# **ALIPHATIC NUCLEOPHILIC SUBSTITUTION REACTIONS**

$$R \stackrel{\frown}{=} X + Y: \longrightarrow R - Y + X:$$

### Types of Nucelophilic Substitution Reactions

Type I 
$$R-I + OH^- \longrightarrow R-OH + I^-$$

Type II  $R-I + NMe_3 \longrightarrow R-NMe_3 + I^-$ 

Type III  $R-NMe_3 + OH^- \longrightarrow R-OH + NMe_3$ 

Type IV  $R-NMe_3 + H_2S \longrightarrow R-SH_2 + NMe_3$ 

## NUCLEOPHILIC SUBSTITUTION BIMOLECULAR REACTIONS (S<sub>N</sub>2)

#### 1. Kinetic Evidence

$$Rate = k[RX][Y]$$

Rate = k[RX] If nucleophile is in excess e.g. solvent (Pseudo-First Order Reaction)



rate =  $k_2$ [MeSNa][MeI]

If [MeSNa] is constant, the equation becomes

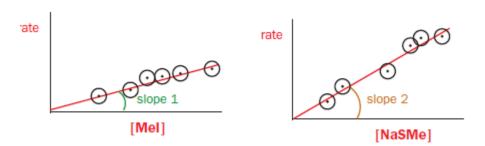
rate = 
$$k_a$$
[MeI] where  $k_a = k_2$ [MeSNa]

If [MeI] is constant, the equation becomes

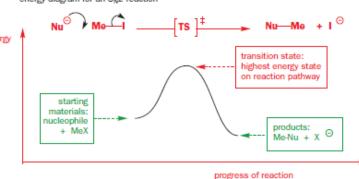
rate = 
$$k_b$$
[MeSNa] where  $k_b = k_2$ [MeI]

If you examine the graphs you will see that the slopes are different because

slope 
$$1 = k_a = k_2$$
[MeSNa], but slope  $2 = k_b = k_2$ [MeI]



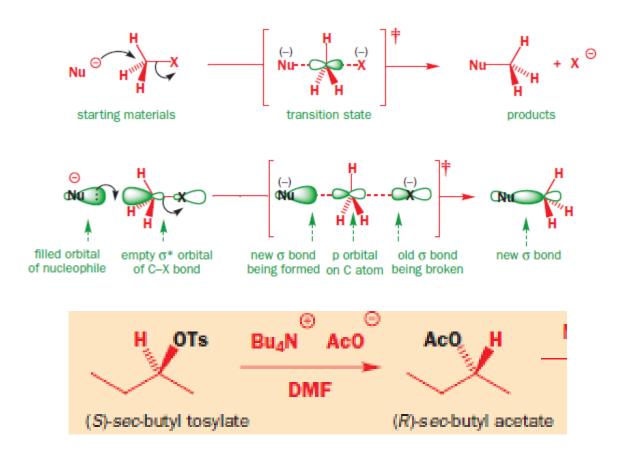
energy diagram for an S<sub>N</sub>2 reaction



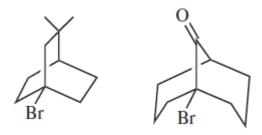
#### The rate of $S_N 2$ reaction depends upon

### 2. Stereochemical Evidence

• Inversion of Configuration occurs



## 3. S<sub>N</sub>2 reactions cannot occur at bridgehead



# **NUCLEOPHILIC SUBSTITUTION UNIMOLECULAR REACTIONS (S<sub>N</sub>1)**

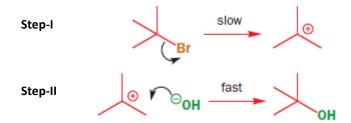
Step 1 
$$R - X \xrightarrow{slow} R^+ + X$$
Step 2  $R^+ + Y \xrightarrow{fast} R - Y$ 

