

**Definition 2.4** Given  $\epsilon > 0$ , a metric space  $(X, \rho)$  is said to be  $\epsilon$ -chainable if and only if given  $u, v \in X$  there is an  $\epsilon$ -chain from  $u$  and  $v$ , i.e., there exists a finite set of points  $x_0, x_1, \dots, x_n$  with  $u = x_0$  and  $v = x_n$  such that  $\rho(x_{i-1}, x_i) < \epsilon$  for all  $i = 1, 2, \dots, n$ .

A set-valued mapping  $T : (X, \rho) \rightarrow CB(X)$  is said to be  $(\epsilon, \alpha)$ -uniformly locally contractive with  $\epsilon > 0$  and  $0 < \alpha < 1$  provided that  $h(T(x), T(y)) \leq \alpha\rho(x, y)$  whenever  $x, y \in X$  with  $\rho(x, y) < \epsilon$ .

**Theorem 2.29** Let  $(X, \rho)$  be a complete  $\epsilon$ -chainable metric space and  $T : X \rightarrow K(X)$  an  $(\epsilon, \alpha)$ -uniformly locally contractive set-valued mapping. Then  $T$  has a fixed point.

**Proof.** For any  $x, y \in X \times X$ , we define

$$\rho_\epsilon(x, y) = \inf \left\{ \sum_{i=1}^n \rho(x_{i-1}, x_i) : x_0 = x, x_1, \dots, x_n = y \text{ is an } \epsilon\text{-chain from } x \text{ to } y \right\}.$$

We can easily verify that  $\rho_\epsilon$  is a metric on  $X$ .  $\rho_\epsilon$  also satisfies

$$(2.7.3) \quad \rho(x, y) \leq \rho_\epsilon(x, y) \quad \text{for all } x, y \in X;$$

and

$$(2.7.4) \quad \rho(x, y) = \rho_\epsilon(x, y) \quad \text{for all } x, y \in X: \quad \text{with } \rho(x, y) < \epsilon.$$

(2.7.3) follows from the triangle inequality:

$$\rho(x, y) \leq \rho(x, x_1) + \rho(x_1, x_2) + \cdots + \rho(x_{n-1}, x_n).$$

It also follows from (2.7.3), (2.7.4) and the completeness of  $(X, \rho)$  that  $(X, \rho_\epsilon)$  is complete. Let  $h_\epsilon$  be the Hausdorff metric on  $K(X)$  derived from  $\rho_\epsilon$ . We can easily see that if  $A, B \in K(X)$  such that  $h(A, B) < \epsilon$  then  $h_\epsilon(A, B) = h(A, B)$ . Now we will show that  $T : X \rightarrow K(X)$  is a set-valued contraction mapping of  $(X, \rho_\epsilon)$  into  $(K(X), h_\epsilon)$  with contraction constant  $\alpha$ . Let  $x, y \in X$  and  $x_0 = x, x_1, \dots, x_n = y$  be an  $\epsilon$ -chain from  $x$  to  $y$ . Since  $\rho(x_{i-1}, x_i) < \epsilon$  for all  $i = 1, 2, \dots, n$ , we have

$$h(T(x_{i-1}), T(x_i)) \leq \alpha \rho(x_{i-1}, x_i) < \epsilon \quad \text{for all } i = 1, 2, \dots, n.$$

Hence

$$h_\epsilon(T(x), T(y)) \leq \sum_{i=1}^n h_\epsilon(T(x_{i-1}), T(x_i)) = \sum_{i=1}^n h(T(x_{i-1}), T(x_i)) \leq \alpha.$$

Now since  $x_0 = x, x_1, \dots, x_n = y$  is an arbitrary  $\epsilon$ -chain, it follows that  $h_\epsilon(T(x), T(y)) \leq \alpha \rho_\epsilon(x, y)$  for all  $x, y \in X$ . Thus  $T$  is a set-valued contraction mapping of  $(X, \rho_\epsilon)$  into  $(K(X), h_\epsilon)$  with contraction constant  $\alpha$ . Hence by Theorem 2.28,  $T$  has a fixed point.  $\square$

