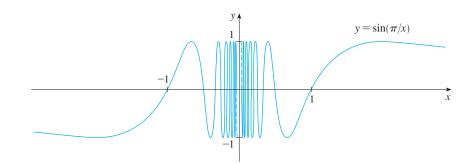
**SOLUTION** Again the function  $f(x) = \sin(\pi/x)$  is undefined at 0. Evaluating the function for some small values of x, we get

$f(1) = \sin \pi = 0$	$f\left(\frac{1}{2}\right) = \sin 2\pi = 0$
$f\left(\frac{1}{3}\right) = \sin 3\pi = 0$	$f\left(\frac{1}{4}\right) = \sin 4\pi = 0$
$f(0.1) = \sin 10\pi = 0$	$f(0.01) = \sin 100\pi = 0$

Similarly, f(0.001) = f(0.0001) = 0. On the basis of this information we might be tempted to guess that

$$\lim_{x\to 0}\sin\frac{\pi}{x}=0$$

but this time our guess is wrong. Note that although  $f(1/n) = \sin n\pi = 0$  for any integer *n*, it is also true that f(x) = 1 for infinitely many values of *x* that approach 0. You can see this from the graph of *f* shown in Figure 7.



x	$\frac{\sin x}{x}$
$\pm 1.0$	0.84147098
$\pm 0.5$	0.95885108
$\pm 0.4$	0.97354586
±0.3	0.98506736
$\pm 0.2$	0.99334665
$\pm 0.1$	0.99833417
$\pm 0.05$	0.99958339
$\pm 0.01$	0.99998333
$\pm 0.005$	0.99999583
$\pm 0.001$	0.99999983

#### **Computer Algebra Systems**

Computer algebra systems (CAS) have commands that compute limits. In order to avoid the types of pitfalls demonstrated in Examples 2, 4, and 5, they don't find limits by numerical experimentation. Instead, they use more sophisticated techniques such as computing infinite series. If you have access to a CAS, use the limit command to compute the limits in the examples of this section and to check your answers in the exercises of this chapter.



The dashed lines near the y-axis indicate that the values of  $sin(\pi/x)$  oscillate between 1 and -1 infinitely often as x approaches 0. (See Exercise 43.)

Since the values of f(x) do not approach a fixed number as x approaches 0,

$$\lim_{x \to 0} \sin \frac{\pi}{x} \quad \text{does not exist}$$

**EXAMPLE 5** Find 
$$\lim_{x \to 0} \left( x^3 + \frac{\cos 5x}{10,000} \right)$$
.

SOLUTION As before, we construct a table of values. From the first table in the margin it appears that

$$\lim_{x \to 0} \left( x^3 + \frac{\cos 5x}{10,000} \right) = 0$$

But if we persevere with smaller values of *x*, the second table suggests that

$$\lim_{x \to 0} \left( x^3 + \frac{\cos 5x}{10,000} \right) = 0.000100 = \frac{1}{10,000}$$

Later we will see that  $\lim_{x\to 0} \cos 5x = 1$ ; then it follows that the limit is 0.0001.

 $\bigotimes$  Examples 4 and 5 illustrate some of the pitfalls in guessing the value of a limit. It is easy to guess the wrong value if we use inappropriate values of *x*, but it is difficult to know when to stop calculating values. And, as the discussion after Example 2 shows, sometimes calculators and computers give the wrong values. In the next section, however, we will develop foolproof methods for calculating limits.

**V EXAMPLE 6** The Heaviside function *H* is defined by

$$H(t) = \begin{cases} 0 & \text{if } t < 0\\ 1 & \text{if } t \ge 0 \end{cases}$$

[This function is named after the electrical engineer Oliver Heaviside (1850–1925) and can be used to describe an electric current that is switched on at time t = 0.] Its graph is shown in Figure 8.

As *t* approaches 0 from the left, H(t) approaches 0. As *t* approaches 0 from the right, H(t) approaches 1. There is no single number that H(t) approaches as *t* approaches 0. Therefore  $\lim_{t\to 0} H(t)$  does not exist.

## One-Sided Limits

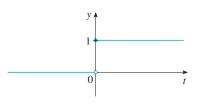
We noticed in Example 6 that H(t) approaches 0 as t approaches 0 from the left and H(t) approaches 1 as t approaches 0 from the right. We indicate this situation symbolically by writing

$$\lim_{t \to 0^{-}} H(t) = 0$$
 and  $\lim_{t \to 0^{+}} H(t) = 1$ 

The symbol " $t \to 0^{-}$ " indicates that we consider only values of *t* that are less than 0. Likewise, " $t \to 0^{+}$ " indicates that we consider only values of *t* that are greater than 0.

x	$x^{3} + \frac{\cos 5x}{10,000}$
1	1.000028
0.5	0.124920
0.1	0.001088
0.05	0.000222
0.01	0.000101

x	$x^3 + \frac{\cos 5x}{10,000}$
0.005	0.00010009
0.001	0.00010000



**FIGURE 8** The Heaviside function

2 Definition We write

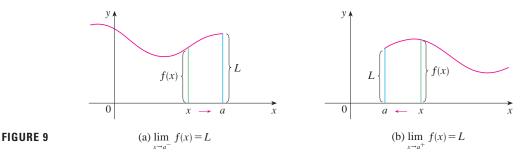
$$\lim_{x \to a^{-}} f(x) = L$$

and say the **left-hand limit of** f(x) as x approaches a [or the **limit of** f(x) as x approaches a from the **left**] is equal to L if we can make the values of f(x) arbitrarily close to L by taking x to be sufficiently close to a and x less than a.

Notice that Definition 2 differs from Definition 1 only in that we require x to be less than a. Similarly, if we require that x be greater than a, we get "the **right-hand limit of** f(x) as x approaches a is equal to L" and we write

$$\lim_{x \to a^+} f(x) = L$$

Thus the symbol " $x \rightarrow a^+$ " means that we consider only x > a. These definitions are illustrated in Figure 9.



By comparing Definition 1 with the definitions of one-sided limits, we see that the following is true.

3 
$$\lim_{x \to a} f(x) = L$$
 if and only if  $\lim_{x \to a^-} f(x) = L$  and  $\lim_{x \to a^+} f(x) = L$ 

**V EXAMPLE 7** The graph of a function *g* is shown in Figure 10. Use it to state the values (if they exist) of the following:

(a) $\lim_{x \to 2^-} g(x)$	(b) $\lim_{x \to 2^+} g(x)$	(c) $\lim_{x\to 2} g(x)$
(d) $\lim_{x \to 5^{-}} g(x)$	(e) $\lim_{x \to 5^+} g(x)$	(f) $\lim_{x\to 5} g(x)$

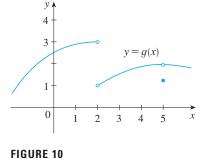
**SOLUTION** From the graph we see that the values of g(x) approach 3 as x approaches 2 from the left, but they approach 1 as x approaches 2 from the right. Therefore

(a) 
$$\lim_{x \to 2^{-}} g(x) = 3$$
 and (b)  $\lim_{x \to 2^{+}} g(x) = 1$ 

(c) Since the left and right limits are different, we conclude from 3 that  $\lim_{x\to 2} g(x)$  does not exist.

The graph also shows that

(d) 
$$\lim_{x \to 5^{-}} g(x) = 2$$
 and (e)  $\lim_{x \to 5^{+}} g(x) = 2$ 



(f) This time the left and right limits are the same and so, by 3, we have

$$\lim_{x \to 5} g(x) = 2$$

Despite this fact, notice that  $q(5) \neq 2$ .

## Infinite Limits

**EXAMPLE 8** Find 
$$\lim_{x \to 0} \frac{1}{x^2}$$
 if it exists

SOLUTION As x becomes close to 0,  $x^2$  also becomes close to 0, and  $1/x^2$  becomes very large. (See the table in the margin.) In fact, it appears from the graph of the function  $f(x) = 1/x^2$  shown in Figure 11 that the values of f(x) can be made arbitrarily large by taking x close enough to 0. Thus the values of f(x) do not approach a number, so  $\lim_{x\to 0} (1/x^2)$  does not exist.

To indicate the kind of behavior exhibited in Example 8, we use the notation

$$\lim_{x \to 0} \frac{1}{x^2} = \infty$$

 $\bigcirc$  This does not mean that we are regarding  $\infty$  as a number. Nor does it mean that the limit exists. It simply expresses the particular way in which the limit does not exist:  $1/x^2$  can be made as large as we like by taking x close enough to 0.

In general, we write symbolically

$$\lim_{x \to a} f(x) = \infty$$

to indicate that the values of f(x) tend to become larger and larger (or "increase without bound") as x becomes closer and closer to a.

4 **Definition** Let f be a function defined on both sides of a, except possibly at a itself. Then

$$\lim_{x \to a} f(x) = \infty$$

means that the values of f(x) can be made arbitrarily large (as large as we please) by taking x sufficiently close to a, but not equal to a.

Another notation for  $\lim_{x\to a} f(x) = \infty$  is

$$f(x) \to \infty$$
 as  $x \to a$ 

Again, the symbol  $\infty$  is not a number, but the expression  $\lim_{x\to a} f(x) = \infty$  is often read as

"the limit of f(x), as x approaches a, is infinity"

"f(x) becomes infinite as x approaches a"

"f(x) increases without bound as x approaches a"

**FIGURE 12**  $\lim f(x) = \infty$ 

y

0

а

x = a

y = f(x)

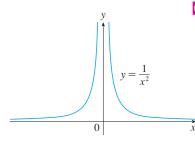
or

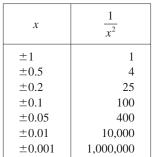
or

**FIGURE 11** 

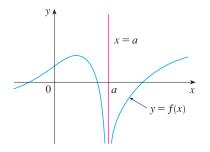
This definition is illustrated graphically in Figure 12.

x	$\frac{1}{x^2}$		
$\pm 1$	1		
$\pm 0.5$	4		
$\pm 0.2$	25		
$\pm 0.1$	100		
$\pm 0.05$	400		
$\pm 0.01$	10,000		
±0.001	1,000,000		





When we say a number is "large negative," we mean that it is negative but its magnitude (absolute value) is large.



**FIGURE 13**  $\lim_{x \to a} f(x) = -\infty$ 

A similar sort of limit, for functions that become large negative as x gets close to a, is defined in Definition 5 and is illustrated in Figure 13.

**5 Definition** Let *f* be defined on both sides of *a*, except possibly at *a* itself. Then

$$\lim_{x \to \infty} f(x) = -\infty$$

means that the values of f(x) can be made arbitrarily large negative by taking x sufficiently close to a, but not equal to a.

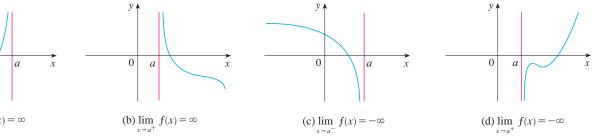
The symbol  $\lim_{x\to a} f(x) = -\infty$  can be read as "the limit of f(x), as *x* approaches *a*, is negative infinity" or "f(x) decreases without bound as *x* approaches *a*." As an example we have

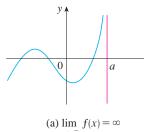
$$\lim_{x \to 0} \left( -\frac{1}{x^2} \right) = -\infty$$

Similar definitions can be given for the one-sided infinite limits

 $\lim_{x \to a^{-}} f(x) = \infty \qquad \lim_{x \to a^{+}} f(x) = \infty$  $\lim_{x \to a^{-}} f(x) = -\infty \qquad \lim_{x \to a^{+}} f(x) = -\infty$ 

remembering that " $x \to a^-$ " means that we consider only values of x that are less than a, and similarly " $x \to a^+$ " means that we consider only x > a. Illustrations of these four cases are given in Figure 14.





**FIGURE 14** 

**6** Definition The line x = a is called a vertical asymptote of the curve y = f(x) if at least one of the following statements is true:

$$\lim_{x \to a} f(x) = \infty \qquad \lim_{x \to a^{-}} f(x) = \infty \qquad \lim_{x \to a^{+}} f(x) = \infty$$
$$\lim_{x \to a} f(x) = -\infty \qquad \lim_{x \to a^{-}} f(x) = -\infty \qquad \lim_{x \to a^{+}} f(x) = -\infty$$

For instance, the y-axis is a vertical asymptote of the curve  $y = 1/x^2$  because  $\lim_{x\to 0} (1/x^2) = \infty$ . In Figure 14 the line x = a is a vertical asymptote in each of the four cases shown. In general, knowledge of vertical asymptotes is very useful in sketching graphs.

**EXAMPLE 9** Find 
$$\lim_{x\to 3^+} \frac{2x}{x-3}$$
 and  $\lim_{x\to 3^-} \frac{2x}{x-3}$ .

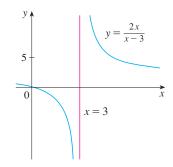
**SOLUTION** If x is close to 3 but larger than 3, then the denominator x - 3 is a small positive number and 2x is close to 6. So the quotient 2x/(x - 3) is a large *positive* number. Thus, intuitively, we see that

$$\lim_{x \to 3^+} \frac{2x}{x-3} = \infty$$

Likewise, if x is close to 3 but smaller than 3, then x - 3 is a small negative number but 2x is still a positive number (close to 6). So 2x/(x - 3) is a numerically large *negative* number. Thus

$$\lim_{x \to 3^-} \frac{2x}{x-3} = -\infty$$

The graph of the curve y = 2x/(x - 3) is given in Figure 15. The line x = 3 is a vertical asymptote.



**FIGURE 15** 

**EXAMPLE 10** Find the vertical asymptotes of  $f(x) = \tan x$ .

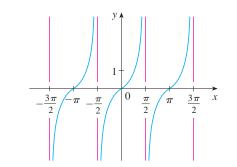
**SOLUTION** Because

$$\tan x = \frac{\sin x}{\cos x}$$

there are potential vertical asymptotes where  $\cos x = 0$ . In fact, since  $\cos x \to 0^+$  as  $x \to (\pi/2)^-$  and  $\cos x \to 0^-$  as  $x \to (\pi/2)^+$ , whereas  $\sin x$  is positive when x is near  $\pi/2$ , we have

$$\lim_{x \to (\pi/2)^{-}} \tan x = \infty \quad \text{and} \quad \lim_{x \to (\pi/2)^{+}} \tan x = -\infty$$

This shows that the line  $x = \pi/2$  is a vertical asymptote. Similar reasoning shows that the lines  $x = (2n + 1)\pi/2$ , where *n* is an integer, are all vertical asymptotes of  $f(x) = \tan x$ . The graph in Figure 16 confirms this.



**FIGURE 16**  $y = \tan x$ 

# 1.5 Exercises

1. Explain in your own words what is meant by the equation

$$\lim_{x \to 2} f(x) = 5$$

Is it possible for this statement to be true and yet f(2) = 3? Explain.

2. Explain what it means to say that

 $\lim_{x \to 1^{-}} f(x) = 3 \quad \text{and} \quad \lim_{x \to 1^{+}} f(x) = 7$ 

In this situation is it possible that  $\lim_{x\to 1} f(x)$  exists? Explain.

3. Explain the meaning of each of the following.

(a) 
$$\lim_{x \to -3} f(x) = \infty$$
 (b)  $\lim_{x \to 4^+} f(x) = -\infty$ 

- **4.** Use the given graph of *f* to state the value of each quantity, if it exists. If it does not exist, explain why.
  - (a)  $\lim_{x \to 2^{-}} f(x)$  (b)  $\lim_{x \to 2^{+}} f(x)$  (c)  $\lim_{x \to 2} f(x)$

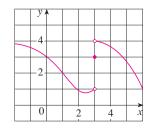
(d) 
$$f(2)$$
 (e)  $\lim_{x \to 4} f(x)$  (f)  $f(4)$ 

y ,	۱.					
_4-						
_	_					$\mathbf{\Sigma}$
-2-						
			$\bigvee$			
						<b>_</b>
0		2	2	4	1	x

5. For the function f whose graph is given, state the value of each quantity, if it exists. If it does not exist, explain why.

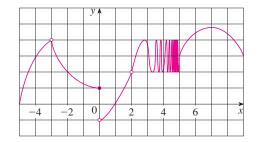
(a)  $\lim_{x \to 1} f(x)$  (b)  $\lim_{x \to 3^-} f(x)$  (c)  $\lim_{x \to 3^+} f(x)$ 

(d) 
$$\lim_{x \to 3} f(x)$$
 (e)  $f(3)$ 



6. For the function h whose graph is given, state the value of each quantity, if it exists. If it does not exist, explain why.
(a) lim<sub>x→-3<sup>-</sup></sub> h(x)
(b) lim<sub>x→-3<sup>+</sup></sub> h(x)
(c) lim<sub>x→-3</sub> h(x)

(d) $h(-3)$	(e) $\lim_{x\to 0^-} h(x)$	(f) $\lim_{x \to 0^+} h(x)$
(g) $\lim_{x\to 0} h(x)$	(h) <i>h</i> (0)	(i) $\lim_{x\to 2} h(x)$
(j) <i>h</i> (2)	(k) $\lim_{x \to 5^+} h(x)$	(1) $\lim_{x \to 5^{-}} h(x)$

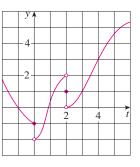


**7.** For the function g whose graph is given, state the value of each quantity, if it exists. If it does not exist, explain why.

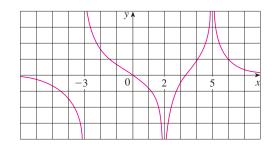
(a) $\lim_{t\to 0^-} g(t)$	(b) $\lim_{t\to 0^+} g(t)$	(c) $\lim_{t\to 0} g(t)$
(d) $\lim_{t\to 2^-} g(t)$	(e) $\lim_{t \to 2^+} g(t)$	(f) $\lim_{t \to 2} g(t)$

(g) g(2) (h

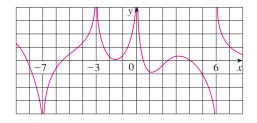
(h)  $\lim_{t\to 4} g(t)$ 



- **8.** For the function *R* whose graph is shown, state the following. (a)  $\lim_{x\to 2} R(x)$  (b)  $\lim_{x\to 5} R(x)$ 
  - (c)  $\lim_{x \to -3^{-}} R(x)$  (d)  $\lim_{x \to -3^{+}} R(x)$
  - (e) The equations of the vertical asymptotes.



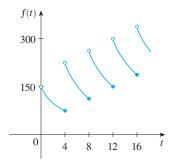
- **9.** For the function *f* whose graph is shown, state the following.
  - (a)  $\lim_{x \to -7} f(x)$  (b)  $\lim_{x \to -3} f(x)$  (c)  $\lim_{x \to 0} f(x)$ (d)  $\lim_{x \to 6^-} f(x)$  (e)  $\lim_{x \to 6^+} f(x)$
  - (f) The equations of the vertical asymptotes.



10. A patient receives a 150-mg injection of a drug every 4 hours. The graph shows the amount f(t) of the drug in the bloodstream after t hours. Find

$$\lim_{t \to 12^{-}} f(t) \quad \text{and} \quad \lim_{t \to 12^{+}} f(t)$$

and explain the significance of these one-sided limits.



**11–12** Sketch the graph of the function and use it to determine the values of *a* for which  $\lim_{x\to a} f(x)$  exists.

**11.** 
$$f(x) = \begin{cases} 1+x & \text{if } x < -1\\ x^2 & \text{if } -1 \le x < 1\\ 2-x & \text{if } x \ge 1 \end{cases}$$
  
**12.** 
$$f(x) = \begin{cases} 1+\sin x & \text{if } x < 0\\ \cos x & \text{if } 0 \le x \le \pi\\ \sin x & \text{if } x > \pi \end{cases}$$

13-14 Use the graph of the function f to state the value of each limit, if it exists. If it does not exist, explain why.

(a) 
$$\lim_{x \to 0^{-}} f(x)$$
 (b)  $\lim_{x \to 0^{+}} f(x)$  (c)  $\lim_{x \to 0} f(x)$   
**13.**  $f(x) = \frac{1}{1 + 2^{1/x}}$ 
**14.**  $f(x) = \frac{x^2 + x}{\sqrt{x^3 + x^2}}$ 

**15–18** Sketch the graph of an example of a function f that satisfies all of the given conditions.

- **15.**  $\lim_{x \to 0^{-}} f(x) = -1$ ,  $\lim_{x \to 0^{+}} f(x) = 2$ , f(0) = 1**16.**  $\lim_{x \to 0} f(x) = 1$ ,  $\lim_{x \to 3^{-}} f(x) = -2$ ,  $\lim_{x \to 3^{+}} f(x) = 2$ , f(0) = -1, f(3) = 1
- **17.**  $\lim_{x \to 3^+} f(x) = 4$ ,  $\lim_{x \to 3^-} f(x) = 2$ ,  $\lim_{x \to -2} f(x) = 2$ , f(3) = 3, f(-2) = 1
- **18.**  $\lim_{x \to 0^{-}} f(x) = 2$ ,  $\lim_{x \to 0^{+}} f(x) = 0$ ,  $\lim_{x \to 4^{-}} f(x) = 3$ ,  $\lim_{x \to 4^{+}} f(x) = 0$ , f(0) = 2, f(4) = 1

**19–22** Guess the value of the limit (if it exists) by evaluating the function at the given numbers (correct to six decimal places).

**19.**  $\lim_{x \to 2} \frac{x^2 - 2x}{x^2 - x - 2},$  x = 2.5, 2.1, 2.05, 2.01, 2.005, 2.001,1.9, 1.95, 1.99, 1.995, 1.999

**20.** 
$$\lim_{x \to -1} \frac{x^2 - 2x}{x^2 - x - 2},$$
  
 $x = 0, -0.5, -0.9, -0.95, -0.99, -0.999,$   
 $-2, -1.5, -1.1, -1.01, -1.001$ 

**21.**  $\lim_{x \to 0} \frac{\sin x}{x + \tan x}, \quad x = \pm 1, \pm 0.5, \pm 0.2, \pm 0.1, \pm 0.05, \pm 0.01$ 

**22.** 
$$\lim_{h \to 0} \frac{(2+h)^5 - 32}{h},$$
$$h = \pm 0.5, \pm 0.1, \pm 0.01, \pm 0.001, \pm 0.0001$$

**23–26** Use a table of values to estimate the value of the limit. If you have a graphing device, use it to confirm your result graphically.

**23.** 
$$\lim_{x \to 0} \frac{\sqrt{x+4}-2}{x}$$
**24.** 
$$\lim_{x \to 0} \frac{\tan 3x}{\tan 5x}$$
**25.** 
$$\lim_{x \to 1} \frac{x^6-1}{x^{10}-1}$$
**26.** 
$$\lim_{x \to 0} \frac{9^x-5^x}{x}$$

**27.** (a) By graphing the function  $f(x) = (\cos 2x - \cos x)/x^2$ and zooming in toward the point where the graph crosses the *y*-axis, estimate the value of  $\lim_{x\to 0} f(x)$ .

- (b) Check your answer in part (a) by evaluating f(x) for values of x that approach 0.
- **28.** (a) Estimate the value of

$$\lim_{x \to 0} \frac{\sin x}{\sin \pi x}$$

by graphing the function  $f(x) = (\sin x)/(\sin \pi x)$ . State your answer correct to two decimal places.

(b) Check your answer in part (a) by evaluating f(x) for values of x that approach 0.

**29–37** Determine the infinite limit.

- **29.**  $\lim_{x \to -3^+} \frac{x+2}{x+3}$  **30.**  $\lim_{x \to -3^-} \frac{x+2}{x+3}$
- **31.**  $\lim_{x \to 1} \frac{2-x}{(x-1)^2}$  **32.**  $\lim_{x \to 0} \frac{x-1}{x^2(x+2)}$
- **33.**  $\lim_{x \to -2^+} \frac{x-1}{x^2(x+2)}$

**36.** 
$$\lim_{x \to 2^{-}} \frac{x^2 - 2x}{x^2 - 4x + 4}$$

**34.**  $\lim_{x \to \pi^-} \cot x$ 

Æ

**37.**  $\lim_{x \to 2^+} \frac{x^2 - 2x - 8}{x^2 - 5x + 6}$ 

**35.**  $\lim x \csc x$ 

**38.** (a) Find the vertical asymptotes of the function

$$y = \frac{x^2 + 1}{3x - 2x^2}$$

(b) Confirm your answer to part (a) by graphing the function.

- 39. Determine lim<sub>x→1<sup>-</sup></sub> 1/(x<sup>3</sup> 1) and lim<sub>x→1<sup>+</sup></sub> 1/(x<sup>3</sup> 1)
  (a) by evaluating f(x) = 1/(x<sup>3</sup> 1) for values of x that approach 1 from the left and from the right,
  - (b) by reasoning as in Example 9, and
- (c) from a graph of f.
- **40.** (a) By graphing the function  $f(x) = (\tan 4x)/x$  and zooming in toward the point where the graph crosses the *y*-axis, estimate the value of  $\lim_{x\to 0} f(x)$ .
  - (b) Check your answer in part (a) by evaluating f(x) for values of x that approach 0.

**41.** (a) Evaluate the function  $f(x) = x^2 - (2^x/1000)$  for x = 1, 0.8, 0.6, 0.4, 0.2, 0.1, and 0.05, and guess the value of

$$\lim_{x \to 0} \left( x^2 - \frac{2^x}{1000} \right)$$

- (b) Evaluate f(x) for x = 0.04, 0.02, 0.01, 0.005, 0.003, and 0.001. Guess again.
- **42.** (a) Evaluate  $h(x) = (\tan x x)/x^3$  for x = 1, 0.5, 0.1, 0.05, 0.01, and 0.005.
  - (b) Guess the value of  $\lim_{x\to 0} \frac{\tan x x}{x^3}$ .
  - (c) Evaluate h(x) for successively smaller values of x until you finally reach a value of 0 for h(x). Are you still confident that your guess in part (b) is correct? Explain why you eventually obtained 0 values. (In Section 6.8 a method for evaluating the limit will be explained.)
- (d) Graph the function *h* in the viewing rectangle [-1, 1] by [0, 1]. Then zoom in toward the point where the graph crosses the *y*-axis to estimate the limit of *h*(*x*) as *x* approaches 0. Continue to zoom in until you observe distortions in the graph of *h*. Compare with the results of part (c).
- A3. Graph the function f(x) = sin(π/x) of Example 4 in the viewing rectangle [−1, 1] by [−1, 1]. Then zoom in toward the origin several times. Comment on the behavior of this function.
  - **44.** In the theory of relativity, the mass of a particle with velocity *v* is

$$m = \frac{m_0}{\sqrt{1 - v^2/c^2}}$$

where  $m_0$  is the mass of the particle at rest and c is the speed of light. What happens as  $v \rightarrow c^{-?}$ 

**45.** Use a graph to estimate the equations of all the vertical asymptotes of the curve

$$y = \tan(2\sin x) \qquad -\pi \le x \le \pi$$

Then find the exact equations of these asymptotes.

**46.** (a) Use numerical and graphical evidence to guess the value of the limit

$$\lim_{x \to 1} \frac{x^3 - 1}{\sqrt{x} - 1}$$

(b) How close to 1 does *x* have to be to ensure that the function in part (a) is within a distance 0.5 of its limit?

# **1.6** Calculating Limits Using the Limit Laws

In Section 1.5 we used calculators and graphs to guess the values of limits, but we saw that such methods don't always lead to the correct answer. In this section we use the following properties of limits, called the *Limit Laws*, to calculate limits.

Limit Laws Suppose that c is a constant and the limits  $\lim_{x \to a} f(x) \quad \text{and} \quad \lim_{x \to a} g(x)$ exist. Then 1.  $\lim_{x \to a} [f(x) + g(x)] = \lim_{x \to a} f(x) + \lim_{x \to a} g(x)$ 2.  $\lim_{x \to a} [f(x) - g(x)] = \lim_{x \to a} f(x) - \lim_{x \to a} g(x)$ 3.  $\lim_{x \to a} [cf(x)] = c \lim_{x \to a} f(x)$ 4.  $\lim_{x \to a} [f(x)g(x)] = \lim_{x \to a} f(x) \cdot \lim_{x \to a} g(x)$ 5.  $\lim_{x \to a} \frac{f(x)}{g(x)} = \frac{\lim_{x \to a} f(x)}{\lim_{x \to a} g(x)} \quad \text{if } \lim_{x \to a} g(x) \neq 0$ 

These five laws can be stated verbally as follows:

- 1. The limit of a sum is the sum of the limits.
- 2. The limit of a difference is the difference of the limits.
- **3.** The limit of a constant times a function is the constant times the limit of the function.
- 4. The limit of a product is the product of the limits.
- **5.** The limit of a quotient is the quotient of the limits (provided that the limit of the denominator is not 0).

It is easy to believe that these properties are true. For instance, if f(x) is close to L and g(x) is close to M, it is reasonable to conclude that f(x) + g(x) is close to L + M. This gives us an intuitive basis for believing that Law 1 is true. In Section 1.7 we give a precise definition of a limit and use it to prove this law. The proofs of the remaining laws are given in Appendix F.

**EXAMPLE 1** Use the Limit Laws and the graphs of f and g in Figure 1 to evaluate the following limits, if they exist.

(a) 
$$\lim_{x \to -2} [f(x) + 5g(x)]$$
 (b)  $\lim_{x \to 1} [f(x)g(x)]$  (c)  $\lim_{x \to 2} \frac{f(x)}{g(x)}$ 

## SOLUTION

(a) From the graphs of f and g we see that

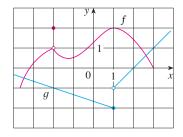
$$\lim_{x \to -2} f(x) = 1$$
 and  $\lim_{x \to -2} g(x) = -1$ 

Difference Law Constant Multiple Law

Sum Law

Product Law

Quotient Law



Therefore we have

$$\lim_{x \to -2} [f(x) + 5g(x)] = \lim_{x \to -2} f(x) + \lim_{x \to -2} [5g(x)] \quad \text{(by Law 1)}$$
$$= \lim_{x \to -2} f(x) + 5 \lim_{x \to -2} g(x) \quad \text{(by Law 3)}$$
$$= 1 + 5(-1) = -4$$

(b) We see that  $\lim_{x\to 1} f(x) = 2$ . But  $\lim_{x\to 1} g(x)$  does not exist because the left and right limits are different:

$$\lim_{x \to 1^{-}} g(x) = -2 \qquad \lim_{x \to 1^{+}} g(x) = -1$$

So we can't use Law 4 for the desired limit. But we *can* use Law 4 for the one-sided limits:

$$\lim_{x \to 1^{-}} \left[ f(x)g(x) \right] = 2 \cdot (-2) = -4 \qquad \lim_{x \to 1^{+}} \left[ f(x)g(x) \right] = 2 \cdot (-1) = -2$$

The left and right limits aren't equal, so  $\lim_{x\to 1} [f(x)g(x)]$  does not exist.

(c) The graphs show that

$$\lim_{x \to 2} f(x) \approx 1.4 \quad \text{and} \quad \lim_{x \to 2} g(x) = 0$$

Because the limit of the denominator is 0, we can't use Law 5. The given limit does not exist because the denominator approaches 0 while the numerator approaches a nonzero number.

If we use the Product Law repeatedly with g(x) = f(x), we obtain the following law.

Power Law

**6.**  $\lim_{x \to a} [f(x)]^n = \left[\lim_{x \to a} f(x)\right]^n \quad \text{where } n \text{ is a positive integer}$ 

In applying these six limit laws, we need to use two special limits:

**7.** 
$$\lim_{x \to a} c = c$$
 **8.**  $\lim_{x \to a} x = a$ 

These limits are obvious from an intuitive point of view (state them in words or draw graphs of y = c and y = x), but proofs based on the precise definition are requested in the exercises for Section 1.7.

If we now put f(x) = x in Law 6 and use Law 8, we get another useful special limit.

9.  $\lim_{x \to a} x^n = a^n$  where *n* is a positive integer

A similar limit holds for roots as follows. (For square roots the proof is outlined in Exercise 37 in Section 1.7.)

**10.**  $\lim_{x \to a} \sqrt[n]{x} = \sqrt[n]{a}$  where *n* is a positive integer (If *n* is even, we assume that a > 0.)

More generally, we have the following law, which is proved in Section 1.8 as a consequence of Law 10.

Root Law

#### **Newton and Limits**

Isaac Newton was born on Christmas Day in 1642, the year of Galileo's death. When he entered Cambridge University in 1661 Newton didn't know much mathematics, but he learned quickly by reading Euclid and Descartes and by attending the lectures of Isaac Barrow. Cambridge was closed because of the plague in 1665 and 1666, and Newton returned home to reflect on what he had learned. Those two years were amazingly productive for at that time he made four of his major discoveries: (1) his representation of functions as sums of infinite series, including the binomial theorem; (2) his work on differential and integral calculus; (3) his laws of motion and law of universal gravitation; and (4) his prism experiments on the nature of light and color. Because of a fear of controversy and criticism, he was reluctant to publish his discoveries and it wasn't until 1687, at the urging of the astronomer Halley, that Newton published Principia Mathematica. In this work, the greatest scientific treatise ever written, Newton set forth his version of calculus and used it to investigate mechanics, fluid dynamics, and wave motion, and to explain the motion of planets and comets.

The beginnings of calculus are found in the calculations of areas and volumes by ancient Greek scholars such as Eudoxus and Archimedes. Although aspects of the idea of a limit are implicit in their "method of exhaustion," Eudoxus and Archimedes never explicitly formulated the concept of a limit. Likewise, mathematicians such as Cavalieri, Fermat, and Barrow, the immediate precursors of Newton in the development of calculus, did not actually use limits. It was Isaac Newton who was the first to talk explicitly about limits. He explained that the main idea behind limits is that quantities "approach nearer than by any given difference." Newton stated that the limit was the basic concept in calculus, but it was left to later mathematicians like Cauchy to clarify his ideas about limits.

**11.** 
$$\lim_{x \to a} \sqrt[n]{f(x)} = \sqrt[n]{\lim_{x \to a} f(x)}$$
 where *n* is a positive integer  
[If *n* is even, we assume that  $\lim_{x \to a} f(x) > 0$ .]

**EXAMPLE 2** Evaluate the following limits and justify each step.

(a) 
$$\lim_{x \to 5} (2x^2 - 3x + 4)$$
 (b)  $\lim_{x \to -2} \frac{x^3 + 2x^2 - 1}{5 - 3x}$ 

#### SOLUTION

r-

(a) 
$$\lim_{x \to 5} (2x^2 - 3x + 4) = \lim_{x \to 5} (2x^2) - \lim_{x \to 5} (3x) + \lim_{x \to 5} 4$$
 (by Laws 2 and 1)  
$$= 2 \lim_{x \to 5} x^2 - 3 \lim_{x \to 5} x + \lim_{x \to 5} 4$$
 (by 3)  
$$= 2(5^2) - 3(5) + 4$$
 (by 9, 8, and 7)  
$$= 39$$

(b) We start by using Law 5, but its use is fully justified only at the final stage when we see that the limits of the numerator and denominator exist and the limit of the denominator is not 0.

$$\lim_{x \to -2} \frac{x^3 + 2x^2 - 1}{5 - 3x} = \frac{\lim_{x \to -2} (x^3 + 2x^2 - 1)}{\lim_{x \to -2} (5 - 3x)}$$
 (by Law 5)  
$$= \frac{\lim_{x \to -2} x^3 + 2 \lim_{x \to -2} x^2 - \lim_{x \to -2} 1}{\lim_{x \to -2} 5 - 3 \lim_{x \to -2} x}$$
 (by 1, 2, and 3)  
$$= \frac{(-2)^3 + 2(-2)^2 - 1}{5 - 3(-2)}$$
 (by 9, 8, and 7)  
$$= -\frac{1}{11}$$

**NOTE** If we let  $f(x) = 2x^2 - 3x + 4$ , then f(5) = 39. In other words, we would have gotten the correct answer in Example 2(a) by substituting 5 for x. Similarly, direct substitution provides the correct answer in part (b). The functions in Example 2 are a polynomial and a rational function, respectively, and similar use of the Limit Laws proves that direct substitution always works for such functions (see Exercises 55 and 56). We state this fact as follows.

**Direct Substitution Property** If f is a polynomial or a rational function and a is in the domain of f, then

$$\lim_{x \to a} f(x) = f(a)$$

Functions with the Direct Substitution Property are called *continuous at a* and will be studied in Section 1.8. However, not all limits can be evaluated by direct substitution, as the following examples show.

**EXAMPLE 3** Find 
$$\lim_{x \to 1} \frac{x^2 - 1}{x - 1}$$
.

**SOLUTION** Let  $f(x) = (x^2 - 1)/(x - 1)$ . We can't find the limit by substituting x = 1 because f(1) isn't defined. Nor can we apply the Quotient Law, because the limit of the denominator is 0. Instead, we need to do some preliminary algebra. We factor the numerator as a difference of squares:

$$\frac{x^2 - 1}{x - 1} = \frac{(x - 1)(x + 1)}{x - 1}$$

The numerator and denominator have a common factor of x - 1. When we take the limit as *x* approaches 1, we have  $x \neq 1$  and so  $x - 1 \neq 0$ . Therefore we can cancel the common factor and compute the limit as follows:

$$\lim_{x \to 1} \frac{x^2 - 1}{x - 1} = \lim_{x \to 1} \frac{(x - 1)(x + 1)}{x - 1}$$
$$= \lim_{x \to 1} (x + 1)$$
$$= 1 + 1 = 2$$

The limit in this example arose in Section 1.4 when we were trying to find the tangent to the parabola  $y = x^2$  at the point (1, 1).

**NOTE** In Example 3 we were able to compute the limit by replacing the given function  $f(x) = (x^2 - 1)/(x - 1)$  by a simpler function, g(x) = x + 1, with the same limit. This is valid because f(x) = g(x) except when x = 1, and in computing a limit as x approaches 1 we don't consider what happens when x is actually *equal* to 1. In general, we have the following useful fact.

If f(x) = g(x) when  $x \neq a$ , then  $\lim_{x \to a} f(x) = \lim_{x \to a} g(x)$ , provided the limits exist.

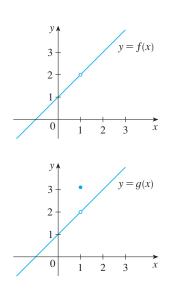
**EXAMPLE 4** Find  $\lim g(x)$  where

$$g(x) = \begin{cases} x+1 & \text{if } x \neq 1 \\ \pi & \text{if } x = 1 \end{cases}$$

SOLUTION Here g is defined at x = 1 and  $g(1) = \pi$ , but the value of a limit as x approaches 1 does not depend on the value of the function at 1. Since g(x) = x + 1 for  $x \neq 1$ , we have

$$\lim_{x \to 1} g(x) = \lim_{x \to 1} (x + 1) = 2$$

Note that the values of the functions in Examples 3 and 4 are identical except when x = 1 (see Figure 2) and so they have the same limit as x approaches 1.



**FIGURE 2** The graphs of the functions f (from Example 3) and q (from Example 4)

**V** EXAMPLE 5 Evaluate 
$$\lim_{h \to 0} \frac{(3+h)^2 - 9}{h}$$
.

SOLUTION If we define

$$F(h) = \frac{(3+h)^2 - 9}{h}$$

then, as in Example 3, we can't compute  $\lim_{h\to 0} F(h)$  by letting h = 0 since F(0) is undefined. But if we simplify F(h) algebraically, we find that

$$F(h) = \frac{(9+6h+h^2)-9}{h} = \frac{6h+h^2}{h} = 6+h$$

(Recall that we consider only  $h \neq 0$  when letting h approach 0.) Thus

 $\lim_{h \to 0} \frac{(3+h)^2 - 9}{h} = \lim_{h \to 0} (6+h) = 6$ EXAMPLE 6 Find  $\lim_{t \to 0} \frac{\sqrt{t^2 + 9} - 3}{t^2}$ .

