

5

## An Overview of Organic Reactions

#### Organic KNOWLEDGE TOOLS

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When first approached, organic chemistry can seem overwhelming. It's not so much that any one part is difficult to understand, it's that there are so many parts: literally millions of compounds, dozens of functional groups, and an endless number of reactions. With study, though, it becomes evident that there are only a few fundamental ideas that underlie all organic reactions. Far from being a collection of isolated facts, organic chemistry is a beautifully logical subject that is unified by a few broad themes. When these themes are understood, learning organic chemistry becomes much easier and memorization is minimized. The aim of this book is to describe the themes and clarify the patterns that unify organic chemistry.

#### WHY THIS CHAPTER?

All chemical reactions, whether in the laboratory or in living organisms, follow the same "rules." Reactions in living organisms often look more complex than laboratory reactions because of the size of the biomolecules and the involvement of biological catalysts called *enzymes*, but the principles governing all reactions are the same.

To understand both organic and biological chemistry, it's necessary to know not just *what* occurs, but also *why* and *how* chemical reactions take place. In this chapter, we'll start with an overview of the fundamental kinds of organic reactions, we'll see why reactions occur, and we'll see how reactions can be described. Once this background is out of the way, we'll then be ready to begin studying the details of organic chemistry.

#### 5.1

#### **Kinds of Organic Reactions**

ThomsonNOW Click Organic Interactive to classify organic reactions by examining reactants and products.

Organic chemical reactions can be organized broadly in two ways—by *what kinds* of reactions occur and by *how* those reactions occur. Let's look first at the kinds of reactions that take place. There are four general types of organic reactions: *additions*, *eliminations*, *substitutions*, and *rearrangements*.

Addition reactions occur when two reactants add together to form a single product with no atoms "left over." An example that we'll be studying soon is the reaction of an alkene, such as ethylene, with HBr to yield an alkyl bromide.

■ Elimination reactions are, in a sense, the opposite of addition reactions. They occur when a single reactant splits into two products, often with formation of a small molecule such as water or HBr. An example is the acid-catalyzed reaction of an alcohol to yield water and an alkene.

This one reactant . . . 
$$H = \begin{pmatrix} H & OH \\ -C & -C \\ -H & H \end{pmatrix}$$
 Acid catalyst  $H = \begin{pmatrix} H & H \\ -C & -C \\ -H & H \end{pmatrix}$   $H = \begin{pmatrix} H & H \\ -H & H \end{pmatrix}$  . . . gives these two products.

■ Substitution reactions occur when two reactants exchange parts to give two new products. An example is the reaction of an alkane with Cl<sub>2</sub> in the presence of ultraviolet light to yield an alkyl chloride. A Cl atom from Cl<sub>2</sub> substitutes for an H atom of the alkane, and two new products result.

Rearrangement reactions occur when a single reactant undergoes a reorganization of bonds and atoms to yield an isomeric product. An example is the conversion of the alkene 1-butene into its constitutional isomer 2-butene by treatment with an acid catalyst.

This reactant . . . 
$$C = C$$
 $H$ 
 $H$ 
 $H$ 
 $A cid catalyst$ 
 $C = C$ 
 $H$ 
 $C = C$ 
 $H$ 
 $C = C$ 
 $H$ 
 $C = C$ 
 $H$ 
 $C = C$ 
 $C =$ 

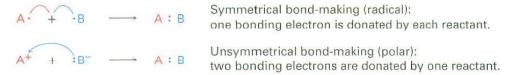
- **Problem 5.1** Classify each of the following reactions as an addition, elimination, substitution, or rearrangement:
  - (a)  $CH_3Br + KOH \rightarrow CH_3OH + KBr$
  - (b)  $CH_3CH_2Br \rightarrow H_2C = CH_2 + HBr$
  - (c)  $H_2C = CH_2 + H_2 \rightarrow CH_3CH_3$

#### 5.2 How Organic Reactions Occur: Mechanisms

Having looked at the kinds of reactions that take place, let's now see how reactions occur. An overall description of how a reaction occurs is called a **reaction mechanism**. A mechanism describes in detail exactly what takes place at each stage of a chemical transformation—which bonds are broken and in what order, which bonds are formed and in what order, and what the relative rates of the steps are. A complete mechanism must also account for all reactants used and all products formed.

All chemical reactions involve bond-breaking and bond-making. When two molecules come together, react, and yield products, specific bonds in the reactant molecules are broken and specific bonds in the product molecules are formed. Fundamentally, there are two ways in which a covalent two-electron bond can break. A bond can break in an electronically *symmetrical* way so that one electron remains with each product fragment, or a bond can break in an electronically *unsymmetrical* way so that both bonding electrons remain with one product fragment, leaving the other with a vacant orbital. The symmetrical cleavage is said to be *homolytic*, and the unsymmetrical cleavage is said to be *heterolytic*. We'll develop the point in more detail later, but you might note for now that the movement of *one* electron in the symmetrical process is indicated using a half-headed, or "fishhook," arrow ( $\land$ ), whereas the movement of *two* electrons in the unsymmetrical process is indicated using a full-headed curved arrow ( $\land$ ).

Just as there are two ways in which a bond can break, there are two ways in which a covalent two-electron bond can form. A bond can form in an electronically symmetrical way if one electron is donated to the new bond by each reactant or in an unsymmetrical way if both bonding electrons are donated by one reactant.



Processes that involve symmetrical bond-breaking and bond-making are called **radical reactions**. A **radical**, often called a *free radical*, is a neutral chemical species that contains an odd number of electrons and thus has a single, unpaired electron in one of its orbitals. Processes that involve unsymmetrical bond-breaking and bond-making are called **polar reactions**. Polar reactions involve species that have an even number of electrons and thus have only electron pairs in their orbitals. Polar processes are by far the more common reaction type in both organic and biological chemistry, and a large part of this book is devoted to their description.

In addition to polar and radical reactions, there is a third, less commonly encountered process called a *pericyclic reaction*. Rather than explain pericyclic reactions now, though, we'll look at them more carefully in Chapter 30.

#### 5.3 Radical Reactions

Radical reactions are not as common as polar reactions but are nevertheless important in some industrial processes and in numerous biological pathways. Let's see briefly how they occur.

A radical is highly reactive because it contains an atom with an odd number of electrons (usually seven) in its valence shell, rather than a stable, noblegas octet. A radical can achieve a valence-shell octet in several ways. For example, the radical might abstract an atom and one bonding electron from another reactant, leaving behind a new radical. The net result is a radical substitution reaction:

Alternatively, a reactant radical might add to a double bond, taking one electron from the double bond and yielding a new radical. The net result is a radical addition reaction:

As an example of an industrially useful radical reaction, look at the chlorination of methane to yield chloromethane. This substitution reaction is the first step in the preparation of the solvents dichloromethane ( $CH_2Cl_2$ ) and chloroform ( $CHCl_3$ ).