**Remark 2.20** Similar results for a single-valued mapping was first obtained by Edelstein (1961).

**Definition 2.5** Let  $X$  and  $Y$  be topological spaces and  $T : X \rightarrow 2^Y$  a set-valued mapping with  $T(x) \neq \emptyset$  for each  $x \in X$ , i.e.,  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$ .  $T$  is said to be upper semi-continuous at  $x_0 \in X$  if given an open set  $G$  containing  $f(x_0)$ , there exists an open neighborhood  $U(x_0)$  of  $x_0$  such that  $T(U(x_0)) \subset G$ , where for any subset  $A$  of  $X$ ,  $T(A) = \cup_{x \in A} T(x)$ .  $T$  is said to be upper semi-continuous if  $T$  is upper semi-continuous at each point  $x \in X$ .

A set-valued mapping  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  is said to be lower semi-continuous at  $x_0 \in X$  if given an open set  $G$  in  $Y$  with  $T(x_0) \cap G \neq \emptyset$ , there exists an open neighborhood  $U(x_0)$  of  $x_0$  such that  $T(x) \cap G \neq \emptyset$  for each  $x \in U(x_0)$ .  $T$  is said to be lower semi-continuous if  $T$  is lower semi-continuous at each point  $x \in X$ .

**Lemma 2.18** Let  $X$  and  $Y$  be non-empty sets and  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued mapping. Then for any non-empty set  $A$  of  $Y$ ,

$$X \setminus \{\cup_{y \in A} T^{-1}(y)\} = \{x \in X : T(x) \subset Y \setminus A\}.$$

**Proof.** Let  $u$  belong to the left-hand side (of the above expression). Then  $u \notin T^{-1}(y)$  for any  $y \in A$ . This implies that  $y \notin T(u)$  for any  $y \in A$ . Thus  $T(u) \subset Y \setminus A$  which implies that  $u$  belongs to the right-hand side.

Next let  $u$  belong to the right-hand side. Then  $T(u) \subset Y \setminus A$ . It follows that  $u \notin T^{-1}(y)$  for any  $y \in A$ . This implies that  $u \notin \cup_{y \in A} T^{-1}(y)$ ; i.e.,  $u$  belongs to the left-hand side.  $\square$

For any subset  $A$  of  $Y$ , let  $T_+(A) = \{x \in X : T(x) \cap A \neq \emptyset\}$ .

**Lemma 2.19** For any subset  $A$  of  $Y$ ,  $T_+(A) = \cup_{y \in A} T^{-1}(y)$ .

**Proof.** Let  $x \in A$ . Then  $T(x) \cap A \neq \emptyset$ . Let  $y \in T(x) \cap A$ . This implies that  $x \in T^{-1}(y)$  with  $y \in A$ , i.e.,  $x \in T^{-1}(y) \subset \cup_{y \in A} T^{-1}(y)$ . Next, let  $u \in \cup_{y \in A} T^{-1}(y)$ . Then  $u \in T^{-1}(y)$  for some  $y \in A$ , i.e.,  $y \in T(u)$  with  $y \in A$ , i.e.,  $u \in T_+(A)$ .  $\square$

**Theorem 2.30** Let  $X$  and  $Y$  be topological spaces and  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued mapping. Then the following statements are equivalent:

- $T$  is upper semi-continuous;
- For each open set  $G$  in  $Y$ ,  $T^+(G) = \{x \in X : T(x) \subset G\}$  is open in  $X$ ;
- For each closed set  $F$  in  $Y$ ,  $T^{-1}(F) = \cup_{y \in F} T^{-1}(y)$  is closed in  $X$ , where  $T^{-1}(y) = \{x \in X : y \in T(x)\}$ ;
- For each  $x \in X$  and every net  $\{x_\delta : \delta \in D\}$  in  $X$  converging to  $x$ , and each open set  $G$  in  $Y$  with  $T(x) \subset G$ ,  $T(x_\delta) \subset G$  eventually, i.e.,  $T(x_\delta) \subset G$  for all  $\delta_0 \geq \delta$  for some  $\delta_0 \in D$ .