**SOLUTION** We can't apply the Quotient Law immediately, since the limit of the denominator is 0. Here the preliminary algebra consists of rationalizing the numerator:

$$\lim_{t \to 0} \frac{\sqrt{t^2 + 9} - 3}{t^2} = \lim_{t \to 0} \frac{\sqrt{t^2 + 9} - 3}{t^2} \cdot \frac{\sqrt{t^2 + 9} + 3}{\sqrt{t^2 + 9} + 3}$$
$$= \lim_{t \to 0} \frac{(t^2 + 9) - 9}{t^2(\sqrt{t^2 + 9} + 3)}$$
$$= \lim_{t \to 0} \frac{t^2}{t^2(\sqrt{t^2 + 9} + 3)}$$
$$= \lim_{t \to 0} \frac{1}{\sqrt{t^2 + 9} + 3}$$
$$= \frac{1}{\sqrt{\lim_{t \to 0} (t^2 + 9) + 3}}$$
$$= \frac{1}{3 + 3} = \frac{1}{6}$$

This calculation confirms the guess that we made in Example 2 in Section 1.5.

Some limits are best calculated by first finding the left- and right-hand limits. The following theorem is a reminder of what we discovered in Section 1.5. It says that a two-sided limit exists if and only if both of the one-sided limits exist and are equal.

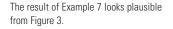
**1** Theorem  $\lim_{x \to a} f(x) = L$  if and only if  $\lim_{x \to a^-} f(x) = L = \lim_{x \to a^+} f(x)$ 

When computing one-sided limits, we use the fact that the Limit Laws also hold for onesided limits.

**EXAMPLE 7** Show that 
$$\lim_{x \to 0} |x| = 0$$
.

**SOLUTION** Recall that

 $|x| = \begin{cases} x & \text{if } x \ge 0\\ -x & \text{if } x < 0 \end{cases}$ 



0

y = |x|

X

Since |x| = x for x > 0, we have

$$\lim_{x \to 0^+} |x| = \lim_{x \to 0^+} x = 0$$

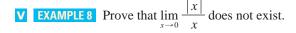
For x < 0 we have |x| = -x and so

$$\lim_{x \to 0^{-}} |x| = \lim_{x \to 0^{-}} (-x) = 0$$

 $\lim_{x\to 0} |x| = 0$ 

Therefore, by Theorem 1,





SOLUTION

$$\lim_{x \to 0^+} \frac{|x|}{x} = \lim_{x \to 0^+} \frac{x}{x} = \lim_{x \to 0^+} 1 = 1$$
$$\lim_{x \to 0^-} \frac{|x|}{x} = \lim_{x \to 0^-} \frac{-x}{x} = \lim_{x \to 0^-} (-1) = -1$$

Since the right- and left-hand limits are different, it follows from Theorem 1 that 
$$\lim_{x\to 0} |x|/x$$
 does not exist. The graph of the function  $f(x) = |x|/x$  is shown in Fig-

EXAMPLE 9 If

$$f(x) = \begin{cases} \sqrt{x-4} & \text{if } x > 4\\ 8-2x & \text{if } x < 4 \end{cases}$$

determine whether  $\lim_{x\to 4} f(x)$  exists.

SOLUTION Since  $f(x) = \sqrt{x-4}$  for x > 4, we have

ure 4 and supports the one-sided limits that we found.

$$\lim_{x \to 4^+} f(x) = \lim_{x \to 4^+} \sqrt{x - 4} = \sqrt{4 - 4} = 0$$

Since f(x) = 8 - 2x for x < 4, we have

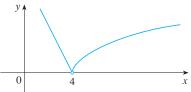
$$\lim_{x \to 4^{-}} f(x) = \lim_{x \to 4^{-}} (8 - 2x) = 8 - 2 \cdot 4 = 0$$

The right- and left-hand limits are equal. Thus the limit exists and

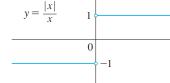
$$\lim_{x \to 4} f(x) = 0$$

0 4 х

The graph of f is shown in Figure 5.







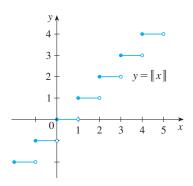
VA



It is shown in Example 3 in Section 1.7 that  $\lim_{x\to 0^+} \sqrt{x} = 0$ .

**FIGURE 5** 

Other notations for [x] and  $\lfloor x \rfloor$ . The greatest integer function is sometimes called the *floor function*.



**EXAMPLE 10** The greatest integer function is defined by  $[\![x]\!]$  = the largest integer that is less than or equal to *x*. (For instance,  $[\![4]\!]$  = 4,  $[\![4.8]\!]$  = 4,  $[\![\pi]\!]$  = 3,  $[\![\sqrt{2}]\!]$  = 1,  $[\![-\frac{1}{2}]\!]$  = -1.) Show that  $\lim_{x\to 3} [\![x]\!]$  does not exist.

SOLUTION The graph of the greatest integer function is shown in Figure 6. Since [x] = 3 for  $3 \le x < 4$ , we have

$$\lim_{x \to 3^+} [\![x]\!] = \lim_{x \to 3^+} 3 = 3$$

Since [x] = 2 for  $2 \le x < 3$ , we have

$$\lim_{x \to 3^{-}} [\![x]\!] = \lim_{x \to 3^{-}} 2 = 2$$

Because these one-sided limits are not equal,  $\lim_{x\to 3} [x]$  does not exist by Theorem 1.

The next two theorems give two additional properties of limits. Their proofs can be found in Appendix F.

**2** Theorem If  $f(x) \le g(x)$  when x is near a (except possibly at a) and the limits of f and g both exist as x approaches a, then

$$\lim_{x \to a} f(x) \le \lim_{x \to a} g(x)$$

**3** The Squeeze Theorem If  $f(x) \le g(x) \le h(x)$  when x is near a (except possibly at a) and

$$\lim_{x \to a} f(x) = \lim_{x \to a} h(x) = L$$

then

 $\oslash$ 

$$\lim_{x \to a} g(x) = L$$

The Squeeze Theorem, which is sometimes called the Sandwich Theorem or the Pinching Theorem, is illustrated by Figure 7. It says that if g(x) is squeezed between f(x) and h(x) near a, and if f and h have the same limit L at a, then g is forced to have the same limit L at a.

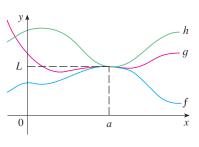
**V EXAMPLE 11** Show that  $\lim_{x \to 0} x^2 \sin \frac{1}{x} = 0$ .

SOLUTION First note that we cannot use

$$\lim_{x \to 0} x^2 \sin \frac{1}{x} = \lim_{x \to 0} x^2 \cdot \lim_{x \to 0} \sin \frac{1}{x}$$

because  $\lim_{x\to 0} \sin(1/x)$  does not exist (see Example 4 in Section 1.5).

Instead we apply the Squeeze Theorem, and so we need to find a function *f* smaller than  $g(x) = x^2 \sin(1/x)$  and a function *h* bigger than *g* such that both f(x) and h(x)





**FIGURE 6** 

Greatest integer function

approach 0. To do this we use our knowledge of the sine function. Because the sine of any number lies between -1 and 1, we can write

$$-1 \le \sin \frac{1}{x} \le 1$$

Any inequality remains true when multiplied by a positive number. We know that  $x^2 \ge 0$  for all x and so, multiplying each side of the inequalities in 4 by  $x^2$ , we get

$$-x^2 \le x^2 \sin \frac{1}{x} \le x^2$$

as illustrated by Figure 8. We know that

$$\lim_{x \to 0} x^2 = 0 \quad \text{and} \quad \lim_{x \to 0} (-x^2) = 0$$

Taking  $f(x) = -x^2$ ,  $g(x) = x^2 \sin(1/x)$ , and  $h(x) = x^2$  in the Squeeze Theorem, we obtain

$$\lim_{x \to 0} x^2 \sin \frac{1}{x} = 0$$

**FIGURE 8**  $y = x^2 \sin(1/x)$ 

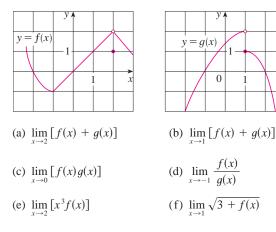
# 1.6 Exercises

1. Given that

$$\lim_{x \to 2} f(x) = 4 \qquad \lim_{x \to 2} g(x) = -2 \qquad \lim_{x \to 2} h(x) = 0$$

find the limits that exist. If the limit does not exist, explain why.

- (a)  $\lim_{x \to 2} [f(x) + 5g(x)]$  (b)  $\lim_{x \to 2} [g(x)]^3$ (c)  $\lim_{x \to 2} \sqrt{f(x)}$  (d)  $\lim_{x \to 2} \frac{3f(x)}{g(x)}$
- (e)  $\lim_{x \to 2} \frac{g(x)}{h(x)}$  (f)  $\lim_{x \to 2} \frac{g(x)h(x)}{f(x)}$
- 2. The graphs of *f* and *g* are given. Use them to evaluate each limit, if it exists. If the limit does not exist, explain why.



**3–9** Evaluate the limit and justify each step by indicating the appropriate Limit Law(s).

3. 
$$\lim_{x \to 3} (5x^{3} - 3x^{2} + x - 6)$$
4. 
$$\lim_{x \to -1} (x^{4} - 3x)(x^{2} + 5x + 3)$$
5. 
$$\lim_{t \to -2} \frac{t^{4} - 2}{2t^{2} - 3t + 2}$$
6. 
$$\lim_{u \to -2} \sqrt{u^{4} + 3u + 6}$$
7. 
$$\lim_{x \to 8} (1 + \sqrt[3]{x})(2 - 6x^{2} + x^{3})$$
8. 
$$\lim_{t \to 2} \left(\frac{t^{2} - 2}{t^{3} - 3t + 5}\right)^{2}$$
9. 
$$\lim_{x \to 2} \sqrt{\frac{2x^{2} + 1}{3x - 2}}$$

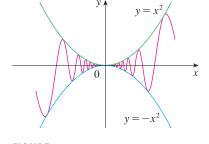
**10.** (a) What is wrong with the following equation?

$$\frac{x^2 + x - 6}{x - 2} = x + 3$$

(b) In view of part (a), explain why the equation

$$\lim_{x \to 2} \frac{x^2 + x - 6}{x - 2} = \lim_{x \to 2} (x + 3)$$

is correct.



**11–32** Evaluate the limit, if it exists.

11. 
$$\lim_{x \to 5} \frac{x^2 - 6x + 5}{x - 5}$$
12. 
$$\lim_{x \to 4} \frac{x^2 - 4x}{x^2 - 3x - 4}$$
13. 
$$\lim_{x \to 5} \frac{x^2 - 5x + 6}{x - 5}$$
14. 
$$\lim_{x \to -1} \frac{x^2 - 4x}{x^2 - 3x - 4}$$
15. 
$$\lim_{t \to -3} \frac{t^2 - 9}{2t^2 + 7t + 3}$$
16. 
$$\lim_{x \to -1} \frac{2x^2 + 3x + 1}{x^2 - 2x - 3}$$
17. 
$$\lim_{h \to 0} \frac{(-5 + h)^2 - 25}{h}$$
18. 
$$\lim_{h \to 0} \frac{(2 + h)^3 - 8}{h}$$
19. 
$$\lim_{x \to -2} \frac{x + 2}{x^3 + 8}$$
20. 
$$\lim_{t \to 1} \frac{t^4 - 1}{t^3 - 1}$$
21. 
$$\lim_{h \to 0} \frac{\sqrt{9 + h} - 3}{h}$$
22. 
$$\lim_{u \to 2} \frac{\sqrt{4u + 1} - 3}{u - 2}$$
23. 
$$\lim_{x \to -4} \frac{\frac{1}{4} + \frac{1}{x}}{4 + x}$$
24. 
$$\lim_{x \to -1} \frac{x^2 + 2x + 1}{x^4 - 1}$$
25. 
$$\lim_{t \to 0} \frac{\sqrt{1 + t} - \sqrt{1 - t}}{t}$$
26. 
$$\lim_{t \to 0} \frac{(3 + h)^{-1} - 3^{-1}}{h}$$
27. 
$$\lim_{x \to 16} \frac{4 - \sqrt{x}}{16x - x^2}$$
28. 
$$\lim_{h \to 0} \frac{(3 + h)^{-1} - 3^{-1}}{h}$$
29. 
$$\lim_{t \to 0} \left(\frac{1}{t\sqrt{1 + t}} - \frac{1}{t}\right)$$
30. 
$$\lim_{x \to -4} \frac{\sqrt{x^2 + 9} - 5}{x + 4}$$
31. 
$$\lim_{h \to 0} \frac{(x + h)^3 - x^3}{h}$$
32. 
$$\lim_{h \to 0} \frac{1}{(x + h)^2} - \frac{1}{x^2}$$

**33.** (a) Estimate the value of

$$\lim_{x \to 0} \frac{x}{\sqrt{1+3x} - 1}$$

by graphing the function  $f(x) = x/(\sqrt{1+3x} - 1)$ .

- (b) Make a table of values of f(x) for x close to 0 and guess the value of the limit.
- (c) Use the Limit Laws to prove that your guess is correct.

**34.** (a) Use a graph of

$$f(x) = \frac{\sqrt{3+x} - \sqrt{3}}{x}$$

to estimate the value of  $\lim_{x\to 0} f(x)$  to two decimal places.

- (b) Use a table of values of f(x) to estimate the limit to four decimal places.
- (c) Use the Limit Laws to find the exact value of the limit.

- **35.** Use the Squeeze Theorem to show that  $\lim_{x\to 0} (x^2 \cos 20\pi x) = 0$ . Illustrate by graphing the functions  $f(x) = -x^2$ ,  $g(x) = x^2 \cos 20\pi x$ , and  $h(x) = x^2$  on the same screen.
- **36.** Use the Squeeze Theorem to show that

$$\lim_{x \to 0} \sqrt{x^3 + x^2} \sin \frac{\pi}{x} = 0$$

Illustrate by graphing the functions f, g, and h (in the notation of the Squeeze Theorem) on the same screen.

- **37.** If  $4x 9 \le f(x) \le x^2 4x + 7$  for  $x \ge 0$ , find  $\lim_{x \to 4} f(x)$ .
- **38.** If  $2x \le g(x) \le x^4 x^2 + 2$  for all *x*, evaluate  $\lim_{x \to 1} g(x)$ .
- **39.** Prove that  $\lim_{x \to 0} x^4 \cos \frac{2}{x} = 0.$
- **40.** Prove that  $\lim_{x \to 0^+} \sqrt{x} [1 + \sin^2(2\pi/x)] = 0.$

**41–46** Find the limit, if it exists. If the limit does not exist, explain why.

**41.** 
$$\lim_{x \to 3} (2x + |x - 3|)$$
**42.** 
$$\lim_{x \to -6} \frac{2x + 12}{|x + 6|}$$
**43.** 
$$\lim_{x \to 0.5^{-}} \frac{2x - 1}{|2x^3 - x^2|}$$
**44.** 
$$\lim_{x \to -2} \frac{2 - |x|}{2 + x}$$
**45.** 
$$\lim_{x \to 0^{-}} \left(\frac{1}{x} - \frac{1}{|x|}\right)$$
**46.** 
$$\lim_{x \to 0^{+}} \left(\frac{1}{x} - \frac{1}{|x|}\right)$$

47. The signum (or sign) function, denoted by sgn, is defined by

	(-1)	if $x < 0$
$\operatorname{sgn} x = 4$	0	if $x = 0$
	1	if $x > 0$

- (a) Sketch the graph of this function.
- (b) Find each of the following limits or explain why it does not exist.

(i) $\lim_{x\to 0^+} \operatorname{sgn} x$	(ii) $\lim_{x\to 0^-} \operatorname{sgn} x$
(iii) $\lim_{x\to 0} \operatorname{sgn} x$	(iv) $\lim_{x\to 0}  \operatorname{sgn} x $

48. Let

$$f(x) = \begin{cases} x^2 + 1 & \text{if } x < 1\\ (x - 2)^2 & \text{if } x \ge 1 \end{cases}$$

(a) Find  $\lim_{x\to 1^-} f(x)$  and  $\lim_{x\to 1^+} f(x)$ .

- (b) Does  $\lim_{x\to 1} f(x)$  exist?
- (c) Sketch the graph of f.

- (b) Does  $\lim_{x\to 2} g(x)$  exist?
- (c) Sketch the graph of g.

## 50. Let

$$g(x) = \begin{cases} x & \text{if } x < 1\\ 3 & \text{if } x = 1\\ 2 - x^2 & \text{if } 1 < x \le 2\\ x - 3 & \text{if } x > 2 \end{cases}$$

- (a) Evaluate each of the following, if it exists.
  - (i)  $\lim_{x \to 1^-} g(x)$  (ii)  $\lim_{x \to 1} g(x)$  (iii) g(1)(iv)  $\lim_{x \to 2^-} g(x)$  (v)  $\lim_{x \to 2^+} g(x)$  (vi)  $\lim_{x \to 2^+} g(x)$
- (b) Sketch the graph of *g*.
- 51. (a) If the symbol [[]] denotes the greatest integer function defined in Example 10, evaluate
  (i) lim [[x]] (ii) lim [[x]] (iii) lim [[x]]
  (b) If n is an integer, evaluate
  - (i)  $\lim_{x \to n^-} \llbracket x \rrbracket$  (ii)  $\lim_{x \to n^+} \llbracket x \rrbracket$
  - (c) For what values of *a* does  $\lim_{x\to a} [x]$  exist?
- **52.** Let  $f(x) = [\cos x], -\pi \le x \le \pi$ .
  - (a) Sketch the graph of *f*.
  - (b) Evaluate each limit, if it exists.
    - (i)  $\lim_{x \to 0} f(x)$  (ii)  $\lim_{x \to (\pi/2)^{-}} f(x)$
    - (iii)  $\lim_{x \to (\pi/2)^+} f(x)$  (iv)  $\lim_{x \to \pi/2} f(x)$
  - (c) For what values of *a* does  $\lim_{x\to a} f(x)$  exist?
- **53.** If  $f(x) = \llbracket x \rrbracket + \llbracket -x \rrbracket$ , show that  $\lim_{x \to 2} f(x)$  exists but is not equal to f(2).
- 54. In the theory of relativity, the Lorentz contraction formula

$$L = L_0 \sqrt{1 - v^2/c^2}$$

expresses the length *L* of an object as a function of its velocity *v* with respect to an observer, where  $L_0$  is the length of the object at rest and *c* is the speed of light. Find  $\lim_{v\to c^-} L$  and interpret the result. Why is a left-hand limit necessary?

- **55.** If *p* is a polynomial, show that  $\lim_{x\to a} p(x) = p(a)$ .
- **56.** If *r* is a rational function, use Exercise 55 to show that  $\lim_{x\to a} r(x) = r(a)$  for every number *a* in the domain of *r*.

**57.** If 
$$\lim_{x \to 1} \frac{f(x) - 8}{x - 1} = 10$$
, find  $\lim_{x \to 1} f(x)$ .

**58.** If 
$$\lim_{x \to 0} \frac{f(x)}{x^2} = 5$$
, find the following limits.

(a) 
$$\lim_{x \to 0} f(x)$$
 (b)  $\lim_{x \to 0} \frac{f(x)}{x}$ 

**59.** If

$$f(x) = \begin{cases} x^2 & \text{if } x \text{ is rational} \\ 0 & \text{if } x \text{ is irrational} \end{cases}$$

prove that  $\lim_{x\to 0} f(x) = 0$ .

- Show by means of an example that lim<sub>x→a</sub> [f(x) + g(x)] may exist even though neither lim<sub>x→a</sub> f(x) nor lim<sub>x→a</sub> g(x) exists.
- **61.** Show by means of an example that  $\lim_{x\to a} [f(x)g(x)]$  may exist even though neither  $\lim_{x\to a} f(x)$  nor  $\lim_{x\to a} g(x)$  exists.

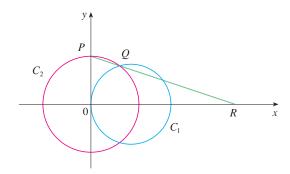
**62.** Evaluate 
$$\lim_{x \to 2} \frac{\sqrt{6-x}-2}{\sqrt{3-x}-1}$$
.

**63.** Is there a number *a* such that

$$\lim_{x \to -2} \frac{3x^2 + ax + a + 3}{x^2 + x - 2}$$

exists? If so, find the value of a and the value of the limit.

**64.** The figure shows a fixed circle  $C_1$  with equation  $(x - 1)^2 + y^2 = 1$  and a shrinking circle  $C_2$  with radius *r* and center the origin. *P* is the point (0, *r*), *Q* is the upper point of intersection of the two circles, and *R* is the point of intersection of the line *PQ* and the *x*-axis. What happens to *R* as  $C_2$  shrinks, that is, as  $r \rightarrow 0^+$ ?



## **1.7** The Precise Definition of a Limit

The intuitive definition of a limit given in Section 1.5 is inadequate for some purposes because such phrases as "x is close to 2" and "f(x) gets closer and closer to L" are vague. In order to be able to prove conclusively that

$$\lim_{x \to 0} \left( x^3 + \frac{\cos 5x}{10,000} \right) = 0.0001 \quad \text{or} \quad \lim_{x \to 0} \frac{\sin x}{x} = 1$$

we must make the definition of a limit precise.

To motivate the precise definition of a limit, let's consider the function

$$f(x) = \begin{cases} 2x - 1 & \text{if } x \neq 3\\ 6 & \text{if } x = 3 \end{cases}$$

Intuitively, it is clear that when x is close to 3 but  $x \neq 3$ , then f(x) is close to 5, and so  $\lim_{x\to 3} f(x) = 5$ .

To obtain more detailed information about how f(x) varies when x is close to 3, we ask the following question:

How close to 3 does x have to be so that f(x) differs from 5 by less than 0.1?

The distance from x to 3 is |x - 3| and the distance from f(x) to 5 is |f(x) - 5|, so our problem is to find a number  $\delta$  such that

$$|f(x) - 5| < 0.1$$
 if  $|x - 3| < \delta$  but  $x \neq 3$ 

If |x - 3| > 0, then  $x \neq 3$ , so an equivalent formulation of our problem is to find a number  $\delta$  such that

$$|f(x) - 5| < 0.1$$
 if  $0 < |x - 3| < \delta$ 

Notice that if 0 < |x - 3| < (0.1)/2 = 0.05, then

$$|f(x) - 5| = |(2x - 1) - 5| = |2x - 6| = 2|x - 3| < 2(0.05) = 0.1$$

that is,

$$|f(x) - 5| < 0.1$$
 if  $0 < |x - 3| < 0.05$ 

Thus an answer to the problem is given by  $\delta = 0.05$ ; that is, if x is within a distance of 0.05 from 3, then f(x) will be within a distance of 0.1 from 5.

If we change the number 0.1 in our problem to the smaller number 0.01, then by using the same method we find that f(x) will differ from 5 by less than 0.01 provided that x differs from 3 by less than (0.01)/2 = 0.005:

$$|f(x) - 5| < 0.01$$
 if  $0 < |x - 3| < 0.005$ 

Similarly,

$$|f(x) - 5| < 0.001$$
 if  $0 < |x - 3| < 0.0005$ 

The numbers 0.1, 0.01, and 0.001 that we have considered are *error tolerances* that we might allow. For 5 to be the precise limit of f(x) as x approaches 3, we must not only be

It is traditional to use the Greek letter  $\delta$  (delta) in this situation.

able to bring the difference between f(x) and 5 below each of these three numbers; we must be able to bring it below *any* positive number. And, by the same reasoning, we can! If we write  $\varepsilon$  (the Greek letter epsilon) for an arbitrary positive number, then we find as before that

1 
$$|f(x) - 5| < \varepsilon$$
 if  $0 < |x - 3| < \delta = \frac{\varepsilon}{2}$ 

This is a precise way of saying that f(x) is close to 5 when x is close to 3 because 1 says that we can make the values of f(x) within an arbitrary distance  $\varepsilon$  from 5 by taking the values of x within a distance  $\varepsilon/2$  from 3 (but  $x \neq 3$ ).

Note that 1 can be rewritten as follows:

if  $3 - \delta < x < 3 + \delta$   $(x \neq 3)$  then  $5 - \varepsilon < f(x) < 5 + \varepsilon$ 

and this is illustrated in Figure 1. By taking the values of  $x (\neq 3)$  to lie in the interval  $(3 - \delta, 3 + \delta)$  we can make the values of f(x) lie in the interval  $(5 - \varepsilon, 5 + \varepsilon)$ . Using 1 as a model, we give a precise definition of a limit.

2 Definition Let f be a function defined on some open interval that contains the number a, except possibly at a itself. Then we say that the limit of f(x) as x approaches a is L, and we write

$$\lim f(x) = L$$

if for every number  $\varepsilon > 0$  there is a number  $\delta > 0$  such that

if  $0 < |x - a| < \delta$  then  $|f(x) - L| < \varepsilon$ 

Since |x - a| is the distance from x to a and |f(x) - L| is the distance from f(x) to L, and since  $\varepsilon$  can be arbitrarily small, the definition of a limit can be expressed in words as follows:

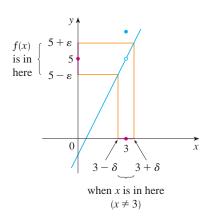
 $\lim_{x\to a} f(x) = L$  means that the distance between f(x) and L can be made arbitrarily small by taking the distance from x to a sufficiently small (but not 0).

#### Alternatively,

 $\lim_{x\to a} f(x) = L$  means that the values of f(x) can be made as close as we please to *L* by taking *x* close enough to *a* (but not equal to *a*).

We can also reformulate Definition 2 in terms of intervals by observing that the inequality  $|x - a| < \delta$  is equivalent to  $-\delta < x - a < \delta$ , which in turn can be written as  $a - \delta < x < a + \delta$ . Also 0 < |x - a| is true if and only if  $x - a \neq 0$ , that is,  $x \neq a$ . Similarly, the inequality  $|f(x) - L| < \varepsilon$  is equivalent to the pair of inequalities  $L - \varepsilon < f(x) < L + \varepsilon$ . Therefore, in terms of intervals, Definition 2 can be stated as follows:

 $\lim_{x\to a} f(x) = L$  means that for every  $\varepsilon > 0$  (no matter how small  $\varepsilon$  is) we can find  $\delta > 0$  such that if *x* lies in the open interval  $(a - \delta, a + \delta)$  and  $x \neq a$ , then f(x) lies in the open interval  $(L - \varepsilon, L + \varepsilon)$ .





We interpret this statement geometrically by representing a function by an arrow diagram as in Figure 2, where f maps a subset of  $\mathbb{R}$  onto another subset of  $\mathbb{R}$ .

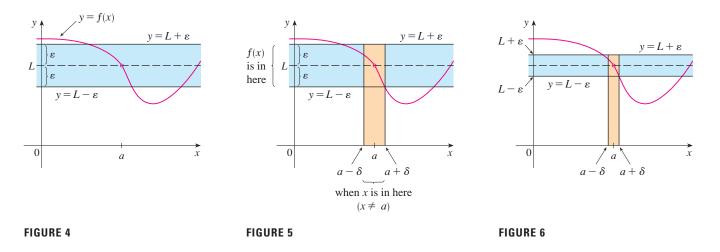


The definition of limit says that if any small interval  $(L - \varepsilon, L + \varepsilon)$  is given around L, then we can find an interval  $(a - \delta, a + \delta)$  around a such that f maps all the points in  $(a - \delta, a + \delta)$  (except possibly a) into the interval  $(L - \varepsilon, L + \varepsilon)$ . (See Figure 3.)



Another geometric interpretation of limits can be given in terms of the graph of a function. If  $\varepsilon > 0$  is given, then we draw the horizontal lines  $y = L + \varepsilon$  and  $y = L - \varepsilon$  and the graph of f. (See Figure 4.) If  $\lim_{x\to a} f(x) = L$ , then we can find a number  $\delta > 0$  such that if we restrict x to lie in the interval  $(a - \delta, a + \delta)$  and take  $x \neq a$ , then the curve y = f(x) lies between the lines  $y = L - \varepsilon$  and  $y = L + \varepsilon$ . (See Figure 5.) You can see that if such a  $\delta$  has been found, then any smaller  $\delta$  will also work.

It is important to realize that the process illustrated in Figures 4 and 5 must work for *every* positive number  $\varepsilon$ , no matter how small it is chosen. Figure 6 shows that if a smaller  $\varepsilon$  is chosen, then a smaller  $\delta$  may be required.

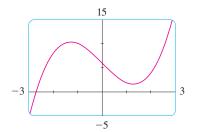


**EXAMPLE 1** Use a graph to find a number  $\delta$  such that

if  $|x-1| < \delta$  then  $|(x^3 - 5x + 6) - 2| < 0.2$ 

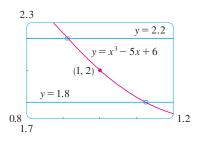
In other words, find a number  $\delta$  that corresponds to  $\varepsilon = 0.2$  in the definition of a limit for the function  $f(x) = x^3 - 5x + 6$  with a = 1 and L = 2.

**FIGURE 3** 

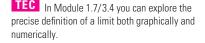


as









SOLUTION A graph of f is shown in Figure 7; we are interested in the region near the point (1, 2). Notice that we can rewrite the inequality

$$|(x^{3} - 5x + 6) - 2| < 0.2$$
  
$$1.8 < x^{3} - 5x + 6 < 2.2$$

So we need to determine the values of x for which the curve  $y = x^3 - 5x + 6$  lies between the horizontal lines y = 1.8 and y = 2.2. Therefore we graph the curves  $y = x^3 - 5x + 6$ , y = 1.8, and y = 2.2 near the point (1, 2) in Figure 8. Then we use the cursor to estimate that the x-coordinate of the point of intersection of the line y = 2.2and the curve  $y = x^3 - 5x + 6$  is about 0.911. Similarly,  $y = x^3 - 5x + 6$  intersects the line y = 1.8 when  $x \approx 1.124$ . So, rounding to be safe, we can say that

if 0.92 < x < 1.12 then  $1.8 < x^3 - 5x + 6 < 2.2$ 

This interval (0.92, 1.12) is not symmetric about x = 1. The distance from x = 1 to the left endpoint is 1 - 0.92 = 0.08 and the distance to the right endpoint is 0.12. We can choose  $\delta$  to be the smaller of these numbers, that is,  $\delta = 0.08$ . Then we can rewrite our inequalities in terms of distances as follows:

if |x-1| < 0.08 then  $|(x^3 - 5x + 6) - 2| < 0.2$ 

This just says that by keeping x within 0.08 of 1, we are able to keep f(x) within 0.2 of 2.

Although we chose  $\delta = 0.08$ , any smaller positive value of  $\delta$  would also have worked.

The graphical procedure in Example 1 gives an illustration of the definition for  $\varepsilon = 0.2$ , but it does not *prove* that the limit is equal to 2. A proof has to provide a  $\delta$  for *every*  $\varepsilon$ .

In proving limit statements it may be helpful to think of the definition of limit as a challenge. First it challenges you with a number  $\varepsilon$ . Then you must be able to produce a suitable  $\delta$ . You have to be able to do this for *every*  $\varepsilon > 0$ , not just a particular  $\varepsilon$ .

Imagine a contest between two people, A and B, and imagine yourself to be B. Person A stipulates that the fixed number *L* should be approximated by the values of f(x) to within a degree of accuracy  $\varepsilon$  (say, 0.01). Person B then responds by finding a number  $\delta$  such that if  $0 < |x - a| < \delta$ , then  $|f(x) - L| < \varepsilon$ . Then A may become more exacting and challenge B with a smaller value of  $\varepsilon$  (say, 0.0001). Again B has to respond by finding a corresponding  $\delta$ . Usually the smaller the value of  $\varepsilon$ , the smaller the corresponding value of  $\delta$  must be. If B always wins, no matter how small A makes  $\varepsilon$ , then  $\lim_{x\to a} f(x) = L$ .

**V EXAMPLE 2** Prove that  $\lim_{x \to 3} (4x - 5) = 7$ .

#### SOLUTION

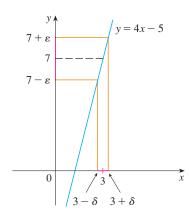
**1.** *Preliminary analysis of the problem (guessing a value for*  $\delta$ ). Let  $\varepsilon$  be a given positive number. We want to find a number  $\delta$  such that

if  $0 < |x - 3| < \delta$  then  $|(4x - 5) - 7| < \varepsilon$ 

But |(4x - 5) - 7| = |4x - 12| = |4(x - 3)| = 4|x - 3|. Therefore we want  $\delta$  such that

if  $0 < |x - 3| < \delta$  then  $4|x - 3| < \varepsilon$ that is, if  $0 < |x - 3| < \delta$  then  $|x - 3| < \frac{\varepsilon}{4}$ 

This suggests that we should choose  $\delta = \varepsilon/4$ .



## **FIGURE 9**

#### **Cauchy and Limits**

After the invention of calculus in the 17th century, there followed a period of free development of the subject in the 18th century. Mathematicians like the Bernoulli brothers and Euler were eager to exploit the power of calculus and boldly explored the consequences of this new and wonderful mathematical theory without worrying too much about whether their proofs were completely correct.

The 19th century, by contrast, was the Age of Rigor in mathematics. There was a movement to go back to the foundations of the subject-to provide careful definitions and rigorous proofs. At the forefront of this movement was the French mathematician Augustin-Louis Cauchy (1789-1857), who started out as a military engineer before becoming a mathematics professor in Paris. Cauchy took Newton's idea of a limit, which was kept alive in the 18th century by the French mathematician Jean d'Alembert, and made it more precise. His definition of a limit reads as follows: "When the successive values attributed to a variable approach indefinitely a fixed value so as to end by differing from it by as little as one wishes, this last is called the *limit* of all the others." But when Cauchy used this definition in examples and proofs, he often employed delta-epsilon inequalities similar to the ones in this section. A typical Cauchy proof starts with: "Designate by  $\delta$  and  $\varepsilon$  two very small numbers; . . ." He used  $\varepsilon$  because of the correspondence between epsilon and the French word *erreur* and  $\delta$  because delta corresponds to différence. Later, the German mathematician Karl Weierstrass (1815-1897) stated the definition of a limit exactly as in our Definition 2.

**2.** *Proof* (*showing that this*  $\delta$  *works*). Given  $\varepsilon > 0$ , choose  $\delta = \varepsilon/4$ . If  $0 < |x - 3| < \delta$ , then

$$|(4x - 5) - 7| = |4x - 12| = 4|x - 3| < 4\delta = 4\left(\frac{\varepsilon}{4}\right) = \varepsilon$$

Thus

if 
$$0 < |x - 3| < \delta$$
 then  $|(4x - 5) - 7| < \varepsilon$ 

Therefore, by the definition of a limit,

$$\lim_{x \to 3} \left(4x - 5\right) = 7$$

This example is illustrated by Figure 9.

Note that in the solution of Example 2 there were two stages—guessing and proving. We made a preliminary analysis that enabled us to guess a value for  $\delta$ . But then in the second stage we had to go back and prove in a careful, logical fashion that we had made a correct guess. This procedure is typical of much of mathematics. Sometimes it is necessary to first make an intelligent guess about the answer to a problem and then prove that the guess is correct.

The intuitive definitions of one-sided limits that were given in Section 1.5 can be precisely reformulated as follows.

#### 3 Definition of Left-Hand Limit

$$\lim_{x \to a^-} f(x) = L$$

if for every number  $\varepsilon > 0$  there is a number  $\delta > 0$  such that

if 
$$a - \delta < x < a$$
 then  $|f(x) - L| < \varepsilon$ 

## 4 Definition of Right-Hand Limit

 $\lim_{\perp} f(x) = L$ 

if for every number  $\varepsilon > 0$  there is a number  $\delta > 0$  such that

if  $a < x < a + \delta$  then  $|f(x) - L| < \varepsilon$ 

Notice that Definition 3 is the same as Definition 2 except that x is restricted to lie in the *left* half  $(a - \delta, a)$  of the interval  $(a - \delta, a + \delta)$ . In Definition 4, x is restricted to lie in the *right* half  $(a, a + \delta)$  of the interval  $(a - \delta, a + \delta)$ .

**EXAMPLE 3** Use Definition 4 to prove that  $\lim_{x \to 0^+} \sqrt{x} = 0$ .

#### SOLUTION

that is.

**1.** Guessing a value for  $\delta$ . Let  $\varepsilon$  be a given positive number. Here a = 0 and L = 0, so we want to find a number  $\delta$  such that

if  $0 < x < \delta$  then  $|\sqrt{x} - 0| < \varepsilon$ if  $0 < x < \delta$  then  $\sqrt{x} < \varepsilon$  or, squaring both sides of the inequality  $\sqrt{x} < \varepsilon$ , we get

if  $0 < x < \delta$  then  $x < \varepsilon^2$ 

This suggests that we should choose  $\delta = \varepsilon^2$ .

**2.** Showing that this  $\delta$  works. Given  $\varepsilon > 0$ , let  $\delta = \varepsilon^2$ . If  $0 < x < \delta$ , then

$$\sqrt{x} < \sqrt{\delta} = \sqrt{\varepsilon^2} = \varepsilon$$
  
 $|\sqrt{x} - 0| < \varepsilon$ 

so

According to Definition 4, this shows that  $\lim_{x\to 0^+} \sqrt{x} = 0$ .

**EXAMPLE 4** Prove that  $\lim_{x \to 3} x^2 = 9$ .

#### SOLUTION

**1**. *Guessing a value for*  $\delta$ . Let  $\varepsilon > 0$  be given. We have to find a number  $\delta > 0$  such that

if 
$$0 < |x-3| < \delta$$
 then  $|x^2-9| < \varepsilon$ 

To connect  $|x^2 - 9|$  with |x - 3| we write  $|x^2 - 9| = |(x + 3)(x - 3)|$ . Then we want

if  $0 < |x - 3| < \delta$  then  $|x + 3| |x - 3| < \varepsilon$ 

Notice that if we can find a positive constant C such that |x + 3| < C, then

$$|x+3||x-3| < C|x-3|$$

and we can make  $C|x-3| < \varepsilon$  by taking  $|x-3| < \varepsilon/C = \delta$ .

We can find such a number *C* if we restrict *x* to lie in some interval centered at 3. In fact, since we are interested only in values of *x* that are close to 3, it is reasonable to assume that *x* is within a distance 1 from 3, that is, |x - 3| < 1. Then 2 < x < 4, so 5 < x + 3 < 7. Thus we have |x + 3| < 7, and so C = 7 is a suitable choice for the constant.

But now there are two restrictions on |x - 3|, namely

$$|x-3| < 1$$
 and  $|x-3| < \frac{\varepsilon}{C} = \frac{\varepsilon}{7}$ 

To make sure that both of these inequalities are satisfied, we take  $\delta$  to be the smaller of the two numbers 1 and  $\varepsilon/7$ . The notation for this is  $\delta = \min\{1, \varepsilon/7\}$ .

**2.** Showing that this  $\delta$  works. Given  $\varepsilon > 0$ , let  $\delta = \min\{1, \varepsilon/7\}$ . If  $0 < |x - 3| < \delta$ , then  $|x - 3| < 1 \Rightarrow 2 < x < 4 \Rightarrow |x + 3| < 7$  (as in part 1). We also have  $|x - 3| < \varepsilon/7$ , so

$$|x^{2} - 9| = |x + 3| |x - 3| < 7 \cdot \frac{\varepsilon}{7} = \varepsilon$$

This shows that  $\lim_{x\to 3} x^2 = 9$ .

As Example 4 shows, it is not always easy to prove that limit statements are true using the  $\varepsilon$ ,  $\delta$  definition. In fact, if we had been given a more complicated function such as  $f(x) = (6x^2 - 8x + 9)/(2x^2 - 1)$ , a proof would require a great deal of ingenuity. Fortu-

nately this is unnecessary because the Limit Laws stated in Section 1.6 can be proved using Definition 2, and then the limits of complicated functions can be found rigorously from the Limit Laws without resorting to the definition directly.

For instance, we prove the Sum Law: If  $\lim_{x\to a} f(x) = L$  and  $\lim_{x\to a} g(x) = M$  both exist, then

$$\lim_{x \to a} \left[ f(x) + g(x) \right] = L + M$$

The remaining laws are proved in the exercises and in Appendix F.