Like many radical reactions in the laboratory, methane chlorination requires three kinds of steps: *initiation*, *propagation*, and *termination*.

**Initiation** Irradiation with ultraviolet light begins the reaction by breaking the relatively weak Cl—Cl bond of a small number of Cl<sub>2</sub> molecules to give a few reactive chlorine radicals.

**Propagation** Once produced, a reactive chlorine radical collides with a methane molecule in a propagation step, abstracting a hydrogen atom to give HCl and a methyl radical ( $\cdot$  CH<sub>3</sub>). This methyl radical reacts further with Cl<sub>2</sub> in a second propagation step to give the product chloromethane plus a new chlorine radical, which cycles back and repeats the first propagation step. Thus, once the sequence has been initiated, it becomes a self-sustaining cycle of repeating steps (a) and (b), making the overall process a *chain reaction*.

**Termination** Occasionally, two radicals might collide and combine to form a stable product. When that happens, the reaction cycle is broken and the chain is ended. Such termination steps occur infrequently, however, because the concentration of radicals in the reaction at any given moment is very small. Thus, the likelihood that two radicals will collide is also small.

As a biological example of a radical reaction, let's look at the biosynthesis of *prostaglandins*, a large class of molecules found in virtually all body tissues and fluids. A number of pharmaceuticals are based on or derived from prostaglandins, including medicines that induce labor during childbirth, reduce intraocular pressure in glaucoma, control bronchial asthma, and help treat congenital heart defects.

Prostaglandin biosynthesis is initiated by abstraction of a hydrogen atom from arachidonic acid by an iron–oxygen radical, thereby generating a new, carbon radical in a substitution reaction. Don't be intimidated by the size of the molecules; focus only on the changes occurring in each step. (To help you do that, the unchanged part of the molecule is "ghosted," with only the reactive part clearly visible.)

Following the initial abstraction of a hydrogen atom, the carbon radical then reacts with  $O_2$  to give an oxygen radical, which reacts with a C=C bond within the same molecule in an addition reaction. Several further transformations ultimately yield prostaglandin  $H_2$ .

#### Problem 5.2

Radical chlorination of alkanes is not generally useful because mixtures of products often result when more than one kind of C-H bond is present in the substrate. Draw and name all monochloro substitution products  $C_6H_{13}Cl$  you might obtain by reaction of 2-methylpentane with  $Cl_2$ .

#### Problem 5.3

Using a curved fishhook arrow, propose a mechanism for formation of the cyclopentane ring of prostaglandin H<sub>2</sub>. What kind of reaction is occurring?

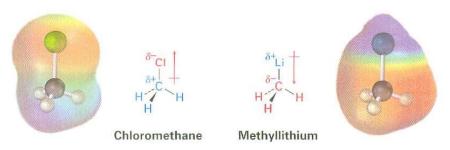
#### **5.4** Polar Reactions

Polar reactions occur because of the electrical attraction between positive and negative centers on functional groups in molecules. To see how these reactions take place, let's first recall the discussion of polar covalent bonds in Section 2.1 and then look more deeply into the effects of bond polarity on organic molecules.

Most organic compounds are electrically neutral; they have no net charge, either positive or negative. We saw in Section 2.1, however, that certain bonds within a molecule, particularly the bonds in functional groups, are polar. Bond polarity is a consequence of an unsymmetrical electron distribution in a bond and is due to the difference in electronegativity of the bonded atoms.

Elements such as oxygen, nitrogen, fluorine, and chlorine are more electronegative than carbon, so a carbon atom bonded to one of these atoms has a partial positive charge  $(\delta+)$ . Conversely, metals are less electronegative than

carbon, so a carbon atom bonded to a metal has a partial negative charge  $(\delta-)$ . Electrostatic potential maps of chloromethane and methyllithium illustrate these charge distributions, showing that the carbon atom in chloromethane is electron-poor (blue) while the carbon in methyllithium is electron-rich (red).

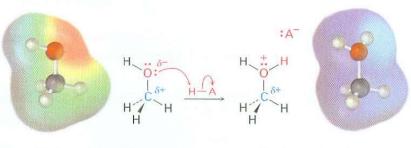


The polarity patterns of some common functional groups are shown in Table 5.1. Carbon is always positively polarized except when bonded to a metal.

Table 5.1 | Polarity Patterns in Some Common Functional Groups

Alcohol	-C $-$ OH	Carbonyl	C = 0
Alkene	c=c	Carboxylic acid	δ- Ο Ο Ο Ο Ο Ο Ο
Si Alkyl halide	ymmetrical, nonpolar $ \frac{\delta + \delta^{-}}{C - X} $	Carboxylic acid chloride	δ+/// 
Amine	$- \overset{\delta + \delta -}{C} \overset{-}{N} H_2$	Aldehyde	δ+/// —C H
Ether	$- \begin{matrix} \delta + \delta - & \delta + \\ C - O - C \end{matrix}$	Ester	δ- Ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο ο
Thiol	δ+ δ- C − SH	Ketone	δ+/// C C
Nitrile	δ+ δ− C≡ <mark>N</mark>		
Grignard reagent	— C− MgBr		
Alkyllithium	- δ- δ+ - C-Li		

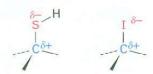
This discussion of bond polarity is oversimplified in that we've considered only bonds that are inherently polar due to differences in electronegativity. Polar bonds can also result from the interaction of functional groups with acids or bases. Take an alcohol such as methanol, for example. In neutral methanol, the carbon atom is somewhat electron-poor because the electronegative oxygen attracts the electrons in the C–O bond. On protonation of the methanol oxygen by an acid, however, a full positive charge on oxygen attracts the electrons in the C–O bond much more strongly and makes the carbon much more electron-poor. We'll see numerous examples throughout this book of reactions that are catalyzed by acids because of the resultant increase in bond polarity.



Methanol—weakly electron-poor carbon

Protonated methanol strongly electron-poor carbon

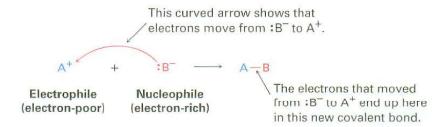
Yet a further consideration is the *polarizability* (as opposed to polarity) of atoms in a molecule. As the electric field around a given atom changes because of changing interactions with solvent or other polar molecules nearby, the electron distribution around that atom also changes. The measure of this response to an external electrical influence is called the polarizability of the atom. Larger atoms with more, loosely held electrons are more polarizable, and smaller atoms with fewer, tightly held electrons are less polarizable. Thus, sulfur is more polarizable than oxygen, and iodine is more polarizable than chlorine. The effect of this higher polarizability for sulfur and iodine is that carbon–sulfur and carbon–iodine bonds, although nonpolar according to electronegativity values (Figure 2.2), nevertheless usually react as if they were polar.