**Proof.** First let (a) hold. Let  $G$  be an open set in  $Y$  and  $x_0 \in T^+(G)$ . By upper semicontinuity of  $T$  at  $x_0$ , there exists an open neighborhood  $U(x_0)$  of  $x_0$  such that  $T(U(x_0)) \subset G$ . Hence  $U(x_0) \subset T^+(G)$  and hence  $T^+(G)$  is an open set. Thus (a) implies (b).

Now let  $T^+(G)$  be open for every open set  $G$  in  $Y$ . Let  $x_0 \in X$  and  $G$  be an open set containing  $T(x_0)$ .  $T^+(G)$  is an open neighborhood of  $x_0$  and  $T(T^+(G)) \subset G$ . Hence  $T$  is upper semicontinuous at  $x_0$ . Since  $x_0$  is arbitrary, (b) implies (a). That (b)  $\iff$  (c) is evident from Lemma 2.18.

Now we prove that (b) implies (d). Let  $\{x_\delta : \delta \in D\}$  be a net converging to  $x \in X$  and  $G$  an open subset of  $Y$  with  $T(x) \subset G$ . Then by (b),  $T^+(G)$  is open and  $x \in T^+(G)$ . Since  $x_\delta \rightarrow x$ ,  $x_\delta \in T^+(G)$  eventually. Hence  $T(x_\delta) \subset G$  eventually.

Finally, we prove that (d) implies (b). Let  $H$  be an open set in  $Y$ . If possible, let  $T^+(H)$  be not open. Then there is a point  $x_0 \in X$  such that  $x_0 \in T^+(H)$  is not an interior point of  $T^+(H)$ . Let  $D_0 = \mathcal{N}(x_0)$  be the system of all open neighborhoods of  $x_0$ . Then  $D_0$  ordered partially by inclusion is a directed set. We choose  $x_\delta \in D_0$  such that  $x_\delta \notin T^+(H)$ . This is possible as  $x_0$  is not an interior point of  $T^+(H)$ . Evidently  $\{x_\delta : \delta \in D_0\}$  is a net converging to  $x_0$  and  $T(x_0) \subset H$ . Hence by (d),  $T(x_\delta) \subset H$  eventually, which contradicts the fact that  $x_\delta \notin T^+(H)$  for all  $\delta \in D_0$ .  $\square$

**Theorem 2.31** Let  $X$  and  $Y$  be topological spaces and  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued mapping. Then the following statements are equivalent:

- $T$  is lower semi-continuous;
- For each open set  $G$  in  $Y$ ,  $T_+(G)$  is open in  $X$ ;
- For each closed set  $F$  in  $Y$ ,  $T^+(F)$  is closed in  $X$ ;

- (d) For each  $x \in X$  and each net  $\{x_\delta : \delta \in D\}$  in  $X$  converging to  $x$ , and each open set  $G$  in  $Y$  with  $T(x) \cap G \neq \emptyset$ ,  $T(x_\delta) \cap G \neq \emptyset$ , i.e.,  $T(x_\delta) \cap G \neq \emptyset$  for all  $\delta \geq \delta_0$  for some  $\delta_0 \in D$ .

**Proof.** Let (a) hold. Let  $G$  be an open set in  $Y$  and  $x_0 \in T_+(G)$ . By the lower semi-continuity of  $T$  at  $x_0$ , there exists an open neighborhood  $U(x_0)$  of  $x_0$  such that  $T(x) \cap G \neq \emptyset$  for each  $x \in U(x_0)$ , i.e.,  $U(x_0) \subset T_+(G)$ . Hence  $T_+(G)$  is open in  $X$ . Now by virtue of Lemma 2.19,  $\cup_{y \in G} T^{-1}(y)$  is open. Thus (a) implies (b). We now suppose that (b) holds. Let  $x_0 \in X$  and  $G$  be an open set in  $Y$  such that  $T(x_0) \cap G \neq \emptyset$ . Then  $x_0 \in T_+(G)$ . Hence by virtue of Lemma 2.19 and (b),  $T_+(G) = \cup_{y \in G} T^{-1}(y)$  is an open neighborhood of  $x_0$  in  $X$ . It follows that  $T$  is lower semi-continuous at  $x_0$ . Thus (b) implies (a).