**PROOF OF THE SUM LAW** Let  $\varepsilon > 0$  be given. We must find  $\delta > 0$  such that

if 
$$0 < |x - a| < \delta$$
 then  $|f(x) + g(x) - (L + M)| < \varepsilon$ 

Triangle Inequality:

 $|a+b| \le |a|+|b|$ 

(See Appendix A.)

Using the Triangle Inequality we can write

5 
$$|f(x) + g(x) - (L + M)| = |(f(x) - L) + (g(x) - M)|$$
  
 $\leq |f(x) - L| + |g(x) - M|$ 

We make |f(x) + g(x) - (L + M)| less than  $\varepsilon$  by making each of the terms |f(x) - L|and |g(x) - M| less than  $\varepsilon/2$ .

Since  $\varepsilon/2 > 0$  and  $\lim_{x \to a} f(x) = L$ , there exists a number  $\delta_1 > 0$  such that

if 
$$0 < |x - a| < \delta_1$$
 then  $|f(x) - L| < \frac{\varepsilon}{2}$ 

Similarly, since  $\lim_{x\to a} g(x) = M$ , there exists a number  $\delta_2 > 0$  such that

if 
$$0 < |x - a| < \delta_2$$
 then  $|g(x) - M| < \frac{\varepsilon}{2}$ 

Let  $\delta = \min{\{\delta_1, \delta_2\}}$ , the smaller of the numbers  $\delta_1$  and  $\delta_2$ . Notice that

if 
$$0 < |x - a| < \delta$$
 then  $0 < |x - a| < \delta_1$  and  $0 < |x - a| < \delta_2$ 

so 
$$|f(x) - L| < \frac{\varepsilon}{2}$$
 and  $|g(x) - M| < \frac{\varepsilon}{2}$ 

Therefore, by 5,

$$|f(x) + g(x) - (L + M)| \le |f(x) - L| + |g(x) - M$$
  
 $< \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon$ 

To summarize,

if 
$$0 < |x - a| < \delta$$
 then  $|f(x) + g(x) - (L + M)| < \varepsilon$ 

Thus, by the definition of a limit,

$$\lim_{x \to a} \left[ f(x) + g(x) \right] = L + M$$

### Infinite Limits

Infinite limits can also be defined in a precise way. The following is a precise version of Definition 4 in Section 1.5.

**6** Definition Let f be a function defined on some open interval that contains the number a, except possibly at a itself. Then

$$\lim_{x \to \infty} f(x) = \infty$$

means that for every positive number M there is a positive number  $\delta$  such that

if  $0 < |x - a| < \delta$ then f(x) > M

This says that the values of f(x) can be made arbitrarily large (larger than any given number M) by taking x close enough to a (within a distance  $\delta$ , where  $\delta$  depends on M, but with  $x \neq a$ ). A geometric illustration is shown in Figure 10.

Given any horizontal line y = M, we can find a number  $\delta > 0$  such that if we restrict x to lie in the interval  $(a - \delta, a + \delta)$  but  $x \neq a$ , then the curve y = f(x) lies above the line y = M. You can see that if a larger M is chosen, then a smaller  $\delta$  may be required.

**V EXAMPLE 5** Use Definition 6 to prove that  $\lim_{x \to 0} \frac{1}{x^2} = \infty$ .

**SOLUTION** Let M be a given positive number. We want to find a number  $\delta$  such that

if 
$$0 < |x| < \delta$$
 then  $1/x^2 > M$ 

Bu

1

It 
$$\frac{1}{x^2} > M \iff x^2 < \frac{1}{M} \iff |x| < \frac{1}{\sqrt{M}}$$

So if we choose  $\delta = 1/\sqrt{M}$  and  $0 < |x| < \delta = 1/\sqrt{M}$ , then  $1/x^2 > M$ . This shows that  $1/x^2 \rightarrow \infty$  as  $x \rightarrow 0$ .

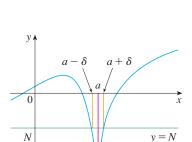
Similarly, the following is a precise version of Definition 5 in Section 1.5. It is illustrated by Figure 11.

**7 Definition** Let f be a function defined on some open interval that contains the number a, except possibly at a itself. Then

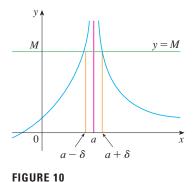
$$\lim_{x \to a} f(x) = -\infty$$

means that for every negative number N there is a positive number  $\delta$  such that

if 
$$0 < |x - a| < \delta$$
 then  $f(x) < N$ 



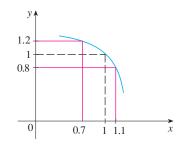




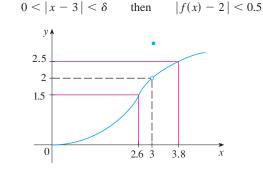
# 1.7 Exercises

if

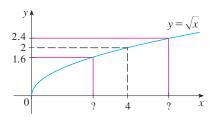
- **1.** Use the given graph of *f* to find a number  $\delta$  such that
  - if  $|x 1| < \delta$  then |f(x) 1| < 0.2



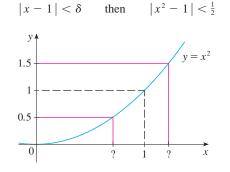
**2.** Use the given graph of f to find a number  $\delta$  such that



- **3.** Use the given graph of  $f(x) = \sqrt{x}$  to find a number  $\delta$  such that
  - if  $|x 4| < \delta$  then  $|\sqrt{x} 2| < 0.4$



**4.** Use the given graph of  $f(x) = x^2$  to find a number  $\delta$  such that



**5.** Use a graph to find a number  $\delta$  such that

T.

if 
$$\left| x - \frac{\pi}{4} \right| < \delta$$
 then  $\left| \tan x - 1 \right| < 0.2$ 

**6.** Use a graph to find a number  $\delta$  such that

if 
$$|x-1| < \delta$$
 then  $\left| \frac{2x}{x^2+4} - 0.4 \right| < 0.1$ 

**7**. For the limit

$$\lim_{x \to 2^3} (x^3 - 3x + 4) = 6$$

illustrate Definition 2 by finding values of  $\delta$  that correspond to  $\varepsilon = 0.2$  and  $\varepsilon = 0.1$ .

**8**. For the limit

$$\lim_{x \to 2} \frac{4x+1}{3x-4} = 4.5$$

illustrate Definition 2 by finding values of  $\delta$  that correspond to  $\varepsilon = 0.5$  and  $\varepsilon = 0.1$ .

- P. Given that lim<sub>x→π/2</sub> tan<sup>2</sup>x = ∞, illustrate Definition 6 by finding values of δ that correspond to (a) M = 1000 and (b) M = 10,000.
- $\swarrow$  10. Use a graph to find a number  $\delta$  such that

if 
$$5 < x < 5 + \delta$$
 then  $\frac{x^2}{\sqrt{x-5}} > 100$ 

- **11.** A machinist is required to manufacture a circular metal disk with area 1000 cm<sup>2</sup>.
  - (a) What radius produces such a disk?
  - (b) If the machinist is allowed an error tolerance of  $\pm 5 \text{ cm}^2$  in the area of the disk, how close to the ideal radius in part (a) must the machinist control the radius?
  - (c) In terms of the ε, δ definition of lim<sub>x→a</sub> f(x) = L, what is x? What is f(x)? What is a? What is L? What value of ε is given? What is the corresponding value of δ?
- A crystal growth furnace is used in research to determine how best to manufacture crystals used in electronic components for the space shuttle. For proper growth of the crystal, the temperature must be controlled accurately by adjusting the input power. Suppose the relationship is given by

$$T(w) = 0.1w^2 + 2.155w + 20$$

where T is the temperature in degrees Celsius and w is the power input in watts.

- (a) How much power is needed to maintain the temperature at 200°C ?
- (b) If the temperature is allowed to vary from 200°C by up to ±1°C, what range of wattage is allowed for the input power?

Graphing calculator or computer required

if

- (c) In terms of the ε, δ definition of lim<sub>x→a</sub> f(x) = L, what is x? What is f(x)? What is a? What is L? What value of ε is given? What is the corresponding value of δ?
- (a) Find a number δ such that if |x 2| < δ, then |4x 8| < ε, where ε = 0.1.</li>
  (b) Repeat part (a) with ε = 0.01.
- **14.** Given that  $\lim_{x\to 2}(5x 7) = 3$ , illustrate Definition 2 by finding values of  $\delta$  that correspond to  $\varepsilon = 0.1$ ,  $\varepsilon = 0.05$ , and  $\varepsilon = 0.01$ .

**15–18** Prove the statement using the  $\varepsilon$ ,  $\delta$  definition of a limit and illustrate with a diagram like Figure 9.

15.	$\lim_{x \to 3} \left( 1 + \frac{1}{3}x \right) = 2$	16.	$\lim_{x \to 4} (2x - 5) = 3$
17.	$\lim_{x \to -3} (1 - 4x) = 13$	18.	$\lim_{x \to -2} (3x + 5) = -1$

**19–32** Prove the statement using the  $\varepsilon$ ,  $\delta$  definition of a limit.

<b>19.</b> $\lim_{x \to 1} \frac{2+4x}{3} = 2$	<b>20.</b> $\lim_{x \to 10} \left(3 - \frac{4}{5}x\right) = -5$
<b>21.</b> $\lim_{x \to 2} \frac{x^2 + x - 6}{x - 2} = 5$	<b>22.</b> $\lim_{x \to -1.5} \frac{9 - 4x^2}{3 + 2x} = 6$
$23. \lim_{x \to a} x = a$	$24. \lim_{x \to a} c = c$
<b>25.</b> $\lim_{x \to 0} x^2 = 0$	<b>26.</b> $\lim_{x \to 0} x^3 = 0$
<b>27.</b> $\lim_{x \to 0}  x  = 0$	<b>28.</b> $\lim_{x \to -6^+} \sqrt[8]{6+x} = 0$
<b>29.</b> $\lim_{x \to 2} (x^2 - 4x + 5) = 1$	<b>30.</b> $\lim_{x \to 2} (x^2 + 2x - 7) = 1$
<b>31.</b> $\lim_{x \to -2} (x^2 - 1) = 3$	<b>32.</b> $\lim_{x \to 2} x^3 = 8$

- Verify that another possible choice of δ for showing that lim<sub>x→3</sub> x<sup>2</sup> = 9 in Example 4 is δ = min{2, ε/8}.
- 34. Verify, by a geometric argument, that the largest possible choice of δ for showing that lim<sub>x→3</sub> x<sup>2</sup> = 9 is δ = √9 + ε 3.
- **CAS 35.** (a) For the limit  $\lim_{x\to 1} (x^3 + x + 1) = 3$ , use a graph to find a value of  $\delta$  that corresponds to  $\varepsilon = 0.4$ .

- (b) By using a computer algebra system to solve the cubic equation x<sup>3</sup> + x + 1 = 3 + ε, find the largest possible value of δ that works for any given ε > 0.
- (c) Put ε = 0.4 in your answer to part (b) and compare with your answer to part (a).

**36.** Prove that 
$$\lim_{x \to 2} \frac{1}{x} = \frac{1}{2}$$
.

**37.** Prove that 
$$\lim_{x \to a} \sqrt{x} = \sqrt{a}$$
 if  $a > 0$ .

*Hint:* Use 
$$\left|\sqrt{x} - \sqrt{a}\right| = \frac{|x-a|}{\sqrt{x} + \sqrt{a}}$$
.

- **38.** If *H* is the Heaviside function defined in Example 6 in Section 1.5, prove, using Definition 2, that  $\lim_{t\to 0} H(t)$  does not exist. [*Hint:* Use an indirect proof as follows. Suppose that the limit is *L*. Take  $\varepsilon = \frac{1}{2}$  in the definition of a limit and try to arrive at a contradiction.]
- **39.** If the function f is defined by

$$f(x) = \begin{cases} 0 & \text{if } x \text{ is rational} \\ 1 & \text{if } x \text{ is irrational} \end{cases}$$

prove that  $\lim_{x\to 0} f(x)$  does not exist.

- **40.** By comparing Definitions 2, 3, and 4, prove Theorem 1 in Section 1.6.
- **41.** How close to -3 do we have to take *x* so that

$$\frac{1}{(x+3)^4} > 10,000$$

- **42.** Prove, using Definition 6, that  $\lim_{x \to -3} \frac{1}{(x+3)^4} = \infty$ .
- **43.** Prove that  $\lim_{x \to -1^-} \frac{5}{(x+1)^3} = -\infty.$
- 44. Suppose that lim<sub>x→a</sub> f(x) = ∞ and lim<sub>x→a</sub> g(x) = c, where c is a real number. Prove each statement.
  (a) lim [f(x) + g(x)] = ∞

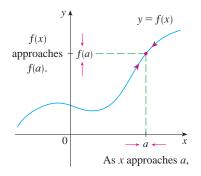
(b) 
$$\lim_{x \to a} [f(x)g(x)] = \infty$$
 if  $c > 0$ 

(c)  $\lim_{x \to a} [f(x)g(x)] = -\infty$  if c < 0

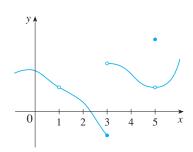
# 1.8 Continuity

We noticed in Section 1.6 that the limit of a function as *x* approaches *a* can often be found simply by calculating the value of the function at *a*. Functions with this property are called *continuous at a*. We will see that the mathematical definition of continuity corresponds closely with the meaning of the word *continuity* in everyday language. (A continuous process is one that takes place gradually, without interruption or abrupt change.)

As illustrated in Figure 1, if f is continuous, then the points (x, f(x)) on the graph of f approach the point (a, f(a)) on the graph. So there is no gap in the curve.









**1** Definition A function f is continuous at a number a if

 $\lim f(x) = f(a)$ 

Notice that Definition l implicitly requires three things if *f* is continuous at *a*:

- **1.** f(a) is defined (that is, a is in the domain of f)
- **2.**  $\lim f(x)$  exists

**3.**  $\lim f(x) = f(a)$ 

The definition says that f is continuous at a if f(x) approaches f(a) as x approaches a. Thus a continuous function f has the property that a small change in x produces only a small change in f(x). In fact, the change in f(x) can be kept as small as we please by keeping the change in x sufficiently small.

If f is defined near a (in other words, f is defined on an open interval containing a, except perhaps at a), we say that f is **discontinuous at** a (or f has a **discontinuity** at a) if f is not continuous at a.

Physical phenomena are usually continuous. For instance, the displacement or velocity of a vehicle varies continuously with time, as does a person's height. But discontinuities do occur in such situations as electric currents. [See Example 6 in Section 1.5, where the Heaviside function is discontinuous at 0 because  $\lim_{t\to 0} H(t)$  does not exist.]

Geometrically, you can think of a function that is continuous at every number in an interval as a function whose graph has no break in it. The graph can be drawn without removing your pen from the paper.

**EXAMPLE 1** Figure 2 shows the graph of a function *f*. At which numbers is *f* discontinuous? Why?

**SOLUTION** It looks as if there is a discontinuity when a = 1 because the graph has a break there. The official reason that f is discontinuous at 1 is that f(1) is not defined.

The graph also has a break when a = 3, but the reason for the discontinuity is different. Here, f(3) is defined, but  $\lim_{x\to 3} f(x)$  does not exist (because the left and right limits are different). So f is discontinuous at 3.

What about a = 5? Here, f(5) is defined and  $\lim_{x\to 5} f(x)$  exists (because the left and right limits are the same). But

$$\lim_{x \to 5} f(x) \neq f(5)$$

So *f* is discontinuous at 5.

Now let's see how to detect discontinuities when a function is defined by a formula.

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**V EXAMPLE 2** Where are each of the following functions discontinuous?

(a) 
$$f(x) = \frac{x^2 - x - 2}{x - 2}$$
 (b)  $f(x) = \begin{cases} \frac{1}{x^2} & \text{if } x \neq x \\ 1 & \text{if } x = x \end{cases}$   
(c)  $f(x) = \begin{cases} \frac{x^2 - x - 2}{x - 2} & \text{if } x \neq 2 \\ 1 & \text{if } x = 2 \end{cases}$  (d)  $f(x) = [[x]]$ 

### SOLUTION

(a) Notice that f(2) is not defined, so f is discontinuous at 2. Later we'll see why f is continuous at all other numbers.

(b) Here f(0) = 1 is defined but

$$\lim_{x \to 0} f(x) = \lim_{x \to 0} \frac{1}{x^2}$$

does not exist. (See Example 8 in Section 1.5.) So f is discontinuous at 0.

(c) Here f(2) = 1 is defined and

$$\lim_{x \to 2} f(x) = \lim_{x \to 2} \frac{x^2 - x - 2}{x - 2} = \lim_{x \to 2} \frac{(x - 2)(x + 1)}{x - 2} = \lim_{x \to 2} (x + 1) = 3$$

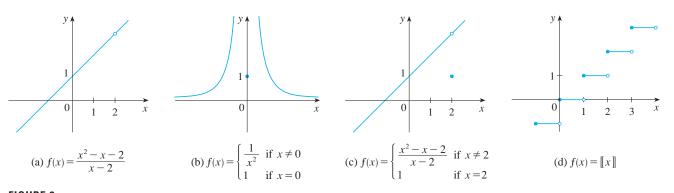
exists. But

$$\lim_{x \to 0} f(x) \neq f(2)$$

so f is not continuous at 2.

(d) The greatest integer function f(x) = [x] has discontinuities at all of the integers because  $\lim_{x\to n} [x]$  does not exist if *n* is an integer. (See Example 10 and Exercise 51 in Section 1.6.)

Figure 3 shows the graphs of the functions in Example 2. In each case the graph can't be drawn without lifting the pen from the paper because a hole or break or jump occurs in the graph. The kind of discontinuity illustrated in parts (a) and (c) is called **removable** because we could remove the discontinuity by redefining f at just the single number 2. [The function g(x) = x + 1 is continuous.] The discontinuity in part (b) is called an **infinite discontinuity**. The discontinuities in part (d) are called **jump discontinuities** because the function "jumps" from one value to another.



**FIGURE 3** Graphs of the functions in Example 2

**2** Definition A function f is continuous from the right at a number a if

$$\lim_{x \to a^+} f(x) = f(a)$$

and *f* is **continuous from the left at** *a* if

$$\lim_{x \to a^-} f(x) = f(a)$$

**EXAMPLE 3** At each integer *n*, the function f(x) = [x] [see Figure 3(d)] is continuous from the right but discontinuous from the left because

$$\lim_{x \to 0^+} f(x) = \lim_{x \to 0^+} [\![x]\!] = n = f(n)$$

but

$$\lim_{x \to n^{-}} f(x) = \lim_{x \to n^{-}} [[x]] = n - 1 \neq f(n)$$

**3 Definition** A function f is **continuous on an interval** if it is continuous at every number in the interval. (If f is defined only on one side of an endpoint of the interval, we understand *continuous* at the endpoint to mean *continuous from the right* or *continuous from the left.*)

**EXAMPLE 4** Show that the function  $f(x) = 1 - \sqrt{1 - x^2}$  is continuous on the interval [-1, 1].

SOLUTION If -1 < a < 1, then using the Limit Laws, we have

$$\lim_{x \to a} f(x) = \lim_{x \to a} \left( 1 - \sqrt{1 - x^2} \right)$$
  
= 1 -  $\lim_{x \to a} \sqrt{1 - x^2}$  (by Laws 2 and 7)  
= 1 -  $\sqrt{\lim_{x \to a} (1 - x^2)}$  (by 11)  
= 1 -  $\sqrt{1 - a^2}$  (by 2, 7, and 9)  
=  $f(a)$ 

Thus, by Definition I, f is continuous at a if -1 < a < 1. Similar calculations show that

$$\lim_{x \to -1^+} f(x) = 1 = f(-1) \quad \text{and} \quad \lim_{x \to 1^-} f(x) = 1 = f(1)$$

so f is continuous from the right at -1 and continuous from the left at 1. Therefore, according to Definition 3, f is continuous on [-1, 1].

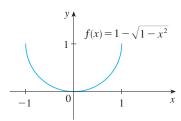
The graph of f is sketched in Figure 4. It is the lower half of the circle

$$x^2 + (y - 1)^2 = 1$$

Instead of always using Definitions 1, 2, and 3 to verify the continuity of a function as we did in Example 4, it is often convenient to use the next theorem, which shows how to build up complicated continuous functions from simple ones.

**4** Theorem If f and g are continuous at a and c is a constant, then the following functions are also continuous at a:

**1.** 
$$f + g$$
 **2.**  $f - g$  **3.**  $cf$   
**4.**  $fg$  **5.**  $\frac{f}{g}$  if  $g(a) \neq 0$ 



**FIGURE 4** 

**PROOF** Each of the five parts of this theorem follows from the corresponding Limit Law in Section 1.6. For instance, we give the proof of part 1. Since f and g are continuous at a, we have

$$\lim_{x \to a} f(x) = f(a) \quad \text{and} \quad \lim_{x \to a} g(x) = g(a)$$

Therefore

$$\lim_{x \to a} (f+g)(x) = \lim_{x \to a} [f(x) + g(x)]$$
$$= \lim_{x \to a} f(x) + \lim_{x \to a} g(x) \qquad \text{(by Law 1)}$$
$$= f(a) + g(a)$$
$$= (f+g)(a)$$

This shows that f + g is continuous at a.

It follows from Theorem 4 and Definition 3 that if f and g are continuous on an interval, then so are the functions f + g, f - g, cf, fg, and (if g is never 0) f/g. The following theorem was stated in Section 1.6 as the Direct Substitution Property.

### 5 Theorem

- (a) Any polynomial is continuous everywhere; that is, it is continuous on  $\mathbb{R} = (-\infty, \infty)$ .
- (b) Any rational function is continuous wherever it is defined; that is, it is continuous on its domain.

### PROOF

(a) A polynomial is a function of the form

$$P(x) = c_n x^n + c_{n-1} x^{n-1} + \dots + c_1 x + c_0$$

where  $c_0, c_1, \ldots, c_n$  are constants. We know that

$$\lim_{x \to a} c_0 = c_0 \qquad \text{(by Law 7)}$$
$$\lim_{x \to a} x^m = a^m \qquad m = 1, 2, \dots, n \qquad \text{(by 9)}$$

and

This equation is precisely the statement that the function  $f(x) = x^m$  is a continuous function. Thus, by part 3 of Theorem 4, the function  $g(x) = cx^m$  is continuous. Since *P* is a sum of functions of this form and a constant function, it follows from part 1 of Theorem 4 that *P* is continuous.

(b) A rational function is a function of the form

$$f(x) = \frac{P(x)}{Q(x)}$$

where *P* and *Q* are polynomials. The domain of *f* is  $D = \{x \in \mathbb{R} \mid Q(x) \neq 0\}$ . We know from part (a) that *P* and *Q* are continuous everywhere. Thus, by part 5 of Theorem 4, *f* is continuous at every number in *D*.

As an illustration of Theorem 5, observe that the volume of a sphere varies continuously with its radius because the formula  $V(r) = \frac{4}{3}\pi r^3$  shows that V is a polynomial function of r. Likewise, if a ball is thrown vertically into the air with a velocity of 50 ft/s, then the height of the ball in feet t seconds later is given by the formula  $h = 50t - 16t^2$ . Again this is a polynomial function, so the height is a continuous function of the elapsed time.

Knowledge of which functions are continuous enables us to evaluate some limits very quickly, as the following example shows. Compare it with Example 2(b) in Section 1.6.

**EXAMPLE 5** Find 
$$\lim_{x \to -2} \frac{x^3 + 2x^2 - 1}{5 - 3x}$$
.

**SOLUTION** The function

$$f(x) = \frac{x^3 + 2x^2 - 1}{5 - 3x}$$

is rational, so by Theorem 5 it is continuous on its domain, which is  $\{x \mid x \neq \frac{5}{3}\}$ . Therefore

$$\lim_{x \to -2} \frac{x^3 + 2x^2 - 1}{5 - 3x} = \lim_{x \to -2} f(x) = f(-2)$$
$$= \frac{(-2)^3 + 2(-2)^2 - 1}{5 - 3(-2)} = -\frac{1}{11}$$

It turns out that most of the familiar functions are continuous at every number in their domains. For instance, Limit Law 10 (page 63) is exactly the statement that root functions are continuous.

From the appearance of the graphs of the sine and cosine functions (Figure 18 in Section 1.2), we would certainly guess that they are continuous. We know from the definitions of sin  $\theta$  and cos  $\theta$  that the coordinates of the point *P* in Figure 5 are (cos  $\theta$ , sin  $\theta$ ). As  $\theta \rightarrow 0$ , we see that *P* approaches the point (1, 0) and so cos  $\theta \rightarrow 1$  and sin  $\theta \rightarrow 0$ . Thus

$$\lim_{\theta \to 0} \cos \theta = 1 \qquad \lim_{\theta \to 0} \sin \theta = 0$$

Since  $\cos 0 = 1$  and  $\sin 0 = 0$ , the equations in **6** assert that the cosine and sine functions are continuous at 0. The addition formulas for cosine and sine can then be used to deduce that these functions are continuous everywhere (see Exercises 60 and 61). It follows from part 5 of Theorem 4 that

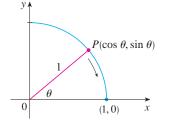
It follows from part 5 of Theorem 4 that

$$\tan x = \frac{\sin x}{\cos x}$$

is continuous except where  $\cos x = 0$ . This happens when x is an odd integer multiple of  $\pi/2$ , so  $y = \tan x$  has infinite discontinuities when  $x = \pm \pi/2, \pm 3\pi/2, \pm 5\pi/2$ , and so on (see Figure 6).

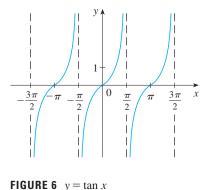
**7** Theorem The following types of functions are continuous at every number in their domains:

p	oolynomials	rational functions
r	oot functions	trigonometric functions





Another way to establish the limits in 6 is to use the Squeeze Theorem with the inequality  $\sin \theta < \theta$  (for  $\theta > 0$ ), which is proved in Section 2.4.



**EXAMPLE 6** On what intervals is each function continuous?

(a) 
$$f(x) = x^{100} - 2x^{37} + 75$$
  
(b)  $g(x) = \frac{x^2 + 2x + 17}{x^2 - 1}$   
(c)  $h(x) = \sqrt{x} + \frac{x+1}{x-1} - \frac{x+1}{x^2 + 1}$ 

#### SOLUTION

(a) f is a polynomial, so it is continuous on  $(-\infty, \infty)$  by Theorem 5(a).

(b) *g* is a rational function, so by Theorem 5(b), it is continuous on its domain, which is  $D = \{x \mid x^2 - 1 \neq 0\} = \{x \mid x \neq \pm 1\}$ . Thus *g* is continuous on the intervals  $(-\infty, -1)$ , (-1, 1), and  $(1, \infty)$ .

(c) We can write h(x) = F(x) + G(x) - H(x), where

$$F(x) = \sqrt{x}$$
  $G(x) = \frac{x+1}{x-1}$   $H(x) = \frac{x+1}{x^2+1}$ 

*F* is continuous on  $[0, \infty)$  by Theorem 7. *G* is a rational function, so it is continuous everywhere except when x - 1 = 0, that is, x = 1. *H* is also a rational function, but its denominator is never 0, so *H* is continuous everywhere. Thus, by parts 1 and 2 of Theorem 4, *h* is continuous on the intervals [0, 1) and  $(1, \infty)$ .

**EXAMPLE 7** Evaluate 
$$\lim_{x \to \pi} \frac{\sin x}{2 + \cos x}$$
.

**SOLUTION** Theorem 7 tells us that  $y = \sin x$  is continuous. The function in the denominator,  $y = 2 + \cos x$ , is the sum of two continuous functions and is therefore continuous. Notice that this function is never 0 because  $\cos x \ge -1$  for all x and so  $2 + \cos x > 0$  everywhere. Thus the ratio

$$f(x) = \frac{\sin x}{2 + \cos x}$$

is continuous everywhere. Hence, by the definition of a continuous function,

$$\lim_{x \to \pi} \frac{\sin x}{2 + \cos x} = \lim_{x \to \pi} f(x) = f(\pi) = \frac{\sin \pi}{2 + \cos \pi} = \frac{0}{2 - 1} = 0$$

Another way of combining continuous functions f and g to get a new continuous function is to form the composite function  $f \circ g$ . This fact is a consequence of the following theorem.

**8** Theorem If f is continuous at b and  $\lim_{x \to a} g(x) = b$ , then  $\lim_{x \to a} f(g(x)) = f(b)$ . In other words,  $\lim_{x \to a} f(g(x)) = f(\lim_{x \to a} g(x))$ 

Intuitively, Theorem 8 is reasonable because if x is close to a, then g(x) is close to b, and since f is continuous at b, if g(x) is close to b, then f(g(x)) is close to f(b). A proof of Theorem 8 is given in Appendix F.

This theorem says that a limit symbol can be moved through a function symbol if the function is continuous and the limit exists. In other words, the order of these two symbols can be reversed. Let's now apply Theorem 8 in the special case where  $f(x) = \sqrt[n]{x}$ , with *n* being a positive integer. Then

 $f(g(x)) = \sqrt[n]{g(x)}$ 

 $f\left(\lim_{x \to a} g(x)\right) = \sqrt[n]{\lim_{x \to a} g(x)}$ 

and

If we put these expressions into Theorem 8, we get

 $\lim_{x \to a} \sqrt[n]{g(x)} = \sqrt[n]{\lim_{x \to a} g(x)}$ 

and so Limit Law 11 has now been proved. (We assume that the roots exist.)

**9** Theorem If g is continuous at a and f is continuous at g(a), then the composite function  $f \circ g$  given by  $(f \circ g)(x) = f(g(x))$  is continuous at a.

This theorem is often expressed informally by saying "a continuous function of a continuous function is a continuous function."

**PROOF** Since g is continuous at a, we have

$$\lim_{x \to a} g(x) = g(a)$$

Since f is continuous at b = g(a), we can apply Theorem 8 to obtain

$$\lim_{x \to a} f(g(x)) = f(g(a))$$

which is precisely the statement that the function h(x) = f(g(x)) is continuous at *a*; that is,  $f \circ g$  is continuous at *a*.

**V EXAMPLE 8** Where are the following functions continuous?

(a) 
$$h(x) = \sin(x^2)$$
 (b)  $F(x) = \frac{1}{\sqrt{x^2 + 7} - 4}$ 

SOLUTION

(a) We have h(x) = f(g(x)), where

$$g(x) = x^2$$
 and  $f(x) = \sin x$ 

Now *g* is continuous on  $\mathbb{R}$  since it is a polynomial, and *f* is also continuous everywhere. Thus  $h = f \circ g$  is continuous on  $\mathbb{R}$  by Theorem 9.

(b) Notice that *F* can be broken up as the composition of four continuous functions:

$$F = f \circ g \circ h \circ k$$
 or  $F(x) = f(g(h(k(x))))$ 

where  $f(x) = \frac{1}{x}$  g(x) = x - 4  $h(x) = \sqrt{x}$   $k(x) = x^2 + 7$ 

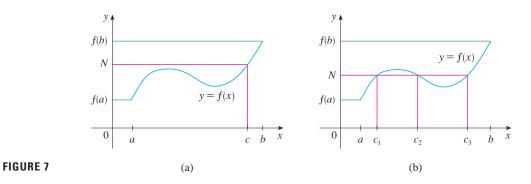
We know that each of these functions is continuous on its domain (by Theorems 5 and 7), so by Theorem 9, F is continuous on its domain, which is

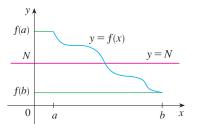
$$\{x \in \mathbb{R} \mid \sqrt{x^2 + 7} \neq 4\} = \{x \mid x \neq \pm 3\} = (-\infty, -3) \cup (-3, 3) \cup (3, \infty)$$

An important property of continuous functions is expressed by the following theorem, whose proof is found in more advanced books on calculus.

**10** The Intermediate Value Theorem Suppose that f is continuous on the closed interval [a, b] and let N be any number between f(a) and f(b), where  $f(a) \neq f(b)$ . Then there exists a number c in (a, b) such that f(c) = N.

The Intermediate Value Theorem states that a continuous function takes on every intermediate value between the function values f(a) and f(b). It is illustrated by Figure 7. Note that the value N can be taken on once [as in part (a)] or more than once [as in part (b)].







If we think of a continuous function as a function whose graph has no hole or break, then it is easy to believe that the Intermediate Value Theorem is true. In geometric terms it says that if any horizontal line y = N is given between y = f(a) and y = f(b) as in Figure 8, then the graph of f can't jump over the line. It must intersect y = N somewhere.

It is important that the function f in Theorem 10 be continuous. The Intermediate Value Theorem is not true in general for discontinuous functions (see Exercise 48).

One use of the Intermediate Value Theorem is in locating roots of equations as in the following example.

**V EXAMPLE 9** Show that there is a root of the equation

$$4x^3 - 6x^2 + 3x - 2 = 0$$

between 1 and 2.

and

**SOLUTION** Let  $f(x) = 4x^3 - 6x^2 + 3x - 2$ . We are looking for a solution of the given equation, that is, a number *c* between 1 and 2 such that f(c) = 0. Therefore we take a = 1, b = 2, and N = 0 in Theorem 10. We have

f(1) = 4 - 6 + 3 - 2 = -1 < 0f(2) = 32 - 24 + 6 - 2 = 12 > 0 Thus f(1) < 0 < f(2); that is, N = 0 is a number between f(1) and f(2). Now f is continuous since it is a polynomial, so the Intermediate Value Theorem says there is a number c between 1 and 2 such that f(c) = 0. In other words, the equation  $4x^3 - 6x^2 + 3x - 2 = 0$  has at least one root c in the interval (1, 2).

In fact, we can locate a root more precisely by using the Intermediate Value Theorem again. Since

$$f(1.2) = -0.128 < 0$$
 and  $f(1.3) = 0.548 > 0$ 

a root must lie between 1.2 and 1.3. A calculator gives, by trial and error,

f(1.22) = -0.007008 < 0 and f(1.23) = 0.056068 > 0

so a root lies in the interval (1.22, 1.23).

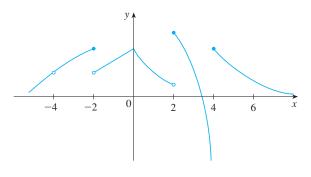
We can use a graphing calculator or computer to illustrate the use of the Intermediate Value Theorem in Example 9. Figure 9 shows the graph of f in the viewing rectangle [-1, 3] by [-3, 3] and you can see that the graph crosses the *x*-axis between 1 and 2. Figure 10 shows the result of zooming in to the viewing rectangle [1.2, 1.3] by [-0.2, 0.2].

In fact, the Intermediate Value Theorem plays a role in the very way these graphing devices work. A computer calculates a finite number of points on the graph and turns on the pixels that contain these calculated points. It assumes that the function is continuous and takes on all the intermediate values between two consecutive points. The computer therefore connects the pixels by turning on the intermediate pixels.

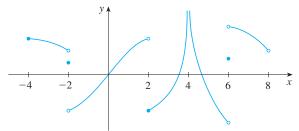
# 1.8 Exercises

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- 1. Write an equation that expresses the fact that a function *f* is continuous at the number 4.
- If f is continuous on (-∞, ∞), what can you say about its graph?
- **3.** (a) From the graph of *f*, state the numbers at which *f* is discontinuous and explain why.
  - (b) For each of the numbers stated in part (a), determine whether *f* is continuous from the right, or from the left, or neither.

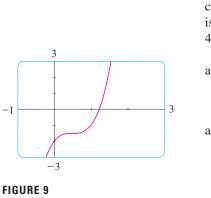


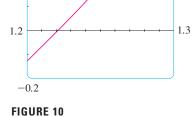
**4.** From the graph of *g*, state the intervals on which *g* is continuous.



**5–8** Sketch the graph of a function f that is continuous except for the stated discontinuity.

- **5**. Discontinuous, but continuous from the right, at 2
- **6.** Discontinuities at -1 and 4, but continuous from the left at -1 and from the right at 4
- 7. Removable discontinuity at 3, jump discontinuity at 5
- **8.** Neither left nor right continuous at -2, continuous only from the left at 2





- **9.** The toll *T* charged for driving on a certain stretch of a toll road is \$5 except during rush hours (between 7 AM and 10 AM and between 4 PM and 7 PM) when the toll is \$7.
  - (a) Sketch a graph of *T* as a function of the time *t*, measured in hours past midnight.
  - (b) Discuss the discontinuities of this function and their significance to someone who uses the road.
- 10. Explain why each function is continuous or discontinuous.(a) The temperature at a specific location as a function of time
  - (b) The temperature at a specific time as a function of the distance due west from New York City
  - (c) The altitude above sea level as a function of the distance due west from New York City
  - (d) The cost of a taxi ride as a function of the distance traveled
  - (e) The current in the circuit for the lights in a room as a function of time
- **11.** Suppose f and g are continuous functions such that g(2) = 6 and  $\lim_{x\to 2} [3f(x) + f(x)g(x)] = 36$ . Find f(2).

**12–14** Use the definition of continuity and the properties of limits to show that the function is continuous at the given number *a*.

12. 
$$f(x) = 3x^4 - 5x + \sqrt[3]{x^2 + 4}, \quad a = 2$$
  
13.  $f(x) = (x + 2x^3)^4, \quad a = -1$   
14.  $h(t) = \frac{2t - 3t^2}{1 + t^3}, \quad a = 1$ 

**15–16** Use the definition of continuity and the properties of limits to show that the function is continuous on the given interval.

**15.** 
$$f(x) = \frac{2x+3}{x-2}$$
,  $(2, \infty)$   
**16.**  $g(x) = 2\sqrt{3-x}$ ,  $(-\infty, 3]$ 

**17–22** Explain why the function is discontinuous at the given number *a*. Sketch the graph of the function.

**17.** 
$$f(x) = \frac{1}{x+2}$$
  $a = -2$ 

**18.** 
$$f(x) = \begin{cases} \frac{1}{x+2} & \text{if } x \neq -2\\ 1 & \text{if } x = -2 \end{cases}$$
  $a = -2$ 

**19.** 
$$f(x) = \begin{cases} 1 - x^2 & \text{if } x < 1\\ 1/x & \text{if } x \ge 1 \end{cases} \qquad a = 1$$

**20.** 
$$f(x) = \begin{cases} \frac{x^2 - x}{x^2 - 1} & \text{if } x \neq 1\\ 1 & \text{if } x = 1 \end{cases} \qquad a = 1$$

**21.** 
$$f(x) = \begin{cases} \cos x & \text{if } x < 0\\ 0 & \text{if } x = 0\\ 1 - x^2 & \text{if } x > 0 \end{cases} \qquad a = 0$$

**22.** 
$$f(x) = \begin{cases} \frac{2x^2 - 5x - 3}{x - 3} & \text{if } x \neq 3\\ 6 & \text{if } x = 3 \end{cases} \qquad a = 3$$

**23–24** How would you "remove the discontinuity" of f? In other words, how would you define f(2) in order to make f continuous at 2?

**23.** 
$$f(x) = \frac{x^2 - x - 2}{x - 2}$$
 **24.**  $f(x) = \frac{x^3 - 8}{x^2 - 4}$ 

**25–32** Explain, using Theorems 4, 5, 7, and 9, why the function is continuous at every number in its domain. State the domain.

25. 
$$F(x) = \frac{2x^2 - x - 1}{x^2 + 1}$$
  
26.  $G(x) = \frac{x^2 + 1}{2x^2 - x - 1}$   
27.  $Q(x) = \frac{\sqrt[3]{x - 2}}{x^3 - 2}$   
28.  $h(x) = \frac{\sin x}{x + 1}$   
29.  $h(x) = \cos(1 - x^2)$   
30.  $B(x) = \frac{\tan x}{\sqrt{4 - x^2}}$   
31.  $M(x) = \sqrt{1 + \frac{1}{x}}$   
32.  $F(x) = \sin(\cos(\sin x))$ 

33–34 Locate the discontinuities of the function and illustrate by graphing.