What does functional-group polarity mean with respect to chemical reactivity? Because unlike charges attract, the fundamental characteristic of all polar organic reactions is that electron-rich sites react with electron-poor sites. Bonds are made when an electron-rich atom shares a pair of electrons with an electron-poor atom, and bonds are broken when one atom leaves with both electrons from the former bond.

As we saw in Section 2.11, chemists indicate the movement of an electron pair during a polar reaction by using a curved, full-headed arrow. A curved arrow shows where electrons move when reactant bonds are broken and product bonds are formed. It means that an electron pair moves *from the atom* 

(or bond) at the tail of the arrow to the atom at the head of the arrow during the reaction.



In referring to the electron-rich and electron-poor species involved in polar reactions, chemists use the words *nucleophile* and *electrophile*. A **nucleophile** is a substance that is "nucleus-loving." (Remember that a nucleus is positively charged.) A nucleophile has a negatively polarized, electron-rich atom and can form a bond by donating a pair of electrons to a positively polarized, electron-poor atom. Nucleophiles may be either neutral or negatively charged; ammonia, water, hydroxide ion, and chloride ion are examples. An **electrophile**, by contrast, is "electron-loving." An electrophile has a positively polarized, electron-poor atom and can form a bond by accepting a pair of electrons from a nucleophile. Electrophiles can be either neutral or positively charged. Acids (H<sup>+</sup> donors), alkyl halides, and carbonyl compounds are examples (Figure 5.1).

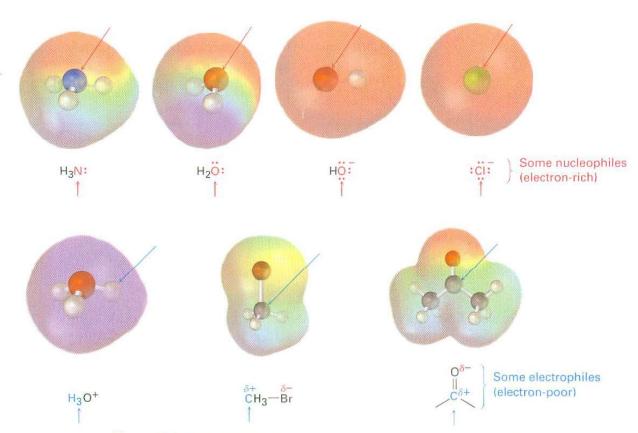


Figure 5.1 Some nucleophiles and electrophiles. Electrostatic potential maps identify the nucleophilic (red; negative) and electrophilic (blue; positive) atoms.

If the definitions of nucleophiles and electrophiles sound similar to those given in Section 2.11 for Lewis acids and Lewis bases, that's because there is

indeed a correlation. Lewis bases are electron donors and behave as nucleophiles, whereas Lewis acids are electron acceptors and behave as electrophiles. Thus, much of organic chemistry is explainable in terms of acid-base reactions. The main difference is that the words acid and base are used broadly, while nucleophile and electrophile are used primarily when bonds to carbon are involved.

#### **WORKED EXAMPLE 5.1**

#### ThomsonNOW Click Organic Interactive to identify and characterize nucleophiles and electrophiles in organic reactions.

#### Identifying Electrophiles and Nucleophiles

Which of the following species is likely to behave as a nucleophile and which as an electrophile?

- (a)  $NO_2^+$
- (b) CN-
- (c)  $CH_3NH_2$  (d)  $(CH_3)_3S^+$

#### Strategy

Nucleophiles have an electron-rich site, either because they are negatively charged or because they have a functional group containing an atom that has a lone pair of electrons. Electrophiles have an electron-poor site, either because they are positively charged or because they have a functional group containing an atom that is positively polarized.

#### Solution

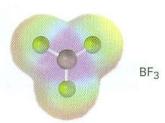
- (a) NO<sub>2</sub>+ (nitronium ion) is likely to be an electrophile because it is positively charged.
- (b) :C≡N<sup>-</sup> (cyanide ion) is likely to be a nucleophile because it is negatively
- (c) CH<sub>3</sub>NH<sub>2</sub> (methylamine) is likely to be a nucleophile because it has a lone pair of electrons on the nitrogen atom.
- (d) (CH<sub>3</sub>)<sub>3</sub>S<sup>+</sup> (trimethylsulfonium ion) is likely to be an electrophile because it is positively charged.

#### Problem 5.4

Which of the following species is likely to be a nucleophile and which an electrophile?

#### Problem 5.5

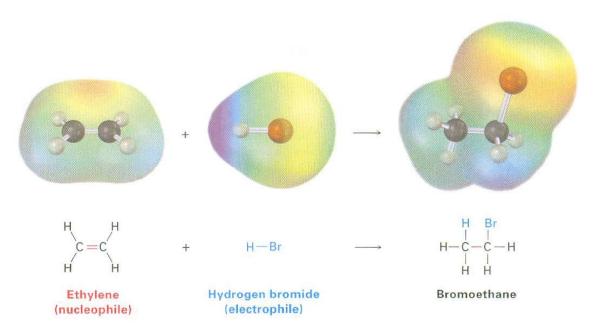
An electrostatic potential map of boron trifluoride is shown. Is BF3 likely to be a nucleophile or an electrophile? Draw a Lewis structure for BF3, and explain your answer.



### 5.5 An Example of a Polar Reaction: Addition of HBr to Ethylene

ThomsonNOW Click Organic Processes to view an animation of the addition of HBr to an alkene.

Let's look at a typical polar process—the addition reaction of an alkene, such as ethylene, with hydrogen bromide. When ethylene is treated with HBr at room temperature, bromoethane is produced. Overall, the reaction can be formulated as



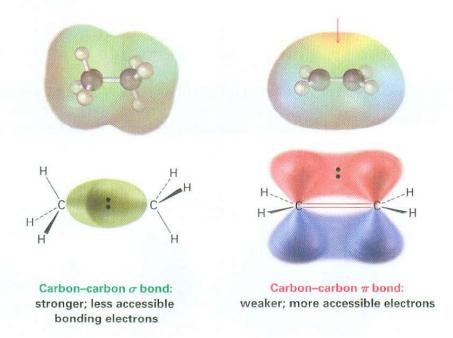
The reaction is an example of a polar reaction type known as an *electrophilic addition reaction* and can be understood using the general ideas discussed in the previous section. Let's begin by looking at the two reactants.

