

CS 441 Discrete Mathematics for CS
Lecture 15

Mathematical induction
& Recursion

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Proofs

Basic proof methods:

- Direct, Indirect, Contradiction, By Cases, Equivalences

Proof of quantified statements:

- **There exists x with some property $P(x)$.**
 - It is sufficient to find one element for which the property holds.
- **For all x some property $P(x)$ holds.**
 - Proofs of ‘For all x some property $P(x)$ holds’ must cover all x and can be harder.
- **Mathematical induction** is a technique that can be applied to prove the universal statements for sets of positive integers or their associated sequences.

Mathematical induction

- Used to prove statements of the form $\forall x P(x)$ where $x \in \mathbb{Z}^+$

Mathematical induction proofs consists of two steps:

- 1) **Basis:** The proposition $P(1)$ is true.
 - 2) **Inductive Step:** The implication $P(n) \rightarrow P(n+1)$, is true for all positive n .
- Therefore we conclude $\forall x P(x)$.
 - **Based on the well-ordering property:** Every nonempty set of nonnegative integers has a **least element**.

Mathematical induction

Example: Prove the sum of first n odd integers is n^2 .

i.e. $1 + 3 + 5 + 7 + \dots + (2n - 1) = n^2$ for all positive integers.

Proof:

- What is $P(n)$? $P(n): 1 + 3 + 5 + 7 + \dots + (2n - 1) = n^2$

Basis Step Show $P(1)$ is true

- Trivial: $1 = 1^2$

Inductive Step Show if $P(n)$ is true then $P(n+1)$ is true for all n .

- Suppose $P(n)$ is true, that is $1 + 3 + 5 + 7 + \dots + (2n - 1) = n^2$
- Show $P(n+1): 1 + 3 + 5 + 7 + \dots + (2n - 1) + (2n + 1) = (n+1)^2$ follows:
$$\underbrace{1 + 3 + 5 + 7 + \dots + (2n - 1)}_{n^2} + (2n + 1) = (n+1)^2$$

Correctness of the mathematical induction

Suppose **P(1) is true** and **P(n) \rightarrow P(n+1) is true** for all positive integers n. Want to show $\forall x P(x)$.

Assume there is at least one n such that P(n) is false. Let S be the set of nonnegative integers where P(n) is false. Thus $S \neq \emptyset$.

Well-Ordering Property: Every nonempty set of nonnegative integers has a least element.

By the Well-Ordering Property, S has a least member, say k. $k > 1$, since P(1) is true. This implies $k - 1 > 0$ and P(k-1) is true (since k is the smallest integer where P(k) is false).

Now: P(k-1) \rightarrow P(k) is true
thus, P(k) must be true (a contradiction).

- **Therefore $\forall x P(x)$.**

Mathematical induction

Example: Prove $n < 2^n$ for all positive integers n.

- P(n): $n < 2^n$

Basis Step: $1 < 2^1$ (obvious)

Inductive Step: If P(n) is true then P(n+1) is true for each n.

- Suppose P(n): $n < 2^n$ is true
- Show P(n+1): $n+1 < 2^{n+1}$ is true.

$$\begin{aligned}n + 1 &< 2^n + 1 \\ &< 2^n + 2^n \\ &= 2^n (1 + 1) \\ &= 2^n (2) \\ &= 2^{n+1}\end{aligned}$$

Mathematical induction

Example: Prove $n^3 - n$ is divisible by 3 for all positive integers.

- $P(n)$: $n^3 - n$ is divisible by 3

Basis Step: $P(1)$: $1^3 - 1 = 0$ is divisible by 3 (obvious)

Inductive Step: If $P(n)$ is true then $P(n+1)$ is true for each positive integer.

- Suppose $P(n)$: $n^3 - n$ is divisible by 3 is true.
- Show $P(n+1)$: $(n+1)^3 - (n+1)$ is divisible by 3.

$$\begin{aligned}(n+1)^3 - (n+1) &= n^3 + 3n^2 + 3n + 1 - n - 1 \\ &= (n^3 - n) + 3n^2 + 3n \\ &= \underbrace{(n^3 - n)}_{\text{divisible by 3}} + 3\underbrace{(n^2 + n)}_{\text{divisible by 3}}\end{aligned}$$

Strong induction

- **The regular induction:**
 - uses the basic step $P(1)$ and
 - inductive step $P(n-1) \rightarrow P(n)$
- **Strong induction uses:**
 - Uses the basis step $P(1)$ and
 - inductive step $P(1) \text{ and } P(2) \dots P(n-1) \rightarrow P(n)$

Example: Show that a positive integer greater than 1 can be written as a product of primes.

Strong induction

Example: Show that a positive integer greater than 1 can be written as a product of primes.

Assume $P(n)$: an integer n can be written as a product of primes.

Basis step: $P(2)$ is true

Inductive step: Assume true for $P(2), P(3), \dots, P(n)$

Show that $P(n+1)$ is true as well.