**33.** 
$$y = \frac{1}{1 + \sin x}$$
 **34.**  $y = \tan \sqrt{x}$ 

**35–38** Use continuity to evaluate the limit.

**35.** 
$$\lim_{x \to 4} \frac{5 + \sqrt{x}}{\sqrt{5 + x}}$$
  
**36.**  $\lim_{x \to \pi} \sin(x + \sin x)$   
**37.**  $\lim_{x \to \pi/4} x \cos^2 x$   
**38.**  $\lim_{x \to 2} (x^3 - 3x + 1)^{-3}$ 

**39–40** Show that *f* is continuous on  $(-\infty, \infty)$ .

**39.** 
$$f(x) = \begin{cases} x^2 & \text{if } x < 1\\ \sqrt{x} & \text{if } x \ge 1 \end{cases}$$
  
**40.**  $f(x) = \begin{cases} \sin x & \text{if } x < \pi/4\\ \cos x & \text{if } x \ge \pi/4 \end{cases}$ 

**41–43** Find the numbers at which f is discontinuous. At which of these numbers is f continuous from the right, from the left, or neither? Sketch the graph of f.

$$41. f(x) = \begin{cases} 1+x^2 & \text{if } x \le 0\\ 2-x & \text{if } 0 < x \le 2\\ (x-2)^2 & \text{if } x > 2 \end{cases}$$

$$42. f(x) = \begin{cases} x+1 & \text{if } x \le 1\\ 1/x & \text{if } 1 < x < 3\\ \sqrt{x-3} & \text{if } x \ge 3 \end{cases}$$

$$43. f(x) = \begin{cases} x+2 & \text{if } x < 0\\ 2x^2 & \text{if } 0 \le x \le 1\\ 2-x & \text{if } x > 1 \end{cases}$$

**44.** The gravitational force exerted by the planet Earth on a unit mass at a distance *r* from the center of the planet is

$$F(r) = \begin{cases} \frac{GMr}{R^3} & \text{if } r < R\\ \frac{GM}{r^2} & \text{if } r \ge R \end{cases}$$

where M is the mass of Earth, R is its radius, and G is the gravitational constant. Is F a continuous function of r?

45. For what value of the constant *c* is the function *f* continuous on (−∞, ∞)?

$$f(x) = \begin{cases} cx^2 + 2x & \text{if } x < 2\\ x^3 - cx & \text{if } x \ge 2 \end{cases}$$

**46.** Find the values of *a* and *b* that make *f* continuous everywhere.

$$f(x) = \begin{cases} \frac{x^2 - 4}{x - 2} & \text{if } x < 2\\ ax^2 - bx + 3 & \text{if } 2 \le x < 3\\ 2x - a + b & \text{if } x \ge 3 \end{cases}$$

**47.** Which of the following functions f has a removable discontinuity at a? If the discontinuity is removable, find a function g that agrees with f for  $x \neq a$  and is continuous at a.

(a) 
$$f(x) = \frac{x^4 - 1}{x - 1}, \quad a = 1$$
  
(b)  $f(x) = \frac{x^3 - x^2 - 2x}{x - 2}, \quad a = 2$   
(c)  $f(x) = [[\sin x]], \quad a = \pi$ 

- 48. Suppose that a function f is continuous on [0, 1] except at 0.25 and that f(0) = 1 and f(1) = 3. Let N = 2. Sketch two possible graphs of f, one showing that f might not satisfy the conclusion of the Intermediate Value Theorem and one showing that f might still satisfy the conclusion of the Intermediate Value Theorem (even though it doesn't satisfy the hypothesis).
- **49.** If  $f(x) = x^2 + 10 \sin x$ , show that there is a number c such that f(c) = 1000.
- 50. Suppose f is continuous on [1, 5] and the only solutions of the equation f(x) = 6 are x = 1 and x = 4. If f(2) = 8, explain why f(3) > 6.

**51–54** Use the Intermediate Value Theorem to show that there is a root of the given equation in the specified interval.

<b>51.</b> $x^4 + x - 3 = 0$ , (1, 2)	<b>52.</b> $\sqrt[3]{x} = 1 - x$ , (0, 1)
<b>53.</b> $\cos x = x$ , (0, 1)	<b>54.</b> $\sin x = x^2 - x$ , (1, 2)

**55–56** (a) Prove that the equation has at least one real root. (b) Use your calculator to find an interval of length 0.01 that contains a root.

**55.** 
$$\cos x = x^3$$
 **56.**  $x^5 - x^2 + 2x + 3 = 0$ 

57-58 (a) Prove that the equation has at least one real root.
 (b) Use your graphing device to find the root correct to three decimal places.

**57.** 
$$x^5 - x^2 - 4 = 0$$
 **58.**  $\sqrt{x - 5} = \frac{1}{x + 3}$ 

**59.** Prove that f is continuous at a if and only if

$$\lim_{h \to 0} f(a+h) = f(a)$$

**60.** To prove that sine is continuous, we need to show that  $\lim_{x\to a} \sin x = \sin a$  for every real number *a*. By Exercise 59 an equivalent statement is that

$$\lim_{h \to 0} \sin(a+h) = \sin a$$

Use 6 to show that this is true.

- 61. Prove that cosine is a continuous function.
- 62. (a) Prove Theorem 4, part 3.(b) Prove Theorem 4, part 5.
- **63.** For what values of x is f continuous?

$$f(x) = \begin{cases} 0 & \text{if } x \text{ is rational} \\ 1 & \text{if } x \text{ is irrational} \end{cases}$$

**64.** For what values of *x* is *g* continuous?

$$g(x) = \begin{cases} 0 & \text{if } x \text{ is rational} \\ x & \text{if } x \text{ is irrational} \end{cases}$$

- 65. Is there a number that is exactly 1 more than its cube?
- 66. If a and b are positive numbers, prove that the equation

$$\frac{a}{x^3 + 2x^2 - 1} + \frac{b}{x^3 + x - 2} = 0$$

has at least one solution in the interval (-1, 1).

# Review

### **Concept Check**

- **1.** (a) What is a function? What are its domain and range?
  - (b) What is the graph of a function?
  - (c) How can you tell whether a given curve is the graph of a function?
- **2.** Discuss four ways of representing a function. Illustrate your discussion with examples.
- **3.** (a) What is an even function? How can you tell if a function is even by looking at its graph? Give three examples of an even function.
  - (b) What is an odd function? How can you tell if a function is odd by looking at its graph? Give three examples of an odd function.
- 4. What is an increasing function?
- 5. What is a mathematical model?
- 6. Give an example of each type of function.
  - (a) Linear function (b) Power function
  - (c) Exponential function (d) Quadratic function
  - (e) Polynomial of degree 5 (f) Rational function
- **7.** Sketch by hand, on the same axes, the graphs of the following functions.

(a) 
$$f(x) = x$$
  
(b)  $g(x) = x^2$   
(c)  $h(x) = x^3$   
(d)  $j(x) = x^4$ 

67. Show that the function

$$f(x) = \begin{cases} x^4 \sin(1/x) & \text{if } x \neq 0\\ 0 & \text{if } x = 0 \end{cases}$$

is continuous on  $(-\infty, \infty)$ .

- **68.** (a) Show that the absolute value function F(x) = |x| is continuous everywhere.
  - (b) Prove that if f is a continuous function on an interval, then so is |f|.
  - (c) Is the converse of the statement in part (b) also true? In other words, if | f | is continuous, does it follow that f is continuous? If so, prove it. If not, find a counterexample.
- **69.** A Tibetan monk leaves the monastery at 7:00 AM and takes his usual path to the top of the mountain, arriving at 7:00 PM. The following morning, he starts at 7:00 AM at the top and takes the same path back, arriving at the monastery at 7:00 PM. Use the Intermediate Value Theorem to show that there is a point on the path that the monk will cross at exactly the same time of day on both days.

- 8. Draw, by hand, a rough sketch of the graph of each function.
  - (a)  $y = \sin x$  (b)  $y = \tan x$  

     (c)  $y = 2^x$  (d) y = 1/x 

     (e) y = |x| (f)  $y = \sqrt{x}$
- **9.** Suppose that f has domain A and g has domain B.
  - (a) What is the domain of f + g?(b) What is the domain of fg?
  - (c) What is the domain of f/q?
  - (c) what is the domain of j/g:
- **10.** How is the composite function  $f \circ g$  defined? What is its domain?
- 11. Suppose the graph of f is given. Write an equation for each of the graphs that are obtained from the graph of f as follows.(a) Shift 2 units upward.
  - (b) Shift 2 units downward.
  - (c) Shift 2 units to the right.
  - (d) Shift 2 units to the left.
  - (e) Reflect about the x-axis.
  - (f) Reflect about the y-axis.
  - (g) Stretch vertically by a factor of 2.
  - (h) Shrink vertically by a factor of 2.
  - (i) Stretch horizontally by a factor of 2.
  - (j) Shrink horizontally by a factor of 2.

**12.** Explain what each of the following means and illustrate with a sketch.

(a) 
$$\lim_{x \to a} f(x) = L$$
  
(b)  $\lim_{x \to a^+} f(x) = L$   
(c)  $\lim_{x \to a^-} f(x) = L$   
(d)  $\lim_{x \to a} f(x) = \infty$   
(e)  $\lim_{x \to a} f(x) = -\infty$ 

- **13.** Describe several ways in which a limit can fail to exist. Illustrate with sketches.
- 14. What does it mean to say that the line x = a is a vertical asymptote of the curve y = f(x)? Draw curves to illustrate the various possibilities.

- 15. State the following Limit Laws.
  - (a) Sum Law (b) Difference Law
  - (c) Constant Multiple Law (d) Product Law
  - (e) Quotient Law (f) Power Law
  - (g) Root Law
- 16. What does the Squeeze Theorem say?
- (a) What does it mean for f to be continuous at a?
  (b) What does it mean for f to be continuous on the interval (-∞, ∞)? What can you say about the graph of such a function?
- **18.** What does the Intermediate Value Theorem say?

### **True-False Quiz**

Determine whether the statement is true or false. If it is true, explain why. If it is false, explain why or give an example that disproves the statement.

- **1.** If f is a function, then f(s + t) = f(s) + f(t).
- **2.** If f(s) = f(t), then s = t.
- **3.** If f is a function, then f(3x) = 3f(x).
- **4.** If  $x_1 < x_2$  and f is a decreasing function, then  $f(x_1) > f(x_2)$ .
- 5. A vertical line intersects the graph of a function at most once.
- **6.** If x is any real number, then  $\sqrt{x^2} = x$ .

7. 
$$\lim_{x \to 4} \left( \frac{2x}{x-4} - \frac{8}{x-4} \right) = \lim_{x \to 4} \frac{2x}{x-4} - \lim_{x \to 4} \frac{8}{x-4}$$

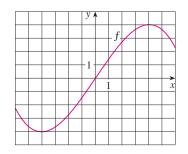
8. 
$$\lim_{x \to 1} \frac{x^2 + 6x - 7}{x^2 + 5x - 6} = \frac{\lim_{x \to 1} (x^2 + 6x - 7)}{\lim_{x \to 1} (x^2 + 5x - 6)}$$

- 9.  $\lim_{x \to 1} \frac{x-3}{x^2+2x-4} = \frac{\lim_{x \to 1} (x-3)}{\lim_{x \to 1} (x^2+2x-4)}$
- 10. If  $\lim_{x\to 5} f(x) = 2$  and  $\lim_{x\to 5} g(x) = 0$ , then  $\lim_{x\to 5} [f(x)/g(x)]$  does not exist.
- 11. If  $\lim_{x\to 5} f(x) = 0$  and  $\lim_{x\to 5} g(x) = 0$ , then  $\lim_{x\to 5} [f(x)/g(x)]$  does not exist.
- **12.** If neither  $\lim_{x\to a} f(x)$  nor  $\lim_{x\to a} g(x)$  exists, then  $\lim_{x\to a} [f(x) + g(x)]$  does not exist.

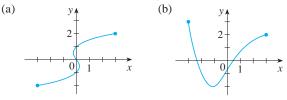
- If lim<sub>x→a</sub> f(x) exists but lim<sub>x→a</sub> g(x) does not exist, then lim<sub>x→a</sub> [f(x) + g(x)] does not exist.
- 14. If  $\lim_{x\to 6} [f(x)g(x)]$  exists, then the limit must be f(6)g(6).
- **15.** If *p* is a polynomial, then  $\lim_{x\to b} p(x) = p(b)$ .
- **16.** If  $\lim_{x\to 0} f(x) = \infty$  and  $\lim_{x\to 0} g(x) = \infty$ , then  $\lim_{x\to 0} [f(x) g(x)] = 0$ .
- 17. If the line x = 1 is a vertical asymptote of y = f(x), then f is not defined at 1.
- **18.** If f(1) > 0 and f(3) < 0, then there exists a number c between 1 and 3 such that f(c) = 0.
- 19. If *f* is continuous at 5 and *f*(5) = 2 and *f*(4) = 3, then lim<sub>x→2</sub> *f*(4x<sup>2</sup> − 11) = 2.
- **20.** If *f* is continuous on [-1, 1] and f(-1) = 4 and f(1) = 3, then there exists a number *r* such that |r| < 1 and  $f(r) = \pi$ .
- **21.** Let *f* be a function such that  $\lim_{x\to 0} f(x) = 6$ . Then there exists a number  $\delta$  such that if  $0 < |x| < \delta$ , then |f(x) 6| < 1.
- **22.** If f(x) > 1 for all x and  $\lim_{x\to 0} f(x)$  exists, then  $\lim_{x\to 0} f(x) > 1$ .
- **23.** The equation  $x^{10} 10x^2 + 5 = 0$  has a root in the interval (0, 2).
- **24.** If f is continuous at a, so is |f|.
- **25.** If |f| is continuous at *a*, so is *f*.

# **Exercises**

- **1.** Let f be the function whose graph is given.
  - (a) Estimate the value of f(2).
  - (b) Estimate the values of x such that f(x) = 3.
  - (c) State the domain of f.
  - (d) State the range of f.
  - (e) On what interval is *f* increasing?
  - (f) Is f even, odd, or neither even nor odd? Explain.



**2.** Determine whether each curve is the graph of a function of *x*. If it is, state the domain and range of the function.



**3.** If  $f(x) = x^2 - 2x + 3$ , evaluate the difference quotient

$$\frac{f(a+h) - f(a)}{h}$$

**4.** Sketch a rough graph of the yield of a crop as a function of the amount of fertilizer used.

**5–8** Find the domain and range of the function. Write your answer in interval notation.

<b>5.</b> $f(x) = 2/(3x - 1)$	<b>6.</b> $g(x) = \sqrt{16 - x^4}$
<b>7.</b> $y = 1 + \sin x$	8. $F(t) = 3 + \cos 2t$

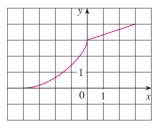
**9.** Suppose that the graph of *f* is given. Describe how the graphs of the following functions can be obtained from the graph of *f*.

(a) $y = f(x) + 8$	(b) $y = f(x + 8)$
(c) $y = 1 + 2f(x)$	(d) $y = f(x - 2) - 2$
(e) $y = -f(x)$	(f) $y = 3 - f(x)$

**10.** The graph of f is given. Draw the graphs of the following functions.

(a) 
$$y = f(x - 8)$$
 (b)  $y = -f(x)$ 

$$y = 2 - f(x)$$
 (d)  $y = \frac{1}{2}f(x) - 1$ 



**11–16** Use transformations to sketch the graph of the function.

<b>11.</b> $y = -\sin 2x$	<b>12.</b> $y = (x - 2)^2$
<b>13.</b> $y = 1 + \frac{1}{2}x^3$	<b>14.</b> $y = 2 - \sqrt{x}$
<b>15.</b> $f(x) = \frac{1}{x+2}$	<b>16.</b> $f(x) = \begin{cases} 1 + x & \text{if } x < 0 \\ 1 + x^2 & \text{if } x \ge 0 \end{cases}$

17. Determine whether f is even, odd, or neither even nor odd. (a)  $f(x) = 2x^5 - 3x^2 + 2$ 

(b) 
$$f(x) = x^3 - x^7$$
  
(c)  $f(x) = \cos(x^2)$ 

(c)

(d)  $f(x) = 1 + \sin x$ 

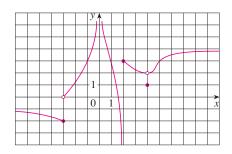
- 18. Find an expression for the function whose graph consists of the line segment from the point (-2, 2) to the point (-1, 0) together with the top half of the circle with center the origin and radius 1.
- **19.** If  $f(x) = \sqrt{x}$  and  $g(x) = \sin x$ , find the functions (a)  $f \circ g$ , (b)  $g \circ f$ , (c)  $f \circ f$ , (d)  $g \circ g$ , and their domains.
- **20.** Express the function  $F(x) = 1/\sqrt{x + \sqrt{x}}$  as a composition of three functions.
- **21.** Life expectancy improved dramatically in the 20th century. The table gives the life expectancy at birth (in years) of males born in the United States. Use a scatter plot to choose an appropriate type of model. Use your model to predict the life span of a male born in the year 2010.

Birth year	Life expectancy	Birth year	Life expectancy
1900	48.3	1960	66.6
1910	51.1	1970	67.1
1920	55.2	1980	70.0
1930	57.4	1990	71.8
1940	62.5	2000	73.0
1950	65.6		

- **22.** A small-appliance manufacturer finds that it costs \$9000 to produce 1000 toaster ovens a week and \$12,000 to produce 1500 toaster ovens a week.
  - (a) Express the cost as a function of the number of toaster ovens produced, assuming that it is linear. Then sketch the graph.
  - (b) What is the slope of the graph and what does it represent?
  - (c) What is the *y*-intercept of the graph and what does it represent?
- **23.** The graph of f is given.

(a) Find each limit, or explain why it does not exist.

- (i)  $\lim_{x \to 2^+} f(x)$  (ii)  $\lim_{x \to -3^+} f(x)$ <br/>(iii)  $\lim_{x \to -3} f(x)$  (iv)  $\lim_{x \to 4} f(x)$ <br/>(v)  $\lim_{x \to 0} f(x)$  (vi)  $\lim_{x \to 2^-} f(x)$ <br/>State the equations of the vertical equations.
- (b) State the equations of the vertical asymptotes.
- (c) At what numbers is f discontinuous? Explain.



**24.** Sketch the graph of an example of a function *f* that satisfies all of the following conditions:

$$\lim_{x \to 0^+} f(x) = -2, \quad \lim_{x \to 0^-} f(x) = 1, \quad f(0) = -1,$$
$$\lim_{x \to 2^-} f(x) = \infty, \quad \lim_{x \to 2^+} f(x) = -\infty$$

25–38 Find the limit.

**35.**  $\lim_{s \to 16} \frac{4 - \sqrt{s}}{s - 16}$ 

25.  $\lim_{x \to 0} \cos(x + \sin x)$ 26.  $\lim_{x \to 3} \frac{x^2 - 9}{x^2 + 2x - 3}$ 27.  $\lim_{x \to -3} \frac{x^2 - 9}{x^2 + 2x - 3}$ 28.  $\lim_{x \to 1^+} \frac{x^2 - 9}{x^2 + 2x - 3}$ 29.  $\lim_{h \to 0} \frac{(h - 1)^3 + 1}{h}$ 30.  $\lim_{r \to 2} \frac{t^2 - 4}{t^3 - 8}$ 31.  $\lim_{r \to 9} \frac{\sqrt{r}}{(r - 9)^4}$ 32.  $\lim_{v \to 4^+} \frac{4 - v}{|4 - v|}$ 33.  $\lim_{u \to 1} \frac{u^4 - 1}{u^3 + 5u^2 - 6u}$ 34.  $\lim_{x \to 3} \frac{\sqrt{x + 6} - x}{x^3 - 3x^2}$ 

**36.**  $\lim_{v \to 2} \frac{v^2 + 2v - 8}{v^4 - 16}$ 

**37.** 
$$\lim_{x \to 0} \frac{1 - \sqrt{1 - x^2}}{x}$$
  
**38.** 
$$\lim_{x \to 1} \left( \frac{1}{x - 1} + \frac{1}{x^2 - 3x + 2} \right)$$

**39.** If  $2x - 1 \le f(x) \le x^2$  for 0 < x < 3, find  $\lim_{x \to 1} f(x)$ .

**40.** Prove that  $\lim_{x\to 0} x^2 \cos(1/x^2) = 0$ .

**41–44** Prove the statement using the precise definition of a limit.

**41.** 
$$\lim_{x \to 2} (14 - 5x) = 4$$
  
**42.**  $\lim_{x \to 0} \sqrt[3]{x} = 0$   
**43.**  $\lim_{x \to 2} (x^2 - 3x) = -2$   
**44.**  $\lim_{x \to 4^+} \frac{2}{\sqrt{x - 4}} = \infty$ 

45. Let

$$f(x) = \begin{cases} \sqrt{-x} & \text{if } x < 0\\ 3 - x & \text{if } 0 \le x < 3\\ (x - 3)^2 & \text{if } x > 3 \end{cases}$$

(a) Evaluate each limit, if it exists.

(i) 
$$\lim_{x \to 0^+} f(x)$$
 (ii)  $\lim_{x \to 0^-} f(x)$  (iii)  $\lim_{x \to 0} f(x)$   
(iv)  $\lim_{x \to 3^-} f(x)$  (v)  $\lim_{x \to 3^+} f(x)$  (vi)  $\lim_{x \to 3} f(x)$ 

(b) Where is *f* discontinuous?

(c) Sketch the graph of f.

**46.** Let

$$g(x) = \begin{cases} 2x - x^2 & \text{if } 0 \le x \le 2\\ 2 - x & \text{if } 2 < x \le 3\\ x - 4 & \text{if } 3 < x < 4\\ \pi & \text{if } x \ge 4 \end{cases}$$

- (a) For each of the numbers 2, 3, and 4, discover whether *g* is continuous from the left, continuous from the right, or continuous at the number.
- (b) Sketch the graph of *g*.

**47–48** Show that the function is continuous on its domain. State the domain.

**47.** 
$$h(x) = \sqrt[4]{x} + x^3 \cos x$$
   
**48.**  $g(x) = \frac{\sqrt{x^2 - 9}}{x^2 - 2}$ 

**49–50** Use the Intermediate Value Theorem to show that there is a root of the equation in the given interval.

**49.** 
$$x^5 - x^3 + 3x - 5 = 0$$
, (1, 2)  
**50.**  $2 \sin x = 3 - 2x$ , (0, 1)

# **Principles of Problem Solving**

There are no hard and fast rules that will ensure success in solving problems. However, it is possible to outline some general steps in the problem-solving process and to give some principles that may be useful in the solution of certain problems. These steps and principles are just common sense made explicit. They have been adapted from George Polya's book *How To Solve It*.

**1 UNDERSTAND THE PROBLEM** 

The first step is to read the problem and make sure that you understand it clearly. Ask yourself the following questions:

> What is the unknown? What are the given quantities? What are the given conditions?

For many problems it is useful to

draw a diagram

and identify the given and required quantities on the diagram. Usually it is necessary to

introduce suitable notation

In choosing symbols for the unknown quantities we often use letters such as a, b, c, m, n, x, and y, but in some cases it helps to use initials as suggestive symbols; for instance, V for volume or t for time.

### **2 THINK OF A PLAN**

Find a connection between the given information and the unknown that will enable you to calculate the unknown. It often helps to ask yourself explicitly: "How can I relate the given to the unknown?" If you don't see a connection immediately, the following ideas may be helpful in devising a plan.

**Try to Recognize Something Familiar** Relate the given situation to previous knowledge. Look at the unknown and try to recall a more familiar problem that has a similar unknown.

**Try to Recognize Patterns** Some problems are solved by recognizing that some kind of pattern is occurring. The pattern could be geometric, or numerical, or algebraic. If you can see regularity or repetition in a problem, you might be able to guess what the continuing pattern is and then prove it.

**Use Analogy** Try to think of an analogous problem, that is, a similar problem, a related problem, but one that is easier than the original problem. If you can solve the similar, simpler problem, then it might give you the clues you need to solve the original, more difficult problem. For instance, if a problem involves very large numbers, you could first try a similar problem with smaller numbers. Or if the problem involves three-dimensional geometry, you could look for a similar problem in two-dimensional geometry. Or if the problem you start with is a general one, you could first try a special case.

Introduce Something Extra It may sometimes be necessary to introduce something new, an auxiliary aid, to help make the connection between the given and the unknown. For instance, in a problem where a diagram is useful the auxiliary aid could be a new line drawn in a diagram. In a more algebraic problem it could be a new unknown that is related to the original unknown.

**Take Cases** We may sometimes have to split a problem into several cases and give a different argument for each of the cases. For instance, we often have to use this strategy in dealing with absolute value.

Work Backward Sometimes it is useful to imagine that your problem is solved and work backward, step by step, until you arrive at the given data. Then you may be able to reverse your steps and thereby construct a solution to the original problem. This procedure is commonly used in solving equations. For instance, in solving the equation 3x - 5 = 7, we suppose that x is a number that satisfies 3x - 5 = 7 and work backward. We add 5 to each side of the equation and then divide each side by 3 to get x = 4. Since each of these steps can be reversed, we have solved the problem.

**Establish Subgoals** In a complex problem it is often useful to set subgoals (in which the desired situation is only partially fulfilled). If we can first reach these subgoals, then we may be able to build on them to reach our final goal.

**Indirect Reasoning** Sometimes it is appropriate to attack a problem indirectly. In using proof by contradiction to prove that P implies Q, we assume that P is true and Q is false and try to see why this can't happen. Somehow we have to use this information and arrive at a contradiction to what we absolutely know is true.

Mathematical Induction In proving statements that involve a positive integer n, it is frequently helpful to use the following principle.

**Principle of Mathematical Induction** Let  $S_n$  be a statement about the positive integer *n*. Suppose that

- **1**.  $S_1$  is true.
- **2.**  $S_{k+1}$  is true whenever  $S_k$  is true.

Then  $S_n$  is true for all positive integers n.

This is reasonable because, since  $S_1$  is true, it follows from condition 2 (with k = 1) that  $S_2$  is true. Then, using condition 2 with k = 2, we see that  $S_3$  is true. Again using condition 2, this time with k = 3, we have that  $S_4$  is true. This procedure can be followed indefinitely.

# **3 CARRY OUT THE PLAN** In Step 2 a plan was devised. In carrying out that plan we have to check each stage of the plan and write the details that prove that each stage is correct.

4 LOOK BACK Having completed our solution, it is wise to look back over it, partly to see if we have made errors in the solution and partly to see if we can think of an easier way to solve the problem. Another reason for looking back is that it will familiarize us with the method of solution and this may be useful for solving a future problem. Descartes said, "Every problem that I solved became a rule which served afterwards to solve other problems."

These principles of problem solving are illustrated in the following examples. Before you look at the solutions, try to solve these problems yourself, referring to these Principles of Problem Solving if you get stuck. You may find it useful to refer to this section from time to time as you solve the exercises in the remaining chapters of this book.

As the first example illustrates, it is often necessary to use the problem-solving principle of taking cases when dealing with absolute values.

**EXAMPLE 1** Solve the inequality |x - 3| + |x + 2| < 11. **SOLUTION** Recall the definition of absolute value:

 $|x| = \begin{cases} x & \text{if } x \ge 0\\ -x & \text{if } x < 0 \end{cases}$ 

It follows that	$ x-3  = \begin{cases} x-3 \\ -(x-3) \end{cases}$	$if x - 3 \ge 0$ $if x - 3 < 0$
	$=\begin{cases} x-3\\ -x+3 \end{cases}$	$if x \ge 3$ $if x < 3$
Similarly	$ x+2  = \begin{cases} x+2\\ -(x+2) \end{cases}$	$if x + 2 \ge 0$ $if x + 2 < 0$
	$=\begin{cases}x+2\\-x-2\end{cases}$	$if x \ge -2$ $if x < -2$

**PS** Take cases

These expressions show that we must consider three cases:

 $x < -2 \qquad -2 \le x < 3 \qquad x \ge 3$ 

**CASE I** If x < -2, we have

$$\begin{aligned} x - 3 &| + |x + 2| < 11 \\ -x + 3 - x - 2 < 11 \\ -2x < 10 \\ x > -5 \end{aligned}$$

**CASE II** If  $-2 \le x < 3$ , the given inequality becomes

$$-x + 3 + x + 2 < 11$$

5 < 11 (always true)

**CASE III** If  $x \ge 3$ , the inequality becomes

$$x - 3 + x + 2 < 11$$
$$2x < 12$$
$$x < 6$$

Combining cases I, II, and III, we see that the inequality is satisfied when -5 < x < 6. So the solution is the interval (-5, 6).

In the following example we first guess the answer by looking at special cases and recognizing a pattern. Then we prove our conjecture by mathematical induction.

In using the Principle of Mathematical Induction, we follow three steps:

Step 1 Prove that  $S_n$  is true when n = 1.

Step 2 Assume that  $S_n$  is true when n = k and deduce that  $S_n$  is true when n = k + 1.

Step 3 Conclude that  $S_n$  is true for all n by the Principle of Mathematical Induction.

**EXAMPLE 2** If  $f_0(x) = x/(x + 1)$  and  $f_{n+1} = f_0 \circ f_n$  for n = 0, 1, 2, ..., find a formula for  $f_n(x)$ .

PS Analogy: Try a similar, simpler problem

SOLUTION We start by finding formulas for  $f_n(x)$  for the special cases n = 1, 2, and 3.

$$f_1(x) = (f_0 \circ f_0)(x) = f_0(f_0(x)) = f_0\left(\frac{x}{x+1}\right)$$
$$= \frac{\frac{x}{x+1}}{\frac{x}{x+1}+1} = \frac{\frac{x}{x+1}}{\frac{2x+1}{x+1}} = \frac{x}{2x+1}$$

$$f_2(x) = (f_0 \circ f_1)(x) = f_0(f_1(x)) = f_0\left(\frac{x}{2x+1}\right)$$
$$\frac{x}{2x+1} = \frac{x}{2x+1}$$

$$=\frac{2x+1}{\frac{x}{2x+1}+1} = \frac{2x+1}{\frac{3x+1}{2x+1}} = \frac{x}{3x+1}$$

$$f_3(x) = (f_0 \circ f_2)(x) = f_0(f_2(x)) = f_0\left(\frac{x}{3x+1}\right)$$
$$= \frac{\frac{x}{3x+1}}{\frac{x}{3x+1}+1} = \frac{\frac{x}{3x+1}}{\frac{4x+1}{3x+1}} = \frac{x}{4x+1}$$

PS Look for a pattern

We notice a pattern: The coefficient of x in the denominator of  $f_n(x)$  is n + 1 in the three cases we have computed. So we make the guess that, in general,

$$f_n(x) = \frac{x}{(n+1)x+1}$$

To prove this, we use the Principle of Mathematical Induction. We have already verified that  $\boxed{4}$  is true for n = 1. Assume that it is true for n = k, that is,

$$f_k(x) = \frac{x}{(k+1)x+1}$$

Then

$$f_{k+1}(x) = (f_0 \circ f_k)(x) = f_0(f_k(x)) = f_0\left(\frac{x}{(k+1)x+1}\right)$$
$$= \frac{\frac{x}{(k+1)x+1}}{\frac{x}{(k+1)x+1} + 1} = \frac{\frac{x}{(k+1)x+1}}{\frac{(k+2)x+1}{(k+1)x+1}} = \frac{x}{(k+2)x+1}$$

1

 $\mathbf{i}$ 

This expression shows that  $\boxed{4}$  is true for n = k + 1. Therefore, by mathematical induction, it is true for all positive integers *n*.

In the following example we show how the problem solving strategy of *introducing something extra* is sometimes useful when we evaluate limits. The idea is to change the variable—to introduce a new variable that is related to the original variable—in such a way as to make the problem simpler. Later, in Section 4.5, we will make more extensive use of this general idea.

**EXAMPLE 3** Evaluate 
$$\lim_{x\to 0} \frac{\sqrt[3]{1 + cx - 1}}{x}$$
, where c is a constant.

**SOLUTION** As it stands, this limit looks challenging. In Section 1.6 we evaluated several limits in which both numerator and denominator approached 0. There our strategy was to perform some sort of algebraic manipulation that led to a simplifying cancellation, but here it's not clear what kind of algebra is necessary.

So we introduce a new variable *t* by the equation

t

$$t = \sqrt[3]{1 + cx}$$

We also need to express x in terms of t, so we solve this equation:

$$x^{3} = 1 + cx$$
  $x = \frac{t^{3} - 1}{c}$  (if  $c \neq 0$ )

Notice that  $x \to 0$  is equivalent to  $t \to 1$ . This allows us to convert the given limit into one involving the variable *t*:

$$\lim_{x \to 0} \frac{\sqrt[3]{1 + cx} - 1}{x} = \lim_{t \to 1} \frac{t - 1}{(t^3 - 1)/c} = \lim_{t \to 1} \frac{c(t - 1)}{t^3 - 1}$$

The change of variable allowed us to replace a relatively complicated limit by a simpler one of a type that we have seen before. Factoring the denominator as a difference of cubes, we get

$$\lim_{t \to 1} \frac{c(t-1)}{t^3 - 1} = \lim_{t \to 1} \frac{c(t-1)}{(t-1)(t^2 + t + 1)}$$
$$= \lim_{t \to 1} \frac{c}{t^2 + t + 1} = \frac{c}{3}$$

In making the change of variable we had to rule out the case c = 0. But if c = 0, the function is 0 for all nonzero x and so its limit is 0. Therefore, in all cases, the limit is c/3.

The following problems are meant to test and challenge your problem-solving skills. Some of them require a considerable amount of time to think through, so don't be discouraged if you can't solve them right away. If you get stuck, you might find it helpful to refer to the discussion of the principles of problem solving.

### **Problems**

- 1. Draw the graph of the equation x + |x| = y + |y|.
- **2**. Sketch the region in the plane consisting of all points (x, y) such that  $|x y| + |x| |y| \le 2$ .
- **3.** If  $f_0(x) = x^2$  and  $f_{n+1}(x) = f_0(f_n(x))$  for n = 0, 1, 2, ..., find a formula for  $f_n(x)$ .
- **4.** (a) If  $f_0(x) = \frac{1}{2-x}$  and  $f_{n+1} = f_0 \circ f_n$  for n = 0, 1, 2, ..., find an expression for  $f_n(x)$  and use mathematical induction to prove it.

(b) Graph  $f_0$ ,  $f_1$ ,  $f_2$ ,  $f_3$  on the same screen and describe the effects of repeated composition.

5. Evaluate 
$$\lim_{x \to 1} \frac{\sqrt[3]{x} - 1}{\sqrt{x} - 1}$$
.

A

6. Find numbers a and b such that 
$$\lim_{x \to 0} \frac{\sqrt{ax+b}-2}{x} = 1$$
.

7. Evaluate 
$$\lim_{x \to 0} \frac{|2x - 1| - |2x + 1|}{x}$$
.

- 8. The figure shows a point *P* on the parabola  $y = x^2$  and the point *Q* where the perpendicular bisector of *OP* intersects the *y*-axis. As *P* approaches the origin along the parabola, what happens to *Q*? Does it have a limiting position? If so, find it.
- **9.** Evaluate the following limits, if they exist, where [x] denotes the greatest integer function.

(a) 
$$\lim_{x \to 0} \frac{\|x\|}{x}$$
 (b)  $\lim_{x \to 0} x [[1/x]]$ 

**10.** Sketch the region in the plane defined by each of the following equations.

(a) 
$$[x]^2 + [y]^2 = 1$$
 (b)  $[x]^2 - [y]^2 = 3$  (c)  $[x + y]^2 = 1$  (d)  $[x] + [y] = 1$ 

**11.** Find all values of *a* such that *f* is continuous on  $\mathbb{R}$ :

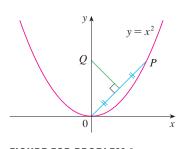
$$f(x) = \begin{cases} x+1 & \text{if } x \le a \\ x^2 & \text{if } x > a \end{cases}$$

- 12. A fixed point of a function f is a number c in its domain such that f(c) = c. (The function doesn't move c; it stays fixed.)
  - (a) Sketch the graph of a continuous function with domain [0, 1] whose range also lies in [0, 1]. Locate a fixed point of *f*.
  - (b) Try to draw the graph of a continuous function with domain [0, 1] and range in [0, 1] that does *not* have a fixed point. What is the obstacle?
  - (c) Use the Intermediate Value Theorem to prove that any continuous function with domain [0, 1] and range in [0, 1] must have a fixed point.

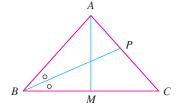
**13.** If  $\lim_{x\to a} [f(x) + g(x)] = 2$  and  $\lim_{x\to a} [f(x) - g(x)] = 1$ , find  $\lim_{x\to a} [f(x)g(x)]$ .

- 14. (a) The figure shows an isosceles triangle ABC with ∠B = ∠C. The bisector of angle B intersects the side AC at the point P. Suppose that the base BC remains fixed but the altitude |AM| of the triangle approaches 0, so A approaches the midpoint M of BC. What happens to P during this process? Does it have a limiting position? If so, find it.
  - (b) Try to sketch the path traced out by *P* during this process. Then find an equation of this curve and use this equation to sketch the curve.
- 15. (a) If we start from 0° latitude and proceed in a westerly direction, we can let T(x) denote the temperature at the point x at any given time. Assuming that T is a continuous function of x, show that at any fixed time there are at least two diametrically opposite points on the equator that have exactly the same temperature.
  - (b) Does the result in part (a) hold for points lying on any circle on the earth's surface?
  - (c) Does the result in part (a) hold for barometric pressure and for altitude above sea level?

Graphing calculator or computer required







**FIGURE FOR PROBLEM 14** 



# **Derivatives**



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In this chapter we begin our study of differential calculus, which is concerned with how one quantity changes in relation to another quantity. The central concept of differential calculus is the *derivative*, which is an outgrowth of the velocities and slopes of tangents that we considered in Chapter 1. After learning how to calculate derivatives, we use them to solve problems involving rates of change and the approximation of functions.

# 2.1 Derivatives and Rates of Change

The problem of finding the tangent line to a curve and the problem of finding the velocity of an object both involve finding the same type of limit, as we saw in Section 1.4. This special type of limit is called a *derivative* and we will see that it can be interpreted as a rate of change in any of the sciences or engineering.

### Tangents

If a curve *C* has equation y = f(x) and we want to find the tangent line to *C* at the point P(a, f(a)), then we consider a nearby point Q(x, f(x)), where  $x \neq a$ , and compute the slope of the secant line *PQ*:

$$m_{PQ} = \frac{f(x) - f(a)}{x - a}$$

Then we let Q approach P along the curve C by letting x approach a. If  $m_{PQ}$  approaches a number m, then we define the *tangent* t to be the line through P with slope m. (This amounts to saying that the tangent line is the limiting position of the secant line PQ as Q approaches P. See Figure 1.)

**1 Definition** The **tangent line** to the curve y = f(x) at the point P(a, f(a)) is the line through *P* with slope

$$m = \lim_{x \to a} \frac{f(x) - f(a)}{x - a}$$

provided that this limit exists.

In our first example we confirm the guess we made in Example 1 in Section 1.4.

**EXAMPLE 1** Find an equation of the tangent line to the parabola  $y = x^2$  at the point P(1, 1).

SOLUTION Here we have a = 1 and  $f(x) = x^2$ , so the slope is

$$m = \lim_{x \to 1} \frac{f(x) - f(1)}{x - 1} = \lim_{x \to 1} \frac{x^2 - 1}{x - 1}$$
$$= \lim_{x \to 1} \frac{(x - 1)(x + 1)}{x - 1}$$
$$= \lim_{x \to 1} (x + 1) = 1 + 1 = 2$$

Using the point-slope form of the equation of a line, we find that an equation of the tangent line at (1, 1) is

$$y - 1 = 2(x - 1)$$
 or  $y = 2x - 1$ 

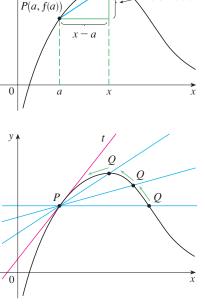
We sometimes refer to the slope of the tangent line to a curve at a point as the **slope of the curve** at the point. The idea is that if we zoom in far enough toward the point, the curve looks almost like a straight line. Figure 2 illustrates this procedure for the curve  $y = x^2$  in

**FIGURE 1** 

y/

Point-slope form for a line through the point  $(x_1, y_1)$  with slope *m*:

 $y - y_1 = m(x - x_1)$ 

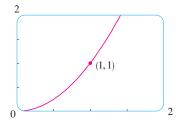


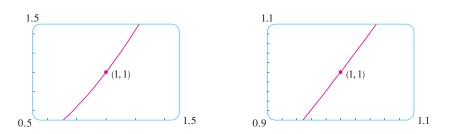
Q(x, f(x))

f(x) - f(a)

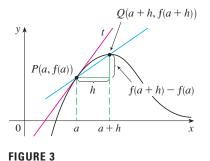
**TEC** Visual 2.1 shows an animation of Figure 2.

