

# Eigenvalues and Eigenfunctions of an operator (11)

In general, when an operator  $\hat{A}$  operates upon a function, a different function is obtained,

$$\hat{A}\psi = \phi$$

But there may be some function  $\psi$  with the property

$$\hat{A}\psi = \lambda\psi \quad \text{--- (*)}$$

where  $\lambda$  is a scalar. In this case the action of  $\hat{A}$  on  $\psi$  yields the same function  $\psi$  multiplied by a scalar  $\lambda$ . The function  $\psi$  is then called an eigenfn of the operator  $\hat{A}$  belonging to the eigenvalue  $\lambda$ . The equation (\*) is referred to as the eigenvalue equation. Examples are

$$\frac{d}{dx}(e^{kx}) = \alpha(e^{kx})$$

$$\frac{d^2}{dx^2} \sin 4x = -16 \sin 4x.$$

## Degenerate Eigenvalues;

In general, an operator can have several eigenvalues and eigenfunctions

$$\hat{A} \Psi_n = \lambda_n \Psi_n$$

The set  $\{\lambda_n\}$  of all the eigenvalues  $\hat{A}$  is called the spectrum of the operator. When an operator acts on several linearly independent functions and give the eigenvalue  $\lambda$ , then  $\lambda$  is called a degenerate eigenvalue and the number of linearly independent eigenfunctions is called the degree of degeneracy.

Example:

$$\frac{d^2}{dx^2} \cos ax = -a^2 \cos ax, \quad \frac{d^2}{dx^2} \sin ax = -a^2 \sin ax$$

## Simultaneous Eigenfunctions:

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Let  $\hat{A}$  and  $\hat{B}$  be two operators. An eigenfunction  $\psi$  is said to be a simultaneous eigenfunction of  $\hat{A}$  and  $\hat{B}$  if

$$\hat{A}\psi = \lambda\psi$$

$$\hat{B}\psi = \mu\psi$$

If there exists a complete set of simultaneous eigenfunctions of two linearly operators, then the operators are said to be compatible. The operators which are not compatible are known as incompatible operators.

Theorem: If two operators are compatible, then they commute with each other.

Proof: Let  $\hat{A}$  and  $\hat{B}$  be two compatible operators:

$$\hat{A}\psi = \lambda\psi$$

$$\hat{B}\psi = \mu\psi$$

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Now

$$\hat{A}\hat{B}\psi = \hat{A}\mu\psi = \mu\hat{A}\psi = \mu\lambda\psi$$

$$\hat{B}\hat{A}\psi = \lambda\psi = \lambda\hat{B}\psi = \mu\lambda\psi$$

$$\Rightarrow (\hat{A}\hat{B} - \hat{B}\hat{A})\psi = 0$$

Since this is true for all eigenfunctions  
therefore

$$(\hat{A}\hat{B} - \hat{B}\hat{A}) = 0, \quad \psi \neq 0$$

$$\Rightarrow [\hat{A}, \hat{B}] = 0$$

Hence compatible operators commute  
with each other.

Theorem: The eigenvalues of a Hermitian operator are real.

Proof: Let  $\hat{A}$  be a Hermitian operator

$$\int \hat{A}^* \psi^* \psi dx = \int \psi^* \hat{A} \psi dx$$

Let  $\psi$  be an eigenfunction of  $\hat{A}$ ,  
then

$$\hat{A}\psi = \lambda\psi \quad \text{--- (1)}$$

Multiplying by  $\psi^*$  from left side and integrating over the whole space

$$\int \psi^* \hat{A} \psi dx = \lambda \int \psi^* \psi dx$$

$$= \lambda \quad \text{--- (2)}$$

Now take complex conjugate of equ (1)

$$\hat{A}^* \psi^* = \lambda^* \psi^*$$

Multiplying by  $\psi$  from right side and integrating over the whole space

$$\int \psi \hat{A}^* \psi^* dx = \lambda^* \int \psi \psi^* dx$$

$$\int \psi \hat{A}^* \psi^* dx = \lambda^* \int \psi \psi^* dx$$

Since  $\hat{A}$  is Hermitian,  $\hat{A}^* = \hat{A}$ , we may write

$$\int \psi^* \hat{A} \psi dx = \lambda^* \quad \text{--- (3)}$$

By comparing equation (2) and (3),

$$\Rightarrow \lambda = \lambda^*$$

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Hence, eigenvalues of corresponding a Hermitian operator are real.