2 Cases:

- If $n+1$ is a prime then $P(n+1)$ is trivially true
- If $n+1$ is a composite then it can be written as a product of two integers $(n+1) = a \cdot b$ such that $1 < a, b < n+1$
- From the assumption $P(a)$ and $P(b)$ holds.
- Thus, $n+1$ can be written as a product of primes
- **End of proof**

Recursive Definitions

- Sometimes it is possible to define an object (function, sequence, algorithm, structure) in terms of itself. This process is called **recursion**.

Examples:

- Recursive definition of an **arithmetic sequence**:

– $a_n = a + nd$

– $a_n = a_{n-1} + d, a_0 = a$

- Recursive definition of a **geometric sequence**:

• $x_n = ar^n$

• $x_n = rx_{n-1}, x_0 = a$

Recursive Definitions

- In some instances recursive definitions of objects may be much easier to write

Examples:

- **Algorithm for computing the gcd:**
 - $\text{gcd}(79, 35) = \text{gcd}(35, 9)$
 - More general:
$$\text{gcd}(a, b) = \text{gcd}(b, a \bmod b)$$
- **Factorial function:**
 - $n! = n (n-1)! \text{ and } 0! = 1$

Recursively Defined Functions

To define a function on the set of nonnegative integers

1. Specify the value of the function at 0
2. Give a rule for finding the function's value at $n+1$ in terms of the function's value at integers $i \leq n$.

Example: factorial function definition

- $0! = 1$
- $n! = n (n-1)!$
- **recursive or inductive definition of a function on nonnegative integers**

Recursively defined functions

Example: Assume a recursive function on positive integers:

- $f(0) = 3$
- $f(n+1) = 2f(n) + 3$

- **What is the value of $f(0)$?** 3
- $f(1) = 2f(0) + 3 = 2(3) + 3 = 6 + 3 = 9$
- $f(2) = f(1 + 1) = 2f(1) + 3 = 2(9) + 3 = 18 + 3 = 21$
- $f(3) = f(2 + 1) = 2f(2) + 3 = 2(21) + 3 = 42 + 3 = 45$
- $f(4) = f(3 + 1) = 2f(3) + 3 = 2(45) + 3 = 90 + 3 = 93$

Recursive definitions

- **Example:**

Define the function:

$$f(n) = 2n + 1 \quad n = 0, 1, 2, \dots$$

recursively.

- $f(0) = 1$
- $f(n+1) = f(n) + 2$

Recursive definitions

- **Example:**

Define the sequence:

$$a_n = n^2 \quad \text{for } n = 1, 2, 3, \dots$$

recursively.

- $a_1 = 1$
- $a_{n+1} = a_n^2 + (2n + 1), \quad n \geq 1$

Recursive definitions

- **Example:**

Define a recursive definition of the sum of the first n positive integers:

$$F(n) = \sum_{i=1}^n i$$

- $F(1) = 1$
- $F(n+1) = F(n) + (n+1), \quad n \geq 1$

Recursive definitions

Some important functions or sequences in mathematics are defined recursively

Factorials

- $n! = 1$ if $n=1$
- $n! = n \cdot (n-1)!$ if $n \geq 1$

Fibonacci numbers:

- $F(0)=0$, $F(1)=1$ and
- $F(n) = F(n-1) + F(n-2)$ for $n=2,3, \dots$

Recursive definitions

Methods (algorithms)

- **Greatest common divisor**

$$\begin{aligned} \gcd(a,b) &= b \quad \text{if } b \mid a \\ &= \gcd(b, a \bmod b) \end{aligned}$$

- **Pseudorandom number generators:**

- $x_{n+1} = (ax_n + c) \bmod m$

Recursive definitions

- **Assume the alphabet Σ**
 - Example: $\Sigma = \{a,b,c,d\}$
- **A set of all strings containing symbols in Σ : Σ^***
 - Example: $\Sigma^* = \{\epsilon, a, aa, aaa, aaa\dots, ab, \dots b, bb, bbb, \dots\}$

Recursive definition of Σ^*

- **Basis step:**
 - empty string $\lambda \in \Sigma^*$
- **Recursive step:**
 - If $w \in \Sigma^*$ and $x \in \Sigma$ then $wx \in \Sigma^*$

Length of a String

Example:

Give a recursive definition of $l(w)$, the length of the string w .

Solution:

The length of a string can be recursively defined by:

$l(\epsilon) = 0$; the length of the empty string

$l(wx) = l(w) + 1$ if $w \in \Sigma^*$ and $x \in \Sigma$.

Recursive definitions

- **Data structures**
 - **Example: Rooted tree**
- **A basis step:**
 - a single node (vertex)
is a rooted tree
- **Recursive step:**
 - Assume T_1, T_2, \dots, T_k are rooted trees, then the graph with a root r connected to T_1, T_2, \dots, T_k is a rooted tree