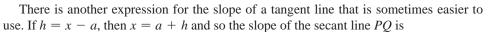
Example 1. The more we zoom in, the more the parabola looks like a line. In other words, the curve becomes almost indistinguishable from its tangent line.





**FIGURE 2** Zooming in toward the point (1, 1) on the parabola  $y = x^2$ 





$$m_{PQ} = \frac{f(a+h) - f(a)}{h}$$

(See Figure 3 where the case h > 0 is illustrated and Q is to the right of P. If it happened that h < 0, however, Q would be to the left of P.)

Notice that as *x* approaches *a*, *h* approaches 0 (because h = x - a) and so the expression for the slope of the tangent line in Definition 1 becomes



$$m = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

**EXAMPLE 2** Find an equation of the tangent line to the hyperbola y = 3/x at the point (3, 1).

**SOLUTION** Let f(x) = 3/x. Then the slope of the tangent at (3, 1) is

$$m = \lim_{h \to 0} \frac{f(3+h) - f(3)}{h} = \lim_{h \to 0} \frac{\frac{3}{3+h} - 1}{h} = \lim_{h \to 0} \frac{\frac{3 - (3+h)}{3+h}}{h}$$
$$= \lim_{h \to 0} \frac{-h}{h(3+h)} = \lim_{h \to 0} -\frac{1}{3+h} = -\frac{1}{3}$$

Therefore an equation of the tangent at the point (3, 1) is

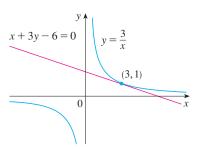
 $y - 1 = -\frac{1}{3}(x - 3)$ 

which simplifies to x + 3y - 6 = 0

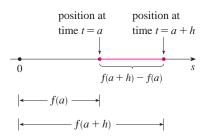
The hyperbola and its tangent are shown in Figure 4.

# Velocities

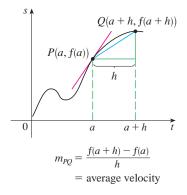
In Section 1.4 we investigated the motion of a ball dropped from the CN Tower and defined its velocity to be the limiting value of average velocities over shorter and shorter time periods.



**FIGURE 4** 



**FIGURE 5** 





Recall from Section 1.4: The distance (in meters) fallen after t seconds is  $4.9t^2$ .

In general, suppose an object moves along a straight line according to an equation of motion s = f(t), where s is the displacement (directed distance) of the object from the origin at time t. The function f that describes the motion is called the **position function** of the object. In the time interval from t = a to t = a + h the change in position is f(a + h) - f(a). (See Figure 5.) The average velocity over this time interval is

average velocity = 
$$\frac{\text{displacement}}{\text{time}} = \frac{f(a+h) - f(a)}{h}$$

which is the same as the slope of the secant line PQ in Figure 6.

Now suppose we compute the average velocities over shorter and shorter time intervals [a, a + h]. In other words, we let *h* approach 0. As in the example of the falling ball, we define the **velocity** (or **instantaneous velocity**) v(a) at time t = a to be the limit of these average velocities:

$$v(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

This means that the velocity at time t = a is equal to the slope of the tangent line at *P* (compare Equations 2 and 3).

Now that we know how to compute limits, let's reconsider the problem of the falling ball.

**V EXAMPLE 3** Suppose that a ball is dropped from the upper observation deck of the CN Tower, 450 m above the ground.

- (a) What is the velocity of the ball after 5 seconds?
- (b) How fast is the ball traveling when it hits the ground?

**SOLUTION** We will need to find the velocity both when t = 5 and when the ball hits the ground, so it's efficient to start by finding the velocity at a general time t = a. Using the equation of motion  $s = f(t) = 4.9t^2$ , we have

$$v(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h} = \lim_{h \to 0} \frac{4.9(a+h)^2 - 4.9a^2}{h}$$
$$= \lim_{h \to 0} \frac{4.9(a^2 + 2ah + h^2 - a^2)}{h} = \lim_{h \to 0} \frac{4.9(2ah + h^2)}{h}$$
$$= \lim_{h \to 0} 4.9(2a+h) = 9.8a$$

(a) The velocity after 5 s is v(5) = (9.8)(5) = 49 m/s.

(b) Since the observation deck is 450 m above the ground, the ball will hit the ground at the time  $t_1$  when  $s(t_1) = 450$ , that is,

$$4.9t_1^2 = 450$$

This gives

3

$$t_1^2 = \frac{450}{4.9}$$
 and  $t_1 = \sqrt{\frac{450}{4.9}} \approx 9.6 \,\mathrm{s}$ 

The velocity of the ball as it hits the ground is therefore

$$v(t_1) = 9.8t_1 = 9.8\sqrt{\frac{450}{4.9}} \approx 94 \text{ m/s}$$

### Derivatives

We have seen that the same type of limit arises in finding the slope of a tangent line (Equation 2) or the velocity of an object (Equation 3). In fact, limits of the form

$$\lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

arise whenever we calculate a rate of change in any of the sciences or engineering, such as a rate of reaction in chemistry or a marginal cost in economics. Since this type of limit occurs so widely, it is given a special name and notation.

**4** Definition The derivative of a function f at a number a, denoted by f'(a), is

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

if this limit exists.

If we write x = a + h, then we have h = x - a and h approaches 0 if and only if x approaches a. Therefore an equivalent way of stating the definition of the derivative, as we saw in finding tangent lines, is

**5** 
$$f'(a) = \lim_{x \to a} \frac{f(x) - f(a)}{x - a}$$

**EXAMPLE 4** Find the derivative of the function  $f(x) = x^2 - 8x + 9$  at the number *a*. SOLUTION From Definition 4 we have

$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$
  
=  $\lim_{h \to 0} \frac{[(a+h)^2 - 8(a+h) + 9] - [a^2 - 8a + 9]}{h}$   
=  $\lim_{h \to 0} \frac{a^2 + 2ah + h^2 - 8a - 8h + 9 - a^2 + 8a - 9}{h}$   
=  $\lim_{h \to 0} \frac{2ah + h^2 - 8h}{h} = \lim_{h \to 0} (2a + h - 8)$   
=  $2a - 8$ 

We defined the tangent line to the curve y = f(x) at the point P(a, f(a)) to be the line that passes through *P* and has slope *m* given by Equation 1 or 2. Since, by Definition 4, this is the same as the derivative f'(a), we can now say the following.

The tangent line to y = f(x) at (a, f(a)) is the line through (a, f(a)) whose slope is equal to f'(a), the derivative of f at a.

f'(a) is read "f prime of a ."

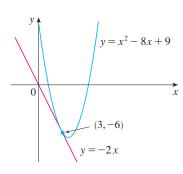


FIGURE 7

# 

average rate of change  $= m_{PQ}$ instantaneous rate of change =slope of tangent at *P* 

**FIGURE 8** 

If we use the point-slope form of the equation of a line, we can write an equation of the tangent line to the curve y = f(x) at the point (a, f(a)):

$$y - f(a) = f'(a)(x - a)$$

**V EXAMPLE 5** Find an equation of the tangent line to the parabola  $y = x^2 - 8x + 9$  at the point (3, -6).

**SOLUTION** From Example 4 we know that the derivative of  $f(x) = x^2 - 8x + 9$  at the number *a* is f'(a) = 2a - 8. Therefore the slope of the tangent line at (3, -6) is f'(3) = 2(3) - 8 = -2. Thus an equation of the tangent line, shown in Figure 7, is

$$y - (-6) = (-2)(x - 3)$$
 or  $y = -2x$ 

### Rates of Change

Suppose *y* is a quantity that depends on another quantity *x*. Thus *y* is a function of *x* and we write y = f(x). If *x* changes from  $x_1$  to  $x_2$ , then the change in *x* (also called the **increment** of *x*) is

$$\Delta x = x_2 - x_1$$

and the corresponding change in y is

$$\Delta y = f(x_2) - f(x_1)$$

The difference quotient

$$\frac{\Delta y}{\Delta x} = \frac{f(x_2) - f(x_1)}{x_2 - x_1}$$

is called the **average rate of change of** *y* **with respect to** *x* over the interval  $[x_1, x_2]$  and can be interpreted as the slope of the secant line *PQ* in Figure 8.

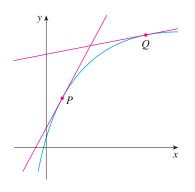
By analogy with velocity, we consider the average rate of change over smaller and smaller intervals by letting  $x_2$  approach  $x_1$  and therefore letting  $\Delta x$  approach 0. The limit of these average rates of change is called the (**instantaneous**) rate of change of *y* with respect to *x* at  $x = x_1$ , which is interpreted as the slope of the tangent to the curve y = f(x) at  $P(x_1, f(x_1))$ :

**6** instantaneous rate of change 
$$= \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta x} = \lim_{x_2 \to x_1} \frac{f(x_2) - f(x_1)}{x_2 - x_1}$$

We recognize this limit as being the derivative  $f'(x_1)$ .

We know that one interpretation of the derivative f'(a) is as the slope of the tangent line to the curve y = f(x) when x = a. We now have a second interpretation:

The derivative f'(a) is the instantaneous rate of change of y = f(x) with respect to x when x = a.



**FIGURE 9** The *y*-values are changing rapidly at *P* and slowly at *Q*.

Here we are assuming that the cost function is well behaved; in other words, C(x) doesn't oscillate rapidly near x = 1000. The connection with the first interpretation is that if we sketch the curve y = f(x), then the instantaneous rate of change is the slope of the tangent to this curve at the point where x = a. This means that when the derivative is large (and therefore the curve is steep, as at the point *P* in Figure 9), the *y*-values change rapidly. When the derivative is small, the curve is relatively flat (as at point *Q*) and the *y*-values change slowly.

In particular, if s = f(t) is the position function of a particle that moves along a straight line, then f'(a) is the rate of change of the displacement s with respect to the time t. In other words, f'(a) is the velocity of the particle at time t = a. The **speed** of the particle is the absolute value of the velocity, that is, |f'(a)|.

In the next example we discuss the meaning of the derivative of a function that is defined verbally.

**V EXAMPLE 6** A manufacturer produces bolts of a fabric with a fixed width. The cost of producing x yards of this fabric is C = f(x) dollars.

- (a) What is the meaning of the derivative f'(x)? What are its units?
- (b) In practical terms, what does it mean to say that f'(1000) = 9?
- (c) Which do you think is greater, f'(50) or f'(500)? What about f'(5000)?

### SOLUTION

(a) The derivative f'(x) is the instantaneous rate of change of *C* with respect to *x*; that is, f'(x) means the rate of change of the production cost with respect to the number of yards produced. (Economists call this rate of change the *marginal cost*. This idea is discussed in more detail in Sections 2.7 and 3.7.)

Because

$$f'(x) = \lim_{\Delta x \to 0} \frac{\Delta C}{\Delta x}$$

the units for f'(x) are the same as the units for the difference quotient  $\Delta C/\Delta x$ . Since  $\Delta C$  is measured in dollars and  $\Delta x$  in yards, it follows that the units for f'(x) are dollars per yard.

(b) The statement that f'(1000) = 9 means that, after 1000 yards of fabric have been manufactured, the rate at which the production cost is increasing is \$9/yard. (When x = 1000, *C* is increasing 9 times as fast as *x*.)

Since  $\Delta x = 1$  is small compared with x = 1000, we could use the approximation

$$f'(1000) \approx \frac{\Delta C}{\Delta x} = \frac{\Delta C}{1} = \Delta C$$

and say that the cost of manufacturing the 1000th yard (or the 1001st) is about \$9.

(c) The rate at which the production cost is increasing (per yard) is probably lower when x = 500 than when x = 50 (the cost of making the 500th yard is less than the cost of the 50th yard) because of economies of scale. (The manufacturer makes more efficient use of the fixed costs of production.) So

But, as production expands, the resulting large-scale operation might become inefficient and there might be overtime costs. Thus it is possible that the rate of increase of costs will eventually start to rise. So it may happen that

t	D(t)
1980	930.2
1985	1945.9
1990	3233.3
1995	4974.0
2000	5674.2
2005	7932.7

t	$\frac{D(t) - D(1990)}{t - 1990}$
1980	230.31
1985	257.48
1995	348.14
2000	244.09
2005	313.29
1	

### A Note on Units

The units for the average rate of change  $\Delta D/\Delta t$ are the units for  $\Delta D$  divided by the units for  $\Delta t$ , namely, billions of dollars per year. The instantaneous rate of change is the limit of the average rates of change, so it is measured in the same units: billions of dollars per year. In the following example we estimate the rate of change of the national debt with respect to time. Here the function is defined not by a formula but by a table of values.

**EXAMPLE 7** Let D(t) be the US national debt at time *t*. The table in the margin gives approximate values of this function by providing end of year estimates, in billions of dollars, from 1980 to 2005. Interpret and estimate the value of D'(1990).

**SOLUTION** The derivative D'(1990) means the rate of change of D with respect to t when t = 1990, that is, the rate of increase of the national debt in 1990. According to Equation 5,

$$D'(1990) = \lim_{t \to 1990} \frac{D(t) - D(1990)}{t - 1990}$$

So we compute and tabulate values of the difference quotient (the average rates of change) as shown in the table at the left. From this table we see that D'(1990) lies somewhere between 257.48 and 348.14 billion dollars per year. [Here we are making the reasonable assumption that the debt didn't fluctuate wildly between 1980 and 2000.] We estimate that the rate of increase of the national debt of the United States in 1990 was the average of these two numbers, namely

 $D'(1990) \approx 303$  billion dollars per year

Another method would be to plot the debt function and estimate the slope of the tangent line when t = 1990.

In Examples 3, 6, and 7 we saw three specific examples of rates of change: the velocity of an object is the rate of change of displacement with respect to time; marginal cost is the rate of change of production cost with respect to the number of items produced; the rate of change of the debt with respect to time is of interest in economics. Here is a small sample of other rates of change: In physics, the rate of change of work with respect to time is called *power*. Chemists who study a chemical reaction are interested in the rate of change in the concentration of a reactant with respect to time (called the *rate of reaction*). A biologist is interested in the rate of change of the population of a colony of bacteria with respect to time. In fact, the computation of rates of change is important in all of the natural sciences, in engineering, and even in the social sciences. Further examples will be given in Section 2.7.

All these rates of change are derivatives and can therefore be interpreted as slopes of tangents. This gives added significance to the solution of the tangent problem. Whenever we solve a problem involving tangent lines, we are not just solving a problem in geometry. We are also implicitly solving a great variety of problems involving rates of change in science and engineering.

# 2.1 Exercises

- **1.** A curve has equation y = f(x).
  - (a) Write an expression for the slope of the secant line through the points P(3, f(3)) and Q(x, f(x)).
  - (b) Write an expression for the slope of the tangent line at *P*.
- **2.** Graph the curve  $y = \sin x$  in the viewing rectangles [-2, 2] by [-2, 2], [-1, 1] by [-1, 1], and [-0.5, 0.5] by

[-0.5, 0.5]. What do you notice about the curve as you zoom in toward the origin?

- **3.** (a) Find the slope of the tangent line to the parabola  $y = 4x x^2$  at the point (1, 3)
  - (i) using Definition 1 (ii) using Equation 2

(b) Find an equation of the tangent line in part (a).

(c) Graph the parabola and the tangent line. As a check on your work, zoom in toward the point (1, 3) until the parabola and the tangent line are indistinguishable.

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- 4. (a) Find the slope of the tangent line to the curve  $y = x x^3$ at the point (1, 0)
  - (i) using Definition 1 (ii) using Equation 2
  - (b) Find an equation of the tangent line in part (a).
- (c) Graph the curve and the tangent line in successively smaller viewing rectangles centered at (1, 0) until the curve and the line appear to coincide.

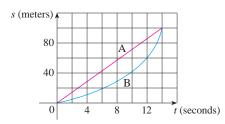
**5–8** Find an equation of the tangent line to the curve at the given point.

**5.** 
$$y = 4x - 3x^2$$
, (2, -4)  
**6.**  $y = x^3 - 3x + 1$ , (2, 3)  
**7.**  $y = \sqrt{x}$ , (1, 1)  
**8.**  $y = \frac{2x + 1}{x + 2}$ , (1, 1)

- 9. (a) Find the slope of the tangent to the curve  $y = 3 + 4x^2 - 2x^3$  at the point where x = a.
  - (b) Find equations of the tangent lines at the points (1, 5) and (2, 3).
- $\swarrow$  (c) Graph the curve and both tangents on a common screen.
  - **10.** (a) Find the slope of the tangent to the curve  $y = 1/\sqrt{x}$  at the point where x = a.
    - (b) Find equations of the tangent lines at the points (1, 1) and  $(4, \frac{1}{2})$ .
- $\swarrow$  (c) Graph the curve and both tangents on a common screen.
  - **11.** (a) A particle starts by moving to the right along a horizontal line; the graph of its position function is shown. When is the particle moving to the right? Moving to the left? Standing still?
    - (b) Draw a graph of the velocity function.

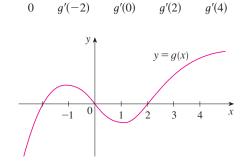


**12.** Shown are graphs of the position functions of two runners, A and B, who run a 100-m race and finish in a tie.



(a) Describe and compare how the runners run the race.

- (b) At what time is the distance between the runners the greatest?
- (c) At what time do they have the same velocity?
- 13. If a ball is thrown into the air with a velocity of 40 ft/s, its height (in feet) after t seconds is given by y = 40t 16t<sup>2</sup>. Find the velocity when t = 2.
- 14. If a rock is thrown upward on the planet Mars with a velocity of 10 m/s, its height (in meters) after t seconds is given by  $H = 10t 1.86t^2$ .
  - (a) Find the velocity of the rock after one second.
  - (b) Find the velocity of the rock when t = a.
  - (c) When will the rock hit the surface?
  - (d) With what velocity will the rock hit the surface?
- **15.** The displacement (in meters) of a particle moving in a straight line is given by the equation of motion  $s = 1/t^2$ , where *t* is measured in seconds. Find the velocity of the particle at times t = a, t = 1, t = 2, and t = 3.
- 16. The displacement (in meters) of a particle moving in a straight line is given by  $s = t^2 8t + 18$ , where t is measured in seconds.
  - (a) Find the average velocity over each time interval:
    - (i) [3,4] (ii) [3.5,4]
    - (iii) [4, 5] (iv) [4, 4.5]
  - (b) Find the instantaneous velocity when t = 4.
  - (c) Draw the graph of *s* as a function of *t* and draw the secant lines whose slopes are the average velocities in part (a) and the tangent line whose slope is the instantaneous velocity in part (b).
- **17.** For the function *g* whose graph is given, arrange the following numbers in increasing order and explain your reasoning:



- **18.** Find an equation of the tangent line to the graph of y = g(x) at x = 5 if g(5) = -3 and g'(5) = 4.
- **19.** If an equation of the tangent line to the curve y = f(x) at the point where a = 2 is y = 4x 5, find f(2) and f'(2).
- **20.** If the tangent line to y = f(x) at (4, 3) passes through the point (0, 2), find f(4) and f'(4).

### 112 CHAPTER 2 DERIVATIVES

- **21.** Sketch the graph of a function *f* for which f(0) = 0, f'(0) = 3, f'(1) = 0, and f'(2) = -1.
- **22.** Sketch the graph of a function *g* for which g(0) = g(2) = g(4) = 0, g'(1) = g'(3) = 0, g'(0) = g'(4) = 1, $g'(2) = -1, \lim_{x \to 5^{-}} g(x) = \infty$ , and  $\lim_{x \to -1^{+}} g(x) = -\infty$ .
- **23.** If  $f(x) = 3x^2 x^3$ , find f'(1) and use it to find an equation of the tangent line to the curve  $y = 3x^2 x^3$  at the point (1, 2).
- **24.** If  $g(x) = x^4 2$ , find g'(1) and use it to find an equation of the tangent line to the curve  $y = x^4 2$  at the point (1, -1).
- **25.** (a) If  $F(x) = 5x/(1 + x^2)$ , find F'(2) and use it to find an equation of the tangent line to the curve  $y = 5x/(1 + x^2)$  at the point (2, 2).
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.
  - **26.** (a) If  $G(x) = 4x^2 x^3$ , find G'(a) and use it to find equations of the tangent lines to the curve  $y = 4x^2 x^3$  at the points (2, 8) and (3, 9).
- (b) Illustrate part (a) by graphing the curve and the tangent lines on the same screen.

**27–32** Find f'(a). **27.**  $f(x) = 3x^2 - 4x + 1$  **28.**  $f(t) = 2t^3 + t$  **29.**  $f(t) = \frac{2t+1}{t+3}$  **30.**  $f(x) = x^{-2}$  **31.**  $f(x) = \sqrt{1-2x}$ **32.**  $f(x) = \frac{4}{\sqrt{1-x}}$ 

**33–38** Each limit represents the derivative of some function f at some number a. State such an f and a in each case.

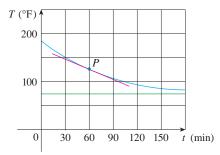
<b>33.</b> $\lim_{h \to 0} \frac{(1+h)^{10}-1}{h}$	<b>34.</b> $\lim_{h \to 0} \frac{\sqrt[4]{16+h} - 2}{h}$
<b>35.</b> $\lim_{x \to 5} \frac{2^x - 32}{x - 5}$	<b>36.</b> $\lim_{x \to \pi/4} \frac{\tan x - 1}{x - \pi/4}$
$37. \lim_{h \to 0} \frac{\cos(\pi + h) + 1}{h}$	<b>38.</b> $\lim_{t \to 1} \frac{t^4 + t - 2}{t - 1}$

**39–40** A particle moves along a straight line with equation of motion s = f(t), where *s* is measured in meters and *t* in seconds. Find the velocity and the speed when t = 5.

**39.** 
$$f(t) = 100 + 50t - 4.9t^2$$
 **40.**  $f(t) = t^{-1} - t$ 

- **41.** A warm can of soda is placed in a cold refrigerator. Sketch the graph of the temperature of the soda as a function of time. Is the initial rate of change of temperature greater or less than the rate of change after an hour?
- **42.** A roast turkey is taken from an oven when its temperature has reached 185°F and is placed on a table in a room where the temperature is 75°F. The graph shows how the temperature of

the turkey decreases and eventually approaches room temperature. By measuring the slope of the tangent, estimate the rate of change of the temperature after an hour.



**43.** The number *N* of US cellular phone subscribers (in millions) is shown in the table. (Midyear estimates are given.)

t	1996	1998	2000	2002	2004	2006
Ν	44	69	109	141	182	233

- (a) Find the average rate of cell phone growth
  - (i) from 2002 to 2006 (ii) from 2002 to 2004
  - (iii) from 2000 to 2002
  - In each case, include the units.
- (b) Estimate the instantaneous rate of growth in 2002 by taking the average of two average rates of change. What are its units?
- (c) Estimate the instantaneous rate of growth in 2002 by measuring the slope of a tangent.
- **44.** The number *N* of locations of a popular coffeehouse chain is given in the table. (The numbers of locations as of October 1 are given.)

Year	2004	2005	2006	2007	2008
Ν	8569	10,241	12,440	15,011	16,680

- (a) Find the average rate of growth
  - (i) from 2006 to 2008 (ii) from 2006 to 2007 (iii) from 2005 to 2006
  - In each case, include the units.
- (b) Estimate the instantaneous rate of growth in 2006 by taking the average of two average rates of change. What are its units?
- (c) Estimate the instantaneous rate of growth in 2006 by measuring the slope of a tangent.
- (d) Estimate the intantaneous rate of growth in 2007 and compare it with the growth rate in 2006. What do you conclude?
- **45**. The cost ( in dollars) of producing *x* units of a certain commodity is  $C(x) = 5000 + 10x + 0.05x^2$ .
  - (a) Find the average rate of change of *C* with respect to *x* when the production level is changed
    - (i) from x = 100 to x = 105
    - (ii) from x = 100 to x = 101
  - (b) Find the instantaneous rate of change of C with respect to x when x = 100. (This is called the *marginal cost*. Its significance will be explained in Section 2.7.)

**46.** If a cylindrical tank holds 100,000 gallons of water, which can be drained from the bottom of the tank in an hour, then Torricelli's Law gives the volume *V* of water remaining in the tank after *t* minutes as

$$V(t) = 100,000(1 - \frac{1}{60}t)^2$$
  $0 \le t \le 60$ 

Find the rate at which the water is flowing out of the tank (the instantaneous rate of change of *V* with respect to *t*) as a function of *t*. What are its units? For times t = 0, 10, 20, 30, 40, 50, and 60 min, find the flow rate and the amount of water remaining in the tank. Summarize your findings in a sentence or two. At what time is the flow rate the greatest? The least?

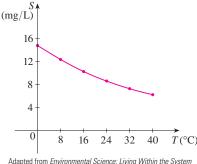
- 47. The cost of producing *x* ounces of gold from a new gold mine is C = f(x) dollars.
  - (a) What is the meaning of the derivative *f*'(*x*)? What are its units?
  - (b) What does the statement f'(800) = 17 mean?
  - (c) Do you think the values of f'(x) will increase or decrease in the short term? What about the long term? Explain.
- **48.** The number of bacteria after *t* hours in a controlled laboratory experiment is n = f(t).
  - (a) What is the meaning of the derivative f'(5)? What are its units?
  - (b) Suppose there is an unlimited amount of space and nutrients for the bacteria. Which do you think is larger, f'(5) or f'(10)? If the supply of nutrients is limited, would that affect your conclusion? Explain.
- 49. Let T(t) be the temperature (in °F) in Phoenix t hours after midnight on September 10, 2008. The table shows values of this function recorded every two hours. What is the meaning of T'(8)? Estimate its value.

t	0	2	4	6	8	10	12	14
Т	82	75	74	75	84	90	93	94

- **50.** The quantity (in pounds) of a gournet ground coffee that is sold by a coffee company at a price of *p* dollars per pound is Q = f(p).
  - (a) What is the meaning of the derivative f'(8)? What are its units?
  - (b) Is f'(8) positive or negative? Explain.
- **51.** The quantity of oxygen that can dissolve in water depends on the temperature of the water. (So thermal pollution influences

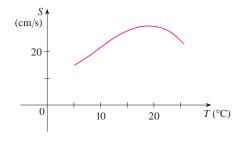
the oxygen content of water.) The graph shows how oxygen solubility S varies as a function of the water temperature T.

- (a) What is the meaning of the derivative *S*'(*T*)? What are its units?
- (b) Estimate the value of S'(16) and interpret it.



of Nature, 2d ed.; by Charles E. Kupchella, © 1989. Reprinted by permission of Prentice-Hall, Inc., Upper Saddle River, NJ.

- 52. The graph shows the influence of the temperature T on the maximum sustainable swimming speed S of Coho salmon.(a) What is the meaning of the derivative S'(T)? What are
  - its units?
  - (b) Estimate the values of S'(15) and S'(25) and interpret them.



**53–54** Determine whether f'(0) exists.

**53.** 
$$f(x) = \begin{cases} x \sin \frac{1}{x} & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$$

54. 
$$f(x) = \begin{cases} x^2 \sin \frac{1}{x} & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$$

WRITING PROJECT	EARLY METHODS FOR FINDING TANGENTS
	The first person to formulate explicitly the ideas of limits and derivatives was Sir Isaac Newton in the 1660s. But Newton acknowledged that "If I have seen further than other men, it is because I have stood on the shoulders of giants." Two of those giants were Pierre Fermat (1601–1665) and Newton's mentor at Cambridge, Isaac Barrow (1630–1677). Newton was familiar with the methods that these men used to find tangent lines, and their methods played a role in Newton's eventual formulation of calculus. The following references contain explanations of these methods. Read one or more of the references and write a report comparing the methods of either Fermat or Barrow to modern methods. In particular, use the method of Section 2.1 to find an equation of the tangent line to the curve $y = x^3 + 2x$ at the point (1, 3) and show how either Fermat or Barrow would have solved the same problem. Although you used derivatives and they did not, point out similarities between the methods.
	<ol> <li>Carl Boyer and Uta Merzbach, <i>A History of Mathematics</i> (New York: Wiley, 1989), pp. 389, 432.</li> <li>C. H. Edwards, <i>The Historical Development of the Calculus</i> (New York: Springer-Verlag, 1979), pp. 124, 132.</li> <li>Howard Evas An Introduction to the History of Mathematics 6th ed. (New York: Saunders)</li> </ol>
	<ol> <li>Howard Eves, An Introduction to the History of Mathematics, 6th ed. (New York: Saunders, 1990), pp. 391, 395.</li> <li>Morris Kline, Mathematical Thought from Ancient to Modern Times (New York: Oxford University Press, 1972), pp. 344, 346.</li> </ol>

# **2.2** The Derivative as a Function

In the preceding section we considered the derivative of a function f at a fixed number a:

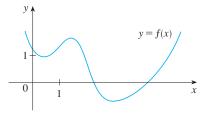
1 
$$f'(a) = \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}$$

Here we change our point of view and let the number *a* vary. If we replace *a* in Equation 1 by a variable *x*, we obtain

2 
$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$$

Given any number *x* for which this limit exists, we assign to *x* the number f'(x). So we can regard f' as a new function, called the **derivative of** *f* and defined by Equation 2. We know that the value of f' at *x*, f'(x), can be interpreted geometrically as the slope of the tangent line to the graph of *f* at the point (x, f(x)).

The function f' is called the derivative of f because it has been "derived" from f by the limiting operation in Equation 2. The domain of f' is the set  $\{x \mid f'(x) \text{ exists}\}$  and may be smaller than the domain of f.



**FIGURE 1** 

**V EXAMPLE 1** The graph of a function f is given in Figure 1. Use it to sketch the graph of the derivative f'.

SOLUTION We can estimate the value of the derivative at any value of x by drawing the tangent at the point (x, f(x)) and estimating its slope. For instance, for x = 5 we draw the tangent at *P* in Figure 2(a) and estimate its slope to be about  $\frac{3}{2}$ , so  $f'(5) \approx 1.5$ . This allows us to plot the point P'(5, 1.5) on the graph of f' directly beneath P. Repeating this procedure at several points, we get the graph shown in Figure 2(b). Notice that the tangents at A, B, and C are horizontal, so the derivative is 0 there and the graph of f'crosses the x-axis at the points A', B', and C', directly beneath A, B, and C. Between A and B the tangents have positive slope, so f'(x) is positive there. But between B and C the tangents have negative slope, so f'(x) is negative there.

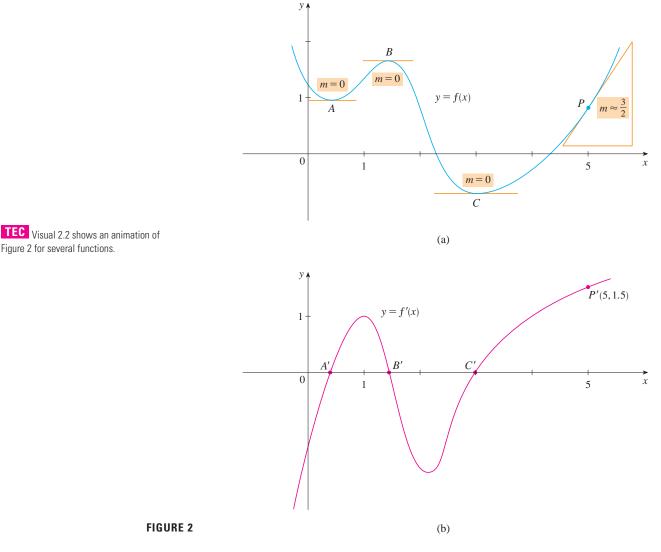
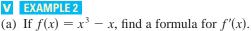
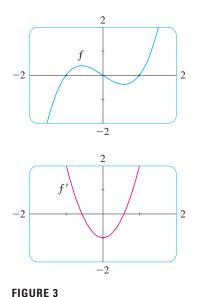


Figure 2 for several functions.



(b) Illustrate by comparing the graphs of f and f'.



#### SOLUTION

(a) When using Equation 2 to compute a derivative, we must remember that the variable is h and that x is temporarily regarded as a constant during the calculation of the limit.

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{[(x+h)^3 - (x+h)] - [x^3 - x]}{h}$$
$$= \lim_{h \to 0} \frac{x^3 + 3x^2h + 3xh^2 + h^3 - x - h - x^3 + x}{h}$$
$$= \lim_{h \to 0} \frac{3x^2h + 3xh^2 + h^3 - h}{h}$$
$$= \lim_{h \to 0} (3x^2 + 3xh + h^2 - 1) = 3x^2 - 1$$

(b) We use a graphing device to graph f and f' in Figure 3. Notice that f'(x) = 0 when f has horizontal tangents and f'(x) is positive when the tangents have positive slope. So these graphs serve as a check on our work in part (a).

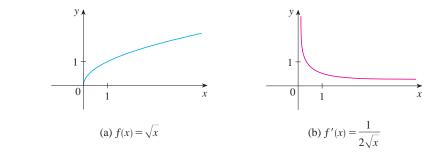
**EXAMPLE 3** If  $f(x) = \sqrt{x}$ , find the derivative of f. State the domain of f'.

SOLUTION

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{\sqrt{x+h} - \sqrt{x}}{h}$$
$$= \lim_{h \to 0} \left( \frac{\sqrt{x+h} - \sqrt{x}}{h} \cdot \frac{\sqrt{x+h} + \sqrt{x}}{\sqrt{x+h} + \sqrt{x}} \right)$$
$$= \lim_{h \to 0} \frac{(x+h) - x}{h(\sqrt{x+h} + \sqrt{x})} = \lim_{h \to 0} \frac{1}{\sqrt{x+h} + \sqrt{x}}$$
$$= \frac{1}{\sqrt{x} + \sqrt{x}} = \frac{1}{2\sqrt{x}}$$

We see that f'(x) exists if x > 0, so the domain of f' is  $(0, \infty)$ . This is smaller than the domain of f, which is  $[0, \infty)$ .

Let's check to see that the result of Example 3 is reasonable by looking at the graphs of f and f' in Figure 4. When x is close to 0,  $\sqrt{x}$  is also close to 0, so  $f'(x) = 1/(2\sqrt{x})$  is very large and this corresponds to the steep tangent lines near (0, 0) in Figure 4(a) and the large values of f'(x) just to the right of 0 in Figure 4(b). When x is large, f'(x) is very small and this corresponds to the flatter tangent lines at the far right of the graph of f and the horizontal asymptote of the graph of f'.





**EXAMPLE 4** Find 
$$f'$$
 if  $f(x) = \frac{1-x}{2+x}$ .

SOLUTION

$$\frac{\frac{a}{b} - \frac{c}{d}}{e} = \frac{ad - bc}{bd} \cdot \frac{1}{e}$$

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{\frac{1 - (x+h)}{2 + (x+h)} - \frac{1 - x}{2 + x}}{h}$$
$$= \lim_{h \to 0} \frac{(1 - x - h)(2 + x) - (1 - x)(2 + x + h)}{h(2 + x + h)(2 + x)}$$
$$= \lim_{h \to 0} \frac{(2 - x - 2h - x^2 - xh) - (2 - x + h - x^2 - xh)}{h(2 + x + h)(2 + x)}$$
$$= \lim_{h \to 0} \frac{-3h}{h(2 + x + h)(2 + x)}$$
$$= \lim_{h \to 0} \frac{-3}{(2 + x + h)(2 + x)} = -\frac{3}{(2 + x)^2}$$

#### Leibniz

Gottfried Wilhelm Leibniz was born in Leipzig in 1646 and studied law, theology, philosophy, and mathematics at the university there, graduating with a bachelor's degree at age 17. After earning his doctorate in law at age 20, Leibniz entered the diplomatic service and spent most of his life traveling to the capitals of Europe on political missions. In particular, he worked to avert a French military threat against Germany and attempted to reconcile the Catholic and Protestant churches.

His serious study of mathematics did not begin until 1672 while he was on a diplomatic mission in Paris. There he built a calculating machine and met scientists, like Huygens, who directed his attention to the latest developments in mathematics and science. Leibniz sought to develop a symbolic logic and system of notation that would simplify logical reasoning. In particular, the version of calculus that he published in 1684 established the notation and the rules for finding derivatives that we use today.

Unfortunately, a dreadful priority dispute arose in the 1690s between the followers of Newton and those of Leibniz as to who had invented calculus first. Leibniz was even accused of plagiarism by members of the Royal Society in England. The truth is that each man invented calculus independently. Newton arrived at his version of calculus first but, because of his fear of controversy, did not publish it immediately. So Leibniz's 1684 account of calculus was the first to be published.

## Other Notations

If we use the traditional notation y = f(x) to indicate that the independent variable is x and the dependent variable is y, then some common alternative notations for the derivative are as follows:

$$f'(x) = y' = \frac{dy}{dx} = \frac{df}{dx} = \frac{d}{dx}f(x) = Df(x) = D_x f(x)$$

The symbols D and d/dx are called **differentiation operators** because they indicate the operation of **differentiation**, which is the process of calculating a derivative.

The symbol dy/dx, which was introduced by Leibniz, should not be regarded as a ratio (for the time being); it is simply a synonym for f'(x). Nonetheless, it is a very useful and suggestive notation, especially when used in conjunction with increment notation. Referring to Equation 2.1.6, we can rewrite the definition of derivative in Leibniz notation in the form

$$\frac{dy}{dx} = \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta x}$$

If we want to indicate the value of a derivative dy/dx in Leibniz notation at a specific number *a*, we use the notation

$$\frac{dy}{dx}\Big|_{x=a}$$
 or  $\frac{dy}{dx}\Big|_{x=a}$ 

which is a synonym for f'(a).

**3** Definition A function f is differentiable at a if f'(a) exists. It is differentiable on an open interval (a, b) [or  $(a, \infty)$  or  $(-\infty, a)$  or  $(-\infty, \infty)$ ] if it is differentiable at every number in the interval.

**V EXAMPLE 5** Where is the function f(x) = |x| differentiable?

SOLUTION If x > 0, then |x| = x and we can choose *h* small enough that x + h > 0 and hence |x + h| = x + h. Therefore, for x > 0, we have

$$f'(x) = \lim_{h \to 0} \frac{|x+h| - |x|}{h} = \lim_{h \to 0} \frac{(x+h) - x}{h}$$
$$= \lim_{h \to 0} \frac{h}{h} = \lim_{h \to 0} 1 = 1$$

and so *f* is differentiable for any x > 0.

Similarly, for x < 0 we have |x| = -x and h can be chosen small enough that x + h < 0 and so |x + h| = -(x + h). Therefore, for x < 0,

$$f'(x) = \lim_{h \to 0} \frac{|x+h| - |x|}{h} = \lim_{h \to 0} \frac{-(x+h) - (-x)}{h}$$
$$= \lim_{h \to 0} \frac{-h}{h} = \lim_{h \to 0} (-1) = -1$$

and so *f* is differentiable for any x < 0. For x = 0 we have to investigate

$$f'(0) = \lim_{h \to 0} \frac{f(0+h) - f(0)}{h}$$
$$= \lim_{h \to 0} \frac{|0+h| - |0|}{h} \quad \text{(if it exists)}$$

Let's compute the left and right limits separately:

$$\lim_{h \to 0^+} \frac{|0+h| - |0|}{h} = \lim_{h \to 0^+} \frac{|h|}{h} = \lim_{h \to 0^+} \frac{h}{h} = \lim_{h \to 0^+} 1 = 1$$
$$\lim_{h \to 0^-} \frac{|0+h| - |0|}{h} = \lim_{h \to 0^-} \frac{|h|}{h} = \lim_{h \to 0^-} \frac{-h}{h} = \lim_{h \to 0^-} (-1) = -1$$

Since these limits are different, f'(0) does not exist. Thus f is differentiable at all x except 0.