Theorem: The total probability density of a wavefunction is constant if, and only if the Hamiltonian of the system is Hermitian.

Proof:

Let the total probability be  $\rho$

i.e.

$$\frac{d}{dt} \left( \int \psi^* \psi dx \right) = 0$$

or.

$$\int \left( \frac{\partial \psi^*}{\partial t} \psi + \psi^* \frac{\partial \psi}{\partial t} \right) dx = 0 \quad \text{--- (1)}$$

The Schrodinger equation gives

$$i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi$$

$$\frac{\partial \psi}{\partial t} = -i \left( \frac{\hat{H}}{\hbar} \right) \psi$$

By taking the complex conjugate of the Schrodinger equation, we get

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$$\frac{\partial \psi^*}{\partial t} = i \left( \frac{\hat{H}^*}{\hbar} \right) \psi^*$$

Now the equation (1) can be expressed as.

$$\frac{i}{\hbar} \int \hat{H}^* \psi^* \psi \, dx - \frac{i}{\hbar} \int \psi^* \hat{H} \psi \, dx = 0$$

$$\Rightarrow \frac{i}{\hbar} \int \psi^* \hat{H}^t \psi \, dx - \frac{i}{\hbar} \int \psi^* \hat{H} \psi \, dx = 0$$

Since this is true for any  $\psi$ , therefore

$$\boxed{\hat{H}^t = \hat{H}}$$

i.e. for total probability to be constant,  
the Hamiltonian operator is Hermitian.

Converse:

Let the Hamiltonian be Hermitian.

$$\hat{H}^t = \hat{H}$$

i.e

$$\int \hat{H}^* \psi^* \psi \, dx = \int \psi^* \hat{H} \psi \, dx \quad \text{--- (1)}$$

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The Schrodinger equation is

$$\hat{H}\psi = i\hbar \frac{\partial \psi}{\partial t}$$

Complex conjugation gives

$$\hat{H}^* \psi^* = -i\hbar \frac{\partial \psi^*}{\partial t}$$

Equ: (1) now can be written as

$$-i\hbar \int \frac{\partial \psi^*}{\partial t} \psi dx = i\hbar \int \psi^* \frac{\partial \psi}{\partial t} dx$$

$$\Rightarrow i\hbar \left( \int \left( \frac{\partial \psi^*}{\partial t} \psi + \psi^* \frac{\partial \psi}{\partial t} \right) dx \right) = 0$$

$$\Rightarrow \frac{d}{dt} \left( \int \psi^* \psi dx \right) = 0.$$

Hence, the total probability is constant.

Theorem: The product of two commutative Hermitian operators is Hermitian.

Proof: Let  $\hat{A}$  and  $\hat{B}$  be two commutative Hermitian operators, then

$$\int (\hat{A}\hat{B})^* \psi^* \psi dx = \int \psi^* (\hat{A}\hat{B})^{\dagger} \psi dx$$



$$\begin{aligned}
&= \int \phi^* \hat{B}^\dagger \hat{A}^\dagger \psi dx && \text{because} \\
&= \int \phi^* \hat{B} \hat{A} \psi dx && (\hat{A} \hat{B})^\dagger = \hat{B}^\dagger \hat{A}^\dagger \\
&= \int \phi^* \hat{A} \hat{B} \psi dx && \hat{A}^\dagger = \hat{A} \\
&= \int \phi^* (\hat{A} \hat{B}) dx && \hat{B}^\dagger = \hat{B} \\
& && \therefore [\hat{A}, \hat{B}] = 0 \\
& && \hat{A} \hat{B} = \hat{B} \hat{A}
\end{aligned}$$

Hence  $(\hat{A} \hat{B})$  is Hermitian.

Theorem: The eigenfunctions of a Hermitian operator corresponding to different eigenvalues are mutually orthogonal.