What do we know about ethylene? We know from Section 1.8 that a carbon–carbon double bond results from orbital overlap of two  $sp^2$ -hybridized carbon atoms. The  $\sigma$  part of the double bond results from  $sp^2$ – $sp^2$  overlap, and the  $\pi$  part results from p–p overlap.

What kind of chemical reactivity might we expect of a C=C bond? We know that *alkanes*, such as ethane, are relatively inert because all valence electrons are tied up in strong, nonpolar C-C and C-H bonds. Furthermore, the bonding electrons in alkanes are relatively inaccessible to approaching reactants because they are sheltered in  $\sigma$  bonds between nuclei. The electronic situation in *alkenes* is quite different, however. For one thing, double bonds have a greater electron density than single bonds—four electrons in a double bond versus only two in a single bond. Furthermore, the electrons in the  $\pi$  bond are accessible to approaching reactants because they are located above and below the plane of the double bond rather than being sheltered between the nuclei (Figure 5.2). As a result, the double bond is nucleophilic and the chemistry of alkenes is dominated by reactions with electrophiles.

What about the second reactant, HBr? As a strong acid, HBr is a powerful proton (H<sup>+</sup>) donor and electrophile. Thus, the reaction between HBr and ethylene is a typical electrophile–nucleophile combination, characteristic of all polar reactions.

Figure 5.2 A comparison of carbon–carbon single and double bonds. A double bond is both more accessible to approaching reactants than a single bond and more electronrich (more nucleophilic). An electrostatic potential map of ethylene indicates that the double bond is the region of highest negative charge (red).



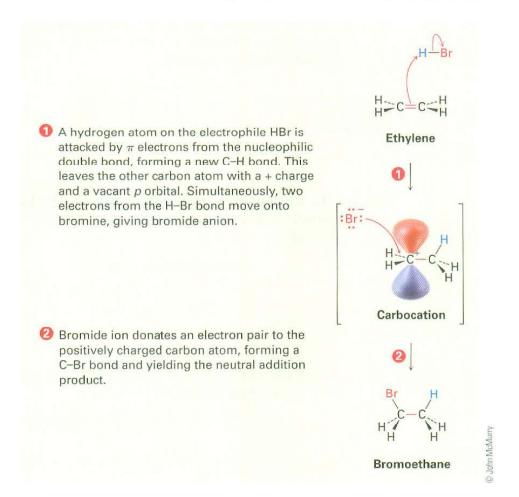
We'll see more details about alkene electrophilic addition reactions shortly, but for the present we can imagine the reaction as taking place in two steps by the pathway shown in Figure 5.3. The reaction begins when the alkene donates a pair of electrons from its C=C bond to HBr to form a new C-H bond plus Br<sup>-</sup>, as indicated by the path of the curved arrows in the first step of Figure 5.3. One curved arrow begins at the middle of the double bond (the source of the electron pair) and points to the hydrogen atom in HBr (the atom to which a bond will form). This arrow indicates that a new C-H bond forms using electrons from the former C=C bond. A second curved arrow begins in the middle of the H-Br bond and points to the Br, indicating that the H-Br bond breaks and the electrons remain with the Br atom, giving Br<sup>-</sup>.

When one of the alkene carbon atoms bonds to the incoming hydrogen, the other carbon atom, having lost its share of the double-bond electrons, now has only six valence electrons and is left with a positive charge. This positively charged species—a carbon-cation, or **carbocation**—is itself an electrophile that can accept an electron pair from nucleophilic Br<sup>-</sup> anion in a second step, forming a C-Br bond and yielding the observed addition product. Once again, a curved arrow in Figure 5.3 shows the electron-pair movement from Br<sup>-</sup> to the positively charged carbon.

The electrophilic addition of HBr to ethylene is only one example of a polar process; there are many others that we'll study in detail in later chapters. But regardless of the details of individual reactions, all polar reactions take place between an electron-poor site and an electron-rich site and involve the donation of an electron pair from a nucleophile to an electrophile.

Problem 5.6 | What product would you expect from reaction of cyclohexene with HBr? With HCl?

Figure 5.3 MECHANISM: The electrophilic addition reaction of ethylene and HBr. The reaction takes place in two steps, both of which involve electrophilenucleophile interactions.



#### Problem 5.7

Reaction of HBr with 2-methylpropene yields 2-bromo-2-methylpropane. What is the structure of the carbocation formed during the reaction? Show the mechanism of the reaction.

$$H_3C$$
 $C=CH_2$  + HBr  $\longrightarrow$   $CH_3$ 
 $C=CH_3$ 
 $C=CH_3$ 
 $C=CH_3$ 
 $C=CH_3$ 
 $C=CH_3$ 

2-Methylpropene

2-Bromo-2-methylpropane

#### 5.6

#### **Using Curved Arrows in Polar Reaction Mechanisms**

It takes practice to use curved arrows properly in reaction mechanisms, but there are a few rules and a few common patterns you should look for that will help you become more proficient.

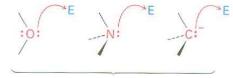
#### Rule 1

#### Key IDEAS

Test your knowledge of Key Ideas by using resources in ThomsonNOW or by answering end-of-chapter problems marked with ...

Electrons move *from* a nucleophilic source (Nu: or Nu: –) *to* an electrophilic sink (E or E<sup>+</sup>). The nucleophilic source must have an electron pair available, usually either in a lone pair or in a multiple bond. For example:

Electrons usually flow from one of these nucleophiles.



The electrophilic sink must be able to accept an electron pair, usually because it has either a positively charged atom or a positively polarized atom in a functional group. For example:

Electrons usually flow to one of these electrophiles.

Rule 2 The nucleophile can be either negatively charged or neutral. If the nucleophile is negatively charged, the atom that gives away an electron pair becomes neutral. For example:

Negatively charged Neutral

$$CH_3 - \overset{\circ}{\circ}: + \overset{\circ}{H} - \overset{\circ}{Br}: + \overset{\circ}{Br}:$$

If the nucleophile is neutral, the atom that gives away an electron pair acquires a positive charge. For example:

**Rule 3** The electrophile can be either positively charged or neutral. If the electrophile is positively charged, the atom bearing that charge becomes neutral after accepting an electron pair. For example:

If the electrophile is neutral, the atom that ultimately accepts the electron pair acquires a negative charge. For this to happen, however, the negative charge must be stabilized by being on an electronegative atom such as oxygen, nitrogen, or a halogen. For example:

The result of Rules 2 and 3 together is that charge is conserved during the reaction. A negative charge in one of the reactants gives a negative charge in one of the products, and a positive charge in one of the reactants gives a positive charge in one of the products.