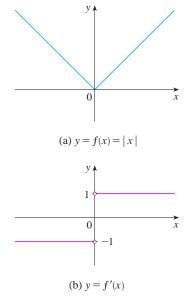
A formula for f' is given by

and

$$f'(x) = \begin{cases} 1 & \text{if } x > 0\\ -1 & \text{if } x < 0 \end{cases}$$

and its graph is shown in Figure 5(b). The fact that f'(0) does not exist is reflected geometrically in the fact that the curve y = |x| does not have a tangent line at (0, 0). [See Figure 5(a).]

Both continuity and differentiability are desirable properties for a function to have. The following theorem shows how these properties are related.





**4 Theorem** If *f* is differentiable at *a*, then *f* is continuous at *a*.

**PROOF** To prove that f is continuous at a, we have to show that  $\lim_{x\to a} f(x) = f(a)$ . We do this by showing that the difference f(x) - f(a) approaches 0.

The given information is that f is differentiable at a, that is,

$$f'(a) = \lim_{x \to a} \frac{f(x) - f(a)}{x - a}$$

exists (see Equation 2.1.5). To connect the given and the unknown, we divide and multiply f(x) - f(a) by x - a (which we can do when  $x \neq a$ ):

$$f(x) - f(a) = \frac{f(x) - f(a)}{x - a} (x - a)$$

Thus, using the Product Law and (2.1.5), we can write

$$\lim_{x \to a} [f(x) - f(a)] = \lim_{x \to a} \frac{f(x) - f(a)}{x - a} (x - a)$$
$$= \lim_{x \to a} \frac{f(x) - f(a)}{x - a} \cdot \lim_{x \to a} (x - a)$$
$$= f'(a) \cdot 0 = 0$$

To use what we have just proved, we start with f(x) and add and subtract f(a):

$$\lim_{x \to a} f(x) = \lim_{x \to a} \left[ f(a) + (f(x) - f(a)) \right]$$
$$= \lim_{x \to a} f(a) + \lim_{x \to a} \left[ f(x) - f(a) \right]$$
$$= f(a) + 0 = f(a)$$

Therefore f is continuous at a.

**NOTE** The converse of Theorem 4 is false; that is, there are functions that are continuous but not differentiable. For instance, the function f(x) = |x| is continuous at 0 because

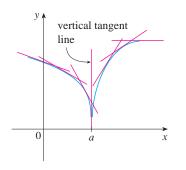
$$\lim_{x \to 0} f(x) = \lim_{x \to 0} |x| = 0 = f(0)$$

(See Example 7 in Section 1.6.) But in Example 5 we showed that f is not differentiable at 0.

# How Can a Function Fail to Be Differentiable?

We saw that the function y = |x| in Example 5 is not differentiable at 0 and Figure 5(a) shows that its graph changes direction abruptly when x = 0. In general, if the graph of a function *f* has a "corner" or "kink" in it, then the graph of *f* has no tangent at this point and *f* is not differentiable there. [In trying to compute f'(a), we find that the left and right limits are different.]

**PS** An important aspect of problem solving is trying to find a connection between the given and the unknown. See Step 2 (Think of a Plan) in *Principles of Problem Solving* on page 97.



**FIGURE 6** 

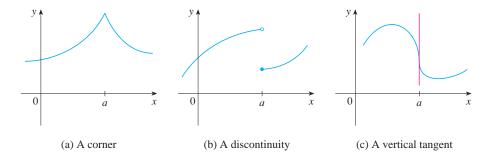
**FIGURE 7** Three ways for *f* not to be differentiable at *a* 

Theorem 4 gives another way for a function not to have a derivative. It says that if f is not continuous at a, then f is not differentiable at a. So at any discontinuity (for instance, a jump discontinuity) f fails to be differentiable.

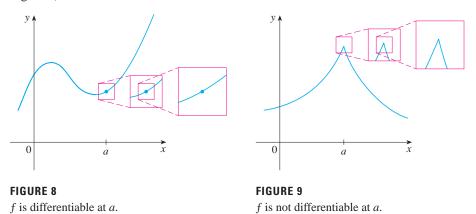
A third possibility is that the curve has a **vertical tangent line** when x = a; that is, f is continuous at a and

$$\lim_{x \to a} \left| f'(x) \right| = \infty$$

This means that the tangent lines become steeper and steeper as  $x \rightarrow a$ . Figure 6 shows one way that this can happen; Figure 7(c) shows another. Figure 7 illustrates the three possibilities that we have discussed.



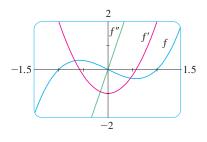
A graphing calculator or computer provides another way of looking at differentiability. If f is differentiable at a, then when we zoom in toward the point (a, f(a)) the graph straightens out and appears more and more like a line. (See Figure 8. We saw a specific example of this in Figure 2 in Section 2.1.) But no matter how much we zoom in toward a point like the ones in Figures 6 and 7(a), we can't eliminate the sharp point or corner (see Figure 9).



#### Higher Derivatives

If *f* is a differentiable function, then its derivative f' is also a function, so f' may have a derivative of its own, denoted by (f')' = f''. This new function f'' is called the **second derivative** of *f* because it is the derivative of the derivative of *f*. Using Leibniz notation, we write the second derivative of y = f(x) as

$$\frac{d}{dx}\left(\frac{dy}{dx}\right) = \frac{d^2y}{dx^2}$$





**TEC** In Module 2.2 you can see how changing the coefficients of a polynomial f affects the appearance of the graphs of f, f', and f''.

**EXAMPLE 6** If  $f(x) = x^3 - x$ , find and interpret f''(x).

**SOLUTION** In Example 2 we found that the first derivative is  $f'(x) = 3x^2 - 1$ . So the second derivative is

$$f''(x) = (f')'(x) = \lim_{h \to 0} \frac{f'(x+h) - f'(x)}{h} = \lim_{h \to 0} \frac{[3(x+h)^2 - 1] - [3x^2 - 1]}{h}$$
$$= \lim_{h \to 0} \frac{3x^2 + 6xh + 3h^2 - 1 - 3x^2 + 1}{h} = \lim_{h \to 0} (6x + 3h) = 6x$$

The graphs of f, f', and f'' are shown in Figure 10.

We can interpret f''(x) as the slope of the curve y = f'(x) at the point (x, f'(x)). In other words, it is the rate of change of the slope of the original curve y = f(x).

Notice from Figure 10 that f''(x) is negative when y = f'(x) has negative slope and positive when y = f'(x) has positive slope. So the graphs serve as a check on our calculations.

In general, we can interpret a second derivative as a rate of change of a rate of change. The most familiar example of this is *acceleration*, which we define as follows.

If s = s(t) is the position function of an object that moves in a straight line, we know that its first derivative represents the velocity v(t) of the object as a function of time:

$$v(t) = s'(t) = \frac{ds}{dt}$$

The instantaneous rate of change of velocity with respect to time is called the **acceleration** a(t) of the object. Thus the acceleration function is the derivative of the velocity function and is therefore the second derivative of the position function:

$$a(t) = v'(t) = s''(t)$$

or, in Leibniz notation,

$$a = \frac{dv}{dt} = \frac{d^2s}{dt^2}$$

The **third derivative** f''' is the derivative of the second derivative: f''' = (f'')'. So f'''(x) can be interpreted as the slope of the curve y = f''(x) or as the rate of change of f''(x). If y = f(x), then alternative notations for the third derivative are

$$y''' = f'''(x) = \frac{d}{dx} \left(\frac{d^2 y}{dx^2}\right) = \frac{d^3 y}{dx^3}$$

The process can be continued. The fourth derivative f''' is usually denoted by  $f^{(4)}$ . In general, the *n*th derivative of *f* is denoted by  $f^{(n)}$  and is obtained from *f* by differentiating *n* times. If y = f(x), we write

$$y^{(n)} = f^{(n)}(x) = \frac{d^n y}{dx^n}$$

**EXAMPLE 7** If  $f(x) = x^3 - x$ , find f'''(x) and  $f^{(4)}(x)$ .

**SOLUTION** In Example 6 we found that f''(x) = 6x. The graph of the second derivative has equation y = 6x and so it is a straight line with slope 6. Since the derivative f'''(x) is

the slope of f''(x), we have

$$f'''(x) = 6$$

for all values of x. So f''' is a constant function and its graph is a horizontal line. Therefore, for all values of x,

$$f^{(4)}(x) = 0$$

We can also interpret the third derivative physically in the case where the function is the position function s = s(t) of an object that moves along a straight line. Because s''' = (s'')' = a', the third derivative of the position function is the derivative of the acceleration function and is called the **jerk**:

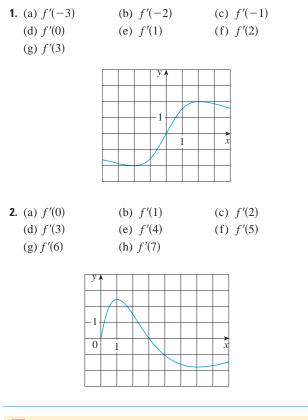
$$j = \frac{da}{dt} = \frac{d^3s}{dt^3}$$

Thus the jerk *j* is the rate of change of acceleration. It is aptly named because a large jerk means a sudden change in acceleration, which causes an abrupt movement in a vehicle.

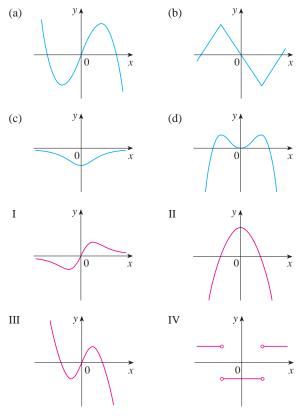
We have seen that one application of second and third derivatives occurs in analyzing the motion of objects using acceleration and jerk. We will investigate another application of second derivatives in Section 3.3, where we show how knowledge of f'' gives us information about the shape of the graph of f. In Chapter 11 we will see how second and higher derivatives enable us to represent functions as sums of infinite series.

# 2.2 Exercises

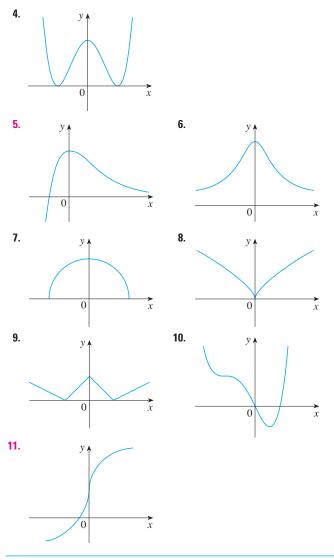
**1–2** Use the given graph to estimate the value of each derivative. Then sketch the graph of f'.



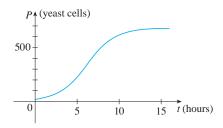
**3.** Match the graph of each function in (a)–(d) with the graph of its derivative in I–IV. Give reasons for your choices.



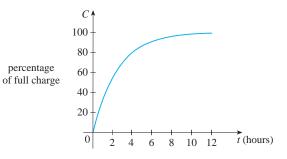
**4–11** Trace or copy the graph of the given function f. (Assume that the axes have equal scales.) Then use the method of Example 1 to sketch the graph of f' below it.



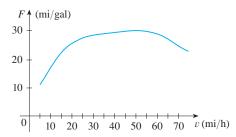
**12.** Shown is the graph of the population function P(t) for yeast cells in a laboratory culture. Use the method of Example 1 to graph the derivative P'(t). What does the graph of P' tell us about the yeast population?



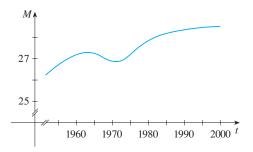
- **13.** A rechargeable battery is plugged into a charger. The graph shows C(t), the percentage of full capacity that the battery reaches as a function of time *t* elapsed (in hours).
  - (a) What is the meaning of the derivative C'(t)?
  - (b) Sketch the graph of C'(t). What does the graph tell you?



- 14. The graph (from the US Department of Energy) shows how driving speed affects gas mileage. Fuel economy *F* is measured in miles per gallon and speed *v* is measured in miles per hour.(a) What is the meaning of the derivative F'(v)?
  - (b) Sketch the graph of F'(v).
  - (c) At what speed should you drive if you want to save on gas?



**15.** The graph shows how the average age of first marriage of Japanese men varied in the last half of the 20th century. Sketch the graph of the derivative function *M*'(*t*). During which years was the derivative negative?



**16.** Make a careful sketch of the graph of the sine function and below it sketch the graph of its derivative in the same manner as in Exercises 4–11. Can you guess what the derivative of the sine function is from its graph?

- **17.** Let  $f(x) = x^2$ .
  - (a) Estimate the values of f'(0),  $f'(\frac{1}{2})$ , f'(1), and f'(2) by using a graphing device to zoom in on the graph of f.
  - (b) Use symmetry to deduce the values of f'(-<sup>1</sup>/<sub>2</sub>), f'(−1), and f'(−2).
  - (c) Use the results from parts (a) and (b) to guess a formula for f'(x).
  - (d) Use the definition of derivative to prove that your guess in part (c) is correct.
- **18.** Let  $f(x) = x^3$ .
  - (a) Estimate the values of f'(0), f'(<sup>1</sup>/<sub>2</sub>), f'(1), f'(2), and f'(3) by using a graphing device to zoom in on the graph of f.
  - (b) Use symmetry to deduce the values of  $f'(-\frac{1}{2}), f'(-1), f'(-2)$ , and f'(-3).
  - (c) Use the values from parts (a) and (b) to graph f'.
  - (d) Guess a formula for f'(x).
  - (e) Use the definition of derivative to prove that your guess in part (d) is correct.

**19–29** Find the derivative of the function using the definition of derivative. State the domain of the function and the domain of its derivative.

- **19.**  $f(x) = \frac{1}{2}x \frac{1}{3}$  **20.** f(x) = mx + b
- **21.**  $f(t) = 5t 9t^2$  **22.**  $f(x) = 1.5x^2 x + 3.7$

**26.**  $f(x) = \frac{x^2 - 1}{2x - 3}$ 

- **23.**  $f(x) = x^2 2x^3$  **24.**  $g(t) = \frac{1}{\sqrt{t}}$
- **25.**  $g(x) = \sqrt{9 x}$
- **27.**  $G(t) = \frac{1-2t}{3+t}$  **28.**  $f(x) = x^{3/2}$
- **29.**  $f(x) = x^4$
- **30.** (a) Sketch the graph of  $f(x) = \sqrt{6-x}$  by starting with the graph of  $y = \sqrt{x}$  and using the transformations of Section 1.3.
  - (b) Use the graph from part (a) to sketch the graph of f'.
  - (c) Use the definition of a derivative to find f'(x). What are the domains of f and f'?
- (d) Use a graphing device to graph f' and compare with your sketch in part (b).
  - **31.** (a) If  $f(x) = x^4 + 2x$ , find f'(x).
- (b) Check to see that your answer to part (a) is reasonable by comparing the graphs of f and f'.
  - **32.** (a) If f(x) = x + 1/x, find f'(x).
- (b) Check to see that your answer to part (a) is reasonable by comparing the graphs of f and f'.

**33.** The unemployment rate U(t) varies with time. The table (from the Bureau of Labor Statistics) gives the percentage of unemployed in the US labor force from 1999 to 2008.

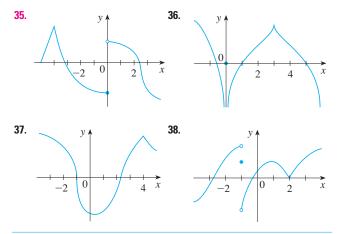
t	U(t)	t	U(t)
1999	4.2	2004	5.5
2000	4.0	2005	5.1
2001	4.7	2006	4.6
2002	5.8	2007	4.6
2003	6.0	2008	5.8

- (a) What is the meaning of U'(t)? What are its units?
- (b) Construct a table of estimated values for U'(t).
- 34. Let P(t) be the percentage of Americans under the age of 18 at time t. The table gives values of this function in census years from 1950 to 2000.

t	P(t)	t	P(t)
1950	31.1	1980	28.0
1960	35.7	1990	25.7
1970	34.0	2000	25.7

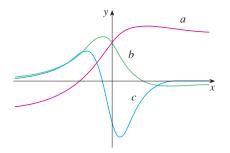
- (a) What is the meaning of P'(t)? What are its units?
- (b) Construct a table of estimated values for P'(t).
- (c) Graph P and P'.
- (d) How would it be possible to get more accurate values for P'(t)?

**35–38** The graph of f is given. State, with reasons, the numbers at which f is not differentiable.

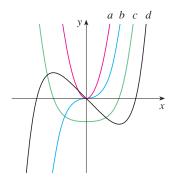


- **39.** Graph the function  $f(x) = x + \sqrt{|x|}$ . Zoom in repeatedly, first toward the point (-1, 0) and then toward the origin. What is different about the behavior of f in the vicinity of these two points? What do you conclude about the differentiability of f?
- ✓ 40. Zoom in toward the points (1, 0), (0, 1), and (-1, 0) on the graph of the function g(x) = (x<sup>2</sup> 1)<sup>2/3</sup>. What do you notice? Account for what you see in terms of the differentiability of g.

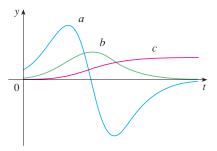
**41.** The figure shows the graphs of f, f', and f''. Identify each curve, and explain your choices.



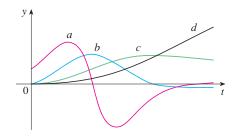
**42**. The figure shows graphs of f, f', f'', and f'''. Identify each curve, and explain your choices.



**43.** The figure shows the graphs of three functions. One is the position function of a car, one is the velocity of the car, and one is its acceleration. Identify each curve, and explain your choices.



**44.** The figure shows the graphs of four functions. One is the position function of a car, one is the velocity of the car, one is its acceleration, and one is its jerk. Identify each curve, and explain your choices.

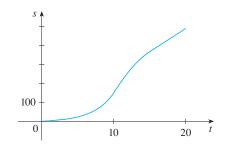


**45–46** Use the definition of a derivative to find f'(x) and f''(x). Then graph f, f', and f'' on a common screen and check to see if your answers are reasonable.

**45.** 
$$f(x) = 3x^2 + 2x + 1$$

**46.** 
$$f(x) = x^3 - 3x$$

- If f(x) = 2x<sup>2</sup> − x<sup>3</sup>, find f'(x), f''(x), f'''(x), and f<sup>(4)</sup>(x). Graph f, f', f", and f"' on a common screen. Are the graphs consistent with the geometric interpretations of these derivatives?
  - 48. (a) The graph of a position function of a car is shown, where s is measured in feet and t in seconds. Use it to graph the velocity and acceleration of the car. What is the acceleration at t = 10 seconds?



- (b) Use the acceleration curve from part (a) to estimate the jerk at t = 10 seconds. What are the units for jerk?
- **49.** Let  $f(x) = \sqrt[3]{x}$ .

Æ

- (a) If  $a \neq 0$ , use Equation 2.1.5 to find f'(a).
- (b) Show that f'(0) does not exist.
- (c) Show that  $y = \sqrt[3]{x}$  has a vertical tangent line at (0, 0). (Recall the shape of the graph of *f*. See Figure 13 in Section 1.2.)
- **50.** (a) If  $g(x) = x^{2/3}$ , show that g'(0) does not exist. (b) If  $a \neq 0$ , find g'(a).
  - (c) Show that  $y = x^{2/3}$  has a vertical tangent line at (0, 0).
- (d) Illustrate part (c) by graphing  $y = x^{2/3}$ .
- **51.** Show that the function f(x) = |x 6| is not differentiable at 6. Find a formula for f' and sketch its graph.
- 52. Where is the greatest integer function f(x) = [[x]] not differentiable? Find a formula for f' and sketch its graph.
- 53. (a) Sketch the graph of the function f(x) = x | x |.
  (b) For what values of x is f differentiable?
  (c) Find a formula for f'.

and

54. The left-hand and right-hand derivatives of f at a are defined by

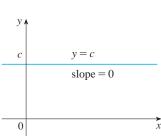
$$f'_{-}(a) = \lim_{h \to 0^{-}} \frac{f(a+h) - f(a)}{h}$$
$$f'_{+}(a) = \lim_{h \to 0^{+}} \frac{f(a+h) - f(a)}{h}$$

if these limits exist. Then f'(a) exists if and only if these onesided derivatives exist and are equal. (a) Find  $f'_{-}(4)$  and  $f'_{+}(4)$  for the function

$$f(x) = \begin{cases} 0 & \text{if } x \le 0\\ 5 - x & \text{if } 0 < x < 4\\ \frac{1}{5 - x} & \text{if } x \ge 4 \end{cases}$$

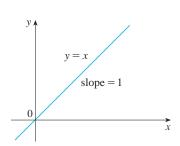
(b) Sketch the graph of f.

#### **Differentiation Formulas** 2.3



**FIGURE 1** 

The graph of f(x) = c is the line y = c, so f'(x) = 0.



**FIGURE 2** The graph of f(x) = x is the line y = x, so f'(x) = 1.

If it were always necessary to compute derivatives directly from the definition, as we did in the preceding section, such computations would be tedious and the evaluation of some limits would require ingenuity. Fortunately, several rules have been developed for finding derivatives without having to use the definition directly. These formulas greatly simplify the task of differentiation.

Let's start with the simplest of all functions, the constant function f(x) = c. The graph of this function is the horizontal line y = c, which has slope 0, so we must have f'(x) = 0. (See Figure 1.) A formal proof, from the definition of a derivative, is also easy:

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{c-c}{h} = \lim_{h \to 0} 0 = 0$$

In Leibniz notation, we write this rule as follows.

**Derivative of a Constant Function** 

$$\frac{d}{dx}(c) = 0$$

## Power Functions

We next look at the functions  $f(x) = x^n$ , where n is a positive integer. If n = 1, the graph of f(x) = x is the line y = x, which has slope 1. (See Figure 2.) So

1

$$\frac{d}{dx}(x) = 1$$

$$y \land c \qquad y = c$$
slope = 0

(c) Where is *f* discontinuous?

(d) Where is *f* not differentiable?

- **55.** Recall that a function f is called *even* if f(-x) = f(x) for all x in its domain and odd if f(-x) = -f(x) for all such x. Prove each of the following.
  - (a) The derivative of an even function is an odd function.
  - (b) The derivative of an odd function is an even function.
- **56.** When you turn on a hot-water faucet, the temperature T of the water depends on how long the water has been running.
  - (a) Sketch a possible graph of *T* as a function of the time *t* that has elapsed since the faucet was turned on.
  - (b) Describe how the rate of change of T with respect to tvaries as t increases.
  - (c) Sketch a graph of the derivative of T.
- **57.** Let  $\ell$  be the tangent line to the parabola  $y = x^2$  at the point (1, 1). The *angle of inclination* of  $\ell$  is the angle  $\phi$  that  $\ell$  makes with the positive direction of the x-axis. Calculate  $\phi$  correct to the nearest degree.

(You can also verify Equation 1 from the definition of a derivative.) We have already investigated the cases n = 2 and n = 3. In fact, in Section 2.2 (Exercises 17 and 18) we found that

**2** 
$$\frac{d}{dx}(x^2) = 2x \qquad \frac{d}{dx}(x^3) = 3x^2$$

For n = 4 we find the derivative of  $f(x) = x^4$  as follows:

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{(x+h)^4 - x^4}{h}$$
$$= \lim_{h \to 0} \frac{x^4 + 4x^3h + 6x^2h^2 + 4xh^3 + h^4 - x^4}{h}$$
$$= \lim_{h \to 0} \frac{4x^3h + 6x^2h^2 + 4xh^3 + h^4}{h}$$
$$= \lim_{h \to 0} (4x^3 + 6x^2h + 4xh^2 + h^3) = 4x^3$$

Thus

$$\frac{d}{dx}\left(x^4\right) = 4x^3$$

Comparing the equations in [1], [2], and [3], we see a pattern emerging. It seems to be a reasonable guess that, when *n* is a positive integer,  $(d/dx)(x^n) = nx^{n-1}$ . This turns out to be true. We prove it in two ways; the second proof uses the Binomial Theorem.

**The Power Rule** If *n* is a positive integer, then  $\frac{d}{dx}(x^n) = nx^{n-1}$ 

FIRST PROOF The formula

$$x^{n} - a^{n} = (x - a)(x^{n-1} + x^{n-2}a + \dots + xa^{n-2} + a^{n-1})$$

can be verified simply by multiplying out the right-hand side (or by summing the second factor as a geometric series). If  $f(x) = x^n$ , we can use Equation 2.1.5 for f'(a) and the equation above to write

$$f'(a) = \lim_{x \to a} \frac{f(x) - f(a)}{x - a} = \lim_{x \to a} \frac{x^n - a^n}{x - a}$$
$$= \lim_{x \to a} (x^{n-1} + x^{n-2}a + \dots + xa^{n-2} + a^{n-1})$$
$$= a^{n-1} + a^{n-2}a + \dots + aa^{n-2} + a^{n-1}$$
$$= na^{n-1}$$

#### **SECOND PROOF**

$$f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \to 0} \frac{(x+h)^n - x^n}{h}$$

The Binomial Theorem is given on Reference Page 1.

In finding the derivative of  $x^4$  we had to expand  $(x + h)^4$ . Here we need to expand  $(x + h)^n$  and we use the Binomial Theorem to do so:

$$f'(x) = \lim_{h \to 0} \frac{\left[ x^n + nx^{n-1}h + \frac{n(n-1)}{2}x^{n-2}h^2 + \dots + nxh^{n-1} + h^n \right] - x^n}{h}$$
$$= \lim_{h \to 0} \frac{nx^{n-1}h + \frac{n(n-1)}{2}x^{n-2}h^2 + \dots + nxh^{n-1} + h^n}{h}$$
$$= \lim_{h \to 0} \left[ nx^{n-1} + \frac{n(n-1)}{2}x^{n-2}h + \dots + nxh^{n-2} + h^{n-1} \right]$$
$$= nx^{n-1}$$

because every term except the first has h as a factor and therefore approaches 0.

We illustrate the Power Rule using various notations in Example 1.

#### EXAMPLE 1

(a) If 
$$f(x) = x^6$$
, then  $f'(x) = 6x^5$ .  
(b) If  $y = x^{1000}$ , then  $y' = 1000x^{999}$ .  
(c) If  $y = t^4$ , then  $\frac{dy}{dt} = 4t^3$ .  
(d)  $\frac{d}{dr}(r^3) = 3r^2$ 

## New Derivatives from Old

When new functions are formed from old functions by addition, subtraction, or multiplication by a constant, their derivatives can be calculated in terms of derivatives of the old functions. In particular, the following formula says that *the derivative of a constant times a function is the constant times the derivative of the function*.

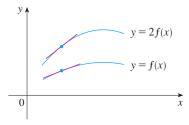
**The Constant Multiple Rule** If c is a constant and f is a differentiable function, then

$$\frac{d}{dx}\left[cf(x)\right] = c\frac{d}{dx}f(x)$$

**PROOF** Let g(x) = cf(x). Then

$$g'(x) = \lim_{h \to 0} \frac{g(x+h) - g(x)}{h} = \lim_{h \to 0} \frac{cf(x+h) - cf(x)}{h}$$
$$= \lim_{h \to 0} c \left[ \frac{f(x+h) - f(x)}{h} \right]$$
$$= c \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} \qquad \text{(by Law 3 of limits)}$$
$$= cf'(x)$$

# GEOMETRIC INTERPRETATION OF THE CONSTANT MULTIPLE RULE



Multiplying by c = 2 stretches the graph vertically by a factor of 2. All the rises have been doubled but the runs stay the same. So the slopes are doubled too.

EXAMPLE 2  
(a) 
$$\frac{d}{dx}(3x^4) = 3\frac{d}{dx}(x^4) = 3(4x^3) = 12x^3$$
  
(b)  $\frac{d}{dx}(-x) = \frac{d}{dx}[(-1)x] = (-1)\frac{d}{dx}(x) = -1(1) = -1$ 

The next rule tells us that the derivative of a sum of functions is the sum of the derivatives.

**The Sum Rule** If f and g are both differentiable, then

$$\frac{d}{dx}[f(x) + g(x)] = \frac{d}{dx}f(x) + \frac{d}{dx}g(x)$$

**PROOF** Let F(x) = f(x) + g(x). Then

$$F'(x) = \lim_{h \to 0} \frac{F(x+h) - F(x)}{h}$$
  
=  $\lim_{h \to 0} \frac{[f(x+h) + g(x+h)] - [f(x) + g(x)]}{h}$   
=  $\lim_{h \to 0} \left[ \frac{f(x+h) - f(x)}{h} + \frac{g(x+h) - g(x)}{h} \right]$   
=  $\lim_{h \to 0} \frac{f(x+h) - f(x)}{h} + \lim_{h \to 0} \frac{g(x+h) - g(x)}{h}$  (by Law 1)  
=  $f'(x) + g'(x)$ 

The Sum Rule can be extended to the sum of any number of functions. For instance, using this theorem twice, we get

$$(f + g + h)' = [(f + g) + h]' = (f + g)' + h' = f' + g' + h'$$

By writing f - g as f + (-1)g and applying the Sum Rule and the Constant Multiple Rule, we get the following formula.

**The Difference Rule** If *f* and *g* are both differentiable, then

$$\frac{d}{dx} \left[ f(x) - g(x) \right] = \frac{d}{dx} f(x) - \frac{d}{dx} g(x)$$

The Constant Multiple Rule, the Sum Rule, and the Difference Rule can be combined with the Power Rule to differentiate any polynomial, as the following examples demonstrate.

Using prime notation, we can write the Sum Rule as

$$(f+g)' = f' + g'$$

EXAMPLE 3

$$\frac{d}{dx}(x^8 + 12x^5 - 4x^4 + 10x^3 - 6x + 5)$$

$$= \frac{d}{dx}(x^8) + 12\frac{d}{dx}(x^5) - 4\frac{d}{dx}(x^4) + 10\frac{d}{dx}(x^3) - 6\frac{d}{dx}(x) + \frac{d}{dx}(5)$$

$$= 8x^7 + 12(5x^4) - 4(4x^3) + 10(3x^2) - 6(1) + 0$$

$$= 8x^7 + 60x^4 - 16x^3 + 30x^2 - 6$$

**V EXAMPLE 4** Find the points on the curve  $y = x^4 - 6x^2 + 4$  where the tangent line is horizontal.

SOLUTION Horizontal tangents occur where the derivative is zero. We have

$$\frac{dy}{dx} = \frac{d}{dx}(x^4) - 6\frac{d}{dx}(x^2) + \frac{d}{dx}(4)$$
$$= 4x^3 - 12x + 0 = 4x(x^2 - 3)$$

Thus dy/dx = 0 if x = 0 or  $x^2 - 3 = 0$ , that is,  $x = \pm\sqrt{3}$ . So the given curve has horizontal tangents when x = 0,  $\sqrt{3}$ , and  $-\sqrt{3}$ . The corresponding points are (0, 4),  $(\sqrt{3}, -5)$ , and  $(-\sqrt{3}, -5)$ . (See Figure 3.)

**EXAMPLE 5** The equation of motion of a particle is  $s = 2t^3 - 5t^2 + 3t + 4$ , where s is measured in centimeters and t in seconds. Find the acceleration as a function of time. What is the acceleration after 2 seconds?

SOLUTION The velocity and acceleration are

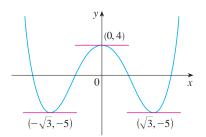
$$v(t) = \frac{ds}{dt} = 6t^2 - 10t + 3$$
$$a(t) = \frac{dv}{dt} = 12t - 10$$

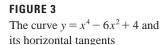
The acceleration after 2 s is  $a(2) = 14 \text{ cm/s}^2$ .

Next we need a formula for the derivative of a product of two functions. By analogy with the Sum and Difference Rules, one might be tempted to guess, as Leibniz did three centuries ago, that the derivative of a product is the product of the derivatives. We can see, however, that this guess is wrong by looking at a particular example. Let f(x) = x and  $g(x) = x^2$ . Then the Power Rule gives f'(x) = 1 and g'(x) = 2x. But  $(fg)(x) = x^3$ , so  $(fg)'(x) = 3x^2$ . Thus  $(fg)' \neq f'g'$ . The correct formula was discovered by Leibniz (soon after his false start) and is called the Product Rule.

**The Product Rule** If f and g are both differentiable, then

$$\frac{d}{dx}[f(x)g(x)] = f(x)\frac{d}{dx}[g(x)] + g(x)\frac{d}{dx}[f(x)]$$





We can write the Product Rule in prime notation as

$$(fg)' = fg' + gf'$$

**PROOF** Let F(x) = f(x)g(x). Then

$$F'(x) = \lim_{h \to 0} \frac{F(x+h) - F(x)}{h}$$
$$= \lim_{h \to 0} \frac{f(x+h)g(x+h) - f(x)g(x)}{h}$$

In order to evaluate this limit, we would like to separate the functions f and g as in the proof of the Sum Rule. We can achieve this separation by subtracting and adding the term f(x + h)g(x) in the numerator:

$$F'(x) = \lim_{h \to 0} \frac{f(x+h)g(x+h) - f(x+h)g(x) + f(x+h)g(x) - f(x)g(x)}{h}$$
$$= \lim_{h \to 0} \left[ f(x+h) \frac{g(x+h) - g(x)}{h} + g(x) \frac{f(x+h) - f(x)}{h} \right]$$
$$= \lim_{h \to 0} f(x+h) \cdot \lim_{h \to 0} \frac{g(x+h) - g(x)}{h} + \lim_{h \to 0} g(x) \cdot \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$$
$$= f(x)g'(x) + g(x)f'(x)$$

Note that  $\lim_{h\to 0} g(x) = g(x)$  because g(x) is a constant with respect to the variable *h*. Also, since *f* is differentiable at *x*, it is continuous at *x* by Theorem 2.2.4, and so  $\lim_{h\to 0} f(x + h) = f(x)$ . (See Exercise 59 in Section 1.8.)

In words, the Product Rule says that the derivative of a product of two functions is the first function times the derivative of the second function plus the second function times the derivative of the first function.

**EXAMPLE 6** Find F'(x) if  $F(x) = (6x^3)(7x^4)$ .

SOLUTION By the Product Rule, we have

$$F'(x) = (6x^3) \frac{d}{dx} (7x^4) + (7x^4) \frac{d}{dx} (6x^3)$$
$$= (6x^3)(28x^3) + (7x^4)(18x^2)$$
$$= 168x^6 + 126x^6 = 294x^6$$

Notice that we could verify the answer to Example 6 directly by first multiplying the factors:

$$F(x) = (6x^3)(7x^4) = 42x^7 \implies F'(x) = 42(7x^6) = 294x^6$$

But later we will meet functions, such as  $y = x^2 \sin x$ , for which the Product Rule is the only possible method.

**V** EXAMPLE 7 If h(x) = xg(x) and it is known that g(3) = 5 and g'(3) = 2, find h'(3).

SOLUTION Applying the Product Rule, we get

$$h'(x) = \frac{d}{dx} [xg(x)] = x \frac{d}{dx} [g(x)] + g(x) \frac{d}{dx} [x]$$
$$= xg'(x) + g(x)$$

Therefore

$$h'(3) = 3g'(3) + g(3) = 3 \cdot 2 + 5 = 11$$

**The Quotient Rule** If *f* and *g* are differentiable, then

$$\left(\frac{f}{g}\right)' = \frac{gf' - fg'}{g^2}$$

$$\frac{d}{dx}\left[\frac{f(x)}{g(x)}\right] = \frac{g(x)\frac{d}{dx}[f(x)] - f(x)\frac{d}{dx}[g(x)]}{[g(x)]^2}$$

**PROOF** Let F(x) = f(x)/g(x). Then

$$F'(x) = \lim_{h \to 0} \frac{F(x+h) - F(x)}{h} = \lim_{h \to 0} \frac{\frac{f(x+h)}{g(x+h)} - \frac{f(x)}{g(x)}}{h}$$
$$= \lim_{h \to 0} \frac{f(x+h)g(x) - f(x)g(x+h)}{hg(x+h)g(x)}$$

We can separate f and g in this expression by subtracting and adding the term f(x)g(x) in the numerator:

$$F'(x) = \lim_{h \to 0} \frac{f(x+h)g(x) - f(x)g(x) + f(x)g(x) - f(x)g(x+h)}{hg(x+h)g(x)}$$
$$= \lim_{h \to 0} \frac{g(x)\frac{f(x+h) - f(x)}{h} - f(x)\frac{g(x+h) - g(x)}{h}}{g(x+h)g(x)}$$
$$= \frac{\lim_{h \to 0} g(x) \cdot \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} - \lim_{h \to 0} f(x) \cdot \lim_{h \to 0} \frac{g(x+h) - g(x)}{h}}{\lim_{h \to 0} g(x+h) \cdot \lim_{h \to 0} g(x)}$$
$$= \frac{g(x)f'(x) - f(x)g'(x)}{[g(x)]^2}$$

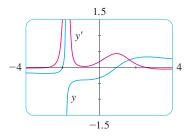
Again g is continuous by Theorem 2.2.4, so  $\lim_{h\to 0} g(x + h) = g(x)$ .

In words, the Quotient Rule says that the *derivative of a quotient is the denominator times the derivative of the numerator minus the numerator times the derivative of the denominator, all divided by the square of the denominator.* 

The theorems of this section show that any polynomial is differentiable on  $\mathbb{R}$  and any rational function is differentiable on its domain. Furthermore, the Quotient Rule and the

other differentiation formulas enable us to compute the derivative of any rational function, as the next example illustrates.

We can use a graphing device to check that  
the answer to Example 8 is plausible. Figure 4  
shows the graphs of the function of Example 8  
and its derivative. Notice that when 
$$y$$
 grows  
rapidly (near  $-2$ ),  $y'$  is large. And when  $y$   
grows slowly,  $y'$  is near 0.





V EXAMPLE 8 Let  $y = \frac{x^2 + x - 2}{x^3 + 6}$ . Then  $y' = \frac{(x^3 + 6)\frac{d}{dx}(x^2 + x - 2) - (x^2 + x - 2)\frac{d}{dx}(x^3 + 6)}{(x^3 + 6)^2}$   $= \frac{(x^3 + 6)(2x + 1) - (x^2 + x - 2)(3x^2)}{(x^3 + 6)^2}$   $= \frac{(2x^4 + x^3 + 12x + 6) - (3x^4 + 3x^3 - 6x^2)}{(x^3 + 6)^2}$   $= \frac{-x^4 - 2x^3 + 6x^2 + 12x + 6}{(x^3 + 6)^2}$ 

**NOTE** Don't use the Quotient Rule *every* time you see a quotient. Sometimes it's easier to rewrite a quotient first to put it in a form that is simpler for the purpose of differentiation. For instance, although it is possible to differentiate the function

$$F(x) = \frac{3x^2 + 2\sqrt{x}}{x}$$

using the Quotient Rule, it is much easier to perform the division first and write the function as

$$F(x) = 3x + 2x^{-1/2}$$

before differentiating.

# General Power Functions

The Quotient Rule can be used to extend the Power Rule to the case where the exponent is a negative integer.