Proof: Let  $\lambda_m$  and  $\lambda_n$  be two different sets of eigenvalue for Hermitian operator  $\hat{A}$  i.e.

$$\begin{aligned}
\hat{A} \psi_m &= \lambda_m \psi_m \quad \text{--- (1)} \\
\hat{A} \psi_n &= \lambda_n \psi_n \quad \text{--- (2)}
\end{aligned}$$

By definition

$$\int \hat{A}^\dagger \psi_m^* \psi_n dx = \int \psi_m^* \hat{A} \psi_n dx$$

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Taking complex conjugate on both sides

$$\int \hat{A} \psi_m \psi_n^* dx = \int \psi_m (\hat{A} \psi_n)^* dx$$

Now making use of (1) and (2)

$$\lambda_m \int \psi_m \psi_n^* dx = \lambda_n^* \int \psi_m \psi_n^* dx$$

$$(\lambda_m - \lambda_n^*) \int \psi_m \psi_n^* dx = 0$$

$$(\lambda_m - \lambda_n) \int \psi_m \psi_n^* dx = 0 \quad \text{since } \lambda_n^* = \lambda_n \text{ for Hermitian operators}$$

As  ~~$(\lambda_m - \lambda_n)$~~   $\lambda_m$  and  $\lambda_n$  are different, so

$$(\lambda_m - \lambda_n) \neq 0$$

$$\Rightarrow \int \psi_m \psi_n^* dx = 0$$

Hence  $\psi_m$  and  $\psi_n$  are orthogonal

Theorem: If  $\lambda$  is degenerate eigenvalue of a Hermitian operator corresponding to linearly independent eigenfunctions  $\psi_1, \psi_2, \dots, \psi_n$ , then every linear combination of the same operator corresponding to same eigenvalue.

Proof.  $\rightarrow$  Let  $\hat{A}$  be a Hermitian operator such that

$$\hat{A} \psi_1 = \lambda \psi_1$$

$$\hat{A} \psi_2 = \lambda \psi_2$$

$$\vdots$$

$$\hat{A} \psi_n = \lambda \psi_n$$

Let us take a linear combination of

$$\left\{ \psi_i \mid i = 1, 2, \dots, n \right\} :$$

$$\underline{\Psi} = \sum_{i=1}^n a_i \psi_i$$

Now

$$\begin{aligned} \hat{A} \underline{\Psi} &= \hat{A} \left( \sum_{i=1}^n a_i \psi_i \right) \\ &= \sum_{i=1}^n a_i \hat{A} \psi_i \end{aligned}$$

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$$\hat{A}\Psi = \lambda \left( \sum_{i=1}^n a_i \Psi_i \right)$$

$$\boxed{\hat{A}\Psi = \lambda\Psi}$$

Hence,  $\Psi$  is also an eigenfunction of  $\hat{A}$  with eigenvalue  $\lambda$ .

### The Fundamental Commutation Relation

The classical motion of a particle described in terms of position coordinate " $x$ " and momentum " $P_x$ " along  $x$ -axis.

In quantum mechanics these observables are replaced with operators

$$x \longrightarrow \hat{x}$$

$$P_x \longrightarrow -i\hbar \frac{\partial}{\partial x}$$

The commutator of operators  $\hat{x}$  and momentum " $P_x$ " along  $x$ -direction. In quantum mechanics these observables replaced with operators, is called the fundamental (or canonical) commutation relation.

$$[\hat{x}, \hat{p}_x] = i\hbar$$

Similarly

$$[\hat{y}, \hat{p}_y] = i\hbar$$

$$[\hat{z}, \hat{p}_z] = i\hbar.$$

### Standard Deviation:

Let us suppose that we have different measurements of the value of  $x$  i.e.

$x_1, x_2, \dots, x_N$  for  $N$  measurements.