# Rule 4 The octet rule must be followed. That is, no second-row atom can be left with ten electrons (or four for hydrogen). If an electron pair moves *to* an atom that already has an octet (or two for hydrogen), another electron pair must simultaneously move *from* that atom to maintain the octet. When two electrons move from the C=C bond of ethylene to the hydrogen atom of H<sub>3</sub>O<sup>+</sup>, for instance, two electrons must leave that hydrogen. This means that the H−O bond must break and the electrons must stay with the oxygen, giving neutral water.

ThomsonNOW Click Organic Interactive to practice writing organic mechanisms using curved arrows.

Worked Example 5.2 gives another example of drawing curved arrows.

#### **WORKED EXAMPLE 5.2**

#### Using Curved Arrows in Reaction Mechanisms

Add curved arrows to the following polar reaction to show the flow of electrons:

Strategy

First, look at the reaction and identify the bonding changes that have occurred. In this case, a C-Br bond has broken and a C-C bond has formed. The formation of the C-C bond involves donation of an electron pair from the nucleophilic carbon atom of the reactant on the left to the electrophilic carbon atom of CH<sub>3</sub>Br, so we draw a curved arrow originating from the lone pair on the negatively charged C atom and pointing to the C atom of CH<sub>3</sub>Br. At the same time the C-C bond forms, the C-Br bond must break so that the octet rule is not violated. We therefore draw a second curved arrow from the C-Br bond to Br. The bromine is now a stable Br<sup>-</sup> ion.

Solution

#### Problem 5.8

Add curved arrows to the following polar reactions to indicate the flow of electrons in each:

(b) 
$$H \rightarrow CH_3 - \ddot{O} = + H - \ddot{C} - \ddot{B} = \cdots \rightarrow CH_3 - \ddot{O} - CH_3 + :\ddot{B} = \cdots \rightarrow CH_3 - (\ddot{A} - \ddot{A} - \ddot{A$$

$$(c) : \ddot{O}: \\ \downarrow \\ C \\ \downarrow \\ C \\ OCH_3 \\ \longrightarrow H_3C \\ C \\ OCH_3 \\ + : \ddot{C}: \\ \vdots$$

#### Problem 5.9

Predict the products of the following polar reaction, a step in the citric acid cycle for food metabolism, by interpreting the flow of electrons indicated by the curved arrows:

$$\begin{array}{c} \text{ioH}_2 \\ \text{H} \quad \text{CO}_2^- \\ \text{O}_2\text{C} \quad \text{CH}_2 \\ \text{C} \quad \text{CO}_2^- \end{array} \longrightarrow \begin{array}{c} \text{?} \\ \text{H} \quad \text{O}:+ \\ \text{H} \end{array}$$

## 5.7 Describing a Reaction: Equilibria, Rates, and Energy Changes

Every chemical reaction can go in either forward or reverse direction. Reactants can go forward to products, and products can revert to reactants. As you may remember from your general chemistry course, the position of the resulting chemical equilibrium is expressed by an equation in which  $K_{\rm eq}$ , the equilibrium constant, is equal to the product concentrations multiplied together, divided by the reactant concentrations multiplied together, with each concentration raised to the power of its coefficient in the balanced equation. For the generalized reaction

$$aA + bB \iff cC + dD$$

we have

$$K_{\text{eq}} = \frac{[C]^c [D]^d}{[A]^a [B]^b}$$

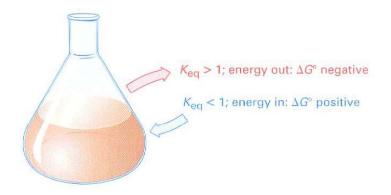
The value of the equilibrium constant tells which side of the reaction arrow is energetically favored. If  $K_{\rm eq}$  is much larger than 1, then the product concentration term  $[{\rm C}]^c \, [{\rm D}]^d$  is much larger than the reactant concentration term  $[{\rm A}]^a \, [{\rm B}]^b$ , and the reaction proceeds as written from left to right. If  $K_{\rm eq}$  is near 1, appreciable amounts of both reactant and product are present at equilibrium. And if  $K_{\rm eq}$  is much smaller than 1, the reaction does not take place as written but instead goes in the reverse direction, from right to left.

In the reaction of ethylene with HBr, for example, we can write the following equilibrium expression, and we can determine experimentally that the equilibrium constant at room temperature is approximately  $7.1 \times 10^7$ :

Because  $K_{\rm eq}$  is relatively large, the reaction proceeds as written and greater than 99.999 99% of the ethylene is converted into bromoethane. For practical purposes, an equilibrium constant greater than about  $10^3$  means that the amount of reactant left over will be barely detectable (less than 0.1%).

What determines the magnitude of the equilibrium constant? For a reaction to have a favorable equilibrium constant and proceed as written, the energy of the products must be lower than the energy of the reactants. In other words, energy must be *released*. The situation is analogous to that of a rock poised precariously in a high-energy position near the top of a hill. When it rolls downhill, the rock releases energy until it reaches a more stable low-energy position at the bottom.

The energy change that occurs during a chemical reaction is called the Gibbs free-energy change ( $\Delta G$ ). For a favorable reaction,  $\Delta G$  has a negative value, meaning that energy is lost by the chemical system and released to the surroundings. Such reactions are said to be **exergonic**. For an unfavorable reaction,  $\Delta G$  has a positive value, meaning that energy is absorbed by the chemical system *from* the surroundings. Such reactions are said to be **endergonic**. You might also recall from general chemistry that the *standard* free-energy change for a reaction is denoted  $\Delta G$ °, where the superscript ° means that the reaction is carried out under standard conditions, with pure substances in their most stable form at 1 atm pressure and a specified temperature, usually 298 K.



Because the equilibrium constant,  $K_{\rm eq}$ , and the standard free-energy change,  $\Delta G^{\circ}$ , both measure whether a reaction is favored, they are mathematically related by the equation

$$\Delta G^{\circ} = -RT \ln K_{\rm eq}$$
 or  $K_{\rm eq} = e^{-\Delta G^{\circ}/RT}$ 

where  $R = 8.314 \text{ J/(K} \cdot \text{mol)} = 1.987 \text{ cal/(K} \cdot \text{mol)}$  T = Kelvin temperature e = 2.718 $\ln K_{\text{eq}} = \text{natural logarithm of } K_{\text{eq}}$ 

The free-energy change  $\Delta G$  is made up of two terms, an *enthalpy* term,  $\Delta H$ , and a temperature-dependent *entropy* term,  $T\Delta S$ . Of the two terms, the enthalpy term is often larger and more dominant.