#### 1. Kinetic Evidence

$$Rate = k[RX]$$



rate = 
$$k_1[t\text{-BuBr}]$$



$$RX \xrightarrow{k_1} R^+ + X$$

$$R^{+} + Y \xrightarrow{k_{2}} RY$$

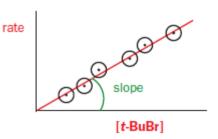
$$Rate = \frac{k_{1}k_{2} [RX][Y]}{k_{1}k_{2} [RX][Y]}$$

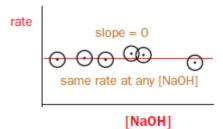
 $k_{-1}[X] + k_2[Y]$ 

(i) The carbon skeleton (Structure of substrate)

The rate of  $S_N 1$  reaction depends upon

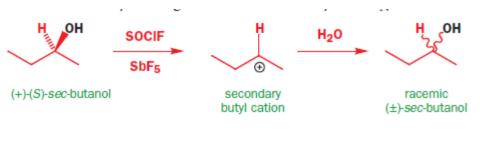
(ii) The leaving group

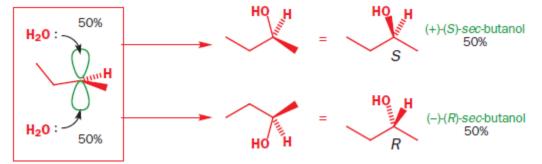




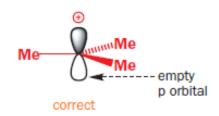
- If other than first step is the slow step then  $S_N 1$  reactions may show complex kinetics.
- The complex kinetics can be treated by Steady State Approximation for example.

#### 2. Stereochemical Evidence



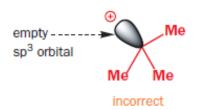


planar structure for the t-butyl cation



less repulsion between bonding pairs of electrons

tetrahedral structure for the t-butyl cation



more repulsion between bonding pairs of electrons

### Ion Paris in S<sub>N</sub>1 Mechanism

$$R^+X$$
  $\longrightarrow$   $R^+X^ \longrightarrow$   $R^+|_{X^-}$   $\longrightarrow$   $R^++X^-$  Contact Solvent separated Dissociated ion pair ion pair

Complete Racemization to Partial Racemization

### 3. S<sub>N</sub>1 reactions cannot occur at bridgehead



• It is because carbocation is  $sp^2$ -hybridized and therefore must be planar. However, planarity cannot be achieved at bridgehead

#### 4. Mass Law Effect or Common Ion Effect

Step 1 
$$R - X \xrightarrow{slow} R^+ + X$$

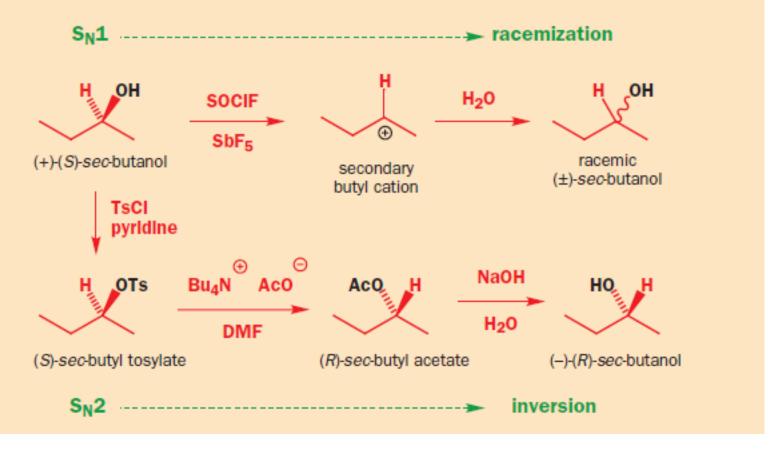
Step 2 
$$R^+ + Y \xrightarrow{\text{fast}} R - Y$$

- Adding a salt having common ion,  $X^-$ , would push the first step in backward direction.
- Hence, the rate of  $S_N 1$  reaction will decrease.