If *n* is a positive integer, then

$$\frac{d}{dx}\left(x^{-n}\right) = -nx^{-n-1}$$

PROOF

$$\frac{d}{dx}(x^{-n}) = \frac{d}{dx}\left(\frac{1}{x^n}\right)$$
$$= \frac{x^n \frac{d}{dx}(1) - 1 \cdot \frac{d}{dx}(x^n)}{(x^n)^2} = \frac{x^n \cdot 0 - 1 \cdot nx^{n-1}}{x^{2n}}$$
$$= \frac{-nx^{n-1}}{x^{2n}} = -nx^{n-1-2n} = -nx^{-n-1}$$

EXAMPLE 9

(a) If 
$$y = \frac{1}{x}$$
, then  $\frac{dy}{dx} = \frac{d}{dx}(x^{-1}) = -x^{-2} = -\frac{1}{x^2}$   
(b)  $\frac{d}{dt}\left(\frac{6}{t^3}\right) = 6\frac{d}{dt}(t^{-3}) = 6(-3)t^{-4} = -\frac{18}{t^4}$ 

So far we know that the Power Rule holds if the exponent *n* is a positive or negative integer. If n = 0, then  $x^0 = 1$ , which we know has a derivative of 0. Thus the Power Rule holds for any integer *n*. What if the exponent is a fraction? In Example 3 in Section 2.2 we found that

$$\frac{d}{dx}\sqrt{x} = \frac{1}{2\sqrt{x}}$$

which can be written as

$$\frac{d}{dx}(x^{1/2}) = \frac{1}{2}x^{-1/2}$$

This shows that the Power Rule is true even when  $n = \frac{1}{2}$ . In fact, it also holds for *any real number n*, as we will prove in Chapter 6. (A proof for rational values of *n* is indicated in Exercise 48 in Section 2.6.) In the meantime we state the general version and use it in the examples and exercises.

**The Power Rule (General Version)** If *n* is any real number, then

$$\frac{d}{dx}(x^n) = nx^{n-1}$$

#### EXAMPLE 10

(a) If 
$$f(x) = x^{\pi}$$
, then  $f'(x) = \pi x^{\pi-1}$ 

(b) Let  $y = \frac{1}{\sqrt[3]{x^2}}$ 

Then

$$\frac{dy}{dx} = \frac{d}{dx} \left( x^{-2/3} \right) = -\frac{2}{3} x^{-(2/3)-1}$$
$$= -\frac{2}{3} x^{-5/3}$$

In Example 11, *a* and *b* are constants. It is customary in mathematics to use letters near the beginning of the alphabet to represent constants and letters near the end of the alphabet to represent variables.

**EXAMPLE 11** Differentiate the function  $f(t) = \sqrt{t} (a + bt)$ .

SOLUTION 1 Using the Product Rule, we have

$$f'(t) = \sqrt{t} \frac{d}{dt}(a+bt) + (a+bt)\frac{d}{dt}(\sqrt{t})$$
$$= \sqrt{t} \cdot b + (a+bt) \cdot \frac{1}{2}t^{-1/2}$$
$$= b\sqrt{t} + \frac{a+bt}{2\sqrt{t}} = \frac{a+3bt}{2\sqrt{t}}$$

SOLUTION 2 If we first use the laws of exponents to rewrite f(t), then we can proceed directly without using the Product Rule.

$$f(t) = a\sqrt{t} + bt\sqrt{t} = at^{1/2} + bt^{3/2}$$
$$f'(t) = \frac{1}{2}at^{-1/2} + \frac{3}{2}bt^{1/2}$$

which is equivalent to the answer given in Solution 1.

The differentiation rules enable us to find tangent lines without having to resort to the definition of a derivative. They also enable us to find *normal lines*. The **normal line** to a curve C at point P is the line through P that is perpendicular to the tangent line at P. (In the study of optics, one needs to consider the angle between a light ray and the normal line to a lens.)

**EXAMPLE 12** Find equations of the tangent line and normal line to the curve  $y = \sqrt{x}/(1 + x^2)$  at the point  $(1, \frac{1}{2})$ .

SOLUTION According to the Quotient Rule, we have

$$\frac{dy}{dx} = \frac{(1+x^2)\frac{d}{dx}(\sqrt{x}) - \sqrt{x}\frac{d}{dx}(1+x^2)}{(1+x^2)^2}$$
$$= \frac{(1+x^2)\frac{1}{2\sqrt{x}} - \sqrt{x}(2x)}{(1+x^2)^2}$$
$$= \frac{(1+x^2) - 4x^2}{2\sqrt{x}(1+x^2)^2} = \frac{1-3x^2}{2\sqrt{x}(1+x^2)^2}$$

So the slope of the tangent line at  $(1, \frac{1}{2})$  is

$$\left. \frac{dy}{dx} \right|_{x=1} = \frac{1 - 3 \cdot 1^2}{2\sqrt{1}(1 + 1^2)^2} = -\frac{1}{4}$$

We use the point-slope form to write an equation of the tangent line at  $(1, \frac{1}{2})$ :

$$y - \frac{1}{2} = -\frac{1}{4}(x - 1)$$
 or  $y = -\frac{1}{4}x + \frac{3}{4}$ 

The slope of the normal line at  $(1, \frac{1}{2})$  is the negative reciprocal of  $-\frac{1}{4}$ , namely 4, so an equation is

$$y - \frac{1}{2} = 4(x - 1)$$
 or  $y = 4x - \frac{7}{2}$ 

The curve and its tangent and normal lines are graphed in Figure 5.

**EXAMPLE 13** At what points on the hyperbola xy = 12 is the tangent line parallel to the line 3x + y = 0?

**SOLUTION** Since xy = 12 can be written as  $y = \frac{12}{x}$ , we have

$$\frac{dy}{dx} = 12 \frac{d}{dx} (x^{-1}) = 12(-x^{-2}) = -\frac{12}{x^2}$$

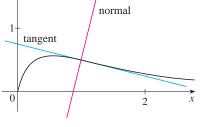
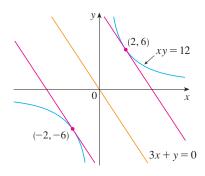


FIGURE 5



Let the x-coordinate of one of the points in question be a. Then the slope of the tangent line at that point is  $-12/a^2$ . This tangent line will be parallel to the line 3x + y = 0, or y = -3x, if it has the same slope, that is, -3. Equating slopes, we get

$$-\frac{12}{a^2} = -3$$
 or  $a^2 = 4$  or  $a = \pm 2$ 

Therefore the required points are (2, 6) and (-2, -6). The hyperbola and the tangents are shown in Figure 6.

We summarize the differentiation formulas we have learned so far as follows.

#### **FIGURE 6**

**Table of Differentiation Formulas** 

$$\frac{d}{dx}(c) = 0 \qquad \qquad \frac{d}{dx}(x^n) = nx^{n-1}$$

$$(cf)' = cf' \qquad \qquad (f+g)' = f' + g' \qquad \qquad (f-g)' = f' - g'$$

$$(fg)' = fg' + gf' \qquad \qquad \left(\frac{f}{g}\right)' = \frac{gf' - fg'}{g^2}$$

#### **Exercises** 2.3

1–22 Differentiate the function. 1.  $f(x) = 2^{40}$ **2.**  $f(x) = \pi^2$ **4.**  $F(x) = \frac{3}{4}x^8$ **3.**  $f(t) = 2 - \frac{2}{3}t$ **5.**  $f(x) = x^3 - 4x + 6$  **6.**  $f(t) = \frac{1}{2}t^6 - 3t^4 + t$ 7.  $q(x) = x^2(1 - 2x)$ 8. h(x) = (x - 2)(2x + 3)**10.**  $B(y) = cy^{-6}$ **9.**  $g(t) = 2t^{-3/4}$ **11.**  $A(s) = -\frac{12}{s^5}$ 12.  $y = x^{5/3} - x^{2/3}$ **13.**  $S(p) = \sqrt{p} - p$ **14.**  $y = \sqrt{x} (x - 1)$ **15.**  $R(a) = (3a + 1)^2$ **16.**  $S(R) = 4\pi R^2$ **18.**  $y = \frac{\sqrt{x} + x}{x^2}$ **17.**  $y = \frac{x^2 + 4x + 3}{\sqrt{x}}$ **20.**  $q(u) = \sqrt{2}u + \sqrt{3u}$ **19.**  $H(x) = (x + x^{-1})^3$ **22.**  $v = \left(\sqrt{x} + \frac{1}{\sqrt[3]{r}}\right)^2$ **21.**  $u = \sqrt[5]{t} + 4\sqrt{t^5}$ 

- **23.** Find the derivative of  $f(x) = (1 + 2x^2)(x x^2)$  in two ways: by using the Product Rule and by performing the multiplication first. Do your answers agree?
- 24. Find the derivative of the function

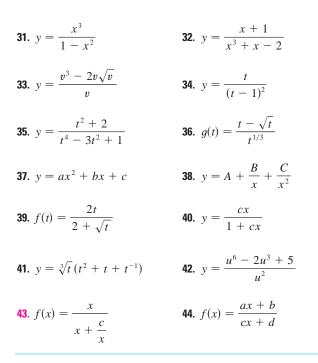
$$F(x) = \frac{x^4 - 5x^3 + \sqrt{x}}{x^2}$$

in two ways: by using the Quotient Rule and by simplifying first. Show that your answers are equivalent. Which method do you prefer?

- 3

25–44 Differentiate.

25. 
$$V(x) = (2x^3 + 3)(x^4 - 2x)$$
  
26.  $L(x) = (1 + x + x^2)(2 - x^4)$   
27.  $F(y) = \left(\frac{1}{y^2} - \frac{3}{y^4}\right)(y + 5y^3)$   
28.  $J(v) = (v^3 - 2v)(v^{-4} + v^{-2})$   
29.  $g(x) = \frac{1 + 2x}{3 - 4x}$   
30.  $f(x) = \frac{x - 3}{x + 3}$ 



**45.** The general polynomial of degree *n* has the form

 $P(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_2 x^2 + a_1 x + a_0$ 

where  $a_n \neq 0$ . Find the derivative of *P*.

46–48 Find f'(x). Compare the graphs of f and f' and use them to explain why your answer is reasonable.

 $\frac{1}{x}$ 

**46.** 
$$f(x) = x/(x^2 - 1)$$
  
**47.**  $f(x) = 3x^{15} - 5x^3 + 3$ 
**48.**  $f(x) = x + 3x^{15} - 5x^{15} + 3x^{15}$ 

- **49.** (a) Use a graphing calculator or computer to graph the function  $f(x) = x^4 3x^3 6x^2 + 7x + 30$  in the viewing rectangle [-3, 5] by [-10, 50].
  - (b) Using the graph in part (a) to estimate slopes, make a rough sketch, by hand, of the graph of f'. (See Example 1 in Section 2.2.)
  - (c) Calculate f'(x) and use this expression, with a graphing device, to graph f'. Compare with your sketch in part (b).
- **50.** (a) Use a graphing calculator or computer to graph the function  $g(x) = x^2/(x^2 + 1)$  in the viewing rectangle [-4, 4] by [-1, 1.5].
  - (b) Using the graph in part (a) to estimate slopes, make a rough sketch, by hand, of the graph of g'. (See Example 1 in Section 2.2.)
  - (c) Calculate g'(x) and use this expression, with a graphing device, to graph g'. Compare with your sketch in part (b).

**51–52** Find an equation of the tangent line to the curve at the given point.

**51.** 
$$y = \frac{2x}{x+1}$$
, (1, 1)

**52.** 
$$y = x^4 + 2x^2 - x$$
, (1, 2)

- 53. (a) The curve  $y = 1/(1 + x^2)$  is called a witch of Maria Agnesi. Find an equation of the tangent line to this curve at the point  $(-1, \frac{1}{2})$ .
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.
  - 54. (a) The curve  $y = x/(1 + x^2)$  is called a **serpentine**. Find an equation of the tangent line to this curve at the point (3, 0.3).
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.

**55–58** Find equations of the tangent line and normal line to the curve at the given point.

**55.** 
$$y = x + \sqrt{x}$$
, (1, 2)  
**56.**  $y = (1 + 2x)^2$ , (1, 9)  
**57.**  $y = \frac{3x + 1}{x^2 + 1}$ , (1, 2)  
**58.**  $y = \frac{\sqrt{x}}{x + 1}$ , (4, 0.4)

59–62 Find the first and second derivatives of the function.

**59.** 
$$f(x) = x^4 - 3x^3 + 16x$$
  
**60.**  $G(r) = \sqrt{r} + \sqrt[3]{r}$   
**61.**  $f(x) = \frac{x^2}{1+2x}$   
**62.**  $f(x) = \frac{1}{3-x}$ 

- 63. The equation of motion of a particle is  $s = t^3 3t$ , where s is in meters and t is in seconds. Find
  - (a) the velocity and acceleration as functions of t,
  - (b) the acceleration after 2 s, and
  - (c) the acceleration when the velocity is 0.
- **64.** The equation of motion of a particle is

$$s = t^4 - 2t^3 + t^2 - t$$

where *s* is in meters and *t* is in seconds.

- (a) Find the velocity and acceleration as functions of *t*.
- (b) Find the acceleration after 1 s.

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(c) Graph the position, velocity, and acceleration functions on the same screen.

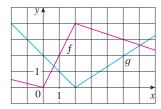
- **65.** Boyle's Law states that when a sample of gas is compressed at a constant pressure, the pressure *P* of the gas is inversely proportional to the volume *V* of the gas.
  - (a) Suppose that the pressure of a sample of air that occupies 0.106 m<sup>3</sup> at 25°C is 50 kPa. Write V as a function of P.
  - (b) Calculate dV/dP when P = 50 kPa. What is the meaning of the derivative? What are its units?
- 66. Car tires need to be inflated properly because overinflation or underinflation can cause premature treadware. The data in the table show tire life L (in thousands of miles) for a certain type of tire at various pressures P (in lb/in<sup>2</sup>).

Р	26	28	31	35	38	42	45
L	50	66	78	81	74	70	59

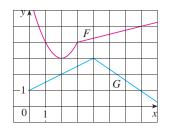
- (a) Use a graphing calculator or computer to model tire life with a quadratic function of the pressure.
- (b) Use the model to estimate *dL/dP* when *P* = 30 and when *P* = 40. What is the meaning of the derivative? What are the units? What is the significance of the signs of the derivatives?
- 67. Suppose that f(5) = 1, f'(5) = 6, g(5) = -3, and g'(5) = 2. Find the following values.
  (a) (fg)'(5)
  (b) (f/g)'(5)
  - (c) (g/f)'(5)
- **68.** Find h'(2), given that f(2) = -3, g(2) = 4, f'(2) = -2, and g'(2) = 7. (a) h(x) = 5f(x) - 4g(x) (b) h(x) = f(x)g(x)
  - (c)  $h(x) = \frac{f(x)}{g(x)}$  (d)  $h(x) = \frac{g(x)}{1 + f(x)}$
- **69.** If  $f(x) = \sqrt{x} g(x)$ , where g(4) = 8 and g'(4) = 7, find f'(4).
- **70.** If h(2) = 4 and h'(2) = -3, find

$$\left. \frac{d}{dx} \left( \frac{h(x)}{x} \right) \right|_{x=2}$$

- 71. If f and g are the functions whose graphs are shown, let u(x) = f(x)g(x) and v(x) = f(x)/g(x).
  (a) Find v'(1)
  - (a) Find u'(1). (b) Find v'(5).



12. Let P(x) = F(x) G(x) and Q(x) = F(x)/G(x), where F and G are the functions whose graphs are shown.
(a) Find P'(2).
(b) Find Q'(7).



**73.** If *g* is a differentiable function, find an expression for the derivative of each of the following functions.

(a) 
$$y = xg(x)$$
 (b)  $y = \frac{x}{g(x)}$  (c)  $y = \frac{g(x)}{x}$ 

**74.** If *f* is a differentiable function, find an expression for the derivative of each of the following functions.

(a) 
$$y = x^2 f(x)$$
 (b)  $y = \frac{f(x)}{x^2}$ 

(c) 
$$y = \frac{x^2}{f(x)}$$
 (d)  $y = \frac{1 + xf(x)}{\sqrt{x}}$ 

- **75.** Find the points on the curve  $y = 2x^3 + 3x^2 12x + 1$  where the tangent is horizontal.
- **76.** For what values of x does the graph of  $f(x) = x^3 + 3x^2 + x + 3$  have a horizontal tangent?
- 77. Show that the curve  $y = 6x^3 + 5x 3$  has no tangent line with slope 4.
- **78.** Find an equation of the tangent line to the curve  $y = x\sqrt{x}$  that is parallel to the line y = 1 + 3x.
- **79.** Find equations of both lines that are tangent to the curve  $y = 1 + x^3$  and are parallel to the line 12x y = 1.
- 80. Find equations of the tangent lines to the curve

$$y = \frac{x-1}{x+1}$$

that are parallel to the line x - 2y = 2.

- 81. Find an equation of the normal line to the parabola  $y = x^2 5x + 4$  that is parallel to the line x 3y = 5.
- **82.** Where does the normal line to the parabola  $y = x x^2$  at the point (1, 0) intersect the parabola a second time? Illustrate with a sketch.
- 83. Draw a diagram to show that there are two tangent lines to the parabola y = x<sup>2</sup> that pass through the point (0, -4). Find the coordinates of the points where these tangent lines intersect the parabola.
- **84.** (a) Find equations of both lines through the point (2, -3) that are tangent to the parabola  $y = x^2 + x$ .

- (b) Show that there is no line through the point (2, 7) that is tangent to the parabola. Then draw a diagram to see why.
- 85. (a) Use the Product Rule twice to prove that if f, g, and h are differentiable, then (fgh)' = f'gh + fg'h + fgh'.
  (b) Taking f = g = h in part (a), show that

$$\frac{d}{dx}[f(x)]^3 = 3[f(x)]^2 f'(x)$$

- (c) Use part (b) to differentiate  $y = (x^4 + 3x^3 + 17x + 82)^3$ .
- 86. Find the *n*th derivative of each function by calculating the first few derivatives and observing the pattern that occurs.
  (a) f(x) = x<sup>n</sup>
  (b) f(x) = 1/x
- 87. Find a second-degree polynomial P such that P(2) = 5, P'(2) = 3, and P''(2) = 2.
- **88.** The equation  $y'' + y' 2y = x^2$  is called a **differential** equation because it involves an unknown function y and its derivatives y' and y". Find constants A, B, and C such that the function  $y = Ax^2 + Bx + C$  satisfies this equation. (Differential equations will be studied in detail in Chapter 9.)
- **89.** Find a cubic function  $y = ax^3 + bx^2 + cx + d$  whose graph has horizontal tangents at the points (-2, 6) and (2, 0).
- **90.** Find a parabola with equation  $y = ax^2 + bx + c$  that has slope 4 at x = 1, slope -8 at x = -1, and passes through the point (2, 15).
- **91.** In this exercise we estimate the rate at which the total personal income is rising in the Richmond-Petersburg, Virginia, metropolitan area. In 1999, the population of this area was 961,400, and the population was increasing at roughly 9200 people per year. The average annual income was \$30,593 per capita, and this average was increasing at about \$1400 per year (a little above the national average of about \$1225 yearly). Use the Product Rule and these figures to estimate the rate at which total personal income was rising in the Richmond-Petersburg area in 1999. Explain the meaning of each term in the Product Rule.
- **92.** A manufacturer produces bolts of a fabric with a fixed width. The quantity q of this fabric (measured in yards) that is sold is a function of the selling price p (in dollars per yard), so we can write q = f(p). Then the total revenue earned with selling price p is R(p) = pf(p).
  - (a) What does it mean to say that f(20) = 10,000 and f'(20) = -350?
  - (b) Assuming the values in part (a), find R'(20) and interpret your answer.

**93.** Let

$$f(x) = \begin{cases} x^2 + 1 & \text{if } x < 1 \\ x + 1 & \text{if } x \ge 1 \end{cases}$$

Is f differentiable at 1? Sketch the graphs of f and f'.

**94.** At what numbers is the following function *g* differentiable?

$$g(x) = \begin{cases} 2x & \text{if } x \le 0\\ 2x - x^2 & \text{if } 0 < x < 2\\ 2 - x & \text{if } x \ge 2 \end{cases}$$

Give a formula for g' and sketch the graphs of g and g'.

- 95. (a) For what values of x is the function f(x) = |x<sup>2</sup> 9| differentiable? Find a formula for f'.
  (b) Sketch the graphs of f and f'.
- **96.** Where is the function h(x) = |x 1| + |x + 2| differentiable? Give a formula for h' and sketch the graphs of h and h'.
- **97.** For what values of *a* and *b* is the line 2x + y = b tangent to the parabola  $y = ax^2$  when x = 2?
- **98.** (a) If F(x) = f(x)g(x), where f and g have derivatives of all orders, show that F'' = f''g + 2f'g' + fg''.
  - (b) Find similar formulas for F''' and  $F^{(4)}$ .
  - (c) Guess a formula for  $F^{(n)}$ .
- **99.** Find the value of c such that the line  $y = \frac{3}{2}x + 6$  is tangent to the curve  $y = c\sqrt{x}$ .
- 100. Let

$$f(x) = \begin{cases} x^2 & \text{if } x \le 2\\ mx + b & \text{if } x > 2 \end{cases}$$

Find the values of m and b that make f differentiable everywhere.

- **101.** An easy proof of the Quotient Rule can be given if we make the prior assumption that F'(x) exists, where F = f/g. Write f = Fg; then differentiate using the Product Rule and solve the resulting equation for F'.
- **102.** A tangent line is drawn to the hyperbola xy = c at a point *P*. (a) Show that the midpoint of the line segment cut from this
  - tangent line by the coordinate axes is *P*.(b) Show that the triangle formed by the tangent line and the coordinate axes always has the same area, no matter where *P* is located on the hyperbola.

**103.** Evaluate 
$$\lim_{x \to 1} \frac{x^{1000} - 1}{x - 1}$$
.

- **104.** Draw a diagram showing two perpendicular lines that intersect on the *y*-axis and are both tangent to the parabola  $y = x^2$ . Where do these lines intersect?
- **105.** If  $c > \frac{1}{2}$ , how many lines through the point (0, c) are normal lines to the parabola  $y = x^2$ ? What if  $c \le \frac{1}{2}$ ?
- **106.** Sketch the parabolas  $y = x^2$  and  $y = x^2 2x + 2$ . Do you think there is a line that is tangent to both curves? If so, find its equation. If not, why not?

# APPLIED PROJECT **BUILDING A BETTER ROLLER COASTER** Suppose you are asked to design the first ascent and drop for a new roller coaster. By studying photographs of your favorite coasters, you decide to make the slope of the ascent 0.8 and the slope of the drop -1.6. You decide to connect these two straight stretches $y = L_1(x)$ and $y = L_2(x)$ with part of a parabola $y = f(x) = ax^2 + bx + c$ , where x and f(x) are measured in feet. For the track to be smooth there can't be abrupt changes in direction, so you want the linear segments $L_1$ and $L_2$ to be tangent to the parabola at the transition points P and Q. (See the figure.) To simplify the equations, you decide to place the origin at P. **1.** (a) Suppose the horizontal distance between P and Q is 100 ft. Write equations in a, b, cand c that will ensure that the track is smooth at the transition points. (b) Solve the equations in part (a) for a, b, and c to find a formula for f(x). AM (c) Plot $L_1$ , f, and $L_2$ to verify graphically that the transitions are smooth. (d) Find the difference in elevation between P and O. 2. The solution in Problem 1 might *look* smooth, but it might not *feel* smooth because the piecewise defined function [consisting of $L_1(x)$ for x < 0, f(x) for $0 \le x \le 100$ , and $L_2(x)$ for x > 100 doesn't have a continuous second derivative. So you decide to improve the design by using a quadratic function $q(x) = ax^2 + bx + c$ only on the interval $10 \le x \le 90$ and connecting it to the linear functions by means of two cubic functions: $q(x) = kx^3 + lx^2 + mx + n$ $0 \le x < 10$ $h(x) = px^{3} + qx^{2} + rx + s \qquad 90 < x \le 100$ (a) Write a system of equations in 11 unknowns that ensure that the functions and their Studio / Shutterstock first two derivatives agree at the transition points. (b) Solve the equations in part (a) with a computer algebra system to find formulas for q(x), q(x), and h(x).(c) Plot $L_1$ , g, q, h, and $L_2$ , and compare with the plot in Problem 1(c). Graphing calculator or computer required CAS Computer algebra system required

# 2.4 Derivatives of Trigonometric Functions

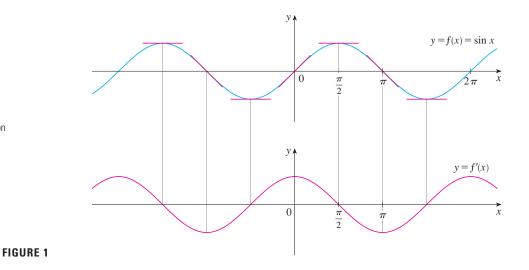
A review of trigonometric functions is given in Appendix D.

Before starting this section, you might need to review the trigonometric functions. In particular, it is important to remember that when we talk about the function f defined for all real numbers x by

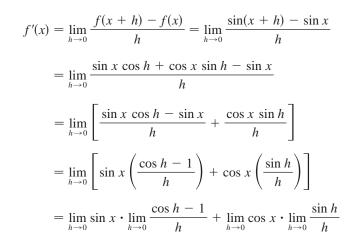
$$f(x) = \sin x$$

it is understood that sin *x* means the sine of the angle whose *radian* measure is *x*. A similar convention holds for the other trigonometric functions cos, tan, csc, sec, and cot. Recall from Section 1.8 that all of the trigonometric functions are continuous at every number in their domains.

If we sketch the graph of the function  $f(x) = \sin x$  and use the interpretation of f'(x) as the slope of the tangent to the sine curve in order to sketch the graph of f' (see Exercise 16 in Section 2.2), then it looks as if the graph of f' may be the same as the cosine curve (see Figure 1).



Let's try to confirm our guess that if  $f(x) = \sin x$ , then  $f'(x) = \cos x$ . From the definition of a derivative, we have



Two of these four limits are easy to evaluate. Since we regard *x* as a constant when computing a limit as  $h \rightarrow 0$ , we have

 $\lim_{h \to 0} \sin x = \sin x \quad \text{and} \quad \lim_{h \to 0} \cos x = \cos x$ 

The limit of  $(\sin h)/h$  is not so obvious. In Example 3 in Section 1.5 we made the guess, on the basis of numerical and graphical evidence, that

 $\lim_{\theta \to 0} \frac{\sin \theta}{\theta} = 1$ 

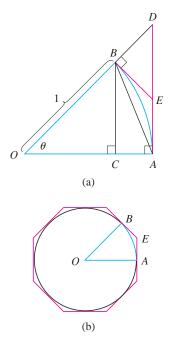
We now use a geometric argument to prove Equation 2. Assume first that  $\theta$  lies between 0 and  $\pi/2$ . Figure 2(a) shows a sector of a circle with center *O*, central angle  $\theta$ , and

We have used the addition formula for sine. See Appendix D.

1

TEC Visual 2.4 shows an animation

of Figure 1.



**FIGURE 2** 

radius 1. *BC* is drawn perpendicular to *OA*. By the definition of radian measure, we have arc  $AB = \theta$ . Also  $|BC| = |OB| \sin \theta = \sin \theta$ . From the diagram we see that

$$|BC| < |AB| < \operatorname{arc} AB$$
  
 $\sin \theta < \theta$  so  $\frac{\sin \theta}{\theta} < 1$ 

Let the tangent lines at *A* and *B* intersect at *E*. You can see from Figure 2(b) that the circumference of a circle is smaller than the length of a circumscribed polygon, and so arc AB < |AE| + |EB|. Thus

$$\theta = \operatorname{arc} AB < |AE| + |EB|$$
$$< |AE| + |ED|$$
$$= |AD| = |OA| \tan \theta$$
$$= \tan \theta$$

(In Appendix F the inequality  $\theta \le \tan \theta$  is proved directly from the definition of the length of an arc without resorting to geometric intuition as we did here.) Therefore we have

 $\theta < \frac{\sin \theta}{\cos \theta}$ 

so 
$$\cos \theta < \frac{\sin \theta}{\theta} < 1$$

We know that  $\lim_{\theta \to 0} 1 = 1$  and  $\lim_{\theta \to 0} \cos \theta = 1$ , so by the Squeeze Theorem, we have

$$\lim_{\theta \to 0^+} \frac{\sin \theta}{\theta} = 1$$

But the function  $(\sin \theta)/\theta$  is an even function, so its right and left limits must be equal. Hence, we have

$$\lim_{\theta \to 0} \frac{\sin \theta}{\theta} = 1$$

so we have proved Equation 2.

Therefore

We can deduce the value of the remaining limit in  $\boxed{1}$  as follows:

$$\lim_{\theta \to 0} \frac{\cos \theta - 1}{\theta} = \lim_{\theta \to 0} \left( \frac{\cos \theta - 1}{\theta} \cdot \frac{\cos \theta + 1}{\cos \theta + 1} \right) = \lim_{\theta \to 0} \frac{\cos^2 \theta - 1}{\theta (\cos \theta + 1)}$$
$$= \lim_{\theta \to 0} \frac{-\sin^2 \theta}{\theta (\cos \theta + 1)} = -\lim_{\theta \to 0} \left( \frac{\sin \theta}{\theta} \cdot \frac{\sin \theta}{\cos \theta + 1} \right)$$
$$= -\lim_{\theta \to 0} \frac{\sin \theta}{\theta} \cdot \lim_{\theta \to 0} \frac{\sin \theta}{\cos \theta + 1}$$
$$= -1 \cdot \left( \frac{0}{1+1} \right) = 0 \qquad \text{(by Equation 2)}$$

We multiply numerator and denominator by  $\cos \theta + 1$  in order to put the function in a form in which we can use the limits we know.

5

$$\lim_{\theta \to 0} \frac{\cos \,\theta - 1}{\theta} = 0$$

If we now put the limits 2 and 3 in 1, we get

$$f'(x) = \lim_{h \to 0} \sin x \cdot \lim_{h \to 0} \frac{\cos h - 1}{h} + \lim_{h \to 0} \cos x \cdot \lim_{h \to 0} \frac{\sin h}{h}$$
$$= (\sin x) \cdot 0 + (\cos x) \cdot 1 = \cos x$$

So we have proved the formula for the derivative of the sine function:

$$\frac{d}{dx}(\sin x) = \cos x$$

**V EXAMPLE 1** Differentiate  $y = x^2 \sin x$ .

SOLUTION Using the Product Rule and Formula 4, we have

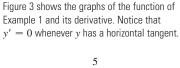
$$\frac{dy}{dx} = x^2 \frac{d}{dx} (\sin x) + \sin x \frac{d}{dx} (x^2)$$
$$= x^2 \cos x + 2x \sin x$$

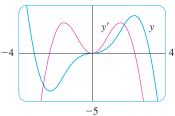
Using the same methods as in the proof of Formula 4, one can prove (see Exercise 20) that

$$\frac{d}{dx}\left(\cos x\right) = -\sin x$$

The tangent function can also be differentiated by using the definition of a derivative, but it is easier to use the Quotient Rule together with Formulas 4 and 5:

$$\frac{d}{dx} (\tan x) = \frac{d}{dx} \left( \frac{\sin x}{\cos x} \right)$$
$$= \frac{\cos x \frac{d}{dx} (\sin x) - \sin x \frac{d}{dx} (\cos x)}{\cos^2 x}$$
$$= \frac{\cos x \cdot \cos x - \sin x (-\sin x)}{\cos^2 x}$$
$$= \frac{\cos^2 x + \sin^2 x}{\cos^2 x}$$
$$= \frac{1}{\cos^2 x} = \sec^2 x$$







6

$$\frac{d}{dx}(\tan x) = \sec^2 x$$

The derivatives of the remaining trigonometric functions, csc, sec , and cot , can also be found easily using the Quotient Rule (see Exercises 17–19). We collect all the differentiation formulas for trigonometric functions in the following table. Remember that they are valid only when x is measured in radians.

Derivatives of Trigonometric Functions

$$\frac{d}{dx} (\sin x) = \cos x \qquad \qquad \frac{d}{dx} (\csc x) = -\csc x \cot x$$
$$\frac{d}{dx} (\cos x) = -\sin x \qquad \qquad \frac{d}{dx} (\sec x) = \sec x \tan x$$
$$\frac{d}{dx} (\tan x) = \sec^2 x \qquad \qquad \frac{d}{dx} (\cot x) = -\csc^2 x$$

**EXAMPLE 2** Differentiate  $f(x) = \frac{\sec x}{1 + \tan x}$ . For what values of x does the graph of f have a horizontal tangent?

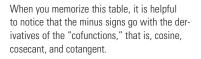
**SOLUTION** The Quotient Rule gives

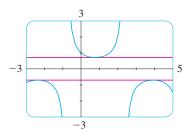
$$f'(x) = \frac{(1 + \tan x)\frac{d}{dx}(\sec x) - \sec x\frac{d}{dx}(1 + \tan x)}{(1 + \tan x)^2}$$
$$= \frac{(1 + \tan x)\sec x\tan x - \sec x \cdot \sec^2 x}{(1 + \tan x)^2}$$
$$= \frac{\sec x(\tan x + \tan^2 x - \sec^2 x)}{(1 + \tan x)^2}$$
$$= \frac{\sec x(\tan x - 1)}{(1 + \tan x)^2}$$

In simplifying the answer we have used the identity  $\tan^2 x + 1 = \sec^2 x$ .

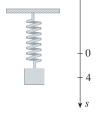
Since sec x is never 0, we see that f'(x) = 0 when  $\tan x = 1$ , and this occurs when  $x = n\pi + \pi/4$ , where n is an integer (see Figure 4).

Trigonometric functions are often used in modeling real-world phenomena. In particular, vibrations, waves, elastic motions, and other quantities that vary in a periodic manner can be described using trigonometric functions. In the following example we discuss an instance of simple harmonic motion.

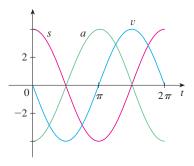




#### **FIGURE 4** The horizontal tangents in Example 2











**EXAMPLE 3** An object at the end of a vertical spring is stretched 4 cm beyond its rest position and released at time t = 0. (See Figure 5 and note that the downward direction is positive.) Its position at time t is

$$s = f(t) = 4 \cos t$$

Find the velocity and acceleration at time *t* and use them to analyze the motion of the object.

**SOLUTION** The velocity and acceleration are

$$v = \frac{ds}{dt} = \frac{d}{dt} (4\cos t) = 4\frac{d}{dt} (\cos t) = -4\sin t$$
$$a = \frac{dv}{dt} = \frac{d}{dt} (-4\sin t) = -4\frac{d}{dt} (\sin t) = -4\cos t$$

The object oscillates from the lowest point (s = 4 cm) to the highest point (s = -4 cm). The period of the oscillation is  $2\pi$ , the period of cos t.

The speed is  $|v| = 4|\sin t|$ , which is greatest when  $|\sin t| = 1$ , that is, when  $\cos t = 0$ . So the object moves fastest as it passes through its equilibrium position (s = 0). Its speed is 0 when  $\sin t = 0$ , that is, at the high and low points.

The acceleration  $a = -4 \cos t = 0$  when s = 0. It has greatest magnitude at the high and low points. See the graphs in Figure 6.

**EXAMPLE 4** Find the 27th derivative of cos *x*.

SOLUTION The first few derivatives of  $f(x) = \cos x$  are as follows:

$$f'(x) = -\sin x$$
  

$$f''(x) = -\cos x$$
  

$$f'''(x) = \sin x$$
  

$$f^{(4)}(x) = \cos x$$
  

$$f^{(5)}(x) = -\sin x$$

We see that the successive derivatives occur in a cycle of length 4 and, in particular,  $f^{(n)}(x) = \cos x$  whenever *n* is a multiple of 4. Therefore

$$f^{(24)}(x) = \cos x$$

and, differentiating three more times, we have

$$f^{(27)}(x) = \sin x$$

Our main use for the limit in Equation 2 has been to prove the differentiation formula for the sine function. But this limit is also useful in finding certain other trigonometric limits, as the following two examples show.

**EXAMPLE 5** Find 
$$\lim_{x \to 0} \frac{\sin 7x}{4x}$$
.

**SOLUTION** In order to apply Equation 2, we first rewrite the function by multiplying and dividing by 7:

$$\frac{\sin 7x}{4x} = \frac{7}{4} \left( \frac{\sin 7x}{7x} \right)$$

Note that  $\sin 7x \neq 7 \sin x$ .

If we let  $\theta = 7x$ , then  $\theta \rightarrow 0$  as  $x \rightarrow 0$ , so by Equation 2 we have

$$\lim_{x \to 0} \frac{\sin 7x}{4x} = \frac{7}{4} \lim_{x \to 0} \left( \frac{\sin 7x}{7x} \right)$$
$$= \frac{7}{4} \lim_{\theta \to 0} \frac{\sin \theta}{\theta} = \frac{7}{4} \cdot 1 = \frac{7}{4}$$

**EXAMPLE 6** Calculate  $\lim_{x \to 0} x \cot x$ .

**SOLUTION** Here we divide numerator and denominator by *x*:

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$$\lim_{x \to 0} x \cot x = \lim_{x \to 0} \frac{x \cos x}{\sin x}$$
$$= \lim_{x \to 0} \frac{\cos x}{\frac{\sin x}{x}} = \frac{\lim_{x \to 0} \cos x}{\lim_{x \to 0} \frac{\sin x}{x}}$$
$$= \frac{\cos 0}{1} \qquad \text{(by the continuity of cosine and Equation 2)}$$
$$= 1$$

# 2.4 Exercises

1–16 Differentiate.

1.  $f(x) = 3x^2 - 2\cos x$ **2.**  $f(x) = \sqrt{x} \sin x$ **4.**  $y = 2 \sec x - \csc x$ **3.**  $f(x) = \sin x + \frac{1}{2} \cot x$ **5.**  $y = \sec \theta \tan \theta$ **6.**  $q(t) = 4 \sec t + \tan t$ 7.  $y = c \cos t + t^2 \sin t$ **8.**  $y = u(a \cos u + b \cot u)$  $9. \ y = \frac{x}{2 - \tan x}$ **10.**  $y = \sin \theta \cos \theta$ **11.**  $f(\theta) = \frac{\sec \theta}{1 + \sec \theta}$ **12.**  $y = \frac{\cos x}{1 - \sin x}$  $14. \ y = \frac{1 - \sec x}{\tan x}$ **13.**  $y = \frac{t \sin t}{1+t}$ **16.**  $y = x^2 \sin x \tan x$ **15.**  $h(\theta) = \theta \csc \theta - \cot \theta$ **17.** Prove that  $\frac{d}{dx}(\csc x) = -\csc x \cot x$ .

**18.** Prove that 
$$\frac{d}{dx}(\sec x) = \sec x \tan x$$
.  
**19.** Prove that  $\frac{d}{dx}(\cot x) = -\csc^2 x$ .

**20.** Prove, using the definition of derivative, that if  $f(x) = \cos x$ , then  $f'(x) = -\sin x$ .

**21–24** Find an equation of the tangent line to the curve at the given point.

21.	$y = \sec x$ ,	$(\pi/3, 2)$	<b>22.</b> $y = (1 + x) \cos x$ , (0, 1)
23.	$y = \cos x - $	$\sin x$ , $(\pi, -1)$	<b>24.</b> $y = x + \tan x$ , $(\pi, \pi)$

- **25.** (a) Find an equation of the tangent line to the curve  $y = 2x \sin x$  at the point  $(\pi/2, \pi)$ .
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.
- **26.** (a) Find an equation of the tangent line to the curve  $y = 3x + 6 \cos x$  at the point  $(\pi/3, \pi + 3)$ .
  - (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.
- **27.** (a) If  $f(x) = \sec x x$ , find f'(x).
  - (b) Check to see that your answer to part (a) is reasonable by graphing both *f* and *f'* for  $|x| < \pi/2$ .

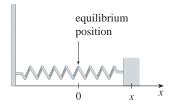
**28.** (a) If  $f(x) = \sqrt{x} \sin x$ , find f'(x).

(b) Check to see that your answer to part (a) is reasonable by graphing both *f* and *f'* for  $0 \le x \le 2\pi$ .