$$\Delta G^{\circ} = \Delta H^{\circ} - T \Delta S^{\circ}$$

For the reaction of ethylene with HBr at room temperature (298 K), the approximate values are

$$H_2C=CH_2$$
 + HBr  $\longleftrightarrow$   $CH_3CH_2$ Br 
$$\begin{cases} \Delta G^\circ = -44.8 \text{ kJ/mol} \\ \Delta H^\circ = -84.1 \text{ kJ/mol} \\ \Delta S^\circ = -0.132 \text{ kJ/(K} \cdot \text{mol}) \\ K_{eq} = 7.1 \times 10^7 \end{cases}$$

The **enthalpy change**,  $\Delta H$ , also called the **heat of reaction**, is a measure of the change in total bonding energy during a reaction. If  $\Delta H$  is negative, as in the reaction of HBr with ethylene, the bonds in the products are stronger (more stable) than the bonds in the reactants, heat is released, and the reaction is said to be **exothermic**. If  $\Delta H$  is positive, the bonds in the products are weaker (less stable) than the bonds in the reactants, heat is absorbed, and the reaction is said to be **endothermic**. For example, if a reaction breaks reactant bonds with a total strength of 380 kJ/mol and forms product bonds with a total strength of 400 kJ/mol, then  $\Delta H$  for the reaction is -20 kJ/mol and the reaction is exothermic.

The **entropy change**,  $\Delta S$ , is a measure of the change in the amount of molecular randomness, or freedom of motion, that accompanies a reaction. For example, in an elimination reaction of the type

$$A \longrightarrow B + C$$

there is more freedom of movement and molecular randomness in the products than in the reactant because one molecule has split into two. Thus, there is a net increase in entropy during the reaction and  $\Delta S$  has a positive value.

On the other hand, for an addition reaction of the type

$$A + B \longrightarrow C$$

the opposite is true. Because such reactions restrict the freedom of movement of two molecules by joining them together, the product has less randomness than the reactants and  $\Delta S$  has a negative value. The reaction of ethylene and

HBr to yield bromoethane, which has  $\Delta S^{\circ} = -0.132 \text{ kJ/(K} \cdot \text{mol)}$ , is an example Table 5.2 describes the thermodynamic terms more fully.

Table 5.2 Explanation of Thermodynamic Quantities:  $\Delta G^{\circ} = \Delta H^{\circ} - T \Delta S^{\circ}$ 

Term	Name	Explanation		
$\Delta G^{\circ}$	Gibbs free-energy change	The energy difference between reactants and products. When $\Delta G^{\circ}$ is negative, the reaction is exergonic, has a favorable equilibrium constant, and can occur spontaneously. When $\Delta G^{\circ}$ is positive, the reaction is endergonic, has an unfavorable equilibrium constant, and cannot occur spontaneously.		
$\Delta H^{\circ}$	Enthalpy change	The heat of reaction, or difference in strength between the bonds broken in a reaction and the bonds formed. When $\Delta H^{\circ}$ is negative, the reaction releases heat and is <b>exothermic</b> . When $\Delta H^{\circ}$ is positive, the reaction absorbs heat and is <b>endothermic</b> .		
$\Delta S^{\circ}$	Entropy change	The change in molecular randomness during a reaction. When $\Delta S^{\circ}$ is negative, randomness decreases; when $\Delta S^{\circ}$ is positive, randomness increases.		

Knowing the value of  $K_{\rm eq}$  for a reaction is useful, but it's important to realize the limitations. An equilibrium constant tells only the *position* of the equilibrium, or how much product is theoretically possible. It doesn't tell the *rate* of reaction, or how fast the equilibrium is established. Some reactions are extremely slow even though they have favorable equilibrium constants. Gasoline is stable at room temperature, for instance, because the rate of its reaction with oxygen is slow at 298 K. At higher temperatures, however, such as contact with a lighted match, gasoline reacts rapidly with oxygen and undergoes complete conversion to the equilibrium products water and carbon dioxide. Rates (*how fast* a reaction occurs) and equilibria (*how much* a reaction occurs) are entirely different.

Rate --- Is the reaction fast or slow?

Equilibrium — In what direction does the reaction proceed?

Problem 5.10

Which reaction is more energetically favored, one with  $\Delta G^{\circ} = -44$  kJ/mol or one with  $\Delta G^{\circ} = +44$  kJ/mol?

Problem 5.11

Which reaction is likely to be more exergonic, one with  $K_{eq} = 1000$  or one with  $K_{eq} = 0.001$ ?

5.8

#### **Describing a Reaction: Bond Dissociation Energies**

ThomsonNOW Click Organic Interactive to use bond dissociation energies to predict organic reactions and radical stability.

We've just seen that heat is released (negative  $\Delta H$ ) when a bond is formed and absorbed (positive  $\Delta H$ ) when a bond is broken. The measure of the heat change that occurs on breaking a bond is called the *bond strength*, or **bond dissociation energy** (D), defined as the amount of energy required to break a given bond to produce two radical fragments when the molecule is in the gas phase at 25 °C.



Each specific bond has its own characteristic strength, and extensive tables of data are available. For example, a C–H bond in methane has a bond dissociation energy D=438.4 kJ/mol (104.8 kcal/mol), meaning that 438.4 kJ/mol must be added to break a C–H bond of methane to give the two radical fragments ·CH $_3$  and ·H. Conversely, 438.4 kJ/mol of energy is released when a methyl radical and a hydrogen atom combine to form methane. Table 5.3 lists some other bond strengths.