The average value of these measurements is

$$\bar{x} = \frac{x_1 + x_2 + \dots + x_N}{N}$$

then the deviation of  $\bar{x}$  from individual measurements are

$$(x_1 - \bar{x})$$

$$(x_2 - \bar{x})$$

$$\vdots$$

$$(x_N - \bar{x})$$

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Clearly the average of these deviations

is zero

i.e.

$$\frac{(x_1 - \bar{x}) + (x_2 - \bar{x}) + \dots + (x_N - \bar{x})}{N}$$

$$= \frac{(x_1 + x_2 + \dots + x_N) - N\bar{x}}{N}$$

$$= \bar{x} - \bar{x} = 0$$

But the mean square of deviations is in general non-zero.

Now define  $\sigma_x$  as positive square root of

$$(\sigma_x)^2 = \frac{(x_1 - \bar{x})^2 + (x_2 - \bar{x})^2 + \dots + (x_N - \bar{x})^2}{N}$$

$$(\sigma_x)^2 = \frac{x_1^2 + x_2^2 + \dots + x_N^2}{N} + \frac{N(\bar{x})^2}{N} - \frac{2\bar{x}(x_1 + x_2 + \dots + x_N)}{N}$$

$$(\Delta x)^2 = \overline{x^2} + (\overline{x})^2 + 2(\overline{x})^2$$

$$\boxed{(\Delta x)^2 = \overline{x^2} - (\overline{x})^2}$$

In quantum mechanics, the deviation of a reading of an observable  $\hat{A}$  from the the expectation value  $\langle \hat{A} \rangle$  is represented by an operator

$$\hat{A} - \langle \hat{A} \rangle = \Delta \hat{A}$$

The average of this is zero, i.e.

$$\langle \hat{A} - \langle \hat{A} \rangle \rangle = \langle \hat{A} \rangle - \langle \hat{A} \rangle = 0$$

The mean square of the deviation is

$$\begin{aligned}
(\Delta A)^2 &= \langle (\hat{A} - \langle \hat{A} \rangle)^2 \rangle \\
&= \langle \hat{A}^2 - 2\langle \hat{A} \rangle \hat{A} + \langle \hat{A} \rangle^2 \rangle \\
&= \langle \hat{A}^2 \rangle - 2\langle \hat{A} \rangle \langle \hat{A} \rangle + \langle \hat{A} \rangle^2 \\
&= \langle \hat{A}^2 \rangle - 2\langle \hat{A} \rangle^2 + \langle \hat{A} \rangle^2 \\
&= \langle \hat{A}^2 \rangle - \langle \hat{A} \rangle^2
\end{aligned}$$

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If every measurement of  $A$  gives  $\langle A \rangle$  then  $\Delta A = 0$ , but if measurements of  $A$  are statistically distributed, then  $\Delta A$  is positive and is a measure of the width of the distribution, and is known as the standard deviation of the values of  $A$ . In quantum mechanics  $\Delta A$  represents the uncertainty in the measurement of  $A$ .

### Uncertainty Relation between Two operators:

If  $\hat{A}$  and  $\hat{B}$  are two non-commuting Hermitian operators i.e.

$$[\hat{A}, \hat{B}] = i\hat{C}$$

Then the uncertainties in  $\hat{A}$  and  $\hat{B}$  are related by

$$\Delta \hat{A} \Delta \hat{B} \geq \frac{1}{2} |\langle \hat{C} \rangle|$$

where  $\langle \hat{C} \rangle$  is the expectation value of  $\hat{C}$ .



Proof:

Let us define an operator

$$G = A + \lambda B + i\mu B$$

where  $\lambda, \mu$  are arbitrary real numbers

The inner product  $(G\psi, G\psi)$  is the norm of the function  $G\psi$ , therefore is +ve definite.

$$\int (G\psi)^* G\psi \, dx \geq 0$$

$\Rightarrow$

$$\int (A + \lambda B + i\mu B)^* \psi^* (A + \lambda B + i\mu B) \psi \, dx$$

$$= \int (A^* + \lambda B^* - i\mu B^*) \psi^* (A + \lambda B + i\mu B) \psi \, dx$$

since  $\lambda^* = \lambda$   
 $\mu^* = \mu$

$$= \int \psi^* (A^* + \lambda B^* - i\mu B^*) (A + \lambda B + i\mu B) \psi \, dx$$

$$= \int \psi^* (A + \lambda B - i\mu B) (A + \lambda B + i\mu B) \psi \, dx$$

$$\therefore A^* = A$$

$$B^* = B$$

Hermitian.