- **29.** If  $H(\theta) = \theta \sin \theta$ , find  $H'(\theta)$  and  $H''(\theta)$ .
- **30.** If  $f(t) = \csc t$ , find  $f''(\pi/6)$ .
- **31.** (a) Use the Quotient Rule to differentiate the function

$$f(x) = \frac{\tan x - 1}{\sec x}$$

- (b) Simplify the expression for f(x) by writing it in terms of sin x and cos x, and then find f'(x).
- (c) Show that your answers to parts (a) and (b) are equivalent.
- 32. Suppose f(π/3) = 4 and f'(π/3) = -2, and let g(x) = f(x) sin x and h(x) = (cos x)/f(x). Find (a) g'(π/3) (b) h'(π/3)
- 33. For what values of x does the graph of f(x) = x + 2 sin x have a horizontal tangent?
- **34.** Find the points on the curve  $y = (\cos x)/(2 + \sin x)$  at which the tangent is horizontal.
- 35. A mass on a spring vibrates horizontally on a smooth level surface (see the figure). Its equation of motion is x(t) = 8 sin t, where t is in seconds and x in centimeters.
  - (a) Find the velocity and acceleration at time t.
  - (b) Find the position, velocity, and acceleration of the mass at time  $t = 2\pi/3$ . In what direction is it moving at that time?



- **36.** An elastic band is hung on a hook and a mass is hung on the lower end of the band. When the mass is pulled downward and then released, it vibrates vertically. The equation of motion is  $s = 2 \cos t + 3 \sin t$ ,  $t \ge 0$ , where *s* is measured in centimeters and *t* in seconds. (Take the positive direction to be downward.)
  - (a) Find the velocity and acceleration at time *t*.
  - (b) Graph the velocity and acceleration functions.
  - (c) When does the mass pass through the equilibrium position for the first time?
  - (d) How far from its equilibrium position does the mass travel?
  - (e) When is the speed the greatest?
  - 37. A ladder 10 ft long rests against a vertical wall. Let θ be the angle between the top of the ladder and the wall and let x be the distance from the bottom of the ladder to the wall. If the bottom of the ladder slides away from the wall, how fast does x change with respect to θ when θ = π/3?

**38.** An object with weight *W* is dragged along a horizontal plane by a force acting along a rope attached to the object. If the rope makes an angle  $\theta$  with the plane, then the magnitude of the force is

$$F = \frac{\mu W}{\mu \sin \theta + \cos \theta}$$

where  $\mu$  is a constant called the *coefficient of friction*. (a) Find the rate of change of *F* with respect to  $\theta$ .

- (b) When is this rate of change equal to 0?
- (c) If W = 50 lb and  $\mu = 0.6$ , draw the graph of *F* as a function of  $\theta$  and use it to locate the value of  $\theta$  for which  $dF/d\theta = 0$ . Is the value consistent with your answer to part (b)?

**39–48** Find the limit.

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**39.** 
$$\lim_{x \to 0} \frac{\sin 3x}{x}$$
**40.** 
$$\lim_{x \to 0} \frac{\sin 4x}{\sin 6x}$$
**41.** 
$$\lim_{t \to 0} \frac{\tan 6t}{\sin 2t}$$
**42.** 
$$\lim_{\theta \to 0} \frac{\cos \theta - 1}{\sin \theta}$$

**43.** 
$$\lim_{x \to 0} \frac{\sin 5x}{5x^3 - 4x}$$
 **44.**  $\lim_{x \to 0} \frac{\sin 5x \sin 5x}{x^2}$ 

**45.** 
$$\lim_{\theta \to 0} \frac{\sin \theta}{\theta + \tan \theta}$$
**46.** 
$$\lim_{x \to 0} \frac{\sin(x^2)}{x}$$

$$\lim_{x \to \pi/4} \frac{1 - \tan x}{\sin x - \cos x}$$
**48.** 
$$\lim_{x \to 1} \frac{\sin(x - 1)}{x^2 + x - 2}$$

**49–50** Find the given derivative by finding the first few derivatives and observing the pattern that occurs.

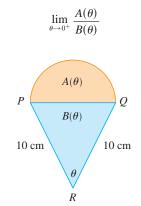
**49.** 
$$\frac{d^{99}}{dx^{99}}(\sin x)$$
 **50.**  $\frac{d^{35}}{dx^{35}}(x \sin x)$ 

- 51. Find constants A and B such that the function  $y = A \sin x + B \cos x$  satisfies the differential equation  $y'' + y' - 2y = \sin x$ .
- 52. (a) Evaluate  $\lim_{x \to \infty} x \sin \frac{1}{x}$ . (b) Evaluate  $\lim_{x \to 0} x \sin \frac{1}{x}$ .
- (c) Illustrate parts (a) and (b) by graphing  $y = x \sin(1/x)$ .
  - **53.** Differentiate each trigonometric identity to obtain a new (or familiar) identity.

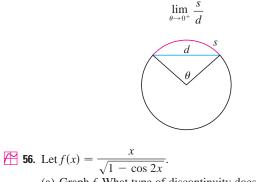
(a) 
$$\tan x = \frac{\sin x}{\cos x}$$
 (b)  $\sec x = \frac{1}{\cos x}$   
(c)  $\sin x + \cos x = \frac{1 + \cot x}{\csc x}$ 

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54. A semicircle with diameter *PQ* sits on an isosceles triangle *PQR* to form a region shaped like a two-dimensional icecream cone, as shown in the figure. If  $A(\theta)$  is the area of the semicircle and  $B(\theta)$  is the area of the triangle, find



**55.** The figure shows a circular arc of length *s* and a chord of length *d*, both subtended by a central angle  $\theta$ . Find



- (a) Graph *f*. What type of discontinuity does it appear to have at 0?
- (b) Calculate the left and right limits of *f* at 0. Do these values confirm your answer to part (a)?

# 2.5 The Chain Rule

Suppose you are asked to differentiate the function

$$F(x) = \sqrt{x^2 + 1}$$

The differentiation formulas you learned in the previous sections of this chapter do not enable you to calculate F'(x).

Observe that *F* is a composite function. In fact, if we let  $y = f(u) = \sqrt{u}$  and let  $u = g(x) = x^2 + 1$ , then we can write y = F(x) = f(g(x)), that is,  $F = f \circ g$ . We know how to differentiate both *f* and *g*, so it would be useful to have a rule that tells us how to find the derivative of  $F = f \circ g$  in terms of the derivatives of *f* and *g*.

It turns out that the derivative of the composite function  $f \circ g$  is the product of the derivatives of f and g. This fact is one of the most important of the differentiation rules and is called the *Chain Rule*. It seems plausible if we interpret derivatives as rates of change. Regard du/dx as the rate of change of u with respect to x, dy/du as the rate of change of y with respect to u, and dy/dx as the rate of change of y with respect to x. If u changes twice as fast as x and y changes three times as fast as u, then it seems reasonable that y changes six times as fast as x, and so we expect that

$$\frac{dy}{dx} = \frac{dy}{du}\frac{du}{dx}$$

**The Chain Rule** If *g* is differentiable at *x* and *f* is differentiable at g(x), then the composite function  $F = f \circ g$  defined by F(x) = f(g(x)) is differentiable at *x* and *F*' is given by the product

$$F'(x) = f'(g(x)) \cdot g'(x)$$

In Leibniz notation, if y = f(u) and u = g(x) are both differentiable functions, then

$$\frac{dy}{dx} = \frac{dy}{du}\frac{du}{dx}$$

See Section 1.3 for a review of composite functions.

#### **James Gregory**

The first person to formulate the Chain Rule was the Scottish mathematician James Gregory (1638–1675), who also designed the first practical reflecting telescope. Gregory discovered the basic ideas of calculus at about the same time as Newton. He became the first Professor of Mathematics at the University of St. Andrews and later held the same position at the University of Edinburgh. But one year after accepting that position he died at the age of 36. **COMMENTS ON THE PROOF OF THE CHAIN RULE** Let  $\Delta u$  be the change in *u* corresponding to a change of  $\Delta x$  in *x*, that is,

$$\Delta u = g(x + \Delta x) - g(x)$$

Then the corresponding change in *y* is

$$\Delta y = f(u + \Delta u) - f(u)$$

It is tempting to write

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$$\frac{dy}{dx} = \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta x}$$

$$= \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta u} \cdot \frac{\Delta u}{\Delta x}$$

$$= \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta u} \cdot \lim_{\Delta x \to 0} \frac{\Delta u}{\Delta x}$$

$$= \lim_{\Delta u \to 0} \frac{\Delta y}{\Delta u} \cdot \lim_{\Delta x \to 0} \frac{\Delta u}{\Delta x}$$
(Note that  $\Delta u \to 0$  as  $\Delta x \to 0$   
since g is continuous.)
$$= \frac{dy}{du} \frac{du}{dx}$$

The only flaw in this reasoning is that in  $\boxed{1}$  it might happen that  $\Delta u = 0$  (even when  $\Delta x \neq 0$ ) and, of course, we can't divide by 0. Nonetheless, this reasoning does at least *suggest* that the Chain Rule is true. A full proof of the Chain Rule is given at the end of this section.

The Chain Rule can be written either in the prime notation

$$(f \circ g)'(x) = f'(g(x)) \cdot g'(x)$$

or, if y = f(u) and u = g(x), in Leibniz notation:

$$\frac{dy}{dx} = \frac{dy}{du}\frac{du}{dx}$$

Equation 3 is easy to remember because if dy/du and du/dx were quotients, then we could cancel du. Remember, however, that du has not been defined and du/dx should not be thought of as an actual quotient.

# **EXAMPLE 1** Find F'(x) if $F(x) = \sqrt{x^2 + 1}$ .

**SOLUTION 1** (using Equation 2): At the beginning of this section we expressed *F* as  $F(x) = (f \circ g)(x) = f(g(x))$  where  $f(u) = \sqrt{u}$  and  $g(x) = x^2 + 1$ . Since

$$f'(u) = \frac{1}{2}u^{-1/2} = \frac{1}{2\sqrt{u}}$$
 and  $g'(x) = 2x$ 

we have

 $F'(x) = f'(g(x)) \cdot g'(x)$ 

$$=\frac{1}{2\sqrt{x^2+1}}\cdot 2x = \frac{x}{\sqrt{x^2+1}}$$

**SOLUTION 2** (using Equation 3): If we let  $u = x^2 + 1$  and  $y = \sqrt{u}$ , then

$$F'(x) = \frac{dy}{du}\frac{du}{dx} = \frac{1}{2\sqrt{u}}(2x) = \frac{1}{2\sqrt{x^2 + 1}}(2x) = \frac{x}{\sqrt{x^2 + 1}}$$

When using Formula 3 we should bear in mind that dy/dx refers to the derivative of y when y is considered as a function of x (called the *derivative of y with respect to x*), whereas dy/du refers to the derivative of y when considered as a function of u (the derivative of y with respect to u). For instance, in Example 1, y can be considered as a function of  $x (y = \sqrt{x^2 + 1})$  and also as a function of  $u (y = \sqrt{u})$ . Note that

$$\frac{dy}{dx} = F'(x) = \frac{x}{\sqrt{x^2 + 1}}$$
 whereas  $\frac{dy}{du} = f'(u) = \frac{1}{2\sqrt{u}}$ 

**NOTE** In using the Chain Rule we work from the outside to the inside. Formula 2 says that we differentiate the outer function f [at the inner function g(x)] and then we multiply by the derivative of the inner function.

$$\frac{d}{dx} \underbrace{f}_{\text{function}} \underbrace{(g(x))}_{\text{evaluated}} = \underbrace{f'}_{\text{function}} \underbrace{(g(x))}_{\text{function}} \cdot \underbrace{g'(x)}_{\text{function}}$$

**V EXAMPLE 2** Differentiate (a)  $y = \sin(x^2)$  and (b)  $y = \sin^2 x$ .

#### SOLUTION

Thus

(a) If  $y = \sin(x^2)$ , then the outer function is the sine function and the inner function is the squaring function, so the Chain Rule gives

$$\frac{dy}{dx} = \frac{d}{dx} \underbrace{\sin}_{\substack{\text{outer}\\\text{function}}} \underbrace{(x^2)}_{\substack{\text{evaluated}\\\text{at inner}\\\text{function}}} = \underbrace{\cos}_{\substack{\text{derivative}\\\text{of outer}\\\text{function}}} \underbrace{(x^2)}_{\substack{\text{evaluated}\\\text{at inner}\\\text{function}}} \cdot \underbrace{2x}_{\substack{\text{derivative}\\\text{of inner}\\\text{function}}}$$

(b) Note that  $\sin^2 x = (\sin x)^2$ . Here the outer function is the squaring function and the inner function is the sine function. So

$$\frac{dy}{dx} = \frac{d}{dx} (\frac{\sin x}{\sin x})^2 = 2 \cdot (\frac{\sin x}{\cos x}) \cdot \frac{\cos x}{\operatorname{derivative}}$$

$$\frac{derivative}{\operatorname{function}} = 2 \cdot (\frac{\sin x}{\cos x}) \cdot \frac{\cos x}{\operatorname{derivative}}$$

The answer can be left as  $2 \sin x \cos x$  or written as  $\sin 2x$  (by a trigonometric identity known as the double-angle formula).

In Example 2(a) we combined the Chain Rule with the rule for differentiating the sine function. In general, if  $y = \sin u$ , where u is a differentiable function of x, then, by the Chain Rule,

$$\frac{dy}{dx} = \frac{dy}{du}\frac{du}{dx} = \cos u\frac{du}{dx}$$
$$\frac{d}{dx}(\sin u) = \cos u\frac{du}{dx}$$

See Reference Page 2 or Appendix D.

In a similar fashion, all of the formulas for differentiating trigonometric functions can be combined with the Chain Rule.

Let's make explicit the special case of the Chain Rule where the outer function f is a power function. If  $y = [g(x)]^n$ , then we can write  $y = f(u) = u^n$  where u = g(x). By using the Chain Rule and then the Power Rule, we get

$$\frac{dy}{dx} = \frac{dy}{du}\frac{du}{dx} = nu^{n-1}\frac{du}{dx} = n[g(x)]^{n-1}g'(x)$$

**4** The Power Rule Combined with the Chain Rule If n is any real number and u = g(x) is differentiable, then

$$\frac{d}{dx}\left(u^{n}\right) = nu^{n-1}\frac{du}{dx}$$

Alternatively,

$$\frac{d}{dx} [g(x)]^n = n[g(x)]^{n-1} \cdot g'(x)$$

Notice that the derivative in Example 1 could be calculated by taking  $n = \frac{1}{2}$  in Rule 4.

**EXAMPLE 3** Differentiate  $y = (x^3 - 1)^{100}$ . SOLUTION Taking  $u = g(x) = x^3 - 1$  and n = 100 in 4, we have

$$\frac{dy}{dx} = \frac{d}{dx} (x^3 - 1)^{100} = 100(x^3 - 1)^{99} \frac{d}{dx} (x^3 - 1)$$
$$= 100(x^3 - 1)^{99} \cdot 3x^2 = 300x^2(x^3 - 1)^{99}$$

V EXAMPLE 4 Find f'(x) if  $f(x) = \frac{1}{\sqrt[3]{x^2 + x + 1}}$ .

SOLUTION First rewrite f:  $f(x) = (x^2 + x + 1)^{-1/3}$ 

Thus

$$f'(x) = -\frac{1}{3}(x^2 + x + 1)^{-4/3} \frac{d}{dx} (x^2 + x + 1)$$
$$= -\frac{1}{3}(x^2 + x + 1)^{-4/3}(2x + 1)$$

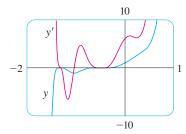
**EXAMPLE 5** Find the derivative of the function

$$g(t) = \left(\frac{t-2}{2t+1}\right)^9$$

SOLUTION Combining the Power Rule, Chain Rule, and Quotient Rule, we get

$$g'(t) = 9\left(\frac{t-2}{2t+1}\right)^8 \frac{d}{dt} \left(\frac{t-2}{2t+1}\right)$$
$$= 9\left(\frac{t-2}{2t+1}\right)^8 \frac{(2t+1)\cdot 1 - 2(t-2)}{(2t+1)^2} = \frac{45(t-2)^8}{(2t+1)^{10}}$$

The graphs of the functions y and y' in Example 6 are shown in Figure 1. Notice that y' is large when y increases rapidly and y' = 0 when y has a horizontal tangent. So our answer appears to be reasonable.





# **EXAMPLE 6** Differentiate $y = (2x + 1)^5(x^3 - x + 1)^4$ .

SOLUTION In this example we must use the Product Rule before using the Chain Rule:

$$\frac{dy}{dx} = (2x+1)^5 \frac{d}{dx} (x^3 - x + 1)^4 + (x^3 - x + 1)^4 \frac{d}{dx} (2x+1)^5$$
$$= (2x+1)^5 \cdot 4(x^3 - x + 1)^3 \frac{d}{dx} (x^3 - x + 1)$$
$$+ (x^3 - x + 1)^4 \cdot 5(2x+1)^4 \frac{d}{dx} (2x+1)$$
$$= 4(2x+1)^5 (x^3 - x + 1)^3 (3x^2 - 1) + 5(x^3 - x + 1)^4 (2x+1)^4 \cdot 2$$

Noticing that each term has the common factor  $2(2x + 1)^4(x^3 - x + 1)^3$ , we could factor it out and write the answer as

$$\frac{dy}{dx} = 2(2x+1)^4(x^3-x+1)^3(17x^3+6x^2-9x+3)$$

The reason for the name "Chain Rule" becomes clear when we make a longer chain by adding another link. Suppose that y = f(u), u = g(x), and x = h(t), where f, g, and h are differentiable functions. Then, to compute the derivative of y with respect to t, we use the Chain Rule twice:

$$\frac{dy}{dt} = \frac{dy}{dx}\frac{dx}{dt} = \frac{dy}{du}\frac{du}{dx}\frac{dx}{dt}$$

**V EXAMPLE 7** If  $f(x) = \sin(\cos(\tan x))$ , then

$$f'(x) = \cos(\cos(\tan x)) \frac{d}{dx} \cos(\tan x)$$
$$= \cos(\cos(\tan x))[-\sin(\tan x)] \frac{d}{dx} (\tan x)$$
$$= -\cos(\cos(\tan x)) \sin(\tan x) \sec^2 x$$

Notice that we used the Chain Rule twice.

**EXAMPLE 8** Differentiate  $y = \sqrt{\sec x^3}$ .

**SOLUTION** Here the outer function is the square root function, the middle function is the secant function, and the inner function is the cubing function. So we have

$$\frac{dy}{dx} = \frac{1}{2\sqrt{\sec x^3}} \frac{d}{dx} (\sec x^3)$$
$$= \frac{1}{2\sqrt{\sec x^3}} \sec x^3 \tan x^3 \frac{d}{dx} (x^3)$$
$$= \frac{3x^2 \sec x^3 \tan x^3}{2\sqrt{\sec x^3}}$$

#### How to Prove the Chain Rule

Recall that if y = f(x) and x changes from a to  $a + \Delta x$ , we define the increment of y as

$$\Delta y = f(a + \Delta x) - f(a)$$

According to the definition of a derivative, we have

$$\lim_{\Delta x \to 0} \frac{\Delta y}{\Delta x} = f'(a)$$

So if we denote by  $\varepsilon$  the difference between the difference quotient and the derivative, we obtain

$$\lim_{\Delta x \to 0} \varepsilon = \lim_{\Delta x \to 0} \left( \frac{\Delta y}{\Delta x} - f'(a) \right) = f'(a) - f'(a) = 0$$

 $\varepsilon = \frac{\Delta y}{\Delta x} - f'(a) \qquad \Rightarrow \qquad \Delta y = f'(a) \Delta x + \varepsilon \Delta x$ 

But

so

If we define  $\varepsilon$  to be 0 when  $\Delta x = 0$ , then  $\varepsilon$  becomes a continuous function of  $\Delta x$ . Thus, for a differentiable function *f*, we can write

**5** 
$$\Delta y = f'(a) \Delta x + \varepsilon \Delta x$$
 where  $\varepsilon \to 0$  as  $\Delta x \to 0$ 

and  $\varepsilon$  is a continuous function of  $\Delta x$ . This property of differentiable functions is what enables us to prove the Chain Rule.

**PROOF OF THE CHAIN RULE** Suppose u = g(x) is differentiable at *a* and y = f(u) is differentiable at b = g(a). If  $\Delta x$  is an increment in *x* and  $\Delta u$  and  $\Delta y$  are the corresponding increments in *u* and *y*, then we can use Equation 5 to write

**6** 
$$\Delta u = g'(a) \Delta x + \varepsilon_1 \Delta x = [g'(a) + \varepsilon_1] \Delta x$$

where  $\varepsilon_1 \rightarrow 0$  as  $\Delta x \rightarrow 0$ . Similarly

7 
$$\Delta y = f'(b) \Delta u + \varepsilon_2 \Delta u = [f'(b) + \varepsilon_2] \Delta u$$

where  $\varepsilon_2 \rightarrow 0$  as  $\Delta u \rightarrow 0$ . If we now substitute the expression for  $\Delta u$  from Equation 6 into Equation 7, we get

$$\Delta y = [f'(b) + \varepsilon_2][g'(a) + \varepsilon_1] \Delta x$$
$$\frac{\Delta y}{\Delta x} = [f'(b) + \varepsilon_2][g'(a) + \varepsilon_1]$$

As  $\Delta x \to 0$ , Equation 6 shows that  $\Delta u \to 0$ . So both  $\varepsilon_1 \to 0$  and  $\varepsilon_2 \to 0$  as  $\Delta x \to 0$ . Therefore

$$\frac{dy}{dx} = \lim_{\Delta x \to 0} \frac{\Delta y}{\Delta x} = \lim_{\Delta x \to 0} [f'(b) + \varepsilon_2][g'(a) + \varepsilon_1]$$
$$= f'(b)g'(a) = f'(g(a))g'(a)$$

This proves the Chain Rule.

# 2.5 Exercises

**1–6** Write the composite function in the form f(g(x)). [Identify the inner function u = g(x) and the outer function y = f(u).] Then find the derivative dy/dx.

<b>1.</b> $y = \sqrt[3]{1 + 4x}$	<b>2.</b> $y = (2x^3 + 5)^4$
<b>3.</b> $y = \tan \pi x$	$4. \ y = \sin(\cot x)$
5. $y = \sqrt{\sin x}$	6. $y = \sin \sqrt{x}$

- > 5

2 100

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**7–46** Find the derivative of the function.

 $\mathbf{r}(\mathbf{r}) = (\mathbf{r} + \mathbf{r})^2$ 

7. $F(x) = (x^4 + 3x^2 - 2)^5$	8. $F(x) = (4x - x^2)^{100}$
<b>9.</b> $F(x) = \sqrt{1 - 2x}$	<b>10.</b> $f(x) = \frac{1}{(1 + \sec x)^2}$
<b>11.</b> $f(z) = \frac{1}{z^2 + 1}$	<b>12.</b> $f(t) = \sqrt[3]{1 + \tan t}$
<b>13.</b> $y = \cos(a^3 + x^3)$	<b>14.</b> $y = a^3 + \cos^3 x$
<b>15.</b> $y = x \sec kx$	<b>16.</b> $y = 3 \cot n\theta$
<b>17.</b> $f(x) = (2x - 3)^4 (x^2 + x + 1)^4$	) <sup>5</sup>
<b>18.</b> $g(x) = (x^2 + 1)^3(x^2 + 2)^6$	
<b>19.</b> $h(t) = (t + 1)^{2/3} (2t^2 - 1)^3$	<b>20.</b> $F(t) = (3t - 1)^4 (2t + 1)^{-3}$
<b>21.</b> $y = \left(\frac{x^2 + 1}{x^2 - 1}\right)^3$	<b>22.</b> $f(s) = \sqrt{\frac{s^2 + 1}{s^2 + 4}}$
<b>23.</b> $y = \sin(x \cos x)$	<b>24.</b> $f(x) = \frac{x}{\sqrt{7 - 3x}}$
<b>25.</b> $F(z) = \sqrt{\frac{z-1}{z+1}}$	<b>26.</b> $G(y) = \frac{(y-1)^4}{(y^2+2y)^5}$
<b>27.</b> $y = \frac{r}{\sqrt{r^2 + 1}}$	$28. \ y = \frac{\cos \pi x}{\sin \pi x + \cos \pi x}$
<b>29.</b> $y = \sin \sqrt{1 + x^2}$	$30. \ F(v) = \left(\frac{v}{v^3 + 1}\right)^6$
<b>31.</b> $y = \sin(\tan 2x)$	<b>32.</b> $y = \sec^2(m\theta)$
<b>33.</b> $y = \sec^2 x + \tan^2 x$	<b>34.</b> $y = x \sin \frac{1}{x}$
<b>35.</b> $y = \left(\frac{1 - \cos 2x}{1 + \cos 2x}\right)^4$	<b>36.</b> $f(t) = \sqrt{\frac{t}{t^2 + 4}}$
<b>37.</b> $y = \cot^2(\sin \theta)$	<b>38.</b> $y = (ax + \sqrt{x^2 + b^2})^{-2}$
<b>39.</b> $y = [x^2 + (1 - 3x)^5]^3$	<b>40.</b> $y = \sin(\sin(\sin x))$
<b>41.</b> $y = \sqrt{x + \sqrt{x}}$	<b>42.</b> $y = \sqrt{x + \sqrt{x + \sqrt{x}}}$
<b>43.</b> $g(x) = (2r \sin rx + n)^p$	<b>44.</b> $y = \cos^4(\sin^3 x)$
<b>45.</b> $y = \cos\sqrt{\sin(\tan \pi x)}$	<b>46.</b> $y = [x + (x + \sin^2 x)^3]^4$

47–50 Find the first and second derivatives of the function.

<b>47.</b> $y = \cos(x^2)$	<b>48.</b> $y = \cos^2 x$
<b>49.</b> $H(t) = \tan 3t$	<b>50.</b> $y = \frac{4x}{\sqrt{x+1}}$

**51–54** Find an equation of the tangent line to the curve at the given point.

<b>51.</b> $y = (1 + 2x)^{10}$ , (0, 1)	<b>52.</b> $y = \sqrt{1 + x^3}$ , (2, 3)
<b>53.</b> $y = \sin(\sin x), (\pi, 0)$	<b>54.</b> $y = \sin x + \sin^2 x$ , (0, 0)

- **55.** (a) Find an equation of the tangent line to the curve  $y = \tan(\pi x^2/4)$  at the point (1, 1).
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.
- **56.** (a) The curve  $y = |x|/\sqrt{2 x^2}$  is called a *bullet-nose curve*. Find an equation of the tangent line to this curve at the point (1, 1).
- (b) Illustrate part (a) by graphing the curve and the tangent line on the same screen.

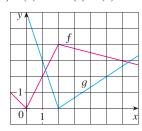
**57.** (a) If 
$$f(x) = x\sqrt{2 - x^2}$$
, find  $f'(x)$ .

- (b) Check to see that your answer to part (a) is reasonable by comparing the graphs of f and f'.
- **58.** The function  $f(x) = \sin(x + \sin 2x), 0 \le x \le \pi$ , arises in applications to frequency modulation (FM) synthesis.
  - (a) Use a graph of f produced by a graphing device to make a rough sketch of the graph of f'.
  - (b) Calculate f'(x) and use this expression, with a graphing device, to graph f'. Compare with your sketch in part (a).
  - 59. Find all points on the graph of the function  $f(x) = 2 \sin x + \sin^2 x$  at which the tangent line is horizontal.
  - **60.** Find the *x*-coordinates of all points on the curve  $y = \sin 2x 2 \sin x$  at which the tangent line is horizontal.
  - **61.** If F(x) = f(g(x)), where f(-2) = 8, f'(-2) = 4, f'(5) = 3, g(5) = -2, and g'(5) = 6, find F'(5).
  - **62.** If  $h(x) = \sqrt{4 + 3f(x)}$ , where f(1) = 7 and f'(1) = 4, find h'(1).
  - **63.** A table of values for f, g, f', and g' is given.

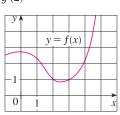
x	f(x)	g(x)	f'(x)	g'(x)
1	3	2	4	6
2	1	8	5	7
3	7	2	7	9

(a) If h(x) = f(g(x)), find h'(1).
(b) If H(x) = g(f(x)), find H'(1).

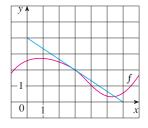
- 64. Let f and g be the functions in Exercise 63.
  (a) If F(x) = f(f(x)), find F'(2).
  (b) If G(x) = q(q(x)), find G'(3).
- 65. If f and g are the functions whose graphs are shown, let u(x) = f(g(x)), v(x) = g(f(x)), and w(x) = g(g(x)). Find each derivative, if it exists. If it does not exist, explain why.
  (a) u'(1)
  (b) v'(1)
  (c) w'(1)



- **66.** If f is the function whose graph is shown, let h(x) = f(f(x)) and  $g(x) = f(x^2)$ . Use the graph of f to estimate the value of each derivative.
  - (a) h'(2) (b) g'(2)



**67.** If  $g(x) = \sqrt{f(x)}$ , where the graph of *f* is shown, evaluate g'(3).



- 68. Suppose f is differentiable on R and α is a real number. Let F(x) = f(x<sup>α</sup>) and G(x) = [f(x)]<sup>α</sup>. Find expressions for (a) F'(x) and (b) G'(x).
- **69.** Let r(x) = f(g(h(x))), where h(1) = 2, g(2) = 3, h'(1) = 4, g'(2) = 5, and f'(3) = 6. Find r'(1).
- **70.** If g is a twice differentiable function and  $f(x) = xg(x^2)$ , find f'' in terms of g, g', and g''.
- **71.** If F(x) = f(3f(4f(x))), where f(0) = 0 and f'(0) = 2, find F'(0).
- **72.** If F(x) = f(xf(xf(x))), where f(1) = 2, f(2) = 3, f'(1) = 4, f'(2) = 5, and f'(3) = 6, find F'(1).

**73–74** Find the given derivative by finding the first few derivatives and observing the pattern that occurs.

**73.**  $D^{103} \cos 2x$  **74.**  $D^{35} x \sin \pi x$ 

- **75.** The displacement of a particle on a vibrating string is given by the equation  $s(t) = 10 + \frac{1}{4}\sin(10\pi t)$  where *s* is measured in centimeters and *t* in seconds. Find the velocity of the particle after *t* seconds.
- 76. If the equation of motion of a particle is given by s = A cos(ωt + δ), the particle is said to undergo *simple harmonic motion*.
  (a) Find the velocity of the particle at time t.
  - (b) When is the velocity 0?
- 77. A Cepheid variable star is a star whose brightness alternately increases and decreases. The most easily visible such star is Delta Cephei, for which the interval between times of maximum brightness is 5.4 days. The average brightness of this star is 4.0 and its brightness changes by ±0.35. In view of these data, the brightness of Delta Cephei at time *t*, where *t* is measured in days, has been modeled by the function

$$B(t) = 4.0 + 0.35 \sin\left(\frac{2\pi t}{5.4}\right)$$

- (a) Find the rate of change of the brightness after *t* days.
- (b) Find, correct to two decimal places, the rate of increase after one day.
- **78.** In Example 4 in Section 1.3 we arrived at a model for the length of daylight (in hours) in Philadelphia on the *t*th day of the year:

$$L(t) = 12 + 2.8 \sin\left[\frac{2\pi}{365}(t - 80)\right]$$

Use this model to compare how the number of hours of daylight is increasing in Philadelphia on March 21 and May 21.

**79.** A particle moves along a straight line with displacement s(t), velocity v(t), and acceleration a(t). Show that

$$a(t) = v(t) \frac{dv}{ds}$$

Explain the difference between the meanings of the derivatives dv/dt and dv/ds.

- 80. Air is being pumped into a spherical weather balloon. At any time *t*, the volume of the balloon is V(t) and its radius is r(t).
  (a) What do the derivatives dV/dr and dV/dt represent?
  (b) Express dV/dt in terms of dr/dt.
- **CAS** 81. Computer algebra systems have commands that differentiate functions, but the form of the answer may not be convenient and so further commands may be necessary to simplify the answer.
  - (a) Use a CAS to find the derivative in Example 5 and compare with the answer in that example. Then use the simplify command and compare again.
  - (b) Use a CAS to find the derivative in Example 6. What happens if you use the simplify command? What happens if you use the factor command? Which form of the answer would be best for locating horizontal tangents?

CAS 82. (a) Use a CAS to differentiate the function

$$f(x) = \sqrt{\frac{x^4 - x + 1}{x^4 + x + 1}}$$

and to simplify the result.

- (b) Where does the graph of *f* have horizontal tangents?
- (c) Graph *f* and *f'* on the same screen. Are the graphs consistent with your answer to part (b)?
- 83. Use the Chain Rule to prove the following.(a) The derivative of an even function is an odd function.
  - (b) The derivative of an odd function is an even function.
- 84. Use the Chain Rule and the Product Rule to give an alternative proof of the Quotient Rule.
  [*Hint:* Write f(x)/g(x) = f(x)[g(x)]<sup>-1</sup>.]
- **85.** (a) If *n* is a positive integer, prove that

$$\frac{d}{dx}\left(\sin^n x \cos nx\right) = n\sin^{n-1}x\cos(n+1)x$$

- (b) Find a formula for the derivative of  $y = \cos^n x \cos nx$  that is similar to the one in part (a).
- 86. Suppose y = f(x) is a curve that always lies above the x-axis and never has a horizontal tangent, where f is differentiable everywhere. For what value of y is the rate of change of y<sup>5</sup> with respect to x eighty times the rate of change of y with respect to x?

**87.** Use the Chain Rule to show that if  $\theta$  is measured in degrees, then

$$\frac{d}{d\theta}(\sin\theta) = \frac{\pi}{180}\cos\theta$$

(This gives one reason for the convention that radian measure is always used when dealing with trigonometric functions in calculus: The differentiation formulas would not be as simple if we used degree measure.)

**88.** (a) Write  $|x| = \sqrt{x^2}$  and use the Chain Rule to show that

$$\frac{d}{dx}\left|x\right| = \frac{x}{\left|x\right|}$$

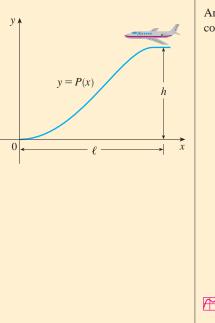
- (b) If f(x) = | sin x |, find f'(x) and sketch the graphs of f and f'. Where is f not differentiable?
- (c) If g(x) = sin |x|, find g'(x) and sketch the graphs of g and g'. Where is g not differentiable?
- **89.** If y = f(u) and u = g(x), where f and g are twice differentiable functions, show that

$$\frac{d^2y}{dx^2} = \frac{d^2y}{du^2} \left(\frac{du}{dx}\right)^2 + \frac{dy}{du} \frac{d^2u}{dx^2}$$

**90.** If y = f(u) and u = g(x), where f and g possess third derivatives, find a formula for  $d^3y/dx^3$  similar to the one given in Exercise 89.

## APPLIED PROJECT

# WHERE SHOULD A PILOT START DESCENT?



An approach path for an aircraft landing is shown in the figure and satisfies the following conditions:

- (i) The cruising altitude is *h* when descent starts at a horizontal distance  $\ell$  from touchdown at the origin.
- (ii) The pilot must maintain a constant horizontal speed v throughout descent.
- (iii) The absolute value of the vertical acceleration should not exceed a constant k (which is much less than the acceleration due to gravity).
- **1.** Find a cubic polynomial  $P(x) = ax^3 + bx^2 + cx + d$  that satisfies condition (i) by imposing suitable conditions on P(x) and P'(x) at the start of descent and at touchdown.
- 2. Use conditions (ii) and (iii) to show that

$$\frac{6hv^2}{\ell^2} \le k$$

- **3.** Suppose that an airline decides not to allow vertical acceleration of a plane to exceed  $k = 860 \text{ mi/h}^2$ . If the cruising altitude of a plane is 35,000 ft and the speed is 300 mi/h, how far away from the airport should the pilot start descent?
- 4. Graph the approach path if the conditions stated in Problem 3 are satisfied.

Graphing calculator or computer required

# 2.6 Implicit Differentiation

The functions that we have met so far can be described by expressing one variable explicitly in terms of another variable—for example,

$$y = \sqrt{x^3 + 1}$$
 or  $y = x \sin x$ 

or, in general, y = f(x). Some functions, however, are defined implicitly by a relation between x and y such as

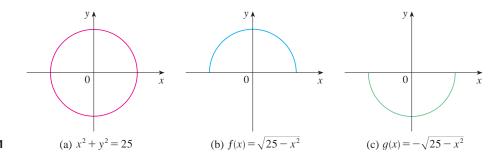
$$1 x^2 + y^2 = 25$$

or

2

$$x^3 + y^3 = 6xy$$

In some cases it is possible to solve such an equation for y as an explicit function (or several functions) of x. For instance, if we solve Equation 1 for y, we get  $y = \pm \sqrt{25 - x^2}$ , so two of the functions determined by the implicit Equation 1 are  $f(x) = \sqrt{25 - x^2}$  and  $g(x) = -\sqrt{25 - x^2}$ . The graphs of f and g are the upper and lower semicircles of the circle  $x^2 + y^2 = 25$ . (See Figure 1.)

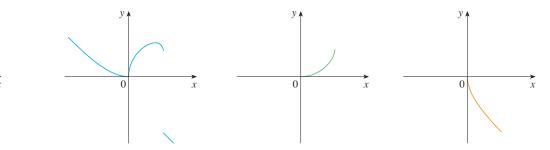


**FIGURE 1** 

It's not easy to solve Equation 2 for *y* explicitly as a function of *x* by hand. (A computer algebra system has no trouble, but the expressions it obtains are very complicated.) Nonetheless,  $\boxed{2}$  is the equation of a curve called the **folium of Descartes** shown in Figure 2 and it implicitly defines *y* as several functions of *x*. The graphs of three such functions are shown in Figure 3. When we say that *f* is a function defined implicitly by Equation 2, we mean that the equation

$$x^{3} + [f(x)]^{3} = 6xf(x)$$

is true for all values of x in the domain of f.



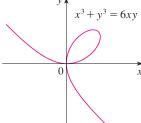


FIGURE 2 The folium of Descartes



Fortunately, we don't need to solve an equation for y in terms of x in order to find the derivative of y. Instead we can use the method of **implicit differentiation**. This consists of differentiating both sides of the equation with respect to x and then solving the resulting equation for y'. In the examples and exercises of this section it is always assumed that the given equation determines y implicitly as a differentiable function of x so that the method of implicit differentiation can be applied.

#### V EXAMPLE 1

- (a) If  $x^2 + y^2 = 25$ , find  $\frac{dy}{dx}$ .
- (b) Find an equation of the tangent to the circle  $x^2 + y^2 = 25$  at the point (3, 4).

#### **SOLUTION 1**

(a) Differentiate both sides of the equation  $x^2 + y^2 = 25$ :

$$\frac{d}{dx}(x^2 + y^2) = \frac{d}{dx}(25)$$
$$\frac{d}{dx}(x^2) + \frac{d}{dx}(y^2) = 0$$

Remembering that *y* is a function of *x* and using the Chain Rule, we have

$$\frac{d}{dx}(y^2) = \frac{d}{dy}(y^2)\frac{dy}{dx} = 2y\frac{dy}{dx}$$
$$2x + 2y\frac{dy}{dx} = 0$$

Thus

Now we solve this equation for 
$$dy/dx$$
:

$$\frac{dy}{dx} = -\frac{x}{y}$$

(b) At the point (3, 4) we have x = 3 and y = 4, so

$$\frac{dy}{dx} = -\frac{3}{4}$$

An equation of the tangent to the circle at (3, 4) is therefore

$$y - 4 = -\frac{3}{4}(x - 3)$$
 or  $3x + 4y = 25$ 

#### **SOLUTION 2**

(b) Solving the equation  $x^2 + y^2 = 25$ , we get  $y = \pm \sqrt{25 - x^2}$ . The point (3, 4) lies on the upper semicircle  $y = \sqrt{25 - x^2}$  and so we consider the function  $f(x) = \sqrt{25 - x^2}$ . Differentiating *f* using the Chain Rule, we have

$$f'(x) = \frac{1}{2}(25 - x^2)^{-1/2} \frac{d}{dx} (25 - x^2)$$
$$= \frac{1}{2}(25 - x^2)^{-1/2}(-2x) = -\frac{x}{\sqrt{25 - x^2}}$$