Table 5.3 Some Bond Dissociation Energies, D

Bond	D (kJ/mol)	Bond	D (kJ/mol)	Bond	D (kJ/mol)
Н—Н	436	(CH <sub>3</sub> ) <sub>3</sub> C—1	209	C <sub>2</sub> H <sub>5</sub> —CH <sub>3</sub>	355
H-F	570	H <sub>2</sub> C=CH-H	444	(CH <sub>3</sub> ) <sub>2</sub> CH—CH <sub>3</sub>	351
H-CI	432	H <sub>2</sub> C=CH-CI	368	(CH <sub>3</sub> ) <sub>3</sub> C—CH <sub>3</sub>	339
H-Br	366	H <sub>2</sub> C=CHCH <sub>2</sub> -H	361	H <sub>2</sub> C=CH-CH <sub>3</sub>	406
H—I	298	H <sub>2</sub> C=CHCH <sub>2</sub> -CI	289	H <sub>2</sub> C=CHCH <sub>2</sub> -CH <sub>3</sub>	310
CI—CI	243	Н		H <sub>2</sub> C=CH <sub>2</sub>	611
Br—Br	193		464	CH <sub>3</sub>	
I—I	151				427
CH <sub>3</sub> —H	438	CI			
CH <sub>3</sub> —CI	351		405	CH <sub>2</sub> -CH <sub>3</sub>	
CH <sub>3</sub> —Br	293				332
CH <sub>3</sub> —I	234	CH <sub>2</sub> —H			
CH <sub>3</sub> —OH	380		368	O	368
CH <sub>3</sub> -NH <sub>2</sub>	335			CH <sub>3</sub> C̈—H	
C <sub>2</sub> H <sub>5</sub> —H	420	CH <sub>2</sub> -CI		но—н	498
C <sub>2</sub> H <sub>5</sub> —CI	338		293	но—он	213
C <sub>2</sub> H <sub>5</sub> —Br	285			CH <sub>3</sub> O—H	437
C <sub>2</sub> H <sub>5</sub> —I	222	Br		CH <sub>3</sub> S—H	371
C <sub>2</sub> H <sub>5</sub> —OH	380		337	C <sub>2</sub> H <sub>5</sub> O—H	436
(CH <sub>3</sub> ) <sub>2</sub> CH—H	401			0	
(CH <sub>3</sub> ) <sub>2</sub> CH—CI	339	ОН		CH <sub>3</sub> C—CH <sub>3</sub>	322
(CH <sub>3</sub> ) <sub>2</sub> CH—Br	274		469	3- 3113	
(CH <sub>3</sub> ) <sub>3</sub> C—H	390			CH <sub>3</sub> CH <sub>2</sub> O-CH <sub>3</sub>	339
(CH <sub>3</sub> ) <sub>3</sub> C-CI	330	HC≡C−H	552	NH <sub>2</sub> —H	449
(CH <sub>3</sub> ) <sub>3</sub> C—Br	263	CH <sub>3</sub> —CH <sub>3</sub>	376	H-CN	518

Think for a moment about the connection between bond strengths and chemical reactivity. In an exothermic reaction, more heat is released than is absorbed. But since making product bonds releases heat and breaking reactant bonds absorbs heat, the bonds in the products must be stronger than the bonds in the reactants. In other words, exothermic reactions are favored by stable products with strong bonds and by reactants with weak, easily broken bonds.

Sometimes, particularly in biochemistry, reactive substances that undergo highly exothermic reactions, such as ATP (adenosine triphosphate), are referred to as "energy-rich" or "high-energy" compounds. Such labels don't mean that ATP is special or different from other compounds; they mean only that ATP has relatively weak bonds that require a smaller amount of heat to break, thus leading to a larger release of heat on reaction. When a typical organic phosphate such as glycerol 3-phosphate reacts with water, for instance, only 9 kJ/mol of heat is released ( $\Delta H^{\circ} = -9$  kJ/mol), but when ATP reacts with water, 30 kJ/mol of heat is released ( $\Delta H^{\circ} = -30$  kJ/mol). The difference between the two reactions is due to the fact that the bond broken in ATP is substantially weaker than the bond broken in glycerol 3-phosphate.

$$\Delta H^{\circ \prime} = -9 \text{ kJ/mol}$$

#### Glycerol 3-phosphate

Glycerol

#### $\Delta H^{\circ\prime} = -30 \text{ kJ/mol}$

Adenosine triphosphate (ATP)

Adenosine diphosphate (ADP)

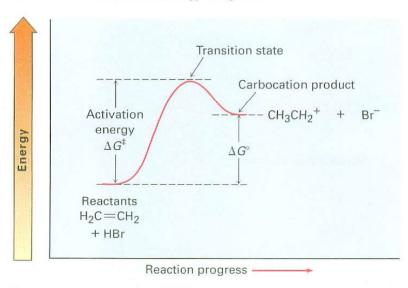
## 5.9 Describing a Reaction: Energy Diagrams and Transition States

For a reaction to take place, reactant molecules must collide and reorganization of atoms and bonds must occur. Let's again look at the addition reaction of HBr and ethylene, which takes place in two steps.

As the reaction proceeds, ethylene and HBr must approach each other, the ethylene  $\pi$  bond and the H-Br bond must break, a new C-H bond must form in the first step, and a new C-Br bond must form in the second step.

To depict graphically the energy changes that occur during a reaction, chemists use reaction energy diagrams, such as that shown in Figure 5.4. The vertical axis of the diagram represents the total energy of all reactants, and the horizontal axis, called the *reaction coordinate*, represents the progress of the reaction from beginning to end. Let's see how the addition of HBr to ethylene can be described in an energy diagram.

Figure 5.4 An energy diagram for the first step in the reaction of ethylene with HBr. The energy difference between reactants and transition state,  $\Delta G^{\ddagger}$ , defines the reaction rate. The energy difference between reactants and carbocation product,  $\Delta G^{\circ}$ , defines the position of the equilibrium.



H == Br

Active Figure 5.5 A hypothetical transition-state structure for the first step of the reaction of ethylene with HBr. The C=C π bond and H-Br bond are just beginning to break, and the C-H bond is just beginning to form. Sign in at www.thomsonedu.com to see a simulation based on this figure and to take a short quiz.

At the beginning of the reaction, ethylene and HBr have the total amount of energy indicated by the reactant level on the left side of the diagram in Figure 5.4. As the two reactants collide and reaction commences, their electron clouds repel each other, causing the energy level to rise. If the collision has occurred with enough force and proper orientation, the reactants continue to approach each other despite the rising repulsion until the new C—H bond starts to form. At some point, a structure of maximum energy is reached, a structure called the *transition state*.

The **transition state** represents the highest-energy structure involved in this step of the reaction. It is unstable and can't be isolated, but we can nevertheless imagine it to be an activated complex of the two reactants in which both the C=C  $\pi$  bond and H-Br bond are partially broken and the new C-H bond is partially formed (Figure 5.5).

The energy difference between reactants and transition state is called the **activation energy**,  $\Delta G^\ddagger$ , and determines how rapidly the reaction occurs at a given temperature. (The double-dagger superscript,  $\ddagger$ , always refers to the transition state.) A large activation energy results in a slow reaction because few collisions occur with enough energy for the reactants to reach the transition state.

A small activation energy results in a rapid reaction because almost all collisions occur with enough energy for the reactants to reach the transition state.

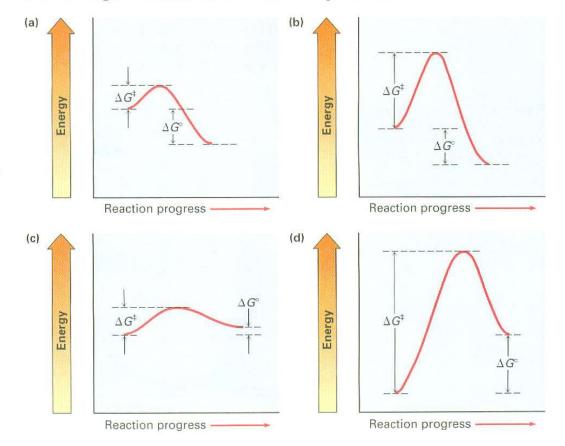
As an analogy, you might think of reactants that need enough energy to climb the activation barrier to the transition state as similar to hikers who need enough energy to climb to the top of a mountain pass. If the pass is a high one, the hikers need a lot of energy and surmount the barrier with difficulty. If the pass is low, however, the hikers need less energy and reach the top easily.