$$19-a = \int \psi^\dagger \left( A^2 + \lambda AB + \lambda BA + \lambda^2 B + i\mu B^2 - \lambda BA - i\lambda\mu B^2 - i^2\mu^2 B^2 \right) \psi dx$$

$$= \int \psi^\dagger \left( A^2 + \lambda \{A, B\} + i\mu [A, B] + (\lambda^2 + \mu^2) B^2 \right) \psi dx$$

$$= \int \psi^\dagger \left( A^2 + \lambda C' - \mu C + (\lambda^2 + \mu^2) B^2 \right) \psi dx$$

where  $C' = AB + BA = \{A, B\}$   
 Classical Poisson's bracket

$$iC = AB - BA = [A, B]$$

↓ Commutator

$$i^2 C = i[A, B]$$

$$-C = i[A, B]$$

⇒

$$\langle A^2 \rangle + (\lambda^2 + \mu^2) \langle B^2 \rangle + \lambda \langle C' \rangle - \mu \langle C \rangle$$

If  $B\psi \neq 0$ , the expression can be written as

$$\langle A^2 \rangle + \langle B^2 \rangle \left( \lambda + \frac{1}{2} \frac{\langle C' \rangle}{\langle B^2 \rangle} \right)^2 + \langle B^2 \rangle \left( \mu - \frac{1}{2} \frac{\langle C' \rangle}{\langle B^2 \rangle} \right)^2 - \frac{1}{4} \frac{\langle C' \rangle^2}{\langle B^2 \rangle} - \frac{1}{4} \frac{\langle C \rangle^2}{\langle B^2 \rangle} \geq 0$$

This inequality holds for every value of  $\lambda$  and  $\mu$ . We can choose  $\lambda$  and  $\mu$  in such a way that

$$\lambda + \frac{1}{2} \frac{\langle C' \rangle}{\langle B^2 \rangle} = 0$$

$$\mu - \frac{1}{2} \frac{\langle C' \rangle}{\langle B^2 \rangle} = 0$$

In this case

$$\langle A^2 \rangle \langle B^2 \rangle$$

$$\begin{aligned} \langle A^2 \rangle \langle B^2 \rangle &\geq \frac{1}{4} (\langle C \rangle^2 + \langle C' \rangle^2) \\ &\geq \frac{1}{4} \langle C \rangle^2 \end{aligned}$$

The uncertainty principle is a relation b/w standard deviation defined as

$$(\Delta A)^2 = \langle (A - \langle A \rangle)^2 \rangle$$

Now replace  $= \langle A^2 \rangle - \langle A \rangle^2$

$$A \longrightarrow A - \langle A \rangle$$

$$B \longrightarrow B - \langle B \rangle$$

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The new  $A$  and  $B$  obey the same commutation relation

$$[A, B] = iC$$

$$\Rightarrow \boxed{\Delta A \Delta B \geq \frac{1}{2} |\langle C \rangle|}$$

This uncertainty relation plays an important role in the formalism of quantum mechanics. Its application to position and momentum operators lead to the Heisenberg uncertainty relation which represent one of the pillars of quantum mechanics.

### Dirac Notation

The vectors of function space are denoted by  $| \rangle$ , called the ket vectors or simply kets. The state vector  $\phi_n$  is expressed as  $|\phi_n\rangle$  or as  $|n\rangle$  where the label "n" represents one of

more quantum numbers. All the ket vectors of a system form a linear vector space called the ket-space. The scalar product of two state-vectors  $\phi_m$  and  $\phi_n$  denoted by the ket  $|\phi_m\rangle$  and  $|\phi_n\rangle$ , respectively is

$$(\phi_m, \phi_n) = \langle \phi_m | \phi_n \rangle$$

where  $\langle \phi_m |$  is called the bra-vector corresponding to the ket vector  $|\phi_n\rangle$ .


All the bra-vectors of a system form a linear vector space called the bra-space.