Now that (b)  $\iff$  (c) follows from the Lemma 2.18. Finally, by giving similar argument as given in Theorem 2.30 we can prove that (b) implies (d).  $\square$

**Theorem 2.32** (a) Let  $X$  and  $Y$  be topological spaces with  $Y$  a  $T_3$  space and  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued upper semi-continuous mapping with closed values. Then the graph  $T = G(T) = \{(x, y) \in X \times Y : y \in T(x)\}$  is closed.

(b) Let  $X$  and  $Y$  be topological spaces with  $Y$  compact and  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued mapping with closed graph (i.e.,  $G(T)$  is closed). Then  $T$  is upper semi-continuous.

**Proof.** (a) Let  $\{(x_\delta, y_\delta) : \delta \in D\}$  be a net in  $G(T)$  converging to  $(x, u)$ . If possible, let  $(x, u) \notin G(T)$ , i.e.,  $u \notin T(x)$ . Since  $T(x)$  is closed and  $Y$  is  $T_3$ , there exist open sets  $G_1$  containing  $u$  and  $G_2$  containing  $T(x)$  with  $G_1 \cap G_2 = \emptyset$ . Now since  $T$  is upper semi-continuous, by Theorem 2.30 (d),  $T(x_\delta) \subset G_2$  eventually. But since  $y_\delta \in T(x_\delta)$  for each  $\delta \in D$ ,  $y_\delta \in G_2$  eventually. This contradicts the fact that  $y_\delta \rightarrow u$  as  $u \in G_1$  and  $G_1 \cap G_2 = \emptyset$ .

(b) If possible, let  $T$  be not upper semi-continuous at a point  $x \in X$ . Let  $\{x_\delta : \delta \in D\}$  be a net converging to  $x$ . Then there must exist, by Theorem 2.30 (d), at least one open set  $G$  in  $Y$  with  $f(x) \subset G$  such that  $T(x_\delta) \not\subset G$  eventually. We can choose a subnet  $\{x_{\delta'} : \delta' \in D'\}$  of the net  $\{x_\delta : \delta \in D\}$  such that  $T(x_{\delta'}) \not\subset G$  for each  $\delta' \in D'$ . For this we can select  $u_{\delta'}$  from each  $T(x_{\delta'})$  such that  $u_{\delta'} \notin G'$ . Now since  $G'$  is compact,  $\{u_{\delta'} : \delta' \in D'\}$  has a subnet  $\{u_{\delta''} : \delta'' \in D''\}$  converging to a point  $u \in G'$ . Clearly,  $\{(x_{\delta''}, u_{\delta''}) : \delta'' \in D''\}$  is a net in  $G(T)$  which converges to  $(x, u) \notin G(T)$  as  $u \notin T(x) \subset G$ .  $\square$

**Theorem 2.33** Let  $X$  and  $Y$  be topological spaces,  $T : X \rightarrow K(Y)$  a set-valued upper semi-continuous mapping and  $K$  a compact subset of  $X$ . Then  $T(K) = \cup_{x \in K} T(x)$  is a compact subset of  $Y$ .

**Proof.** Let  $\{G_\alpha : \alpha \in I\}$  be an open covering of  $T(K)$ . Then for  $\alpha \in I$ , there exists an open set  $H_\alpha$  in  $Y$  such that  $G_\alpha = T(K) \cap H_\alpha$ . For each  $x \in K$ ,  $T(x)$  being compact is covered by a finite number of  $H_\alpha$ , say  $H_{\alpha_1}, H_{\alpha_2}, \dots, H_{\alpha_n}$  with  $\cup_{i=1}^n H_{\alpha_i} \supset T(x)$ .