As a rough generalization, many organic reactions have activation energies in the range 40 to 150 kJ/mol (10–35 kcal/mol). The reaction of ethylene with HBr, for example, has an activation energy of approximately 140 kJ/mol (34 kcal/mol). Reactions with activation energies less than 80 kJ/mol take place at or below room temperature, whereas reactions with higher activation energies normally require a higher temperature to give the reactants enough energy to climb the activation barrier.

Once the transition state is reached, the reaction can either continue on to give the carbocation product or revert back to reactant. When reversion to reactant occurs, the transition-state structure comes apart and an amount of free energy corresponding to  $-\Delta G^{\ddagger}$  is released. When the reaction continues on to give the carbocation, the new C–H bond forms fully and an amount of energy corresponding to the difference between transition state and carbocation product is released. The net change in energy for the step,  $\Delta G^{\circ}$ , is represented in the diagram as the difference in level between reactant and product. Since the carbocation is higher in energy than the starting alkene, the step is endergonic, has a positive value of  $\Delta G^{\circ}$ , and absorbs energy.

Not all energy diagrams are like that shown for the reaction of ethylene and HBr. Each reaction has its own energy profile. Some reactions are fast (small  $\Delta G^{\ddagger}$ ) and some are slow (large  $\Delta G^{\ddagger}$ ); some have a negative  $\Delta G^{\circ}$ , and some have a positive  $\Delta G^{\circ}$ . Figure 5.6 illustrates some different possibilities.

Active Figure 5.6 Some hypothetical energy diagrams: (a) a fast exergonic reaction (small  $\Delta G^{\dagger}$ , negative  $\Delta G^{\circ}$ ); (b) a slow exergonic reaction (large  $\Delta G^{\dagger}$ , negative  $\Delta G^{\circ}$ ); (c) a fast endergonic reaction (small  $\Delta G^{\dagger}$ , small positive  $\Delta G^{\circ}$ ); (d) a slow endergonic reaction (large  $\Delta G^{\dagger}$ , positive  $\Delta G^{\circ}$ ). Sign in at www.thomsonedu.com to see a simulation based on this figure and to take a short quiz.



**Problem 5.12** Which reaction is faster, one with  $\Delta G^{\ddagger} = +45 \text{ kJ/mol}$  or one with  $\Delta G^{\ddagger} = +70 \text{ kJ/mol}$ ?

#### 5.10 Describing a Reaction: Intermediates

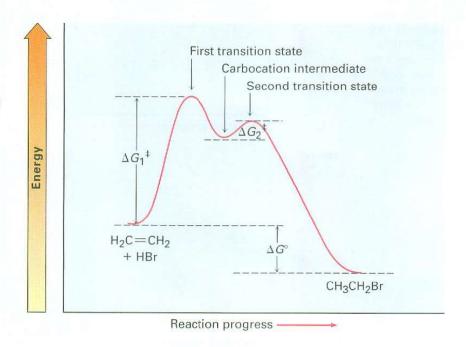
How can we describe the carbocation formed in the first step of the reaction of ethylene with HBr? The carbocation is clearly different from the reactants, yet it isn't a transition state and it isn't a final product.

Reaction intermediate

We call the carbocation, which exists only transiently during the course of the multistep reaction, a **reaction intermediate**. As soon as the intermediate is formed in the first step by reaction of ethylene with  $H^+$ , it reacts further with  $Br^-$  in a second step to give the final product, bromoethane. This second step has its own activation energy ( $\Delta G^{\ddagger}$ ), its own transition state, and its own energy change ( $\Delta G^{\circ}$ ). We can picture the second transition state as an activated complex between the electrophilic carbocation intermediate and the nucleophilic bromide anion, in which  $Br^-$  donates a pair of electrons to the positively charged carbon atom as the new C-Br bond starts to form.

A complete energy diagram for the overall reaction of ethylene with HBr is shown in Figure 5.7. In essence, we draw a diagram for each of the individual steps and then join them so that the carbocation *product* of step 1 is the *reactant* for step 2. As indicated in Figure 5.7, the reaction intermediate lies at an energy

Figure 5.7 An energy diagram for the overall reaction of ethylene with HBr. Two separate steps are involved, each with its own transition state. The energy minimum between the two steps represents the carbocation reaction intermediate.

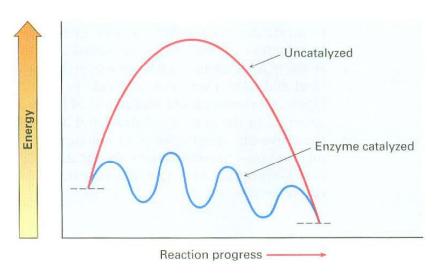


minimum between steps. Since the energy level of the intermediate is higher than the level of either the reactant that formed it or the product it yields, the intermediate can't normally be isolated. It is, however, more stable than the two transition states that neighbor it.

Each step in a multistep process can always be considered separately. Each step has its own  $\Delta G^{\ddagger}$  and its own  $\Delta G^{\circ}$ . The *overall*  $\Delta G^{\circ}$  of the reaction, however, is the energy difference between initial reactants and final products.

The biological reactions that take place in living organisms have the same energy requirements as reactions that take place in the laboratory and can be described in similar ways. They are, however, constrained by the fact that they must have low enough activation energies to occur at moderate temperatures, and they must release energy in relatively small amounts to avoid overheating the organism. These constraints are generally met through the use of large, structurally complex, enzyme catalysts that change the mechanism of a reaction to an alternative pathway that proceeds through a series of small steps rather than one or two large steps. Thus, a typical energy diagram for a biological reaction might look like that in Figure 5.8.

Figure 5.8 An energy diagram for a typical, enzyme-catalyzed biological reaction (blue curve) versus an uncatalyzed laboratory reaction (red curve). The biological reaction involves many steps, each of which has a relatively small activation energy and small energy change. The end result is the same, however.



#### **WORKED EXAMPLE 5.3**

#### Drawing Energy Diagrams for Reactions

Sketch an energy diagram for a one-step reaction that is fast and highly exergonic.

**Strategy** A fast reaction has a small  $\Delta G^{\ddagger}$ , and a highly exergonic reaction has a large negative  $\Delta G^{\circ}$ .

Solution