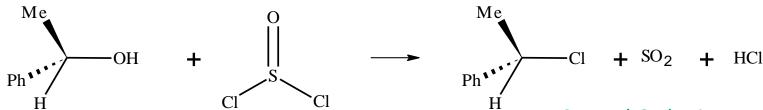
#### 5. Salt Effect

- Since polar ions are produced in this reaction, therefore, increase in polarity of solvent increases the rate of reaction.
- The ionic strength or the polarity of the medium can be increased by adding salts that don't have common ion.

 The S<sub>N</sub>2 reaction goes with inversion of configuration at the carbon atom under attack but the S<sub>N</sub>1 reaction generally goes with racemization

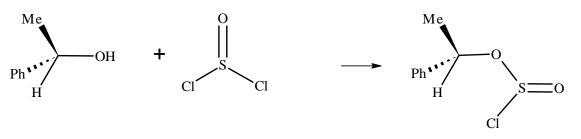


# **NUCLEOPHILIC SUBSTITUTION INTERNAL REACTIONS (S<sub>N</sub>i)**

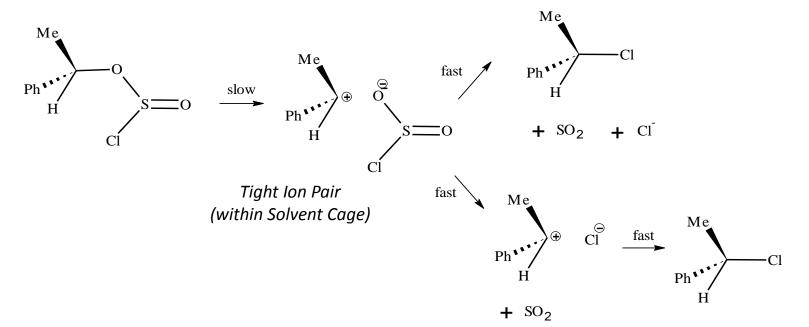


- Second Order i.e. Rate = k(ROH)[SOCl<sub>2</sub>]
- Retention of Configuration

#### The Mechanism



- Reaction of ROH and SOCl<sub>2</sub> is second order
- Simple heating of ROSOCI is first order



• Adding pyridine shifts the reaction to normal  $S_N 2$  mechanism.

$$Cl^{\Theta} + Ph \qquad C-OSCl \rightarrow \begin{bmatrix} Me & O \\ S^{-} & Me \\ Cl & OSCl \end{bmatrix} \xrightarrow{A} Cl-C \qquad H + SO_{2} + Cl^{\Theta}$$

- Second Order i.e. Rate = k(ROH)[SOCl<sub>2</sub>]
- Inversion of Configuration

$$ROSOCI + C_5H_5N \rightarrow ROSONC_5H_5CI \rightarrow ROSONC_5H_5 + CI_{Free nucleophile}$$

## Nucleophilic Substitution at an Allylic Carbon: Allylic Rearrangements

 $S_N 1'$ 

$$R \xrightarrow{Y} R \xrightarrow{Y} + R \xrightarrow{Y} (S_{N}1)$$

$$Y \xrightarrow{\uparrow} \qquad Y \xrightarrow{\uparrow}$$

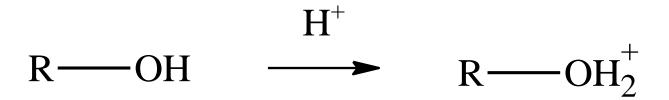
$$R \xrightarrow{\Psi} X \qquad \Longrightarrow \qquad R \xrightarrow{\oplus} \qquad \Longrightarrow \qquad R \xrightarrow{\oplus}$$

Ion Pairs in S<sub>N</sub>1'

**S**<sub>N</sub>2'

S<sub>N</sub>i'

# S<sub>N</sub>1cA or A1 mechanism & S<sub>N</sub>2cA or A2 mechanism



- The First step above incorporate the symbol cA (conjugate acid)
- The next step will decide whether it is  $S_N 1$  or  $S_N 2$  mechanism