We set  $H_x = \bigcup_{i=1}^n H_{\alpha_i}$ . Then  $\{T^+(H_x) : x \in K\}$  is an open covering of  $K$ . Since  $K$  is compact, there is a finite subcovering  $T^+(H_{x_1}), T^+(H_{x_2}), \dots, T^+(H_{x_n})$  of  $K$ . It follows that  $\{H_{x_i} : i = 1, 2, \dots, n\}$  cover  $T(K)$  and  $\bigcup_{i=1}^n G_{x_i} = \bigcup_{i=1}^n (H_{x_i} \cap T(K)) = T(K)$ . Hence  $\{G_{x_i} : i = 1, 2, \dots, n\}$  is a subcover  $T(K)$ .  $\square$

**Theorem 2.34** *Let  $X$  and  $Y$  be topological spaces,  $T : X \rightarrow 2^Y \setminus \{\emptyset\}$  a set-valued upper semi-continuous (or lower semi-continuous) mapping,  $K$  is a connected subset of  $Y$  and  $T(x)$  is a connected subset of  $Y$  for each  $x \in K$ . Then  $T(K)$  is a connected subset of  $Y$ .*

**Proof.** If possible, we suppose that  $T(K)$  is not connected. Then there are two disjoint non-empty open subsets  $G_1$  and  $G_2$  of  $T(K)$  such that  $T(K) = G_1 \cup G_2$ . Hence there exist two non-empty open subsets  $H_1$  and  $H_2$  of  $Y$  such that  $G_1 = H_1 \cap T(K)$  and  $G_2 = H_2 \cap T(K)$ . Thus  $T(K) \subset H_1 \cup H_2$ . By upper semi-continuity of  $T$ ,  $T^+(H_1)$  and  $T^+(H_2)$  are open sets of  $X$ . Let  $x \in K$ , then  $T(x) \subset H_1 \cup H_2$ . But since  $T(x)$  is connected, it follows that  $T(x)$  is contained in either  $H_1$  or in  $H_2$ . Thus  $K \subset T^+(H_1) \cup T^+(H_2)$ . Obviously,  $T^+(H_1) \cap T^+(H_2) = \emptyset$  and  $K \cap T^+(H_1) \neq \emptyset$  and  $K \cap T^+(H_2) \neq \emptyset$ . Thus  $K$  is not connected, which is a contradiction. Hence  $T(K)$  must be connected.  $\square$

For the proof in the case of lower semi-continuity, we refer to Klein and Thompson (1984, p. 90).

**Theorem 2.35** *Let  $X, Y$  and  $Z$  be topological spaces, and  $T_1 : X \rightarrow 2^Y \setminus \{\emptyset\}$  and  $T_2 : Y \rightarrow 2^Z \setminus \{\emptyset\}$  are set-valued upper semi-continuous mappings. Then the set-valued mapping  $T : X \rightarrow 2^Z \setminus \{\emptyset\}$  defined by  $T = T_2 \circ T_1 = T_2(T_1(x))$  is upper semi-continuous.*

**Proof.** Let  $G$  be an open subset of  $Z$ . Then  $T^+(G) = (T_2 \circ T_1)^+(G) = \{x \in X : (T_2 \circ T_1)(x) \subset G\} = \{x \in X : T_1(x) \subset T_2^+(G)\} = T_1^+[T_2^+(G)]$  is an open subset of  $X$ . Hence  $T$  is upper semi-continuous.  $\square$

### 2.7.1 End Points

**Definition 2.6** For set-valued mapping  $T : X \rightarrow 2^X \setminus \{\emptyset\}$ , a point  $x_0 \in X$  is said to be an end point of  $T$  if  $T(x_0) = \{x_0\}$ . Let  $X$  be a topological space. Then an upper semi-continuous set-valued mapping  $T : X \rightarrow 2^X \setminus \{\emptyset\}$  with closed values is said to be a topological contraction if, for each non-empty closed subset  $A$  of  $X$  with  $T(A) \subset A$ ,  $A$  is a singleton set, i.e.,  $A$  is an end point of  $T$ .

**Theorem 2.36** *Let  $X$  be a compact Hausdorff topological space and  $T : X \rightarrow 2^X \setminus \{\emptyset\}$  a set-valued topological contraction. Then  $T$  has a unique end point  $x_0 \in X$  such that  $\{x_0\} = \bigcap_{n=0}^{\infty} T^n(X)$ , where  $T^0(X) = X$  and  $T^n(X) = T(T^{n-1}(X))$  for  $n = 1, 2, \dots$ .*

**Proof.** For each  $n = 0, 1, 2, \dots$ , let  $F_n = T^n(X)$ . Since  $T$  is upper semi-continuous with closed (and hence compact) values, by Theorem 2.33  $F_n$  is compact for each