The operator equation is

$$A|\psi\rangle = |\chi\rangle$$

i.e. the operation of A on a ket from the left produces another ket.

Dual space of a vector space is the space of all linear functions on dual space.

$$y = f(x) = ax + bx^2$$


↳ also called dual space  
 also called Hilbert space

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The expectation value of any operator  $A$  in the state  $|\psi\rangle$  is

$$\langle A \rangle = \langle \psi | A | \psi \rangle$$

If  $A$  operated on a ket  $|\psi\rangle$  from left such that

$$A|\psi\rangle = \lambda|\psi\rangle$$

then  $|\psi\rangle$  is said to be an eigenket of the operator  $A$  corresponding to the eigenvalue  $\lambda$ .

Let  $\{|\phi_n\rangle\}$  be an orthonormal set of eigenkets i.e.

$$\langle \phi_m | \phi_n \rangle = \delta_{mn}$$

The set is complete if

$$|\psi\rangle = \sum_n a_n |\phi_n\rangle = \sum_n |\phi_n\rangle a_n$$

where

$$a_n = \langle \phi_n | \psi \rangle$$

The state  $|\psi\rangle$  can also be expressed as (22)

$$|\psi\rangle = \sum_n |\phi_n\rangle \langle \phi_n | \psi \rangle$$

$\underbrace{\hspace{10em}}_{\rightarrow \sum_n |\phi_n\rangle \langle \phi_n| = 1}$

The inner product of a vector with itself is positive definite

$$\langle \psi | \psi \rangle = \left\langle \sum_n a_n \phi_n \left| \sum_m a_m \phi_m \right. \right\rangle$$

$$= \sum_{n,m} a_n^* a_m \langle \phi_n | \phi_m \rangle$$

$$= \sum_{n,m} a_n^* a_m \delta_{nm}$$

$$= \sum_n |a_n|^2 \geq 0, \quad n=m$$

Let us consider the eigenket equation

$$A|\phi_n\rangle = \lambda_n |\phi_n\rangle$$

The equivalent eigenbra equation is

$$\langle \phi_n | A^\dagger = \lambda_n \langle \phi_n |$$

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The expectation value of  $A$  in the  $n$ -th state is

$$\langle A \rangle = \langle \phi_n | A | \phi_n \rangle = \lambda_n \langle \phi_n | \phi_n \rangle$$

$$= \lambda_n$$

$$\langle A \rangle = \langle \phi_n | A | \phi_n \rangle = \lambda_n \langle \phi_n | \phi_n \rangle$$

$$= \lambda_n$$

If  $\lambda_n$  is real, the two results can be combined together.

$$\langle \phi_n | A | \phi_n \rangle = \lambda_n$$

in the basis  $\{ | \phi_n \rangle \}$

$$\langle \phi_m | \phi_n \rangle = \delta_{mn}$$

$$\sum_n | \phi_n \rangle \langle \phi_n | = \hat{1}$$

(Completeness)



Let us look at the expression

$$\langle \chi | A | \psi \rangle = \langle \chi | \hat{I} A \hat{I} | \psi \rangle$$

$$= \sum_{n,m} \langle \chi | \phi_n \rangle \langle \phi_n | A | \phi_m \rangle \langle \phi_m | \psi \rangle$$

$$= \sum_{n,m} b_n^* A_{nm} a_m$$

$$= \begin{pmatrix} b_1^* & b_2^* & \dots \end{pmatrix} \begin{pmatrix} A_{11} & A_{12} & \dots \\ A_{21} & A_{22} & \dots \\ \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \end{pmatrix}$$

$$= \begin{pmatrix} b_1^* & b_2^* & \dots \end{pmatrix} \begin{pmatrix} A_{11} a_1 + A_{12} a_2 + \dots \\ A_{21} a_1 + A_{22} a_2 + \dots \\ \vdots \end{pmatrix}$$

= number.

$$|\psi\rangle = \sum |\psi\rangle = \sum_{\Phi_n} |\Phi_n\rangle \langle \Phi_n | \psi \rangle$$

$$= \sum_{\Phi_n} a_n |\Phi_n\rangle$$

